#### Ther Adv Musculoskel Dis

2021, Vol. 13: 1–17 DOI: 10.1177/ 1759720X21996953

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# for intra-articular knee injections for conservative management of osteoarthritis

Mesenchymal stromal cell products

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Abstract: Sports injuries and secondary joint problems, mainly of the knee, are common, especially in sports associated with high impact activities and/or torsional loading. The consequences can be career ending in elite athletes and reduce exercise activities in recreational people. Various cell products can be injected intra-articularly. First, fresh cellular mixtures can be prepared and injected in the same day, such as stromal vascular fraction of adipose tissue (SVF) and bone marrow concentrates (BMCs). Second, autologous mesenchymal stromal cells (MSCs) can be isolated from BMCs or SVF and, after several weeks of laboratory expansion, several millions of MSCs can be obtained for intra-articular injection. Finally, allogeneic MSCs from the bone marrow, adipose tissue or perinatal tissues of selected donors constitute an 'off-the-shelf' experimental treatment for injection delivery in patients with osteoarthritis of the knee. The perceived efficacy of all these products is based on the hypothesis of a paracrine mechanism of action: when living cells are delivered within the joint, they establish a molecular cross-talk with immune cells and local cell phenotypes, thereby modulating inflammation with subsequent modifications in the catabolic/degenerative milieu. Current clinical research examines whether injection delivery of MSCs translates into actual clinical benefits. Overall, clinical studies lack the quality needed to answer major research questions, including clinical and structural efficacy, optimal cell dose, and number of injections and specific protocol for cell delivery. Poor experimental designs are exacerbated by the diversity of patient phenotypes that hinder comparisons between treatments. Further understanding of disease pathology is paramount to develop potent function assays and understand whether the host tissue, the cell product or both should be primed before MSCs are injected intra-articularly.

*Keywords:* intra-articular injections, knee osteoarthritis, mesenchymal stromal cells, regenerative medicine, sports

Received: 21 January 2021; revised manuscript accepted: 1 February 2021.

#### Introduction

Sports injuries of the knee and secondary joint problems are common, especially in sports associated with high impact activities and/or torsional loading, such as skiing and field-based sports, including soccer, football and basketball among others.<sup>1</sup> One of the most popular sports in the world, soccer, shows an alarming trend of knee injuries, mainly anterior cruciate ligament (ACL) tears often associated with meniscal injuries and secondary cartilage damage, leading to a deterioration of sports performance. Moreover, continuation of sporting activities accelerates the onset and progression of osteoarthritis (OA).<sup>2</sup> It is therefore not surprising that, because of the subsequent progressive joint deterioration, the incidence of OA is about 50% 10–20 years after an ACL injury.<sup>3</sup> The careers of elite athletes could be ended prematurely, and therefore they often undergo advanced regenerative therapies, that is, cutting-edge stem cell therapies, which may not be available to other individuals.<sup>4</sup>

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Several modalities of cell treatments are under investigation in the context of knee conditions and regenerative medicine.<sup>5</sup> Broadly speaking, these modalities fit into two different hypotheses regarding their mechanism of action, are indicated for different degrees of joint deterioration, and involve different therapeutic protocols: firstly, cells can be transplanted/grafted in the focal cartilage injury through arthroscopy or open surgery, with the idea that cells adhere to the injured surface, replenish the defect, differentiate into chondrocytes (or meniscus fibroblasts), perform anabolic functions and restore tissue anatomy. Not only cartilage but preservation or regeneration of meniscus should be a priority to maintain the intra-articular space: current research efforts focus, for example, on threedimensional (3D) bioprinting for personalised meniscus biofabrication.6

Cell therapies are not new; implantation of autologous chondrocytes (ACI) was proposed a few decades ago.7 For example, matrix induced autologous chondrocyte implantation (MACI), a technology evolved from simple ACI is a two-step arthroscopic procedure that was effective in young patients with focal injuries.8 However, the costs are high and the poor availability of chondrocytes and biological limitations in their in vitro expansion (i.e. cell senescence) limits its applications. Currently, other cellular products with chondrogenic capacity, including stromal vascular fraction of adipose tissue (SVF), bone marrow concentrates (BMCs), or expanded mesenchymal stromal cells (MSCs) loaded in specific biomaterials are implanted/grafted through arthroscopy in focal cartilage defects.9

The second approach, suitable for diffuse cartilage defects or established OA, is based on the concept that joint immunomodulation can help to recover homeostasis. The mechanism of action of injected MSC is based on MSC secretome and the paradigm of OA as an inflammation driven disease.<sup>10</sup> Thus, this concept is linked to the delivery by injection instead of focal surgical implantation. Adult MSCs from different tissue sources are injected intra-articularly aiming to establish a molecular crosstalk with immune cells and local joint cell phenotypes, thereby modulating inflammation with subsequent modifications of the degenerative catabolic milieu.<sup>11</sup> Because cells are injected based on their secretory paracrine activities, their secretome obtained under selected *in vitro* conditions has been proposed as an injectable molecular therapy for OA.<sup>12,13</sup>

In this review, we focus on the second approach, that is, delivery through injections, to describe current trends in injectable cellular therapies. First, we address the main characteristics of cellular products used in the management of OA of the knee. Next, we perform an overview of the current clinical studies that used the injection delivery approach, thus excluding arthroscopy and surgical adjuvants, and discuss the main limitations of currently available clinical data.

