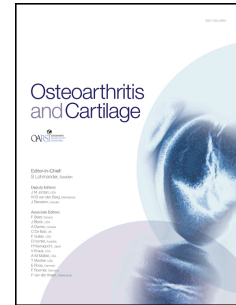


Accepted Manuscript

Effect of a model consultation informed by guidelines on recorded quality of care of osteoarthritis (MOSAICS): a cluster randomised controlled trial in primary care

Kelvin P. Jordan, John J. Edwards, Mark Porcheret, Emma L. Healey Healey, Clare Jinks, John Bedson, Kris Clarkson, Elaine M. Hay, Krysia S. Dziedzic



PII: S1063-4584(17)31029-4

DOI: [10.1016/j.joca.2017.05.017](https://doi.org/10.1016/j.joca.2017.05.017)

Reference: YJOCA 4022

To appear in: *Osteoarthritis and Cartilage*

Received Date: 11 January 2017

Revised Date: 24 May 2017

Accepted Date: 27 May 2017

Please cite this article as: Jordan KP, Edwards JJ, Porcheret M, Healey Healey EL, Jinks C, Bedson J, Clarkson K, Hay EM, Dziedzic KS, Effect of a model consultation informed by guidelines on recorded quality of care of osteoarthritis (MOSAICS): a cluster randomised controlled trial in primary care, *Osteoarthritis and Cartilage* (2017), doi: [10.1016/j.joca.2017.05.017](https://doi.org/10.1016/j.joca.2017.05.017).

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1 **Effect of a model consultation informed by guidelines on recorded quality of care of**
2 **osteoarthritis (MOSAICS): a cluster randomised controlled trial in primary care**

3 **Kelvin P Jordan^{1,2}, John J Edwards¹, Mark Porcheret¹, Emma L Healey¹, Clare Jinks¹,**
4 **John Bedson¹, Kris Clarkson², Elaine M Hay¹, Krysia S Dziedzic¹**

5 ¹Arthritis Research UK Primary Care Centre, Research Institute for Primary Care & Health
6 Sciences, David Weatherall Building, Keele University, Staffordshire, UK ST5 5BG.

7 ²Keele Clinical Trials Unit, David Weatherall Building, Keele University, Staffordshire, UK
8 ST5 5BG

9 Kelvin P Jordan: k.p.jordan@keele.ac.uk

10 John J Edwards: j.j.edwards@keele.ac.uk

11 Mark Porcheret: m.porcheret@keele.ac.uk

12 Emma L Healey: e.healey@keele.ac.uk

13 Clare Jinks: c.jinks@keele.ac.uk

14 John Bedson: j.bedson@keele.ac.uk

15 Kris Clarkson: k.c.clarkson@keele.ac.uk

16 Elaine M Hay: e.m.hay@keele.ac.uk

17 Krysia S Dziedzic: k.s.dziedzic@keele.ac.uk

18 Correspondence to: Kelvin P Jordan, Email: k.p.jordan@keele.ac.uk, Tel: +44 1782 733924

19 Running title: Effect of model consultation on quality of care

20 **Abstract**

21 **Objective:** To determine the effect of a model osteoarthritis (OA) consultation (MOAC)
22 informed by NICE recommendations compared with usual care on recorded quality of care of
23 clinical OA in general practice.

24 **Design:** Two-arm cluster randomised controlled trial.

25 **Setting:** Eight general practices in Cheshire, Shropshire, or Staffordshire UK.

26 **Participants:** General practitioners and nurses with patients consulting with clinical OA.

27 **Intervention:** Following six-month baseline period practices were randomised to
28 intervention ($n=4$) or usual care ($n=4$). Intervention practices delivered MOAC (enhanced
29 initial GP consultation, nurse-led clinic, OA guidebook) to patients aged ≥ 45 years consulting
30 with clinical OA. An electronic (e-)template for consultations was used in all practices to
31 record OA quality care indicators.

32 **Outcomes:** Quality of OA care over six months recorded in the medical record.

33 **Results:** 1851 patients consulted in baseline period (1015 intervention; 836 control); 1960
34 consulted following randomisation (1118 intervention; 842 control). At baseline wide
35 variations in quality of care were noted. Post-randomisation increases were found for written
36 advice on OA (4% to 28%), exercise (4% to 22%) and weight loss (1% to 15%) in
37 intervention practices but not controls (1% to 3%). Intervention practices were more likely to
38 refer to physiotherapy (10% vs 2%, odds ratio 5.30; 95%CI 2.11, 13.34), and prescribe
39 paracetamol (22% vs 14%, 1.74; 95%CI 1.27, 2.38).

40 **Conclusions:** The intervention did not improve all aspects of care but increased core NICE
41 recommendations of written advice on OA, exercise and weight management. There remains
42 a need to reduce variation and uniformly enhance improvement in recorded OA care.

43 **Trial registration number:** ISRCTN06984617

44 **Keywords:** Osteoarthritis, General practice, Implementation, Primary care, Guidelines

45

46

ACCEPTED MANUSCRIPT

47 **Introduction**

48 Osteoarthritis (OA) is a major cause of pain and disability worldwide^{1,2}. Most patients with
49 clinical OA are seen and managed in primary care, and the UK National Institute for Health
50 and Care Excellence (NICE) has identified a set of core interventions which can be offered to
51 all patients consulting with OA in primary care. Yet much primary care for OA patients in the
52 UK does not adhere to NICE guidance, including the core items of education and information
53 provision, and advice and referral for exercise and weight management^{1,3-6}. Internationally,
54 the situation is similar^{7,8} and a change in models of care for OA has been proposed⁹.

