BJGP OPEN

Exploring patient views of empathic optimistic communication for osteoarthritis in primary care: A qualitative interview study using vignettes

Lyness, Emily; Vennik, Jane; Bishop, Felicity; Misurya, Pranati; Howick, Jeremy; Smith, Kirsten; Ratnapalan, Mohana; Hughes, Stephanie; Dambha-Miller, Hajira; Bostock, Jennifer; Morrison, Leanne; Mallen, Christian; Yardley, Lucy; Leydon, Geraldine; Little, Paul; Everitt, Hazel

DOI: https://doi.org/10.3399/BJGPO.2021.0014

To access the most recent version of this article, please click the DOI URL in the line above.

Received 04 February 2021 Revised 05 March 2021 Accepted 09 March 2021

© 2021 The Author(s). This is an Open Access article distributed under the terms of the Creative Commons Attribution 4.0 License (http://creativecommons.org/licenses/by/4.0/). Published by BJGP Open. For editorial process and policies, see: https://bjgpopen.org/authors/bjgp-open-editorial-process-and-policies

When citing this article please include the DOI provided above.

Author Accepted Manuscript

This is an 'author accepted manuscript': a manuscript that has been accepted for publication in BJGP Open, but which has not yet undergone subediting, typesetting, or correction. Errors discovered and corrected during this process may materially alter the content of this manuscript, and the latest published version (the Version of Record) should be used in preference to any preceding versions

Exploring patient views of empathic optimistic communication for osteoarthritis in primary care: A qualitative interview study using vignettes.

Emily Lyness, MBCHB, General Practitioner Academic Clinical Fellow, ¹

Jane Vennik, PhD, Research Fellow¹

Felicity L Bishop, PhD, Associate Professor in Health Psychology⁵

Pranati Misurya, MSc, Research Assistant¹

Jeremy Howick, PhD, Senior Researcher and Impact Fellow³

Kirsten Smith, PhD, Research Fellow¹

Mohana Ratnapalan, MBBS, General Practitioner Academic Clinical Fellow¹

Stephanie Hughes, MSc, Senior Research Assistant¹

Hajira Dambha-Miller, MRCGP, General Practitioner and Clinical Lecturer¹

Jennifer Bostock, Patient contributor²

Leanne Morrison, PhD, Lecturer in Health Psychology¹

Christian Mallen, FRCGP, Professor of General Practice and Public Health4

Lucy Yardley, PhD, Professor in Health Psychology^{5, 6}

Geraldine Leydon, PhD, Professor of Medical Sociology & Healthcare Interaction¹

Paul Little, FMedSci, Professor of Primary Care¹

Hazel Everitt, FRCGP, Professor of Primary Care Research¹

Affiliations

- 1 University of Southampton, Primary Care Population Sciences and Medical Education, Aldermoor Close, Southampton, SO16 5ST, UK
- 2 N/A: Patient Public Involvement (PPI) contributor
- 3 University of Oxford, Department of Primary Care Health Sciences, Radcliffe Primary Care Building, Radcliffe Observatory Quarter, Woodstock Rd, Oxford, OX2 6GG
- 4 Keele University, David Weatherall Building, Keele, UK, ST5 5BG
- 5 University of Southampton, School of Psychology, Southampton, SO17 1BJ, UK

6 University of Bristol, Office 1D20, The Priory Road Complex, Priory Road, Clifton, BS8 1TU

Corresponding Author:

Emily Lyness

Email: emilylyness@doctors.org.uk

Acknowledgments:

PPI: Jessima Hunter

Transcription support team: Celia Matthews, Lauren Blackstock, Natalia Nadolska, Amber Warren, Lily Tobin, Dominic Pluckrose, Klaudia Zbikowska

Participating GP practices and their patients.

Funding:

The EMPATHICA trial is supported by a National Institute for Health Research (NIHR) School for Primary Care Research grant (project number 389). The Primary Care Department is a member of the NIHR School for Primary Care Research and supported by NIHR Research funds.

HDM is funded through an NIHR Clinical Lectureship. MR is an NIHR School for Primary Care Research funded ACF.

CDM is funded by the National Institute for Health Research (NIHR) Collaborations for Leadership in Applied Health Research and Care West Midlands, the NIHR School for Primary Care Research and an NIHR Research Professorship in General Practice (NIHR-RP-2014-04-026).

Lucy Yardley is an NIHR Senior Investigator and her research programme is partly supported by NIHR Applied Research Collaboration (ARC)-West, NIHR Health Protection Research Unit (HPRU) for Behavioural Science and Evaluation, and the NIHR Southampton Biomedical Research Centre (BRC). The research programme of LY and LM is partly supported by the NIHR Southampton Biomedical Research Centre (BRC).

The views expressed are those of the author(s) and not necessarily those of the NIHR or the Department of Health and social care.

