

1 Subverting the mechanisms of cell death: Flavivirus manipulation of host cell
2 responses to infection

3 Elisa Vicenzi¹, Isabel Pagani¹, Silvia Ghezzi¹, Sarah L. Taylor², Timothy R. Rudd^{3,2}, Marcelo A. Lima^{4,5},
4 Mark A. Skidmore^{5,4} and Edwin A. Yates^{2,4,5}

5 ¹Viral Pathogens and Biosafety Unit, Ospedale San Raffaele, Via Olgettina 60, Milan 20132 Italy

6 ²Department of Biochemistry, IIB, University of Liverpool, Crown Street, Liverpool L69 7ZB UK

7 ³NIBSC, Blanche Lane, South Mimms, Potters Bar, Hertfordshire EN6 3QG UK

8 ⁴Department of Biochemistry, Universidade Federal de São Paulo, São Paulo, 04044-020 Brazil

9 ⁵School of Life Sciences, Keele University, Keele, Staffordshire ST5 5BG UK

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11 Abbreviations:

12 BAX Bcl-2-like protein

13 BAK Bcl-2-homologous killer

14 TNF- α Tissue necrosis factor alpha

15 DENV Dengue Virus

16 JEV Japanese Encephalitis Virus

17 WNV West Nile Virus

18 ZIKV Zika Virus

19 NS Flavivirus non-structural protein

20 C Flavivirus capsid protein

21 E Flavivirus envelope protein

22 M Flavivirus small membrane protein

23 IL-1 β Interleukin one beta

24 IL-10 Interleukin ten

25 CASP Caspase, cysteine-aspartic protease

26 ER Endoplasmic reticulum

27 ROS Reactive oxygen species

28 P53 Tumour protein 53

29 PI31-Akt Phosphatidylinositol 3-kinase/protein kinase B signaling pathway

30 JAK-STAT Janus kinase – signal transducer and activation of transcription proteins

31 YFV Yellow Fever Virus

32 PG Proteoglycan

33 HMGB1 High mobility group box-1 protein (amphoterin)

34 HSPG Heparan sulphate proteoglycan

35 HS Heparan sulphate

36 CS Chondroitin sulphate

37 CD-44 A cell surface glycoprotein, also known as HCAM; homing cell adhesion molecule

38 CHOP Cyclic AMP response element-binding transcription factor homologous protein

39 RANTES A chemokine; regulation on activation, normal T cell expressed and secreted, also known as

40 CCL5 chemokine (C-C motif) ligand 5.

41 NDST N-deacetylase and N-sulfotransferase-1

42 EXT1 Exostosin-1

43 NPCs Neural progenitor cells

44

45 **Abstract**

46 Viruses exploit host metabolic and defence machinery for their own replication. The flaviviruses, which
47 include Dengue (DENV), Yellow Fever (YFV), Japanese Encephalitis (JEV), West Nile (WNV) and Zika
48 (ZIKV) viruses, infect a broad range of hosts, cells and tissues. Flaviviruses are largely transmitted by
49 mosquito bites and humans are usually incidental, dead-end hosts, with the notable exceptions of YFV,
50 DENV and ZIKV. Infection by flaviviruses elicits cellular responses including cell death via necrosis,
51 pyroptosis (involving inflammation), or apoptosis (which avoids inflammation). Flaviviruses exploit these
52 mechanisms and subvert them to prolong viral replication. The different effects induced by DENV, WNV,
53 JEV and ZIKV viruses are reviewed. Host cell surface proteoglycans bearing glycosaminoglycan (GAG)
54 polysaccharides - heparan/chondroitin sulphate (HS/CS) - are involved in initial flavivirus attachment
55 but, also during the expression of non-structural viral proteins, and play a role in disease aetiology.
56 Recent work has shown that ZIKV infected cells are protected from cell death by exogenous heparin (a
57 GAG structurally similar to host cell surface HS), raising the possibility of further subtle involvement of
58 HS proteoglycans in flavivirus disease processes. The aim of this review is to synthesize information
59 regarding DENV, WNV, JEV and ZIKV from two areas that are usually treated separately; the response of
60 host cells to infection by flaviviruses and the involvement of cell surface GAGs in responses to those
61 infections.