## Characteristics of regenerative injectable products

Adult cells, mainly mesenchymal stem/stromal cells (MSCs), are key tools of regenerative therapies. Traditionally, the MSC phenotype was defined by the International Society for Cell and Gene Therapy (ISCT) based on three criteria: plastic adherence, specific panel of cell surface protein markers: presence of CD105, CD73 and CD90 and absence of CD45, CD34, CD14 or CD11b, CD79a or CD19 and MHC class II, and trilineage differentiation capabilities in standard in vitro conditions (chondrocytes, osteoblasts and adipocytes).<sup>14</sup> These are minimal requirements to describe in vitro cultured MSCs. However, the presence of various cell subsets within the total pool of MSCs and the plasticity of cell surface markers adds further complexity to MSC description. For example, current data indicate that specific MSC subsets can express CD34<sup>+</sup>; moreover, CD34<sup>+</sup> positivity can depend on cell passage, specific cytokines present in culture media such as IGF-1, and donor characteristics.<sup>15</sup>

Therefore, the Mesenchymal Stromal Cell (ISCT MSC) committee has issued new recommendations on nomenclature.<sup>16</sup> Accordingly, the term 'mesenchymal stem cell' is not equivalent to 'mesenchymal stromal cell': the former are multipotent progenitors, and thus have differentiation capabilities, while the most important attribute of mesenchymal stromal cells is their secretory, immunomodulatory and homing activities.<sup>16</sup>

In the context of joint conditions, further advancements in this field would rely on specific functional assays demonstrating unequivocally that progenitor/ stem cell populations in synovial fluid, membrane and Hoffa's body fat have clinical utility based on self-renewal and differentiation capabilities in meniscal and cartilage cells, demonstrated through matrix functional assay with appropriate controls.

Moreover, as MSCs from different sources are used clinically, following ISCT guidelines, we use the acronym MSC (mesenchymal stromal cell) with detailed annotation of tissue source to take into account further functional differences between MSCs: BM-MSCs (MSCs from the bone marrow), AD-MSCs (from the adipose tissue), and UC-MSCs (from the umbilical cord).

We first briefly address the main injectable cell products obtained with minimal processing, and then describe advanced cell therapies, prepared through *in vitro* expansion.

#### Complex cellular mixtures

Most popular sources to prepare minimally processed injectable intra-articular products, which contain small variable numbers of MSCs, are adipose tissue and bone marrow.

BMCs and SVF are composed of various cell phenotypes and a molecular environment that allows MSCs to maintain an undifferentiated state, that is, stemness.<sup>17</sup> The delivery of MSCs with their associated niches (pool of cell phenotypes and molecular microenvironment) requires minimal processing and can be performed in one step on the same day of the harvest at the point of care.

BMC was the gold standard in knee conditions because the chondrogenic potential of BM-MSCs is superior to AD-MSCs. BMC is prepared from bone marrow aspirate (BMA) from the iliac crest or other sites; commonly, 60 mL of BMA are harvested to produce 3-6mL of BMC, commonly through centrifugation.<sup>18</sup> The harvest procedure is relatively invasive: it requires piercing the cortical bone with a trocar, introducing a needle in the medullary canal and aspirating bone marrow in a syringe prefilled with heparin to avoid coagulation. Most cells in BMC are heme progenitors (CD34<sup>+</sup>) and small numbers (0.01-0.001%) of BM-MSCs, much less than SVF (0.01-0.1% AD-MSCs), are obtained. BMC also contains multiple cytokines, for example, platelet-derived growth factor (PDGF), transforming growth factor (TGF- $\beta$ ), bone morphogenetic protein 2 (BMP-2), bone morphogenetic protein 7 (BMP-7) and interleukin 1 receptor antagonist (IL-1Ra) which can promote an anabolic intra-articular environment.19

SVF is the aqueous fraction of the lipoaspirate that contains multiple cell phenotypes, including fibroblasts, endothelial progenitor cells (EPCs) and endothelial cells, macrophages, smooth muscle cells and vascular and hematopoietic precursors. Adipose-derived stem cells (1-10% of the total nucleated cells) include hematopoietic stem cells, pericytes, supra-adventitial cells and mesenchymal stromal cells.5,20 The characteristics of SVF, mainly cell availability, vary depending on whether the preparation protocol involves enzymatic digestion or mechanical breakdown of the lipoaspirate;<sup>21</sup> the former is termed cellular stromal vascular fraction of adipose tissue (cSVF) and the latter tissue stromal vascular fraction of adipose tissue (tSVF) or micro-fragmented adipose tissue. Although collagenase digestion provides a higher yield of nucleated cells, enzymatic manipulation represents a regulatory obstacle to protocol commercialization in most countries. Instead, protocols involving mechanical disruption of the adipose tissue have easier market accessibility.<sup>20</sup> Despite the increasing understanding of the role of the infrapatellar fat pad in joint physiology and repair, application of SVF within a joint is considered a non-homologous use.

Bulk composition of SVF and BMC vary from patient to patient, and improved homogeneity of the cell product can be obtained by further processing. Isolation of MSCs based on plastic adherence properties and subsequent *in vitro* expansion produce AD-MSCs and BM-MSCs, respectively.

#### Culture-expanded autologous MSCs

MSC-based therapies are classified as advanced therapy medical product (ATMP); in Europe, the Committee of Advanced Therapies (CAT) assesses their quality and safety.<sup>22</sup> Critical aspects of manufacturing, that is, facilities' requirements, good manufacturing practice (GMP)-compliant protocols, genomic stability and potency assays of the biomanufactured product are mandatory, and render autologous MSCs an expensive therapy. At least 2-3 weeks of in vitro expansion are needed to obtain several millions of autologous MSCs for therapy. These long-term culture conditions can affect both the safety and functionality of MSCs, and current research is directed towards protocols optimization. On the one hand, the traditional gold standard supplement, fetal bovine serum (FBS), has been substituted by a new generation of chemically reinforced medium formulations (Ch-R) and platelet lysates (PLs) (obtained

from outdated platelets in tissue banks) which can enhance growth kinetics and avoid transmission xenogeneic-associated pathogens (i.e. prions) and immune reactions.<sup>23</sup>

On the other hand, biomanufacturing differs from physiological conditions because the cells are expanded on two-dimensional (2D) plastic surfaces instead of biomimetic 3D microenvironments and under normoxia. For these reasons, during the multiple passages required to produce sufficient cell numbers for therapy changes in cell surface proteins and functional properties can occur. Actually, monitoring senescence and immunophenotype during repeated passaging is mandatory in the quality control of cell therapies and to achieve the investigational new drug (IND) status.