1 Abstract

- 2 Background:
- 3 Osteoarthritis (OA) causes pain and disability. An empathic optimistic consultation approach can
- 4 improve patient quality of life, satisfaction with care, and reduce pain. However, expressing
- 5 empathic optimism may be overlooked in busy primary care consultations and there is limited
- 6 understanding of patients' views about this approach.
- 7 Aim:
- 8 To explore patients' perspectives on clinician communication of empathy and optimism in primary
- 9 care OA consultations.
- 10 Design:
- 11 Vignette study with qualitative semi-structured interviews.
- 12 Setting:
- 13 Purposefully sampled patients (n=33) aged 45+ with hip/knee OA from Wessex GP practices.
- 14 Method:
- 15 Fifteen participants watched two filmed OA consultations with a GP, and eighteen participants read
- 16 two case vignettes. In both formats, one GP depicted an empathic optimistic approach and one GP
- 17 had a 'neutral' approach. Semi-structured interviews were conducted with all participants and
- 18 analysed using thematic analysis.
- 19 Results:
- 20 Patients recognised that empathic communication enhanced interactions, helping to engender a
- 21 sense of trust in their clinician. They felt it was acceptable for GPs to convey optimism only if it was
- 22 realistic, personalised and embedded within an empathic consultation. Discussing patients'
- 23 experiences and views with them, and conveying an accurate understanding of these experiences
- 24 improves the credibility of optimistic messages.
- 25 Conclusion:
- 26 Patients value communication with empathy and optimism, but it requires a fine balance to ensure
- 27 messages remain realistic and trustworthy. Increased use of a realistic optimistic approach within an

- 1 empathic consultation could enhance consultations for OA and other chronic conditions, and
- 2 improve patient outcomes. Digital training to help GPs implement these findings is being developed.

4

13

How this fits

- 5 Previous studies in trial and/or laboratory settings suggest that clinician communication with
- 6 empathy and optimism is likely to improve patient satisfaction, and experience of pain via
- 7 mechanisms underpinning contextual or 'placebo' effects. There is a paucity of evidence about how
- 8 patients feel about this approach, and therefore how it might work in real life primary care
- 9 consultations. This study highlights that a fine balance is necessary to communicate realistic
- 10 empathic optimism so that it is acceptable to patients. Clinicians who adopt this approach have the
- 11 potential to enhance primary care consultations for OA, and other chronic conditions, and improve
- 12 patient outcomes.

Introduction

- 14 Effective communication in primary care is vital to meet the complex needs of patients with chronic
- diseases and engage them with self-management of symptoms¹⁻³. There is a wealth of research into
- 16 consultation styles, contributing to "effective communication", with empathic verbal and non-verbal
- 17 communication shown to have patient benefits including improved satisfaction and reduced pain^{1,4}.
- 18 Showing empathy in the consultation, in combination with communicating optimistic expectations
- 19 about treatment has the potential to improve clinical outcomes via mechanisms underpinning
- 20 contextual or 'placebo' effects^{3,5,6}. By conveying a positive message, clinicians can encourage
- 21 patients to have positive outcome expectancies, i.e. to believe a specific course of action or
- treatment will likely result in successful management of disease^{3,7}.
- 23 Contextual and 'placebo' factors make clinically meaningful contributions across diverse conditions
- 24 including Osteoarthritis (OA) ⁸⁻¹¹. This common and disabling condition affects 1 in 5 adults over 45 in
- the UK, with hip and knee being frequently affected joints^{12,13}. NICE guidance¹² recommends non-
- 26 pharmacological treatments such as exercise and weight loss first line, and these have a similar
- 27 effect size to medications such as non-steroidal anti-inflammatory drugs¹⁴. However, patients often
- 28 seem reluctant to engage with or struggle to undertake these strategies¹⁵. Thus, the need for
- 29 communication approaches within primary care consultations that can better engage patients with
- 30 these treatments^{16,17}. The benefits of empathic and optimistic communication are accepted in the
- 31 literature^{4,18,19} with evidence to show clinician communication style influences patient engagement

- 1 with, and expectations of positive health outcomes, which in turn can lead to improved patient
- 2 outcomes such as reduced pain ^{19,20}.
- 3 Despite the growing evidence base, a combination of empathic and optimistic communication within
- 4 primary care consultations is a relatively novel focus, and may not be currently prioritised in real life
- 5 busy consultations²¹. Much of the evidence on optimistic communication has been conducted in trial
- 6 and/or laboratory settings without nested qualitative work^{3,7,19}, thus information is lacking on
- 7 patients' perspectives on the use of empathy and optimism in everyday clinical practice.

9

Aims

- 10 This study explores patients' understanding and perspectives on empathic and optimistic
- 11 communication in primary care consultations for OA, using a vignette approach to help elicit
- 12 patients' views.

13

14

16

Methods

15 Research reported using the Standards for Reporting Qualitative Research framework²².

Setting and participants

- 17 Patients, aged over 45 (NICE¹² definition) with a diagnosis of hip or knee OA were recruited from
- three Wessex Clinical Research Network GP practices representing a range of demographic
- 19 regions, urban and rural (Supplementary Table 1). Patients were identified through electronic
- 20 medical records search for coded diagnosis including OA of the hip, knee, lower limbs and 'non-
- 21 otherwise specified'. Patients who lacked capacity or did not speak English were excluded, along
- 22 with those with a visual impairment preventing them accessing vignette materials. All potential
- participants were eligibility screened by a practice GP, and sent invitation letters and patient
- 24 information sheets. Interested patients returned a demographics questionnaire to the research
- 25 team. Participants were purposefully sampled to ensure a range of demographics (age, ethnicity,
- 26 gender, duration of OA symptoms, history of joint replacement surgery), educational attainment
- 27 and deprivation index (Supplementary Box 1). Semi-structured interviews were conducted face-
- 28 to-face at the participant's home, or GP surgery, allowing a comfortable, familiar environment.
- 29 Participants provided written informed consent prior to the start of the interview.