55 A systematic review has previously identified some limited evidence to support primary care
56 collaborative care models and multidisciplinary case management as complex interventions
57 to improve OA care¹⁰. Strategies to improve quality of primary care for long-term conditions
58 in the UK have included use of computerised templates and decision support systems¹¹,
59 health trainers¹², promotion of self-management¹³, and educational intervention¹⁴. Although
60 some risk factors for OA are addressed by the health trainer model (weight management,
61 exercise/physical activity), there have been few successful attempts to enhance OA care in
62 general practice.

63 The MOSAICS (Managing Osteoarthritis In ConsultationS) study was a cluster randomised
64 controlled trial to test a complex patient-focused intervention, namely a model OA
65 consultation during which the core NICE OA recommendations are delivered. This was
66 developed using the Whole Systems Informing Self-Management Engagement (WISE)
67 model¹⁵ and incorporated an OA Guidebook developed with user involvement, an enhanced
68 OA consultation, and access to a practice based nurse-led OA clinic.^{16,17} The MOSAICS
69 study aimed to assess:

70 • the effectiveness of the intervention on the quality of primary care for patients aged ≥ 45
71 years consulting with clinical OA.

72 • the impact, feasibility and acceptability of the model OA consultation in primary care.

73 We report here the practice-level results addressing the study question of whether the
74 intervention (model OA consultation) increases the uptake of NICE OA recommendations by
75 general practices taking part in MOSAICS, as measured by quality indicators of OA care in
76 the practices' electronic health records (EHR). A quality indicator was defined as "*a*
77 *measurable element of practice performance for which there is evidence or consensus that it*
78 *can be used to assess the quality, and hence change in the quality, of care provided*"¹⁸. We
79 also report on adverse events.

80 **Methods**

81 **Study Design**

82 MOSAICS was a mixed methods study with a two arm cluster randomised controlled trial
83 conducted in eight general practices in Cheshire, Shropshire, or Staffordshire, UK. The
84 protocol has been published¹⁷ and the patient-level self-reported outcomes for clinical
85 effectiveness will be reported elsewhere.

86 The MOSAICS study has two key parts: a population survey that took place between May
87 2011 and April 2012 and a cluster randomised trial that was conducted from May 2012 to
88 February 2014 by the Arthritis Research UK Primary Care Centre, Keele University, UK.
89 The study was approved by the North West 1 Research Ethics Committee, Cheshire (REC
90 reference: 10/H1017/76) and monitored by an Independent Trial Steering Committee and
91 Data Monitoring Committee.

92 Cluster randomisation at the practice level was used to prevent contamination by clinicians as
93 it was expected GPs would be unable to manage patients allocated to the control arm
94 differently to those allocated to the intervention arm. It may also better develop a community
95 of practice for OA care within a cluster. The evaluation of the intervention used anonymised
96 medical records to allow the analysis of the management and care of a large number of
97 patients without recruitment bias and the attrition and non-consent issues of self-reported
98 patient evaluation. By using medical record information for measuring the outcomes, all
99 eligible patients in the practices were included.

100 **Participants**

101 Practices which were members of the Central England Primary Care Research Network or a
102 Keele Research Network Practice, and used the EMIS computerised system were approached
103 sequentially until eight agreed to take part. Ten general practices were invited to participate.
104 Reasons for non-participation were recent engagement with teaching medical students and
105 involvement with other research¹⁹.

106 All health care professionals (general practitioners and practice nurses) from the eight
107 randomised practices and their respective practice populations aged ≥ 45 years consulting
108 with clinical OA (diagnosed OA or recorded peripheral joint pain) formed the sampling
109 frame for the cluster trial.

110 During a six month baseline period prior to randomisation, all practices received a resource
111 pack of written advice for patients, with examples of OA leaflets provided by Arthritis
112 Research UK, Arthritis Care and NICE. Training of health care professionals in the trial
113 intervention occurred after randomisation.

114 Patients eligible for inclusion were aged ≥ 45 years and had at least one consultation recorded
115 as clinical OA defined as an OA diagnostic Read code or a code for joint pain (hand/wrist,
116 hip, knee, foot/ankle) during the study period. In UK primary care, morbidities are generally
117 entered using Read Codes, a hierarchical coding system structured into chapters. For
118 example, codes under Chapter N represent 'Musculoskeletal and Connective Tissue
119 Diseases'. GPs may often enter symptom codes rather than diagnosis codes and using only
120 OA diagnostic Read codes means patients presenting with OA symptoms will be missed^{20,21}.
121 Joint pain codes likely to represent OA had previously been determined by six academic
122 general practitioners with an interest in musculoskeletal conditions²². The current analysis
123 was performed on the anonymised electronic health record (EHR) data of all patients
124 fulfilling the eligibility criteria.

125 **Randomisation**

126 Following the six month baseline period, practices were randomised into intervention (model
127 OA consultation, four practices) or to continue with usual care (four practices). Practices
128 were randomly allocated, stratified by practice list size, by administrative staff at the Keele
129 Clinical Trials Unit who had no clinical involvement in the trial. The trial statisticians were
130 kept blind to the allocation until after the analysis.