MSCs have been adopted in clinical practice because they offer the dynamism of a living system: they sense factors within a specific environment and respond with multiple cytokine outputs. In fact, MSCs can alter the fate of immune and local cells while producing anti-apoptotic, antifibrotic, and trophic factors. In addition, they release extracellular vesicles transporting soluble signalling molecules and genetic material such as miRNAs. The latter can regulate cell cycle (i.e. miRNA199), inflammation (i.e. miRNA-204-5p) and angiogenesis (i.e. miRNA-222).<sup>24</sup>

When injecting MSCs in a pathological joint, they encounter an adverse hostile environment characterized by high levels of metalloproteinases (MMPs), proinflammatory and profibrotic environment. Injected cells can enter necrosis/apoptosis as a consequence of acute stress, or adapt in response to the catabolic joint environment. Research on MSC plasticity revealed that, as a response to microenvironmental molecules, MSCs polarize to a pro-inflammatory or anti-inflammatory phenotype, termed MSC1 and MSC2 phenotypes, in a way similar to macrophages.<sup>25</sup> Under hypoxia and the presence of interferon (IFN- $\gamma$ ), tumor necrosis factor (TNF- $\alpha$ ) and interleukin (IL-1b) they express membrane receptors, including TLR2, TLR3 and TLR4 (Toll-like receptors). LPS-stimulated BM-MSCs acquire MSC1 profile while BM-MSCs stimulated with polyI:C showed an anti-inflammatory phenotype (MSC2).<sup>26</sup>

This is the ground concept behind *ex vivo* 'cell licensing or priming' protocols, which are currently proposed to optimize cell therapies before clinical application. Exposing MSCs *in vitro* to

soluble factors, including IFN- $\gamma$ , TGF- $\beta$ 1, stromal cell-derived factor (SDF-1a) among other soluble cytokines, can help cells to cope better with adverse microenvironments. For example, priming AD-MSCs with IFN- $\gamma$  enhanced cell motility, chondroprotection and MSC2 polarization as revealed by high throughput screening of proteomic, transcriptomic and miRNomic data.<sup>27</sup> Similarly, *in vitro* priming of IFP-MSC (infrapatellar fat pad) with TNF- $\alpha$ , connective tissue growth factor (CTGF) and IFN-a induces the expression of CD10/neprilysin involved in the degradation of substance P and reversed acute synovitis and IFP fibrosis in a rat model.<sup>28</sup>

Similar trophic actions are advocated for the MSC secretome, raising the question of whether clinical therapies should be cell based or cell derived.<sup>29</sup> MSCs cultured *in vitro* under optimized consistent protocols synthesize and release to the extracellular milieu a pool of chemokines, cytokines and growth factors, in addition to vesicles.<sup>30</sup> Clinical applications of different conditioned media (CM) formulations are under study. In fact, CM can be easily manufactured, sterilised, packaged and stored, ready to be used at the point of care.

Allogeneic MSC therapies. Biomanufacturing and biobanking allogeneic MSCs for unmet medical conditions, including OA, are processes subjected to many regulatory challenges. Briefly, MSCs are isolated from a healthy donor, and a master cell bank (MCB) is prepared composed of aliquots of a single pool of cells, which are stored at ultra-low temperatures. One aliquot is subcultured to derive the working cell bank (WCB), and the other aliquots are considered future working stocks. Validation of the different stages of manufacturing, including procedures to avoid contamination, labelling system, validation of cell stability and functionality under freezing, storage and thawing are a few examples of the multiple regulatory requirements which need to be documented to obtain an IND.

However, allo-MSCs offer typical advantages of 'offthe-shelf' products, as they can be banked, are available on demand and transported where required with minimal delay. A representative example of a two-tier cell banking of allogeneic BM-MSCs for OA, Stempeucel, has been approved by the Indian Food and Drug Administration equivalent (Director General of Investigation [DGI]) and is in phase III clinical research.<sup>31</sup> No adverse immune events linked to MSC allogenicity have been described in human clinical studies on OA. Theoretically, however, allogeneic MSCs can induce at least three immunogenic responses: generation of memory T cells, production of functional allo-antibodies, and allo-specific clearance of administered cells.32 In clinical veterinary medicine (18 horses), two sequential knee injections (4 weeks apart) of autologous BM-MSCs have been compared with allogeneic MSCs.33 There were no significant differences after the first injection, but after the second injection 4 weeks later, synovial cytology revealed infiltration of nucleated cells, as well as serum antibodies only in horses treated with allogeneic MSCs. In addition to the induced measurable immune responses after the second dose, lameness was more accentuated in horses treated with allogeneic MSCs. Instead, when a single dose of 10 million of allo or auto-BM-MSCs were injected in both contralateral limbs of eight horses, there were no differences in the clinical parameters (lameness) nor in the synovial concentration of prostaglandin E2 (PGE2) and C-reactive protein (CRP).<sup>34</sup>

Umbilical cord MSCs. The use of UC-MSCs or Wharton's jelly (WJ)-MSCs is being explored in knee OA. WJ is a mucoid connective tissue enclosing the three blood vessels of the umbilical cord. WJ is the main source of perinatal MSCs in the umbilical cord with high expansion potential and the advantage that they circumvent donor age variability. WJ-MSCs are hypoimmunogenic, as BM and AD-MSCs, they express low levels of MHC class I and do not express MHC class II, but differ in their secretory profile when stimulated with cytokines TNF- $\alpha$  or IFN- $\gamma$ .<sup>35,36</sup>

## Overview of clinical studies (MSC products delivery route: intra-articular injections)

Because of crucial differences between cell products injected in knee conditions, they merit separate consideration. A recent systematic review and metaanalysis<sup>37</sup> has shown superiority of MSC treatments compared to saline, hyaluronic acid (HA) or corticosteroids in terms of pain reduction and without concerns about safety. However, products with different degrees of processing, different cell composition and isolated from different anatomical sources are not comparable from a biological perspective, and their clinical effects can differ. Thus, Vasiliadis and Galanis<sup>38</sup> focused their systematic review and meta-analysis 8 on AD-MSCs (including eight controlled studies), showing clinical benefits in favor of MSCs, but not any measurable structural improvement. Another meta-analysis<sup>39</sup> analysed 13 randomized controlled trials (RCTs) involving MSCs (from any anatomical source) injections in knee OA. There was not any superiority of MSC treatments over placebo or HA, analysed as a percentage of responder patients who experienced minimum clinically important differences (MCDI) (for Visual Analogue Scale [VAS] and all The Western Ontario and MacMaster Universities Osteoarthritis Index [WOMAC] subscores). The field is not mature enough, as heterogeneity of products and study protocols hinder conclusions.