Study Design

1

- 2 A qualitative interview study was conducted using fictional vignettes to selectively stimulate
- 3 conversations about empathic and optimistic communication in GP consultations. One group of
- 4 participants (n=15) viewed two short films of OA consultations with actors (Supplementary Box 1).
- 5 The other group of participants (n=18) read two written vignettes describing OA consultations. One
- 6 film and one written vignette depicted an empathic, optimistic approach ("Dr Jones"); one film and
- 7 one written vignette depicted a neutral approach ("Dr Smith") (Supplementary Box 2). The Vignette
- 8 approach allowed standardised examples of an OA consultation to demonstrate these two
- 9 communication approaches. Filmed examples helped demonstrate and elicit responses on both
- 10 verbal and non-verbal communication behaviours under investigation. However, visual and auditory
- aspects of films can be distracting and lack relatability if elements differ from patients' experiences.
- 12 Participants' reflections on written vignettes removed this issue and allowed participants to put
- themselves into the position of the patient, facilitating their interpretation of the fictional scenarios
- 14 23-26
- 15 Current literature on communication in primary care OA consultations was used to design the
- structure and content of the vignettes. The optimistic consultation script was informed by Howick et
- 17 al²⁷ which reported an analysis of positive message components used in 22 randomised trials of
- interventions seeking to optimise patients' expectations. Neutral alternatives to these components
- 19 were devised by the research team of clinicians and health psychologists, and were included in the
- 20 control consultation to provide comparison. A panel of experts, including GPs and PPI
- 21 representatives co-developed and agreed both vignettes to aid external validity^{25,28}.

22 Data collection

- 23 JV, an experienced qualitative researcher, conducted semi-structured interviews, with participants
- 24 after watching the filmed consultations. An academic GP (EL) interviewed participants who had read
- 25 the written vignettes. All participants were unknown to the interviewers.
- 26 The research team, including PPI representatives, developed two topic guides (Supplementary Boxes
- 27 3&4) with similar broad questions to guide discussion around the vignettes. Topic guides and
- 28 vignettes were piloted with PPI representatives prior to implementation to ensure both were
- 29 understandable by patients. The topic guides developed iteratively as interviews progressed,
- 30 informed by field notes, and team discussion. This ensured assumptions or possible influences were
- 31 identified and reflected on. Author contributions are detailed below.
- 32 Sampling continued until the research team determined no further themes could be identified from
- 33 the data and that further interviews were unlikely to elicit new insights that would alter main

- themes²⁸⁻³⁰. Patients who met inclusion criteria but were not selected (n=9) were thanked for their
- 2 interest. All interviews were audio-recorded (30-60mins), transcribed verbatim, and anonymised.

3 Analysis

- 4 A reflexive thematic analysis provided a flexible yet rich and detailed account of the data²⁸⁻³⁰. The six
- 5 phases of thematic analysis, as outlined by Braun&Clarke²⁸, were applied starting with familiarisation
- 6 of the transcripts prior to coding in NVivo (v11) software to allow iterative development of the
- 7 coding manual. Interviews focussed on the filmed and written vignettes were coded separately,
- 8 grounding the search for patterns within the individual data sets (Supplementary Table 2). Further
- 9 synthesis was achieved through mapping these codes and creating sub-themes that allowed an
- 10 interpretation that draws together the complementary and contrasting patterns in the data
- 11 (Supplementary Table 3). Final themes were agreed and defined in discussion with the team,
- 12 including clinicians, PPI, and specialists in communication and placebo research. A summary of the
- 13 findings were sent to interested participants. Themes were compared by participant age, gender and
- OA joint affected but no patterns were found (Supplementary Table 1).

15 Results

16 Summary of themes

- 17 Three broad themes were developed, capturing patients' views on the role of empathy and
- optimism in the primary care consultation. Theme 1 "empathy engenders trust" describes the way
- 19 clinician verbal and non-verbal empathic communication influences patients' sense of trust, and is
- 20 instrumental in establishing a consultation that patients are more likely to be satisfied with. Theme 2
- 21 "optimism engages patients" presents participants' views on optimistic components of
- 22 communication and how this affects patients' expectations about their health outcomes. Theme 3
- 23 "realistic optimism" highlights the fine balance necessary to convey empathic optimism in a realistic
- 24 way that enhances the believability of clinicians' health messages.