62 **INTRODUCTION**

63 **HOST CELL RESPONSES TO VIRAL INFECTION**

64 A wide group of viruses have life-cycles that involve complex interactions with the metabolic machinery
65 of their hosts. These include the *poxviridae*, *adenoviridae*, *retroviridae*, *picornoviridae*, *flaviviridae* and
66 *orthomyxoviridae* [1]. Several virus types, including those with large genomes, such as poxviruses and
67 herpesviruses, are known to block host apoptotic pathways [2,3]. One early response by host cells to an
68 invading microorganism is to initiate cell death through several mechanisms (see below). Depending on
69 where the invading virus is detected, this could be initiated, through intracellular signaling systems, such
70 as BAX (Bcl-2 regulator protein). Many other host cell responses are, however, available by which cell
71 death can be influenced, enabling viruses to enhance their survival and replication. These include
72 autophagy, apoptosis and pyroptosis; each of which has distinct mechanisms and outcomes (**Figure 1**);

73 *Autophagy:* In autophagy, the cellular constituents are partitioned and fused with
74 lysosomes to allow their degradation and re-cycling [4]. This is a means of extending the
75 longevity of a cell under stress and can be activated by infection. It is a highly conserved
76 surveillance process involving the transport of lipids, proteins and organelles from the
77 cytoplasm into double-membrane vesicles (autophagosomes) and then to lysosomes for
78 degradation [reviewed in [5]. For DENV, JEV and ZIKV but, potentially also other
79 *flaviviridae*, autophagy can be activated, to provide a means by which viral replication
80 can be prolonged and cause pathology [6].

81 *Apoptosis:* Apoptosis is a form of cell death which is programmed and highly regulated,
82 during which the cell undergoes a series of morphological changes, mitochondrial stress
83 and formation of apoptotic bodies, allowing the cell contents to be consumed by
84 phagocytes, avoiding any resulting inflammatory response. Apoptosis can be achieved

85 through the BAX and BAK-mediated intrinsic and TNF- α -mediated extrinsic apoptotic
86 pathways.

87 *Pyroptosis*: In contrast, pyroptosis requires the presence of CASP-1 in a complex termed
88 the pyroptome or inflammasome, in each macrophage [7] and involves activation of the
89 inflammasome within macrophages resulting in release of cell debris (DNA, ATP,
90 cytokines & other proteins), thereby sustaining the inflammatory response in the
91 surrounding tissue.

92 Each of these responses is triggered by activation of distinct pathways and each response leads to
93 distinct consequences (**Figure 1**). From the perspective of the host cell, a pyroptotic response (if it is not
94 overly pronounced; a situation that can lead to a 'cytokine storm') can achieve rapid clearance but, in
95 chronic infections, this leads to sustained inflammation that can also cause uninfected cell death [8].
96 Infection induces a number of responses, which influence subsequent events, for example, *in vitro*
97 infection of endothelium by flaviviruses increases adhesion and up-regulates major histocompatibility
98 complex molecules, which can be modulated by cytokines [9]. The ultimate outcome of a viral infection,
99 however, depends not only on which routes are activated but, also on the original viral load [4].

100 The most extensively studied flavivirus in this regard is DENV but, information concerning virus-host
101 (vertebrate and insect vector) interactions other flaviviruses such as WNV, JEV and ZIKV is also
102 emerging. There are fewer data available regarding interactions between many of the flaviviruses and
103 insect tissues or cells. Responses in insects are addressed first and are followed by a survey of the
104 interactions of DENV, WNV, JEV and ZIKV and their consequences for mammalian cells.

105 RESPONSES TO DENV, WNV, JEV AND ZIKV IN MOSQUITOES

106 Viruses are introduced into the mosquito through a blood meal from their animal host and replicate in
107 the midgut within a few minutes, then spread through the insect tissue in the haemolymph. The virus is
108 then passed to another animal host during feeding through transmission of infected saliva. [10, 11].
109 Life-long infection of *Aedes* and *Culex* mosquitoes apparently occurs without pathological effects and
110 viral interference, in which infection with one virus inhibits infection with another, is also observed [12].
111 For example, *Ae aegypti* infected by DENV-2 and -3 strains simultaneously show higher levels of RNA of
112 the DENV-2 form, but the origins of this phenomenon are not well understood. Viral interference and
113 viral persistence involve the JAK-STAT and Toll pathways of the innate immune system of the insect.
114 Several cellular systems are implicated in flavivirus replication including, for DENV, EF1 α , La (translation
115 elongation factor) in replication [13-15]. The immune response in insects is distinct from that in
116 vertebrates. In mosquitoes, this involves three main signaling pathways;

117 a. Innate immune system, involving the production of antimicrobial peptides, mainly
118 against Gram negative bacteria.

119 b. The Toll-mediated pathway, against viruses, Gram positive bacteria or fungi and also
120 involves the production of some peptides.

121 c. Signal transduction through the JAK-STAT pathway, against viruses, particularly in
122 *Aedes*.