Here we have overviewed clinical studies published in the past 5 years to examine the tendency in the characteristics of injectable products.

#### Search strategy

We performed a systematic review, according to the Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA)<sup>40</sup> using PubMed (including MEDLINE) and Web of Science (WOS) platforms (Figure 1). The search was limited to the past 5 years (from 2015 to 20 October 2020). Only articles in English were included. Studies were eligible if they assessed the effects of percutaneous needle injection of cell products, including BMCs, SVF, autologous or allogeneic BM-MSC, AD-MSCs and UC-MSCs. Studies using blood-derived products or peripheral blood cells were excluded. In addition, studies were excluded if they performed arthroscopic treatments, that is, subchondral drilling, bone marrow stimulation, abrasion, microfractures, arthroscopic debridement. Reviews, proceedings, meeting abstracts, book chapters, editorials and case reports or case series with less than five patients were excluded. Studies were categorized according to whether the products were obtained and used 'fresh' on the same day (through minimal processing), or were laboratory expanded cells for autologous use or biomanufactured offthe-shelf allogeneic cells. Information relating to injectable cell-based products, study design and clinical outcomes were tabulated.

#### Results

We analysed a total of 42 clinical studies published in English in the past 5 years (Figure 1). Included articles were grouped according to the characteristics of the injected products, in particular cell source and degree of manipulation,



Figure 1. Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) flow diagram.

autologous or homologous products: SVF was prepared from lipoaspirate, most commonly abdominal in a total of 13 studies;<sup>41-53</sup> from these, eight studies used cSVF,41-48 and five studies used microfragmented adipose tissue (Table 1).49-53 In the eight cSVF studies, five studies were case ser ies,41,42,44,46,47 another involved bilateral patients receiving HA in one knee and SVF in the other.45 Garza et al.43 analysed two different doses of SVF (volumes), with better results in the lower dose. Finally, cSVF was compared with placebo in another study.<sup>48</sup> All but one study performed with microfragmented adipose tissue were case series;<sup>50–53</sup> only one retrospective controlled study compared SVF + platelet rich plasma (PRP) versus PRP but did not show evidence of any differences.<sup>49</sup> In that study, the procedure of injection was carefully described, with 4 mL delivered to the suprapatellar recess, 2mL to the medial and 2mL to the lateral subcapsular spaces including injections to the medial border of the medial meniscus and lateral border of the lateral meniscus.49

BMC was evaluated in five controlled studies with different designs.<sup>54–59</sup> BMC was compared with PRP injections in one RCT involving a total of 84 patients, but failed to show differences between groups.<sup>54</sup> BMC + PRP showed better clinical outcomes than exercise at 3 months, and all patients in the exercise group crossed over to the BMC group after 3 months.<sup>55</sup> In patients with bilateral OA, BMC was not superior to saline after 12 months.<sup>58,59</sup>

Studies examining clinical outcomes after injecting *in vitro* expanded autologous AD-MSCs and BM-MSCs are grouped in Table 2.<sup>60–77</sup> Table 3 includes clinical studies examining allogeneic cell therapies.<sup>31,78–84</sup> Traditionally, BM-MSCs have been the phenotype chosen for OA because of their superior chondrogenic potential when compared to those of adipose origin. However, lipoaspiration is less invasive than bone marrow aspiration, and the number of stromal mesenchymal cells is higher in adipose tissue.

Nine studies examined the benefits of AD-MSCs. AD-MSCs (59 knees) were compared to SVF (69 knees) in a retrospective study.<sup>68</sup> Pain reduction occurred earlier and was of greater magnitude in the AD-MSC group with less frequent knee effusion. However, there were no differences in the rate of responders following the Outcome Measures in Rheumatology-Osteoarthritis Research Society International (OMERACT-OARSI) criteria.<sup>68</sup>

Cell dosage is selected empirically in most studies, varying between  $2 \times 10^6$  and  $100 \times 10^6$  cells.

Study (reference)	Injectable product	Study design, patients	Outcome measurements	Follow-up, results
SVF (cellular)				
Bansal <i>et al</i> .41	(SVF + PRP), 1 injection	Case series, <i>N</i> = 10 patients,	WOMAC, MRI	3, 6, 12, 18, 24 m, increased cartilage thickness >0.2 mm in six patients
Fodor and Paulseth <sup>42</sup>	SVF, 1 injection	Case series, N=6 patients, 8 knees	VAS, WOMAC, ROM, MRI	2, 3, 6, 8, 12 m, improved clinical scores. No changes in MRI
Garza <i>et al.</i> 43	SVF, 1 injection	Prospective randomized controlled study, <i>n</i> = 39 1:1:1, high dose SVF: low dose SVF: placebo)	WOMAC, MRI	6 m, 12 m, high and low SVF higher % changes in clinical outcomes than placebo; WOMAC changes: high dose 83.9%; low dose 51.5%; placebo 25%. MRI no changes
Hong <i>et al.</i> 44	SVF, 1 injection	Bilateral patients, <i>N</i> =16, SVF <i>versus</i> HA	VAS, WOMAC, ROM	1, 3, 6 and 12m, VAS, WOMAC and ROM improved at 12m in the SVF-treated knee and not in the contralateral control. Significant reduction in pain and WOMAC pain and stiffness in the SVF group (above MCID) and significant differences compared to HA-treated knees
Lapuente <i>et al</i> . <sup>45</sup>	SVF (7 mL), 1 injection	Retrospective cohort N=50 bilateral patients (100 knees) K–L grade III–IV	Lequesne, WOMAC, VAS, US score; biomarkers in synovial fluid	1 year, significant improvement in clinical outcomes; decreased MMP-2, IL-1b, IL-6 and IL-8 and increased IGF-1 and IL-10 compared to baseline
Michalek <i>et al.</i> 46	SVF peri and intra- articular injection	Prospective cohort N=29 patients older than 80 years K–L grade II–IV	Pain, analgesic consumption, KOOS	1, 3, 6, 12, 24 m, pain improvement
Pintat <i>et al</i> . <sup>47</sup>	(SVF + PRP) 6 mL 1 injection	Prospective cohort, Patellofemoral OA, <i>N</i> =19	WOMAC, MRI T2	Functional improvement 6 m and 12 m, no differences in MRI at 6 m
Tran <i>et al</i> . <sup>48</sup>	SVF	Open-label, non- randomized, phase I/II, <i>N</i> =33, SVF <i>versus</i> placebo K–L II and III	VAS, WOMAC, MRI, Outerbridge and BME	Follow-up 24m better outcomes in KLIII than KLII, decreased bone marrow edema
Microfragmented	adipose tissue (tSVF)			
Ehlers <i>et al.</i> 49	SVF (10 mL) + PRP (8 mL)	Retrospective study (SVF + PRP) ( <i>n</i> = 8) <i>versus</i> PRP ( <i>n</i> = 29, three doses)	WOMAC	1–3, 4–6, >6 m, PRP group improved 34%, 60% and 58%, respectively SVF group improved 51% at 4.6 month average follow-up
Hudetz <i>et al</i> . <sup>50</sup>	Microfragmented lipoaspirate	Cohort study, <i>N</i> =17, 32 knees K-L: II-IV	VAS, MRI dGEMRIC, GAG synovial profile, CRP	3, 6, and 12 m, pain and function improvement GAG improvement in cartilage, no changes in CRP. No adverse events
Hudetz <i>et al</i> .51	Microfragmented lipoaspirate	Cohort study, late stage OA N=20, K-L III, n=4, K-L IV n=16	MRI, VAS, WOMAC, KOOS	12 m, clinical improvements, three patients followed TKR