25 Theme 1 –Empathy engenders trust

- 26 Conveying empathy engenders a sense of trust in the clinician and enhances primary care
- 27 consultations.
- 28 Participants drew on their experience of healthcare interactions to describe key aspects of
- 29 professionalism that they feel would help them trust their primary care clinician. These included the
- 30 clinician appearing knowledgeable, empathic, kind and respectful. Those viewing the filmed

2 the neutral vignette. 3 She was friendly, respectful and didn't make you feel as though she was 4 somebody up on a pedestal...you felt you could talk to her (P5, filmed vignette, 5 about the empathic optimistic GP) 6 Participants identified both verbal and non-verbal components of the communication style depicted 7 in the vignettes that would contribute to their satisfaction with the consultation. They picked out 8 specific aspects of the GP's attitude and demeanour in the written and filmed vignettes such as 9 showing respect, patience, and kindness. Well she was clearly welcoming right from the start to make him feel comfortable 10 and she was attentive, made good eye contact and her body language showed 11 that she wasn't, you know, defensive. (P14, filmed vignette, about the empathic 12 13 optimistic GP) 14 You're going to come out of there thinking, I've been listened to intently, I've been 15 given some solid advice from somebody who obviously knows what they're 16 talking about because their whole body structure, the way they spoke, the way 17 they acted says that, and you're going to believe it. (P18, written vignette, about the empathic optimistic GP) 18 19 Participants described how they recognise when a clinician is actively listening to them through 20 being attentive. Participants watching the filmed vignettes commented on gestures such as the GP 21 facing the patient, leaning forward and maintaining eye contact whilst the patient spoke. In 22 comparing these actions to those exhibited by the neutral GP, participants felt these actions would 23 help to demonstrate that a clinician is interested in them as an individual. She was obviously showing concern for the patient, she was leaning forward in 24 25 the chair to sort of interact with him...she was really listening, that's what came 26 across. (P12, filmed vignette, about the empathic optimistic GP) 27 Whilst they might prefer a personal history with a clinician, participants recognise that this 28 continuity might not be possible in current general practice. Instead, they felt that demonstrating a 29 holistic knowledge of patients through reference to their medical notes, or engaging in discussion 30 about lifestyle, hobbies and goals would help personalise the consultation.

vignettes reflected on how they might feel if they were the patient in the example comparing this to

I think they need to find out and build in your own health and history... there 1 2 could be things that are linked to your personal history that will have a bearing on 3 the osteoarthritis and your lifestyle...and that has to be taken into account. (P17, 4 filmed vignette, about their own experience) 5 "I would say that there tends to be an inconsistency of who you see... you tend to have to, more or less say the same thing to different people but I think once it's 6 7 there [in the notes] then they can access it" (P12, written vignette, about their 8 own experience) The GP in the empathic optimistic vignettes used approaches such as summarising concerns, and 9 acknowledging individual frustrations, which are absent from the neutral comparison. Participants 10 11 highlighted that this demonstrates that a clinician understands patient perspectives, appreciates their concerns, and is genuinely interested in helping them. Participants identified that this 12 13 validation makes them feel more engaged with their health problem, and therefore more likely to 14 participate in the proposed management plan. 15 "To be honest, if I was to see Dr Smith [neutral GP] I would come out maybe 16 feeling a little bit disappointed, this one [Dr Jones empathic optimistic GP] I would come out thinking yeah this is it. I would be feeling good mentally... knowing that 17 okay... I've got all of these exercises to do, I'm going to do this then we'll see what 18 19 it's like after two months... then fine and if not, there's another one or two options". (P18, written vignette) 20 21 "It makes you feel more comfortable with them, if they treat you the way Dr 22 Jones [empathic optimistic GP] did, in my opinion you'll leave the surgery feeling 23 happier with yourself because he just seemed to be more concerned about you 24 compared to Dr Smith [neutral GP]". (P4, written vignette) Theme 2 - Optimism engages patients. 25 Including optimistic components in the consultation supports patients to feel more positive 26 about their health and engages them with the healthcare message. 27 28 When discussing components of the communication demonstrated in the vignettes, participants 29 expressed preference for hearing an individualised explanation for their symptoms, and a rationale 30 for how the proposed management plan would benefit them. Whilst they recognised some patients 31 might be overwhelmed with in-depth descriptions, having an opportunity to request an explanation 32 allowed them to feel empowered to manage their own symptoms. Participants felt that this engaged

2 consultation. 3 It would make me feel more confident in the actual medication and what it's 4 supposed to do. The more information I think you can be given about [the 5 benefits of] medicine the better. I know some people say "don't over overload me 6 with science", I feel the more information you can take on board, it makes you 7 understand what's happening to your body. (P1, written vignette, about the 8 empathic optimistic GP) 9 Participants identified that positively reinforcing the message is valuable to consolidate information. 10 They felt this confirmed the trustworthiness of the message about outcomes and could help to 11 frame the process of self-management in an optimistic manner. When the empathic optimistic GP 12 referenced their own experience, or the experience of similar patients, participants felt reassured to 13 hear a similar self-management story with a successful outcome. They felt this would also give them 14 confidence that the clinician is qualified to treat their condition. However, some highlighted the importance of the individualisation of care, and they wanted to know that advice and treatment 15 16 suitable for another patient is relevant and appropriate for them. 17 Yes, it's great - she's done it for other people...virtually the exact same thing...this is very manageable, done it before; these are the good outcomes...most people go 18 19 on and live a lovely life. Actually, it's exactly that type of thing that is very 20 reassuring when you have no idea what you're looking at. (P1, filmed vignette, 21 about the empathic optimistic GP). 22 Participants recognised the value of clinicians keeping up to date with current evidence, and 23 referencing guidelines helped to positively reinforce the believability of the healthcare message. 24 However, some felt strongly that evidence needs to be personalised to individuals' situations to 25 make it appear less generic. 26 You're making the same recommendation to me and the other person, right? But 27 you're also saying our evidence shows if you do this, then this is the benefit...in reality you're not too interested who the other people are, but it's the evidence 28 29 that says, we know if you do this there's the improvement for you. (P14, written 30 vignette, about the empathic optimistic GP) 31 Participants noticed the optimistic framing of the management options and expected outcomes in 32 the empathic optimistic vignettes. Most agreed that the use of positive and clear language to convey

them and facilitated the delivery of messages about positive outcome expectations throughout the