131 **Intervention**

132 *The model OA consultation*

133 The development of the intervention has been published elsewhere^{17,23,24}. Briefly, using the
134 findings of two consensus exercises^{23,25} and theoretical models to guide self-management²⁶
135 and support patient behaviour change^{27,28}, a model OA consultation was developed. This
136 comprised an enhanced initial consultation with the GP and provision of a nurse-led OA

137 clinic, both supported by use of an OA Guidebook, and was delivered to patients aged ≥ 45
138 years presenting with clinical OA (appendix 1).

139 *Training*

140 Training and educational packages were developed by drawing on Michie et al^{28,29}.
141 Intervention practices received practice updates on core NICE recommendations for OA
142 (diagnosis; written information [the OA guidebook], exercise and physical activity, healthy
143 eating, pain management). GPs received training on how to deliver the initial consultation for
144 new or established OA patients during four sessions (2 hours x3, 1 hour x1) utilizing
145 simulated patients in skills training sessions¹⁶. The procedure for referring to a practice nurse
146 for a follow-up OA consultation was discussed. Practice nurses received four days of training
147 on how to support and enable patients to self-manage OA, using a patient-centred approach,
148 the OA guidebook, goal setting, pain management (analgesia and exercise) and the core
149 NICE recommendations (information and advice, strengthening exercise and aerobic fitness
150 training, and weight management)³⁰.

151 Control practices received no training, guidebook or OA nurse clinic, and continued usual
152 care alongside the resource pack of written advice for patients given in the pre-randomisation
153 baseline period.

154 **Outcomes**

155 The outcomes were the recorded achievement (achieved versus not achieved) of fourteen
156 quality indicators of care for patients presenting with clinical OA during the six month period
157 after randomisation and training. This was assessed through the use of quality indicators
158 derived from a systematic review³¹ with additional measures derived from the NICE OA
159 guidelines (Box 1)¹. They cover four domains: assessment (pain, function, body mass index
160 (BMI), X-ray use), core management (OA information, exercise advice, weight loss advice),

161 other non-pharmacological management (physiotherapy referral), and pharmacological
162 management (paracetamol, topical non-steroidal anti-inflammatory drugs (NSAIDs),
163 gastroprotection). For the core management indicators, indicator achievement was defined as
164 the information being given verbally, written, or deemed by the clinician as not appropriate.
165 However, we also assessed whether there had been increases in the level of written
166 information and advice as this is the core NICE recommendation¹.

167 Recorded achievement of quality indicators was identified via two sources: information
168 routinely entered in the EHR as part of standard care and that entered through an electronic
169 template (“e-template”) developed to allow clinicians to complete and capture information
170 not routinely recorded (Box 1). The e-template was installed in all practices at the start of the
171 six-month baseline period and was automatically triggered at any consultation with an entry
172 of the same Read codes used to identify patients for the trial. Clinicians could choose to
173 complete all, some, or none of the e-template. As previously reported, the e-template was
174 found to be associated with an increased recording of weight and prescription of NICE-
175 recommended first-line analgesics (paracetamol, topical NSAIDS) in the baseline period but
176 other recorded care remained stable³².

177 Quality indicators could be achieved at the first consultation for clinical OA within the trial
178 period or the following 120 days (to allow time for the patient to see the practice nurse). For
179 indicators assessed through the routine record, they also had to be recorded within 14 days of
180 a recorded consultation for clinical OA.

181 The percentage of patients in the intervention practices with a recorded practice nurse
182 consultation (as directed in the model OA consultation) were identified from medical records
183 as a measure of treatment fidelity.

184 *Adverse events*

185 Adverse events that may be related to the content of the model OA consultation and quality
186 of care indicators were selected based on the NICE 2008 OA guidelines¹ and
187 recommendations of the Trial Steering Committee, and identified in the EHR from date of
188 first OA consultation during the trial period up the last point of record download (31/8/2013).

189 **Sample size**

190 Sample size for the trial was based on the clinical effectiveness component¹⁷. A priori, based
191 on a 10% annual consultation prevalence for clinical OA in those aged ≥ 45 ²², and a
192 population base of 30,000 adults aged ≥ 45 years across the eight general practices, we
193 estimated there would be 3,000 patients consulting annually for clinical OA.

194 **Statistical analysis**

195 The analysis compared the intervention and control practices on recorded achievement of the
196 individual quality indicators of care in patients consulting with clinical OA during the trial
197 period (six months after randomisation and training). We determined practice-specific
198 baseline levels of recorded quality indicator achievement. Baseline was taken as the first six
199 months the e-template was introduced in the practices (prior to randomisation and training)
200 and was based on patients with a recorded OA or joint pain code during that period. During
201 the six month trial period, we identified the initial clinician recorded as seen by each patient
202 for clinical OA in that period.

203 Multilevel logistic regression models (patients nested within initial clinician seen) were used
204 to determine differences between intervention and control practices during the trial period in
205 the achievement of each quality indicator. The models were adjusted for age, gender, whether
206 the initial consultation was recorded as diagnosed OA or given a joint pain code, and baseline

207 level of quality indicator achievement of the patient's practice. Results are presented as odds
208 ratios (OR) with 95% CI.

209 Sensitivity analyses restricted the analysis to: (i) patients with at least one recorded entry on
210 the e-template, (ii) new consulters (defined as first clinical OA consultation since
211 introduction of the e-template and with at least 365 days since any clinical OA consultation),
212 (iii) patients with a recorded diagnosis of OA.

213 To assess the likely effect of treatment fidelity, we descriptively compared recorded
214 achievement of quality indicators, in the intervention practices only, between patients with a
215 record of attendance at a practice nurse clinic and those without.