123 The innate response involves the activation of two proteolytic cascades following infection, leading to
124 blood clotting and melanization, resulting in release of reactive oxygen species. Phagocytosis of bacteria
125 and encapsulation of larger parasites by blood cells can also occur. For virus infection, the Toll and JAK-
126 STAT pathways are the most important and have been studied most extensively for DENV in *Aedes*
127 *aegypti* [16-20]. The flavivirus genome comprises a single open-reading frame, which encodes a total of
128 10 viral proteins (**Figure 2**). Several molecular determinants have been identified, which contribute to
129 the infection of mosquito cells by the virus [21]. These comprise the molecular hinge region of the E-
130 protein, for infection of *Ae. aegypti* and the FG loop of domain III, a co-receptor binding region [22, 23].

131 MAMMALIAN CELLULAR RESPONSES TO DENV, WNV, JEV AND ZIKV INFECTION

132 (i) Dengue Virus (DENV)

133 There are four serologically distinct strains of DENV (DENV 1-4). Dengue pathogenesis is often explained
134 in terms of antibody enhancement, cytokine release and promotion of both apoptosis and pyroptosis in
135 response to viral NS1 protein [24]. Dengue induces apoptosis in hepatocytes, both *in vitro* and *in vivo*
136 [25-29] and up-regulated IL-8 and RANTES have been observed to accompany apoptosis [30].

137 The DENV non-structural, NS2, NS3, NS5, capsid (C) and E proteins are all known to trigger the extrinsic
138 apoptotic pathway in a variety of cell types, which include endothelial cells, hepatocytes, or immune
139 cells and these processes involve the pro-inflammatory cytokines [30]. The small membrane (M) protein
140 from all 4 serotypes of DENV, on the other hand, induces apoptosis in hepatocytes and neurons [31].
141 HMGB1 has also been identified as being released during non-apoptotic cell death [32] which, outside
142 the cell, acts as a cytokine [33] and has recently also been identified in the tissue of severe (fatal)
143 dengue victims [34].

144 Autophagy has been observed in epithelial cells, involving the non-structural viral proteins, NS4 [35],
145 which viruses can exploit to boost energy production by the host cell to assist viral replication [36,37].
146 DENV induces autophagy and protects against other stress agents [35,36] and this also serves as a
147 means of protection against apoptosis [38]. DENV can induce autophagy by several routes, involving
148 endoplasmic reticulum (ER) stress and altered signaling followed by production of reactive oxygen
149 species (ROS) [39]. The inhibition of ER stress signals limits the ability of DENV to induce autophagy,
150 while increased autophagy ultimately protects from apoptosis and increases the potential of DENV to
151 replicate.

152 (ii) West Nile Virus (WNV)

153 While the strategy adopted by WNV appears to be less versatile than that used by DENV, being unable
154 to affect autophagy [40,41], WNV is nevertheless capable of initiating apoptosis in the central nervous
155 system causing neuropathology, or necrosis when the viral load is high [42]. West Nile Virus activates
156 Toll-like receptor 3 and increases the permeability of the blood brain barrier through TNF- α [43]. Distinct
157 strains of WNV affect pathways of ER stress to enable the viral load to be increased. The capsid protein
158 interacts with p53 *in vivo* [44] to activate the intrinsic apoptotic pathway and blocks apoptosis via PI31-
159 Akt [45], while the NS3 viral protein is involved in extrinsic pathway activation in neuroblastoma and
160 cervical cancer cells. Inflammation follows activation of the CASP-9 apoptotic pathway [46] and cell
161 death through the CASP-3 pathway contributes to pathogenesis [47]. Furthermore, WNV has the ability
162 to influence microRNA [48], a capacity which may also extend to other *flaviviridae*. WNV induces ER

163 stress, to degrade ATF6 (one of the major unfolded protein response pathways whereby the ER
164 responds to a high load of viral proteins by upregulating protein folding machinery) rapidly, causing
165 phosphorylation of a second unfolded protein response pathway) and CHOP-dependent premature cell
166 death. The latter has been proposed as a potential host defence mechanism that is capable of limiting
167 viral replication and which explains neuronal loss in WNV [49].