2 suggested treatments. 3 The way that she was presenting what she wanted him to do was very positive, 4 this was what he had to do, and results would happen. I think that she was 5 positive on that for trying to encourage him to do what she wanted to get the 6 outcome he wanted. (P10, filmed vignette, about the empathic optimistic GP) The neutral vignettes contained commonly heard terminology such as 'wear and tear' or 7 8 associations of OA with 'old age'. Participants reflected on this similarity with their own experience 9 and that this painted a particularly negative outlook. They therefore welcomed the use of 10 purposefully optimistic language when talking about OA. Participants also felt that positive language 11 might directly influence patient wellbeing, making them feel happier and less anxious about their 12 health. Participants felt this was particularly useful towards the end of the consultation as it 13 influenced how they felt when they left the room. If you're feeling happier then you can deal with your pain better than if you come 14 out feeling like "oh, they don't really care", so I think you'll come away and sort of 15 16 feel more on top of the world. I think frame of mind can help it, can make you feel 17 better. (P3, written vignette, about the empathic optimistic GP) 18 Many participants were, however, cautious about the use of purposefully optimistic language in certain healthcare contexts including, for example cancer. Despite an empathic approach to 19 20 conveying this optimism, some participants were concerned that optimistic messages in some 21 circumstances may not always be realistic and could be perceived to be disingenuous. 22 I would probably be more doubtful even if you used [positive] phrases like that...it 23 might be part of a dismissive procedure...I could see that they can be used in two 24 different manners...as a 'cudgel' or as an encouragement. (P4, written vignette, 25 about the empathic optimistic GP) 26 Participants were keen to share how their previous experience of living with OA and its management 27 has shaped their understanding and perspectives on their health. Scepticism around the optimistic 28 approach was identified when messages were seen as potentially lacking credibility, particularly if it 29 conflicts with a patient's well-established understanding of their condition.

the likelihood of symptoms improving also helped motivate patients to feel more willing to try

Well I wouldn't be too much in his favour...[Dr Jones] is saying yeh it will make 1 2 you better, but you think - well will it? It's been so long I've been having the problems and he is saying "well all this will make you better", but nobody else has 3 told me that before. (P5, written vignette, about the empathic optimistic GP) Theme 3 - Realistic optimism 5 6 Achieving the balance of realistic optimism enhances the believability of the clinician 7 recommendation. Participants recognised that individual patients have different ideas and expectations about how OA 8 9 symptoms should be managed. They agreed that aligning an optimistic healthcare message with 10 patients' perspectives would be important to avoid this potential conflict. This would also reassure 11 them about any misunderstanding and facilitate communication of a message they are more likely to 12 believe. When he made a point as to what he was doing, she responded to that and 13 14 expanded on it...that he wanted to walk the dog. She picked up on that and said "as regards walking the dog, that's a good thing, exercise is good". (P2, filmed 15 16 vignette, about the empathic optimistic GP) 17 The GP in the empathic optimistic vignette portrayed getting to know and connect with patients, and 18 subsequently giving specific instructions for an individualised self-management plan. Participants felt 19 this helped the optimistic message feel more realistic and the suggested outcomes more achievable. 20 She was listening to what he was saying. She showed, by repeating some of it back that she'd taken on board what he was saying. I think that was 21 22 good...because he was already exercising a bit, and that was one thing that she 23 was encouraging him...that what he already did is good, and that even the 24 walking the dog, that is good for you. (P4, filmed vignette, about the empathic 25 optimistic GP) 26 I liked the way that she gave him a timeframe, 6-8 weeks, so he's not expecting a 27 quick fix within the next 2. She's made him understand or I feel she's made the 28 point of trying to make him understand that it's not going to be immediate, but it 29 will take time and the exercises; the leg will grow stronger if you do them. (P4, 30 filmed vignette, about the empathic optimistic GP) 31 Participants highlighted the value of providing a specific timeframe for follow-up as the GP in the

empathic optimistic vignette did. They thought this would reinforce the accountability of patients to

- 1 engage with the management plan and felt that the clinician was giving them permission to manage
- their own symptoms. This respected their autonomy, but with the clinician still involved, and
- 3 invested in the outcome. It also acted as a safety net that they would be seen again if necessary.
- 4 Participants found this reassuring and considered it an effective way of making the plan feel realistic
- 5 for them to achieve.