216 Differences in adverse events were analysed using chi-squared tests or Fisher's Exact Test as
217 appropriate. Stata/MP 13.1, MLwiN v2.29 and the Stata command 'runmlwin' were used for
218 the analyses^{33,34}.

219 **Results**

220 Mean registered populations for the practices were 10240.5 (intervention) and 6983.3
221 (control). There were 1118 patients recorded with clinical OA during the six month trial
222 period in the intervention practices, and 842 patients in the control practices (figure 1). Mean
223 age of patients was 66.2 years (SD 12.34, intervention) and 66.5 (SD 11.93, control). 59%
224 were female in the intervention practices, 61% in the control practices. The e-template fired
225 for 1061 (95%) of the 1118 patients in the intervention practices and 757 (90%) of the 842
226 patients in the control practices. The reason for the template failing to fire for the remaining
227 patients is unknown.

228 Figure 1 here

229 41% (baseline) and 45% (trial period) of patients in the intervention practices received an OA
230 diagnosis rather than a joint pain code compared to 23% and 29% in the control practices,
231 respectively. During the trial period, there were 63 clinicians who first saw a patient in the
232 intervention practices (seeing a median of 10 patients; IQR 2, 29) and 50 clinicians in the
233 control practices (median 11 patients; IQR 2, 26).

234 *Recorded achievement of quality indicators*

235 There was wide variation in recorded achievement of the quality indicators during the
236 baseline pre-randomisation period, measured through the e-template, between clinicians and
237 between practices. For example, as previously reported³², in clinicians seeing more than the
238 median number of clinical OA patients, a quarter failed to achieve any e-template measured
239 indicator for more than half of their patients but another quarter achieved at least one
240 indicator for more than 88% of their patients. This variation was reflected in wide baseline
241 differences between the trial arms and there was a fall in recorded achievement of e-template
242 measured indicators between baseline and trial period for both intervention and control
243 practices, although this was not apparent in patients who had at least one entry on the e-
244 template.

245 There were no statistically significant differences between intervention and control practices
246 in the recorded achievement of the assessment quality indicators although X-ray requests
247 reduced in the intervention arm (25% to 15%) but increased in the control practices (3% to
248 6%, OR 0.45; 95% CI 0.12, 1.72, table 1). There were also no statistically significant
249 differences in the general indicators of core management. However, a record of the health
250 care professional supplying written information on OA increased in the intervention practices
251 from 4% of patients in the baseline period to 28% in the trial period and remained stable in
252 the control practices (1 to 2%, OR 23.60, 95% CI 7.39, 75.40, table 2). Written exercise

253 advice and written weight loss advice in those overweight also increased significantly in the
254 intervention practices in comparison to the control practices.

255 Physiotherapy referral remained stable in intervention practices (9% baseline, 10% trial
256 period) and decreased slightly in control practices (4% to 2%; comparison in trial period
257 between intervention practices and control practices: OR 5.30; 95% CI 2.11, 13.34).

258 Prescribing of paracetamol increased from the baseline period in the intervention arm (16%
259 to 22%) and decreased in the control arm (19% to 14%, OR 1.74; 95% CI 1.27, 2.38).

260 Tables 1 & 2 here.

261 Restricting the analysis of indicators measured through the e-template to patients with at least
262 one entry suggested a higher rate in the intervention practices of consideration of paracetamol
263 use (OR 2.01; 95% CI 0.91, 4.41) and advice to exercise (OR 1.88; 95% CI 0.93, 3.79), albeit
264 not statistically significant (appendix table 1). As in the main analysis, there were decreases
265 from baseline in recorded achievement of the indicators measured through the e-template in
266 new consulters and just those with an OA diagnosis. Restricting the analyses of indicators
267 recorded through the routine records to new consulters for clinical OA did not change the
268 findings from the main analysis (appendix table 2). In those with an OA diagnostic code
269 only, patients in the intervention practices were additionally more likely to have their weight
270 recorded (OR 3.07; 95% CI 1.37, 6.90) than those in the control practices (appendix table 3).
271 There were larger increases in the intervention arm in those with an OA diagnosis for the core
272 written aspects of management (written information 6% to 42%; written exercise advice 6%
273 to 33%; written weight loss advice 3% to 24%) than seen in the main analysis (table 2).

274 220 (21%) of patients with clinical OA in the intervention practices had a record of attending
275 a practice nurse clinic. There was a higher percentage of patients with an OA diagnosis in
276 those attending the nurse clinic than in those who did not attend the nurse clinic (68% versus

277 40%). Except for physiotherapy referral and X-ray request, those who saw a practice nurse
278 had higher levels of recorded achievement in indicators measured either through the routine
279 records or through the e-template. In particular, 89% of those consulting a practice nurse
280 received written information compared to 24% of those who did not (in those who had at
281 least one entry on the e-template). There were also higher levels of written exercise advice
282 (80% versus 13%) and written weight loss advice (44% versus 10%) (table 3).

283 Table 3 here

284 *Adverse events*

285 An adverse event was recorded in 13% of patients in the intervention arm and 11% in the
286 control arm (table 4). Differences between arms were small and the one significant difference
287 between arms was for heart failure (1.5% intervention arm versus 0.5% control arm). Of note,
288 only two of the 17 patients with heart failure in the intervention arm had been prescribed
289 either paracetamol or an oral NSAID for clinical OA during the trial period.