Table 1. Clinical studies evaluating injectable autologous intra-articular cell products without adjuvant arthroscopy/surgery (from2016 to 2020, excluded arthroscopic and surgical interventions).

(Continued)

Study (reference)	Injectable product	Study design, patients	Outcome measurements	Follow-up, results
Panchal <i>et al</i> . <sup>52</sup>	Lipogems micro- fragmented adipose tissue	Cohort study, N=17, 26 knees, K–L: 3–4	Pain and function NPRS, LEAS,	No serious adverse events, 6 weeks, 6 and 12 m minimal clinical important differences in pain, function and quality of life
Peretti <i>et al.</i> <sup>53</sup>	Micro-fragmented adipose tissue	Prospective randomized controlled study, <i>N</i> =39, KL-III and IV	VAS pain and function	6 m, pain reduction and functional improvement without significant differences
Bone marrow cond	centrate			
Anz et al. <sup>54</sup>	BMC <i>versus</i> PRP	RCT, level II, <i>N</i> =84 patients K–L: I–III BMC ( <i>n</i> =45) <i>versus</i> PRP ( <i>n</i> =39)	IKDC, WOMAC	1, 3, 6, 12 m, both groups improved after 1 m and improvement was sustained during 12 m. No differences between groups. IKDC change after 12 months, 64.3% for the BMC <i>versus</i> 63.7%, PRP treatment; total WOMAC change 50% <i>versus</i> 53.2%, respectively
Centeno <i>et al.</i> 55	BMC and PRP	Controlled study, $N = 48$ , randomized exercise therapy in the control group Patients in the exercise group ( $n = 22$ ) could cross-over to BMC group at 3 m	KSS (knee society score), VAS, SF12, LEAS (lower extremity activity scale)	At 3 m all patients in exercise group crossed over to the cell group, Better clinical results in the experimental group at 6 weeks, 3,6 12 m and 24 m
Garay-Mendoza et al. <sup>56</sup>	Subcutaneous G-CSF before BMA	Prospective open-label <i>N</i> =61 patients, BMC <i>versus</i> acetaminophen	WOMAC, VAS	1, 6 m, better outcomes in BMC group
Rodriguez- Fontan <i>et al.</i> <sup>57</sup>	BMC from iliac crest	Knees randomized to placebo or BMC <i>N</i> =19 patients, 10 knees (K–L: 1–2), (15 hips) level II	WOMAC, patient satisfaction, safety	Follow-up 6–24 months, mean 13 m Significant improvements in WOMAC
Shapiro and colleagues <sup>58,59</sup>	BMC (BMAC) mixed with PPP	Level II, <i>N</i> =20 bilateral patients BMC <i>versus</i> saline	T2 MRI mapping at 6 m, VAS, ICOAP	1 week, 3 m and 6 m. Up to 12 m, no changes in MRI, BMC improves pain but it's not superior to saline

#### Table 1. (Continued)

BMC, bone marrow concentrate; CRP, C-reactive protein; dGEMRIC, delayed gadolinium-enhanced magnetic resonance imaging of cartilage; GAG, glycosiaminoglycans; G-CSF, granulocyte colony stimulating factor; HA, hyaluronic acid; IKDC, International Knee Documentation Committee; K–L, Kellgren–Lawrence; KOOS, knee osteoarthritis outcome score; KSS, knee society score; LEAS, lower extremity activity scale; m, months; MRI, magnetic resonance imaging; NPRS, numeric pain rating scale; PGA, patient global assessment; SAS, short arthritis assessment scale; PPP, platelet poor plasma; PRP, platelet rich plasma; RCT, randomized controlled trial; ROM, range of motion; SF-36, short-form 36 health survey questionnaire; SVF, stromal vascular fraction; TKR, total knee replacement; T-L, Tegner–Lysholm score, VAS, visual analog scale; WOMAC, Western Ontario and McMaster Universities Osteoarthritis Index.

AD-MSC dose escalation was performed in three studies,<sup>62,65,66</sup> but the question about the optimal dose remains unanswered. Pers *et al.*<sup>65</sup> found better results at low and medium doses (2 and 10 million), while in two other studies<sup>62,66</sup> results were better at the highest doses (50 and 100 million, respectively). Two controlled studies with HA<sup>64</sup> or normosaline<sup>63</sup> reported better clinical outcomes in the group injected with cells. Moreover, positive

structural changes were revealed after 12 months,<sup>64</sup> corroborating the findings of other studies with more than 18 months' follow-up.<sup>66,67</sup>

Eight studies explored outcomes after BM-MSC injections.<sup>69–77</sup> Various cell doses were examined in two studies, with no measurable relevant difference.<sup>72,75,76</sup> In fact, injection of 10 and 100 million cells did not differ in clinical outcomes or

**Table 2.** Clinical studies evaluating culture-expanded MSCs from adipose tissue and bone marrow (from 2015 to 2020, only intraarticular excluded arthroscopic and surgical interventions).