8

9

10

11

28

I'd probably come out feeling somewhat refreshed....I feel good about this! It's alleviated a few fears...yeah, I would come out feeling quite buoyant knowing that okay I'm going to get on and do these exercises....and see what the score is.(P18, written vignette, about the empathic optimistic GP)

Discussion

Summary

- 12 This study addressed the paucity of qualitative evidence about patients' perspectives on the use of
- empathy and optimism in consultations³¹. Our analysis identified three key themes that may guide
- 14 clinicians to successfully apply an empathic optimistic approach in primary care consultations in a
- way that is acceptable to patients: Empathy engenders trust; Optimism engages patients, and
- 16 Realistic optimism.
- 17 Clinicians need to consider the impact of verbal and non-verbal communication behaviours on the
- 18 credibility of communicated healthcare messages about expectations. Engendering trust is key to
- 19 facilitate the communication of optimistic healthcare messages and to thus encourage patient
- 20 engagement with self-management. Patients welcome framing information about OA in a positive
- 21 way with a personalised explanation that is backed-up with evidence. Using purposefully positive
- 22 language in the consultation can be acceptable; however, it must be realistic and relevant to avoid
- 23 conflict with previously held beliefs about health. Time constraints and managing multiple health
- 24 problems could make this approach tricky to achieve in real life consultations. An approach
- 25 incorporating both empathy and optimism requires a fine balance but can facilitate the
- 26 communication of healthcare messages that patients believe and are likely to respond to by
- 27 engaging in their long-term symptom management.

Strengths and Limitations

- 29 A rigorous qualitative approach has allowed the collection of in-depth data from patients who have
- 30 experience living with OA, and consulting about management^{28,30}. The use of vignette case studies to
- 31 stimulate discussion in the interviews enabled researchers to tackle the complexity of the concept

- 1 from two angles. Asking participants to view consultations allowed them to imagine themselves in
- 2 the position of the patient in the film and reflect on the non-verbal behaviours they witnessed.
- 3 Reading the written consultations encouraged participants to share their own experience in
- 4 comparison to the patient in the vignette^{24,25,30,32}. These approaches were thus mutually
- 5 complementary, and have provided a breadth of data, not only on individual perspectives, but also
- 6 the contexts in which these experiences are situated^{25,33}. This enhances the trustworthiness of the
- 7 data collected. However, the use of vignettes required patients to imagine themselves in the
- 8 described situations; this is not the same as patients actually experiencing empathic optimistic
- 9 communication. Future work could involve clinicians implementing this approach, to observe and
- 10 analyse how this functions, and elicit patients' views after they have personally experienced it.
- 11 Purposive sampling allowed recruitment of participants with varied demographic characteristics,
- 12 including levels of educational attainment and from areas with different deprivation levels as a proxy
- of socioeconomic status³⁰. Health literacy measures were not collected. It is possible (but there is no
- 14 strong evidence for it ^{24,32}) that socioeconomic status and health literacy levels will influence
- communication preference; however we found consistent themes across participants. Patient
- 16 ethnicity may influence likelihood of trusting a clinician³², unfortunately, our sample did not include
- 17 participants from diverse ethnic backgrounds. Despite the heterogeneity of educational attainment
- 18 of this sample, the complexities of a clinician's communication style was a challenging concept for
- 19 some participants. Due to the iterative design of the topic guide we were able to adjust the level of
- 20 enquiry to maximise participant understanding. The multi-disciplinary research team, including PPI
- 21 input into the design and analysis enhanced the rigour of our work and enhances the credibility of
- 22 our findings.

23 Comparison with existing literature

- 24 Trial and laboratory studies have identified that clinician conveyed optimism influences patient
- 25 expectation^{3,8,19}. Our data agrees with this but highlights the possible pitfalls of this approach in real-
- 26 life contexts.
- 27 Participants in this study felt that optimistic communication behaviours are likely to be better
- 28 received if they are conveyed in a way that acknowledges and validates patients' experiences. These
- 29 findings triangulate with data from observational studies about the impact of empathic
- 30 communication in the consultation^{34,35}. This work also highlights the importance of demonstrating
- 31 an interest in the patient's life^{34,35} and aligns with literature recommending patients be seen as
- 32 experts in their own health³⁶.
- 33 Clinician awareness is required throughout the consultation to remain alert to the patient's
- 34 perspective, which may differ from that of the clinician. It is recognised that clinicians and patients

- 1 often have different yet similarly rational perspectives on the same subject, which can create a
- 2 barrier to effective consultations, particularly in chronic conditions^{15,37,38}. Getting to know patients in
- 3 a holistic way can ensure recommendations about management are realistic and designed to take
- 4 into account the needs of the individual. However, participants acknowledged that this can be
- 5 difficult to achieve in time pressured consultations²¹. Sensitivity to the patient's perspective confirms
- 6 to them that they have been listened to and can make them feel more engaged in the
- 7 consultation^{37,38}.
- 8 Our research also highlights the importance of avoiding the communication of unrealistic optimism
- 9 and the risk of invoking the nocebo effect, a phenomenon during an encounter that can do harm as
- 10 well as good^{9,38}. Participants highlighted that unrealistic optimism could disengage them from self-
- 11 management of symptoms. This potential pitfall chimes with the findings of a recent review³⁹ into
- 12 the role of placebo in pain management. This identified an alignment in belief and desire for
- 13 treatment outcomes between patient and clinician as a key factor for successful enhancement of
- 14 contextual or 'placebo' responses. Conveying optimism is therefore only likely to optimise outcomes
- if the consultation is tailored to the individual perspectives of the patient, and they believe and trust
- 16 the clinician.