290 Table 4 here

291 **Discussion**

292 A model OA consultation, informed by NICE recommendations and incorporating an
293 enhanced initial GP consultation, nurse-led OA clinic, and OA Guidebook, compared with
294 usual care, substantially increased uptake of core written non-pharmacological
295 recommendations, though there remained scope for further improvement. The model OA
296 consultation produced higher levels of prescribing of simple analgesia (paracetamol) and
297 physiotherapy referral. There was a reduction in referral for X-ray in the intervention
298 practices (although not statistically significant) and little evidence that the model OA
299 consultation was associated with a higher number of adverse events. However, wide variation

300 in recorded management was identified and evidence of improvement in recorded
301 achievement was not consistent across all indicators.

302 A novelty of our study was use of anonymised practice-level data to study the effect of the
303 intervention on all patients consulting with OA or joint pain. Uptake of recommended NICE
304 management of OA were measured using previously identified quality indicators of OA
305 care³¹ captured via an e-template, and routinely recorded information. To enhance the uptake
306 of NICE OA recommendations we used theory-derived interventions, clinical champions,
307 outreach visits, theory-informed training, funded practice nurse clinics, supply of high quality
308 patient information, and a model OA consultation to deliver evidence-based
309 recommendations. The extent to which each of these approaches contributed independently
310 cannot be determined. The model OA consultation had a strong theoretical underpinning
311 using the WISE model to define self-management and patient information and the Theoretical
312 Domains Framework to develop training to deliver the consultation^{13,16}.

313 Our earlier work had shown that the template was a feasible way for GPs to record care, and
314 that the introduction of the template alone had positive effects on quality care such as
315 prescribing³². Introducing the model OA consultation had no discernible additional influence
316 on the level of recording of items on the e-template beyond baseline. However, despite the
317 limited number of practices in the trial and wide variation across practices, there was an
318 important and statistically significant improvement in a key component of NICE guidance,
319 namely the provision of written information about OA and written advice about exercise and
320 weight control, in the intervention compared with control practices.

321 A strength was introduction of the e-template and familiarisation six months prior to
322 randomisation to capture for the first time information on recommended indicators of quality
323 of care not routinely captured in the EHR. The e-template alone increased the use of topical

324 NSAIDS³² which reduced the likelihood of detecting further increases as a result of the model
325 OA consultation. Use of paracetamol also showed a trend in favour of increased use in the
326 baseline period, however there was a further statistically significant increase in use following
327 implementation of the model OA consultation. The template failed to fire for a small group of
328 patients. Whilst the reason for this is unknown, it is unlikely to have introduced any bias.
329 The baseline level of achievement in various domains pre-randomisation was already high
330 when compared with other published estimates of recorded quality of care^{4,5,7,8}. Levels of
331 achievement of OA quality indicators as measured through the e-template fell generally from
332 baseline levels, possibly due to initiative fatigue in use of the template. On completion of the
333 research however seven of the eight practices chose to continue with the e-template. We also,
334 in a sensitivity analysis, restricted analysis to patients with at least one e-template entry to try
335 and overcome some of the influence of the fall in overall recording. However, the higher
336 baseline levels of quality achievement compared to previous estimates, general fall in
337 recording, and baseline variation between practices and health care professionals limited
338 investigation of the potential effect of the intervention. There was an imbalance in the
339 number of patients between arms due to the inclusion of one much larger practice. We
340 included patients with consultations coded as knee, hip, hand/wrist and foot/ankle pain, as
341 non-specific pain at these sites in older adults is most likely to be underlying OA. Recorded
342 joint pain in other sites which may present as OA (shoulder and elbow) were not included,
343 however these sites made up just 2% of OA diagnosed consultations during the trial period.
344 In the analysis, we clustered patients within clinicians rather than practices. We performed a
345 sensitivity analysis (data not shown) with practice as an extra level in the multilevel models.
346 This showed the majority of variation was at clinician level and did not change the findings.
347 The extent to which the recorded quality of care reflects the actual delivery of care is not
348 known. Given quality of care is necessarily measured across several indicators, the testing of

349 multiple comparisons could not be avoided and increased the possibility that identified
350 differences between arms were due to chance.

351 Only 21% of patients in the intervention arm attended the practice nurse clinics. Referral by
352 the GP and attendance by the patient were optional. Patients with an OA diagnosis were more
353 likely to attend and had increased uptake of core treatments suggesting that making a formal
354 OA diagnosis was linked to management. It is possible those given an OA diagnosis have
355 more severe pain or functional limitation although other work suggests that known risk
356 factors (older age, obesity) are more strongly linked to OA diagnosis than severity²⁰.

357 The provision of OA guidebooks in the intervention arm was captured by the increased
358 uptake of written information on OA. This is an important outcome for the trial given the
359 recent NICE Quality Standards for OA which highlights the importance of providing written
360 information about OA and its management³⁵. Access to weight loss advice and support is
361 recommended in the NICE guidance and is regarded as a care quality indicator³¹. The
362 increased use of written weight loss advice is another strength of the intervention. Previous
363 studies have shown reliance by GPs on pharmacological management of OA³, so the increase
364 in these non-pharmacological core interventions is encouraging. Further work is required to
365 understand the extent to which provision of written information and advice affects patient
366 outcomes. X-ray use declined in the intervention arm which is in line with NICE
367 recommendations.

368 There was an increased incidence of heart failure in the intervention arm. As only two of the
369 17 patients with heart failure had been prescribed paracetamol or oral NSAIDs, it seems
370 unlikely that this is due to a pharmacological effect, and it seems clinically implausible that
371 the noted statistically significant difference is caused by the intervention.