Study (reference)	Injectable product	Study design, patients	Outcome measurements	Follow-up, results
Culture-expanded autologous ADSCs				
Freitag <i>et al</i> . <sup>60</sup>	Echoguided injection AD-MSCs, 100 × 106 AD-MSCs	RCT, AD-MSC 30 patients allocated to three groups: single injection, two injections 6 m interval <i>versus</i> conservative management	KOOS, WOMAC, MRI T2 (MOAKS) NPRS	12 m, % treatment responders (above MCID), 25.7% control group and 84.1% and 87.1% for one and two injections respectively. No differences in global MOAKS
Higuchi et al. <sup>61</sup>	AD-MSC, one injection	Retrospective cohort, <i>N</i> =34 patients, 57 knees	VAS, KOOS, MRI	1, 3, 6 m, pain and symptoms improved earlier than ADL. VAS and KOOS improved more in patients with severe cartilage lesions
Jo et al. <sup>62</sup>	AD-MSC, $10 \times 10^6$ , $50 \times 10^6$ , $100 \times 10^6$ , single injection echoguided	Escalating doses, <i>N</i> = 18, six patients per group	WOMAC, KOOS, VAS, MRI	1, 2, 3, 6, 12, 24m, similar outcomes; statistical differences only in the high- dose group
Lee et al. <sup>63</sup>	AD-MSC echoguided injection	Prospective, double blind, randomized controlled study, phase IIb, AD-MSC <i>versus</i> saline control, <i>n</i> = 12 patients per group	WOMAC, MRI, VAS, KOOS, ROM	6 m, WOMAC improvement (55%), pain reduction 50% in experimental group, no changes in the control group No complications associated to the treatment
Lu <i>et al.</i> <sup>64</sup>	haMPCs Re-join (human autologous mesenchymal progenitor cells)	Controlled study, Re-join™ <i>versus</i> HA, <i>N</i> =53	WOMAC, VAS, SF36, MRI and safety	12 m, WOMAC reduction 31.65% in cell group and 20.23% in HA group; no differences between groups but higher rate of patients achieved 50% and 70% improvements in the experimental group. Increased cartilage volume in experimental group Similar AEs, one infection in HA patient
Pers <i>et al.</i> <sup>65</sup>	AD-MSCs expanded with platelet lysate	Dose escalation: 2, 10 and $50 \times 10^6$ , $N = 18$ , severe knee OA, 6 patients per group	Safety, WOMAC, KOOS, VAS, OMERACT-OARSI responders, SF-36	No relevant adverse events 3 m, 6 m clinical improvements at 6 months in the low and medium dose groups
Song <i>et al.</i> 66	AD-MSC single injection	Dose escalation, $N = 18$ , three doses, three injections (10, 20 and $50 \times 10^6$ cells)	Pain, MRI cartilage volume, WOMAC, SF-36	96 weeks, the highest dose provided better pain reduction and cartilage volume enhancement. 3, 6, 12, 18, 24 m cartilage volume enhanced in the lateral femoral condyle at 6 m and in tibia/ patella at 18 m
Spasovski et al. <sup>67</sup>	5–10×10 <sup>6</sup> AD- MSCs single injection	Case series, <i>n</i> = 9 patients, 10 knees	VAS, KSS, HSS- KS, ROM, MRI, T-L	3, 6, 12, 18m, clinical improvements within 6 months MOCART: structural improvement
Yokota <i>et al</i> . <sup>68</sup>	AD-MSC <i>versus</i> SVF	Retrospective study, KL: II–IV, <i>N</i> =42 patients, 59 knees: 12.75 × 10 <sup>6</sup> AD- MSCs, <i>N</i> =38, 69 knees, 5 mL SVF	KOOS, VAS, OMERACT-OARSI responders	AD-MSCs symptoms improved earlier (3 m) and pain reduction was greater, 55% in AD-MSC <i>versus</i> 44% in the SVF group. SVF higher frequency of knee effusion. No differences in % OMERACT– OARSI responders, 61% in AD-MSC <i>versus</i> 55% in SVF-treated patients

(Continued)

#### Study Injectable product Study design, patients Outcome Follow-up, results (reference) measurements Culture-expanded autologous BM-MSCs Clinical improvement, 6, 12 and 24 m, Case series. N = 13. K–L: KOOS, MRI Al-Naiar BM-MSC. $30.5 \times 10^{6}$ 2 et al.69 II-IV (baseline, 6 and MRI (baseline, 6 and 12 m) increase in injections one tibial and femoral cartilage thickness 12 m) month apart Bastos et al.70 **BM-MSCs** prospective controlled KOOS, safety 12 m, KOOS improvements in all study, N=18, BM-MSC subscales, no differences between groups; reductions in global KOOS: versus (BM-MSC + PRP). level II 17.5, CS group, 24, MSC group and (MSC + PRP) 22.7% No serious adverse events Bastos et al.71 SF cytokines (BM-MSC + PRP)N=47, same patients as MSC and MSC + PRP are effective in baseline, 6 m and above with the addition of n = 17, BMsymptom improvement after 12 m. the corticosteroid group 12 m K00S all treatments induce a decrease of MSC, *n* = 16, corticosteroids n = 17intraarticular IL-10 at 12 m n = 17Chahal et al.72 BM-MSCs 10. PROMS, KOOS, Panel of anti-inflammatory biomarkers Escalating doses, phase I/ $50 \times 10^6$ cells one IIa 1, 10, $50 \times 10^{6}$ cells WOMAC. MRI in BM-MSCs predictive of PROMS. injection (WORMS), donor selection criteria in base of inflammation and inflammatory biomarkers cartilage turnover biomarkers Emademin Placebo controlled trial, WOMAC, VAS 6 months, no differences in VAS BM-MSCs $40 \times 10^6$ et al.73 reduction. -20.8 versus -15.7 (control) n = 19 experimental treatment versus n = 24Better outcomes in total WOMAC, -25.7 placebo; phase I/II (experimental group) versus 5.5 control group Goncars **BM-MSC** Controlled study, BM-MSC KOOS, KSS 3, 6 and 12 m, KOOS better in et al 74 versus HA, n = 28 per group experimental group Expanded BM-N=30 20:10 (HA) VAS, isokinetic 12 m, pain and functional improvement, Lamodvnamometry, Espinosa MSCs versus HA. Multicenter randomized no clinical differences between both et al.75 10 and 100 $\times$ 10<sup>6</sup> phase I/II study. 10 and MRI (WORMS) doses. Pain increased 2 points in the $100 \times 10^6$ cell combined cell control group and decreased 5 and 3 with HA versus HA points in the low dose and high dose, respectively. WOMAC increase in controls, 4 points and reduction –18 and -10 points in high and low doses, respectively. No safety concerns Lamo-Expanded BM-N=30 20:10 (HA) VAS, isokinetic 24 m, no safety concerns Multicenter randomized Espinosa MSCs versus HA, dynamometry, et al.<sup>76</sup> Same phase I/II study, 10 and MRI (WORMS) phase I/II lona-term $100 \times 10^6$ cell combined follow-up with HA versus HA Soler et al.77 BM-MSC. VAS, WOMAC, 3, 6 and 12 m, improved clinical Cohort study, N = 15 $40\pm10 imes10^6$ Leguesne outcomes, mild adverse events