17 Implications for research and/or practice

- 18 This is the first qualitative attempt to identify patients' perspectives on the combined use of
- 19 empathy and optimism to enhance engagement and patient expectations about OA outcomes in
- 20 primary care. This study highlights the importance to patients of clinicians employing empathetic
- 21 communication to engender trust, therefore laying the groundwork for the use of realistic optimism
- in the consultation. Patients felt that increased use of this approach had the potential to enhance
- 23 primary care consultations for OA, and other chronic conditions, by improving patient engagement
- 24 with treatment and expectations about health outcomes. These findings are unlikely to be unique to
- 25 OA or primary care, and patients' views should be sought in different contexts (i.e. other diseases in
- other healthcare settings) and with other patient groups (i.e. ethnic minorities, younger age groups).
- 27 This communication approach should be incorporated into communication skills training for GP
- 28 registrars and as continuing professional development opportunities for practicing GPs wishing to
- 29 enhance their consultation style. This study has informed the development of the Empathica
- 30 communication tool for this purpose, which is currently undergoing feasibility testing in a NIHR SPCR
- 31 funded study
- 32 (https://www.southampton.ac.uk/medicine/academic_units/projects/empathica.page).

Conclusions

- 2 Patients value communication with empathy and optimism, but this requires a fine balance to
- 3 ensure messages remain both realistic and trustworthy. Increased use of a realistic optimism within
- 4 an empathic consultation could enhance consultations for OA and other chronic conditions, and
- 5 improve patient outcomes.

6

8 9

11

12

17 18

19

27

28

29

32

33

39

40

41

1

7 Ethical approval

• This study was approved by West Midlands - South Birmingham REC (19/WM/0027) on 15.1.19 and by the HRA on 15.1.19.

10 CRediT Taxonomy of author contribution

- EL, JV, FB, PL, HE, CM, LM, LY, JH provided input into conceptualisation and funding acquisition for this project.
- EL, JV, FB, PL, HE, JH and JB provided input into the methodology development.
- EL, JV, PM, FB, KS, MR, SH, HE were involved in the resource development and data curation along with PPI representative JB, with EL, JV, PM, FB, HE, JH, GL responsible for formal analysis.
 - Investigation was conducted predominantly by EL and JV and project administration, software support were supported by KS and SH.
 - Supervision and validation was provided by JV, FB, JH and HE.
- This work has been prepared for presentation by EL, JV and KS.
- The original draft was written by EL and JV, and review and editing support provided by FB,
 PM, JH, KS, MR, SH, HDM, JB, LM, CM, LY, GL, PL and HE.

23 References

- 24 1. Mercer SW, Reynolds WJ. Empathy and quality of care. Br J Gen Pract 2002;52:S9-S12.
- 2. Beck RS, Daughtridge R, Sloane P. Physician-Patient Communication in the Primary Care Office: A Systematic
 Review. J Am *Board Fam Med* 2001;15:25–38.
 - 3. Verheul W, Sanders A, Bensing J. The effects of physicians' affect-oriented communication style and raising expectations on analogue patients' anxiety, affect and expectancies. *Patient Educ Couns* 2010;80(3):300-6.
- 4. Derksen F, Bensing J, Lagro-Janssen A. Effectiveness of empathy in general practice: a systematic review. *Br J Gen Pract* 2013;63(606):e76-84.
 - 5. Kaptchuk TJ, Kelley JM, Conboy LA, et al. Components of placebo effect: randomised controlled trial in patients with irritable bowel syndrome. *BMJ* 2008;336(7651):999-1003.
- 34 6. Abhishek A, Doherty M. Mechanisms of the placebo response in pain in osteoarthritis. *Osteoarth Cartil* 35 2013;21(9):1229-35.
- 7. Crow R, Gage H, Hampson, et al. The role of expectancies in the placebo effect and their use in the delivery
 of health care: a systematic review. *Health Technol Assess* 1999;3(3).
 Zhang W, Robertson J, Jones AC, et al. The placebo effect and its determinants in osteoarthritis: meta-
 - 8. Zhang W, Robertson J, Jones AC, et al. The placebo effect and its determinants in osteoarthritis: metaanalysis of randomised controlled trials. *Ann Rheum Dis* 2008;67(12):1716-23.
 - 9. Dieppe P, Goldingay S, Greville-Harris M. The power and value of placebo and nocebo in painful osteoarthritis. *Osteoarth Cartil* 2016;24(11):1850-57.

- 1 10. Doherty M, Dieppe P. The "placebo" response in osteoarthritis and its implications for clinical practice. 2 Osteoarth Cartil 2009;17(10):1255-62.
 - 11. Hsiao-Wei Lo G, Balasubramanyam AS, Barbo A, et al. Link Between Positive Clinician-Conveyed Expectations of Treatment Effect and Pain Reduction in Knee Osteoarthritis, Mediated by Patient Self-Efficacy. Arthritis Care Res (Hoboken) 2016;68(7):952-7.
 - 12. NICE. Osteoarthritis NICE quality standard. QS87 [internet] [cited 2019] Available from www.nice.org.uk/guidance/qs87; 2015.