372 In our novel practice-level analysis of anonymised data from all consulters with OA and joint
373 pain, we have shown that a model OA consultation intervention which provides additional
374 resources for a primary care-based OA service, notably a patient guidebook and practice
375 nurse referral clinics, did not lead to improvements on all indicators of quality of OA care.
376 However there was improved achievement of NICE guidance targets for written information
377 and advice, and some small but additional beneficial effects on prescribing and referrals.

378 **Patient and public involvement (PPI)**

379 The Arthritis Research UK Primary Care Centre at Keele University is committed to taking
380 an explicit and systematic approach to involving patients and the public in research. For this
381 trial, a Research Users Group worked in collaboration with researchers on a wide range of
382 tasks including: development and design of the OA guidebook²⁴, developing training for GPs
383 and practice nurses, grant co-applicant and Steering Committee Membership.

384 **Acknowledgements**

385 The authors would like to thank the OA Research Users' Group, NIHR CRN West Midlands,
386 and the network, health informatics, study coordinator, research nurse, and administrative
387 staff at Keele University's Arthritis Research UK Primary Care Centre for all their support
388 and assistance with this study. Particular thanks go to Peter Croft, Pauline Ong, Rhian
389 Hughes, Tracy Whitehurst, Elizabeth Mason, June Handy, Angela Pushpa-Rajah, Ian
390 Thomas, Kanchan Vohora, Deborah D'Cruz, Rebecca Foskett, and Rebecca Rider. We would
391 also like to thank Ebenezer Afolabi, Andrew Finney, Andrew Morden, Martyn Lewis, Gretl
392 McHugh, George Peat, Sarah Ryan, Danielle van der Windt, and Chris Main for their
393 involvement in the study. The authors would like to give special thanks to all of the staff and
394 patients at the participating general practices and the GP facilitators Dr. Marvyn Ryles, Dr.
395 Tayo Shoran and Dr. Beth Hanson, who provided support to the general practices involved in

396 the study. Thanks go to Professor Robbie Foy, Professor Martin Eccles, Professor Susan
397 Michie, Janet Grime and Vince Cooper for their valued thoughts and suggestions on the
398 developmental work for this trial. The authors would also like to thank all members of the
399 Trial Steering and Data Monitoring Committee for their valuable input in study design and
400 conduct. This project was undertaken with the support of Keele Clinical Trials Unit, Keele
401 University, UK

402 **Author Contributions**

403 KPJ was involved in design of the study, wrote the analysis plan, cleaned the data, led the
404 analysis and drafted and revised the paper; JJE was involved in design of the study, led
405 development of the outcome measures, contributed to analysis and drafted and revised the
406 paper; MP led development of the intervention, contributed to design of the study and
407 revised the paper; ELH contributed to development of the intervention and design of the
408 study, and revised the paper; CJ, JB, EMH all contributed to design of the study and revised
409 the paper; KC coordinated the study and revised the paper; KSD is PI for the study and led
410 the design of the study and development of intervention, and drafted and revised the paper.
411 All authors have approved the final version.

412 **Funding**

413 This paper presents independent research funded by the National Institute for Health
414 Research (NIHR) Programme Grant (RP-PG-0407-10386) and the Arthritis Research UK
415 Centre in Primary Care grant (Grant Number 18139). KSD, ELH and CJ are part-funded by
416 the NIHR Collaborations for Leadership in Applied Health Research and Care West
417 Midlands. JJE was supported by an In-Practice Fellowship from the NIHR. KSD is part
418 funded by a Knowledge Mobilisation Research Fellowship (KMRF-2014-03-002) from the
419 NIHR. EMH is an NIHR Senior Investigator. KSD also received a grant from EIT-Health for

420 implementation. The views expressed in this paper are those of the authors and not
421 necessarily those of the NHS, the NIHR or the Department of Health.

422 **Conflicts of interests**

423 KSD was a member of the NICE Osteoarthritis Guidelines Development Group CG 59
424 (2008) and CG 177 (2014) and a member of the NICE Quality Standards Group for
425 Osteoarthritis. KSD has been an invited speaker at Bone and Joint Decade 2015 Conference
426 in Oslo and Osteoarthritis Research Society International.

427 **Trial registration**

428 Trial registration number ISRCTN06984617. Trial registration status on the Register is
429 'retrospective' but recruitment of the first patient into the cluster RCT is clearly recorded on
430 the Register as occurring on 11/05/2012, a date after the registration date of July 2011 (see
431 Registry entry update 11/07/2016).

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434 management of osteoarthritis in adults. National Institute for Health and Care Excellence,
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528

529 **Figure Legend**

530 Figure 1 – Flowchart of practices and patients included in study

Box 1 – Quality indicators of primary care of osteoarthritis

Domain	Quality indicator	Indicator source ^a	Data source	Evidence of achievement	Change signalling care improved ^b
Assessment	Pain assessed	Review	e-template	Recorded level of pain ^c	Increase
	Function assessed	Review	e-template	Recorded level of function ^c	Increase
	BMI measurement/weight record	Review	e-template & routine EHR	Recorded BMI or weight	Increase
	X-ray requested	Guideline	Routine EHR	Recorded X-ray of knee, hip, hand, or foot	Decrease
Core interventions	OA information	Review	e-template	Recorded as verbal or written; or not appropriate ^d	Increase
	Written OA information	Guideline	e-template	Recorded as written	Increase
	Exercise advice	Review	e-template	Recorded as verbal or written; or not necessary or not appropriate ^d	Increase
	Written exercise advice	Guideline	e-template	Recorded as written	Increase
	Weight loss advice ^e	Review	e-template	Recorded as verbal or written; or not appropriate ^d	Increase
	Written weight loss advice ^e	Guideline	e-template	Recorded as written	Increase
Non-Pharmacological interventions	Consideration of physiotherapy referral	Guideline	e-template	Recorded as offered; or not necessary or not appropriate ^d	Increase
	Physiotherapy referral made	Guideline	Routine EHR	Recorded referral to physiotherapy	Increase