#### Table 2. (Continued)

BM-MSC, bone marrow derived mesenchymal stromal cells; BS-POP, brief scale for psychiatric problems in orthopedic patients; dGEMRIC, delayed gadolinium-enhanced magnetic resonance imaging of cartilage; HA, hyaluronic acid; HSS-KS, hospital for special surgery knee score; IKDC, International Knee Documentation Committee; JKOM, Japanese knee osteoarthritis measure; K–L, Kellgren–Lawrence; KOOS, knee osteoarthritis outcome score; KSS, knee society score; LEAS, lower extremity activity scale; m, months; MOAKS, MRI osteoarthritis knee scores; MPC mesenchymal progenitor cells; NDA, normal daily activities; NPRS, numeric pain rating scale; PGA, patient global assessment; RCT, randomized controlled trial; ROM, range of motion; MRI, magnetic resonance imaging; SAS, short arthritis assessment scale; SF-36, short form 36 health survey questionnaire; TLS, Tegner–Lysholm score; TUG, timed up and go; VAS, visual analog scale; WOMAC, Western Ontario and McMaster Universities Osteoarthritis Index.

**Table 3.** Clinical studies evaluating intra-articular injections of allogeneic cells (from 2015 to 2020, only intra-articular excluded arthroscopic and surgical interventions).

Author (reference)	Injectable product	Study design, patients	Outcome measurements	Follow-up, results
AD-MSCs				
Kuah <i>et al.</i> 78	hADSCs, Progenza (PGR) (Regeneus, Australia), single injection	Cohort study, $N=20$ , 4:1 PGR: placebo, cohort 1: $3.9 \times 10^6$ cells $(n=8)$ ; cohort 2 $6.7 \times 10^6$ cells, $n=8$	VAS, WOMAC, MRI (MOAKS)	12 m, clinical outcomes better PRG at 3, 6, 9 and 12 m, pain responders (at least 30% improvement): 50% of placebo patients, 87.5% of PRG treated. No statistical difference in WOMAC sub-scores between the placebo and PRG groups at any time point. Cartilage loss in placebo but not in PGR- treated patients
Zhao <i>et al.</i> 79	Allogeneic expanded ADSCs – haMPCs Allo-join (human allogeneic mesenchymal progenitor cells). Two injections, baseline and week 3	Phase I/IIa $N$ = 18, 6 patients/ group Different doses 10, 20 and 50 $\times$ 10 <sup>6</sup>	3TMRI (multimodal), WOMAC, SF36	48 weeks, changes in compositional MRI, significant differences compared to baseline. No differences WORMS significant clinical improvement
BM-MSCs				
Gupta et al. <sup>31</sup>	[BM-MSC + HA] Stempeucel	N = 60, 15 patients per group, different doses: $25 \times 10^6$ , $50 \times 10^6$ , $75 \times 10^6$ , $150 \times 10^6$	WOMAC, VAS, MRI (WORMS)	12 m, adverse events (swelling and pain) with higher doses (50–150 $\times$ 106)
Vega <i>et al</i> . <sup>80</sup>	BM-MSC (pooled from three donors) <i>versus</i> HA	Randomized blinded controlled <i>N</i> =30, 15 patients per group, 40 × 10 <sup>6</sup> MSCs <i>versus</i> 3 ml HA	VAS, WOMAC, Lequesne, MRI	3, 6 and 12 m, improved clinical outcomes in experimental group. Total WOMAC decreased 13 points in the experimental group and 4 points in the control groups. VAS pain, 2.1 <i>versus</i> 1.3 decrease. Improved structural outcome at 12 m in the experimental group
Wang <i>et al</i> . <sup>81</sup>	STRO-3 <sup>+</sup> -MPC (Mesoblast Ltd.) (pooled from young donors)	$75 \times 10^{6}$ MPCs +2 mL HA Injected after ACL reconstruction $n = 17$ patients, $n = 11$ MSC + HA versus $n = 6$ HA only phase Ib–IIa	KOOS, SF36, MRI	6, 12, 18, 24 m, KOOS and SF36 improvements, improved structural outcomes in MPC treated patients. Moderate arthralgia and swelling in four patients after injection (24 h)
UC-MSC				
Dilogo et al. <sup>82</sup>	UC-MSC, $10 \times 10^6$ cells/2 mL secretome +2 mL HA Followed by two consecutive HA injections in the second and third week	Open label study, N=29 patients, 57 knees (33 knees, K–L: I–II	VAS, IKDC, WOMAC, MRI	6 m, 12 m, significant clinical improvement in both mild and severe OA from baseline to 6 m, No differences between 6 and 12 months
Khalifeh Soltani <i>et al.</i> <sup>83</sup>	Allogeneic placental MSCs	N=20, 10 per group double blind placebo-controlled trial, 0.5–0.6 × 10 <sup>8</sup> allogeneic placental mesenchymal stromal cells	VAS, KOOS, ROM, magnetic resonance arthrography	Up to 24weeks, improvements in the cell group up to eight weeks, non-significant at 24weeks, 10% improved cartilage thickness (categorical evaluation)
Matas et al. <sup>84</sup>	UC-MSC one dose and two doses 6 m apart	Randomized phase I/II trial Single dose <i>n</i> = 9, 2 doses <i>n</i> = 9 and HA injection <i>n</i> = 8	MRI, WOMAC, VAS	12 m, one and two UC-MSC doses better than HA in pain and function. MSC-2 group experienced 86% pain reduction and 89% disability reduction as opposed to 38% and 50% in the control group. No MRI changes. No adverse events