4

5

6

7

8

9

10

11

12

13

14 15

16

17

18

19

20

21

22

23

24

25

26

27

28

29

30

31

32

33

34 35

36

37

39

43

44

45

46

47

48

49

50

51

52

53

54

55

- 13. Jordan KP, Kadam UT, Hayward R, et al. Annual consultation prevalence of regional musculoskeletal problems in primary care: an observational study. BMC Musculoskelet Disord 2010;11(144):144.
- 14. Jordan KM, Arden NK, Doherty M, et al. EULAR Recommendations 2003: an evidence based approach to the management of knee osteoarthritis: Report of a Task Force of the Standing Committee for International Clinical Studies Including Therapeutic Trials (ESCISIT). Ann Rheum Dis 2003;62(12):1145-
- 15. Paskins Z, Sanders T, Hassell AB. Comparison of patient experiences of the osteoarthritis consultation with GP attitudes and beliefs to OA: a narrative review. BMC Fam Pract 2014;15(46):46.
- 16. Alami S, Boutron I, Desjeux D, et al. Patients' and practitioners' views of knee osteoarthritis and its management: a qualitative interview study. PLoS One 2011;6(5):e19634.
- 17. Barker KL, Reid M, Minns Lowe CJ. What does the language we use about arthritis mean to people who have osteoarthritis? A qualitative study. Disabil Rehabil 2014;36(5):367-72.
- 18. Street RL, Jr., Makoul G, Arora NK, et al. How does communication heal? Pathways linking clinician-patient communication to health outcomes. Patient Educ Couns 2009;74(3):295-301.
- 19. Howick J, Moscrop A, Mebius A, et al. Effects of empathic and positive communication in healthcare consultations: a systematic review and meta-analysis. J R Soc Med 2018;111(7):240-52.
- 20. Peerdeman KJ, van Laarhoven AI, Keij SM, et al. Relieving patients' pain with expectation interventions: a meta-analysis. J Pain 2016;157(6):1179-91.
- 21. Hughes S, Vennik J, Smith KA, et al. Clinician views on optimism and empathy in primary care consultations. Under review for Med Educ 2020.
- 22. O'Brien BC, Harris IB, Beckman TJ, et al. Standards for reporting qualitative research: a synthesis of recommendations. Acad Med 2014;89(9):1245-51.
- 23. Roberts C, Aruguete M. Task and socioemotional behaviors of physicians: a test of reciprocity and social interaction theories in analogue physician±patient encounters. Soc Sci Med 2000;50:309 - 15
- 24. van Vliet LM, van der Wall E, Albada A, et al. The validity of using analogue patients in practitioner-patient communication research: systematic review and meta-analysis. J Gen Intern Med 2012;27(11):1528-
- 25. Finch J. Research note The Vignettes Techniques in Survey Research. J Sociol 1987;21(1):105-14.
- 26. Hillen MA, de Haes H, Stalpers LJA, et al. How can communication by oncologists enhance patients' trust? An experimental study. Ann Oncol 2014;25(4):896-901.
- 38 27. Howick J, Lyness E, Albury C, et al. Anatomy of Positive Messages. Eur J Pers Cent Healthc 2020;7(4):656-64.
 - 28. Braun V, Clarke V. Using thematic analysis in psychology. Qual Res Psychol 2006;3(2):77-101.
- 40 29. Braun V, Clarke V. One size fits all? What counts as quality practice in (reflexive) thematic analysis? Qual 41 Res Psychol 2020:1-25. 42
 - 30. Fitzpatrick R, Boulton M. Qualitative methods for assessing health care. Qual Health Care 1994;3(2):107-13.
 - 31. Howick J, Steinkopf L, Ulyte A, et al. How empathic is your healthcare practitioner? A systematic review and meta-analysis of patient surveys. BMC Med Educ 2017;17(1):136.
 - 32. Hillen MA, de Haes HC, Smets EM. Cancer patients' trust in their physician-a review. Psycho-Oncol 2011;20(3):227-41.
 - 33. Kandemir A, Budd R. Using Vignettes to Explore Reality and Values With Young People. Qual Soc Res 2018;19(2).
 - 34. Little P, Everitt H, Williamson I, et al. Observational study of effect of patient centredness and positive approach on outcomes of general practice consultations. Br Med J 2001;323(7318):908-11.
 - 35. Little P, White P, Kelly J, et al. Randomised controlled trial of a brief intervention targeting predominantly non-verbal communication in general practice consultations. Br J Gen Pract 2015;65(635):e351-6.
 - 36. Tuckett D, Boulton M, Olson C, Williams A. Meetings Between Experts: An Approach to Sharing Ideas in Medical Consultations,. Tavistock, London: Routledge 1985.
 - 37. Lambert BL, Butin DN, Moran D, et al. Arthritis care: comparison of physicians' and patients' views. Semin Arthritis Rheum 2000;30(2):100-10.

39. Colloca L. The Placebo Effect in Pain Therapies. Annu Rev Pharmacol Toxicol 2019;59:191-211.

1 2

3