Pharmacological interventions	Consideration of paracetamol use	Review	e-template	Recorded as tried, offered, or declined full dose; or not appropriate ^f	Increase
	Paracetamol prescribed	Review	Routine EHR	Recorded prescription	Increase
	Consideration of topical NSAID use	Guideline	e-template	Recorded as tried, offered or declined full dose; or not appropriate ^f	Increase
	Topical NSAID prescribed	Guideline	Routine EHR	Recorded prescription	Increase
	Gastroprotection (PPI use with oral NSAIDs)	Review	Routine EHR	Recorded prescription (if oral NSAID prescribed)	Increase

^a Systematic review³¹ or NICE guideline¹, indicators taken from routine record had to be within 14 days of a clinical OA consultation; ^b compared to control group; ^c none, mild, moderate, severe; ^d Not this time or no entry indicates non-achievement; ^e in those with recorded BMI \geq 25 in previous 3 years; ^f Unknown or no entry indicates non-achievement. NSAID = non-steroidal anti-inflammatory drug; PPI = proton pump inhibitor. Clinicians were asked to record “not appropriate” when they considered a patient not eligible for a process of care.

Table 1 – Comparison between intervention and control arms in recorded quality indicator achievement

Domain		Baseline period		Trial period		OR ^b (95% CI)	ICC ^c
		Intervention <i>n</i> ^a (%)	Control <i>n</i> ^a (%)	Intervention <i>n</i> ^a (%)	Control <i>n</i> ^a (%)		
	No. of consulters ^d	1015 / 981	836 / 749	1118 / 1061	842 / 757		
Assessment	Pain assessment	707 (72)	390 (52)	617 (58)	318 (42)	1.35 (0.58, 3.14)	0.36
	Function assessment	691 (70)	384 (51)	611 (58)	309 (41)	1.15 (0.49, 2.71)	0.35
	Weight record	278 (27)	154 (18)	309 (28)	144 (17)	1.36 (0.80, 2.33)	0.20
	X-ray requested	250 (25)	22 (3)	163 (15)	47 (6)	0.45 (0.12, 1.72)	0.22
Core management	Information given	578 (59)	274 (37)	554 (52)	268 (35)	1.34 (0.61, 2.96)	0.34
	Exercise advice	582 (59)	285 (38)	526 (50)	246 (32)	1.53 (0.75, 3.13)	0.28
	Weight loss advice ^e	325 (53)	159 (34)	341 (49)	136 (31)	1.24 (0.61, 2.52)	0.28
Non-pharmacological management	Physiotherapy referral considered	426 (43)	192 (26)	348 (33)	173 (23)	1.45 (0.61, 3.40)	0.29
	Physiotherapy referral made	90 (9)	35 (4)	111 (10)	19 (2)	5.30 (2.11, 13.34)	0.20
Pharmacological management	Paracetamol considered	625 (64)	349 (47)	554 (52)	284 (38)	1.42 (0.71, 2.85)	0.29
	Paracetamol prescribed	164 (16)	155 (19)	241 (22)	117 (14)	1.74 (1.27, 2.38)	0.03
	Topical NSAID considered	540 (55)	295 (39)	501 (47)	275 (36)	0.97 (0.48, 1.95)	0.28
	Topical NSAID prescribed	267 (26)	194 (23)	327 (29)	186 (22)	1.21 (0.83, 1.76)	0.09
	PPI prescribed ^f	63 (35)	27 (23)	69 (39)	50 (36)	0.92 (0.43, 1.98)	0.14

^a number of patients with record of achievement of indicator; ^b adjusted for age, gender, coded OA or joint pain, practice level of achievement in baseline period and accounting for clustering by clinician, reference is control group; ^c estimated intraclass correlation coefficient based on

adjusted model^d number consulting for clinical OA in trial period and hence with routine record information / number for whom e-template fired; ^e In those recorded as overweight: baseline period intervention $n = 615$, control $n = 470$; trial period intervention $n = 698$, control $n = 439$. ^f on date of NSAID prescription in those prescribed oral NSAIDs: baseline period intervention $n = 181$, control $n = 119$; trial period intervention $n = 176$, control $n = 137$. NSAID = non-steroidal anti-inflammatory drug; PPI = proton pump inhibitor

Table 2 – Recorded achievement of quality indicators based on core NICE written recommendations by trial arm

	Baseline period		Trial period		OR ^a (95% CI)
	Intervention	Control	Intervention	Control	
	<i>n</i> (%)	<i>n</i> (%)	<i>n</i> (%)	<i>n</i> (%)	
All consultants firing e-template					
No. of consultants	981	749	1061	757	
Written information	36 (4)	6 (0.8)	296 (28)	12 (2)	23.60 (7.39, 75.40)
Written exercise advice	38 (4)	8 (1)	232 (22)	7 (0.9)	21.49 (6.62, 69.72)
Written weight loss advice ^b	7 (1)	1 (0.2)	104 (15)	2 (0.5)	27.94 (3.56, 219.17)
Coded with osteoarthritis diagnosis					
No. of consultants	410	178	483	218	
Written information	23 (6)	2 (1)	201 (42)	7 (3)	26.92 (6.33, 114.51)
Written exercise advice	24 (6)	2 (1)	158 (33)	2 (0.9)	40.49 (5.64, 290.56)
Written weight loss advice ^c	7 (3)	1 (0.9)	79 (24)	1 (0.8)	^d