AD-MSC, adipose-derived mesenquimal stromal cells; BM-MSC, bone marrow derived mesenchymal stromal cells; BS-POP, Brieg scale for psychiatric problems in orthopedic patients; dGEMRIC, delayed gadolinium-enhanced magnetic resonance imaging of cartilage; HA, hyaluronic acid; HSS-KS, hospital for special surgery knee score; KSS, knee society score; IKDC, International Knee Documentation Committee; JKOM, Japonese knee osteoarthritis measure; K–L, Kellgren–Lawrence; KOOS, knee osteoarthritis outcome score; LEAS, lower extremity activity scale; m, months; MOAKS, MRI osteoarthritis knee scores; MPC mesenchymal progenitor cells; MRI, magnetic resonance imaging; NDA, normal daily activities, NPRS, numeric pain rating scale; PGA, patient global assessment; RCT, randomized controlled trial; ROM, range of motion; SAS, short arthritis assessment scale; SF-36, short form 36 health survey questionnaire; TLS, Tegner–Lysholm score; TUG, timed up and go; UC, umbilical cord; VAS, visual analog scale; WOMAC, Western Ontario and McMaster Universities Osteoarthritis Index.

magnetic resonance imaging (MRI) appearance for up to 24 months in a multicenter controlled study compared with HA.<sup>75,76</sup> Overall, there are no concerns regarding the safety of injections, but clinical research is preliminary and lacks the coordination and harmonization between outcome measures needed for inter-study comparisons between doses and treatments.

Allogeneic cell therapies. Allogeneic therapies are focused on commercializing research products instead of services; that is, autologous MSC manufacturing services. Donor selection and biomanufacturing protocols can differ between companies; actually 'the process is the product', and the term allogeneic MSC can involve products with different characteristics. Clinical studies are preliminary exploratory INDs.

Escalation dose studies with two 'off-the-shelf' AD-MSC products, named Progenza (PGR),<sup>78</sup> and Re-join,<sup>79</sup> evaluated clinical outcomes and MRI changes. The efficacy of Progenza was controlled with four patients in the placebo cohort; the analysed doses were 3.9 and 6.7 million cells.<sup>78</sup> After 1 year, only patients in the placebo cohort experienced measurable cartilage loss. The effects of two cell injections of Allo-join, 3 weeks apart, were examined in a phase I/IIa trial. Forty-eight weeks after treatment, there were changes in compositional MRI but not in the WORMS score. No differences were found between escalating doses (10, 20 and 50 millions), with six patients per group.<sup>79</sup>

Two other allogeneic BM-MSC-based products are under early clinical exploration, namely Stempeucel<sup>31</sup> and STRO-3+-MPC (Mesoblast Ltd.).<sup>81</sup> Escalating doses of Stempeucel (BM-MSCs combined with HA) were examined in 60 patients (15 per group).<sup>31</sup> Safety assessments showed more adverse events at 12 months with higher cell doses, namely 50, 75 and 150 millions.<sup>31</sup> STRO-3+-MPC (75 million of cells) combined with HA were injected in 11 patients who underwent ACL reconstruction and were compared with six patients who received HA injections.81 Data revealed improved structural outcomes in patients who received a single intra-articular injection of allogeneic STRO<sup>+</sup> MPCs.<sup>81</sup>

Perinatal MSCs have been examined in three studies. Ten million cells injected with HA and followed by two additional HA injections in a

single arm open label study indicated a significant improvement in patients with mild and severe knee OA after 6 months, without further improvement at 12 months.<sup>82</sup> Similarly, injection of 50–60 million UC-MSCs was safe and showed mild improvements compared with placebo.<sup>83</sup> Two doses of intra-articular UC-MSCs, 6 months apart, were safe and better than HA injection, but there were no changes in MRI appearance after 12 months.<sup>84</sup>

Overall, clinical research shows that intra-articular MSC injections are safe, but clinical studies lack the quality needed to answer the main questions: optimal cell dose, regimen of injections (single dose *versus* consecutive doses), associated to HA or PRP, and clinical and structural efficacy. While autologous MSCs are often combined with autologous PRP, allogeneic MSCs are injected with HA.

#### Perspectives and future challenges

Injectable intra-articular cell therapies are still in the early phases of development, and their mechanism of action within the joint cavity is poorly understood. Clinical studies are in general at phase I/II, and many uncertainties persist regarding the cell dose, number and protocol of delivery. The question is not whether MSCs work but how to make them work.

The field must evolve to further understanding of the pathology, as MSCs are responsive to local stimuli, and the latter are poorly characterized in the OA joint. The ideal cell therapies should meet the needs of the degenerated joint: thus different cell products are envisaged for different knee problems. For example, meaningful understanding of functional differences in MSC licensed cell populations (with specific cytokines) can provide novel therapeutic opportunities. In addition, as MSCs adapt their functions to the environment where they are released, biological technologies for pre-conditioning the local site where cells are going to be injected can help to reduce environmental hostility.85 This concept opens up new therapeutic strategies for the management of OA of the knee.

In the future, in a context of precision and personalized medicine, biomarker development should advance in parallel with research on allogeneic off-the-shelf cells tailored to different OA stages and patient phenotypes.

#### **Conflict of interest statement**

The authors declare that there is no conflict of interest.

#### Funding

The authors received no financial support for the research, authorship, and/or publication of this article.

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