^a adjusted for age, gender, coded OA or joint pain, practice level of achievement in baseline period and accounting for clustering by clinician, reference is control group, ^b In those recorded as overweight: baseline period intervention *n* = 615, control *n* = 470; trial period intervention *n* = 698, control *n* = 439, ^c In those recorded as overweight: baseline period intervention *n* = 272, control *n* = 114; trial period intervention *n* = 335, control *n* = 132; ^d model failed to converge

Table 3 - Recorded quality indicator achievement in those attending nurse clinics and those who did not during trial period – intervention arm only (4 practices)

		Did not attend nurse clinic		Attended nurse clinic
		All	≥1 e-template	
		<i>n</i> ^a (%)	entry <i>n</i> ^a (%)	<i>n</i> ^a (%)
Assessment	No. of consulters ^b	840	416	220
	Pain assessment	398 (47)	398 (96)	218 (99)
	Function assessment	392 (47)	392 (94)	218 (99)
	Weight record	136 (16)	N/A	168 (76)
	X-ray requested	118 (14)	N/A	36 (16)
Core management	Information given	338 (40)	338 (81)	215 (98)
	Written information	100 (12)	100 (24)	195 (89)
	Exercise advice	309 (37)	309 (74)	216 (98)
	Written exercise advice	55 (7)	55 (13)	177 (80)
	Weight loss advice ^c	193 (37)	193 (69)	147 (87)
	Written weight loss advice ^c	29 (5)	29 (10)	75 (44)
Non-pharmacological management	Physiotherapy referral considered	215 (26)	215 (52)	132 (60)
	Physiotherapy referral made	91 (11)	N/A	18 (8)
Pharmacological management	Paracetamol considered	352 (42)	352 (85)	201 (91)
	Paracetamol prescribed	160 (19)	N/A	76 (35)
	Topical NSAID considered	316 (38)	316 (76)	184 (84)
	Topical NSAID prescribed	219 (26)	N/A	94 (43)
	PPI ^d prescribed	54 (38)	N/A	14 (45)

^a Number (%) of patients with record of achievement of indicator, ^b 1 patient excluded as recorded nurse clinic was before start of analysis period; ^c In those recorded as overweight: not attended nurse clinic *n*=528, not attended nurse clinic but at least 1 e-template entry *n*=279, attended nurse clinic *n*=169. ^d In those prescribed NSAID, not attended nurse clinic *n*=142, attended nurse clinic=32. NSAID = non-steroidal anti-inflammatory drug. PPI = proton pump inhibitor. N/A = not applicable as quality achievement assessed using routine records

Table 4 - Comparison between intervention and control arms on adverse events recorded from first consultation for OA or joint pain in trial period to 31st August 2013

		Intervention	Control	<i>p</i> -value ^a
No. of consulters		1118	842	
No. of days of follow-up	Median (IQR)	416 (360, 460)	408 (355, 451)	
Death	<i>n</i> (%)	1 (0.1)	1 (0.1)	0.68
Heart failure	<i>n</i> (%)	17 (1.5)	4 (0.5)	0.03 ^c
New heart failure ^b	<i>n</i> (%)	9 (0.8)	0 (0)	0.006 ^e
Gastrointestinal	<i>n</i> (%)	9 (0.8)	9 (1.1)	0.54
Renal impairment ^b	<i>n</i> (%)	19 (1.7)	11 (1.3)	0.48
Liver impairment / failure	<i>n</i> (%)	0 (0)	0 (0)	-
Hypersensitivity ^c	<i>n</i> (%)	1 (0.1)	2 (0.2)	0.40
Asthma flare ^b	<i>n</i> (%)	19 (1.7)	20 (2.4)	0.29
Renal failure ^d	<i>n</i> (%)	2 (0.2)	0 (0)	0.33
Myocardial infarction	<i>n</i> (%)	2 (0.2)	5 (0.6)	0.13
Stroke	<i>n</i> (%)	14 (1.3)	5 (0.6)	0.14
New stroke ^b	<i>n</i> (%)	8 (0.7)	2 (0.2)	0.12
Fall	<i>n</i> (%)	65 (5.8)	39 (4.6)	0.25
Infection	<i>n</i> (%)	6 (0.5)	1 (0.1)	0.12
Deep vein thrombosis	<i>n</i> (%)	0 (0)	0 (0)	-
Leg amputation	<i>n</i> (%)	0 (0)	1 (0.1)	0.43
Septic arthritis	<i>n</i> (%)	0 (0)	0 (0)	-
Any adverse event	<i>n</i> (%)	146 (13.1)	96 (11.4)	0.27

^a Chi-squared Test or Fisher's Exact Test as appropriate; ^b New cases only, no record in 2 years prior to index date; ^c includes angioedema and new cases of wheeze (no record in 2 years prior to index date); ^d acute renal failure or chronic renal failure with no record of renal failure in 2 years prior to index date; ^e $p < 0.05$. Index date = date of first consultation for OA or joint pain in trial period

Figure 1 – Flowchart of practices and patients included in study