168 (iii) Japanese Encephalitis Virus (JEV)

169 Neutrophil chemotaxis can be induced by JEV [50], which has the ability to influence both the intrinsic
170 and extrinsic apoptotic pathways. The expression of two chemokines, Cxc11 and Cxc12, rapidly increases
171 macrophage numbers and attracts polymorphonucleate leukocytes, key players in the innate immune
172 response. These also serve, during early stages of infection by WNV, as reservoirs of infection, later
173 contributing to clearance [51]. Oxidative stress and programmed cell death can both be induced by JEV
174 infection [52,53]. In neural cells, JEV induces apoptosis by stimulating an up-stream stress response. The
175 NS3 viral protein of JEV, in contrast to both DENV and WNV, induces apoptosis via the intrinsic pathway
176 and JEV can also replicate in the absence of CASP-3, to induce CASP-8 and CASP-9 through a
177 mitochondrion-dependent pathway [54,55]. Inhibition of CASP, however, does not block viral
178 production and JEV appears to depend on mitochondrial apoptosis [56,57] for pathogenesis. In addition,
179 like WNV, JEV activates CHOP [58] and also employs autophagy [59,60] to mediate the pro-inflammatory
180 cytokine response in neural cells.

181 (iv) Zika Virus (ZIKV)

182 In general, cell death triggered by viruses, can be either immune-mediated or induced via cell
183 autonomous injury once viral infection has altered the expression of pro- and anti-apoptotic proteins. A
184 number of studies have reported apoptosis as a mechanism of cell death in ZIKV-infected NPCs [61] [62].
185 However, apoptosis has also been found in adjacent fetal neural cells without evidence of infection and
186 a similar phenomenon of bystander apoptosis has been reported for human immunodeficiency virus
187 [63], DENV [64] and WNV [65]. The proposed mechanism of bystander apoptosis still remains to be
188 elucidated, however, it is likely that cytotoxic factors released by infected NPCs might damage
189 uninfected NPCs [66]. Furthermore, other non-neural cells such as astrocytes, microglia and
190 lymphocytes could become activated in the brain and release pro-inflammatory cytokines that damage
191 uninfected neurons. The complete picture of the immune response to ZIKV is rather complex and
192 warrants further investigation. Similarly to DENV, however, ZIKV can induce autophagy that, during
193 infection of foetal NPCs, can lead to defective neurogenesis [67]. In addition to caspase-dependent cell
194 death, caspase independent cell death has also been reported to cause cell death in infected cells such
195 as epithelial cells, primary skin fibroblasts and astrocytes [68] *in vitro*. This mode of cell death was
196 explained by extensive vacuolization of the ER, which is the major intracellular site of ZIKV replication
197 [69]. The accumulation of ZIKV vacuoles triggers cell collapse defined as paraptosis, involving
198 cytoplasmic vacuolization, independent of caspase involvement [68].

199 Infection is clearly a complex and multi-faceted event but, one class of cell surface molecules that are
200 present in some form on both mammalian and insect cells and which are coming under increasing
201 scrutiny, are the GAG polysaccharide components of proteoglycans (PGs).

202 PROTEOGLYCAN ROLES IN HOST-VIRUS INTERACTIONS

203 The role of PGs, particularly HSPGs is well-documented as a means of attachment of flaviviruses,
204 including DENV [70-73], YFV [74], JEV [75] and TBE [76-78]. The principal PGs are the four integral
205 membrane syndecans and the six glycosylphosphatidylinositol anchored glypicans. In the syndecans, the
206 HS chains are carried on the ectodomain, tending to maintain them distal from the cell membrane
207 whereas, in the glypicans, the HS chains tend to be adjacent to the plasma membrane. There are also
208 many other proteoglycans, carrying GAG chains, including so called 'part-time' PGs, such as CD-44. The
209 proteoglycans bind and regulate a multitude of proteins in the extracellular matrix (ECM) to control key
210 biological processes including cell proliferation and differentiation, wound repair, host defence
211 mechanisms, as well as responses to stress and inflammation. Other more subtle roles are also now
212 emerging for PGs in viral infection. Recently, it was observed that the addition of exogenous heparin (a
213 GAG polysaccharide with close structural similarity to endogenous HS) to cells infected with ZIKV
214 showed a surprising increase in survival and continued viral replication, shown by high viral load in
215 surviving cells, while control cells had died [79]. While the mechanism behind this phenomenon and the
216 extent to which it reflects *in vivo* physiology remains unknown, the possibility that heparin may play a
217 role as a survival factor in trophoblasts has been raised [80], since it was found to influence several anti-
218 apoptotic pathways, including CASP-3. Furthermore, HSPG has been documented as stimulating TNF- α
219 in murine microglia [81] but, the action of heparin seems unlikely to be through binding to TNF- α [82],
220 which is a master regulator of the inflammation response. It is not known currently whether heparin is
221 reinforcing a mechanism that the virus exploits, in order to prolong cell survival and promote viral
222 persistence, or whether it acts through an unrelated mechanism.

223 A dependency on the HS biosynthetic machinery, in particular on the NDST1 and EXT1 enzymes, has
224 been found using a functional genomics approach for ZIKV infection of HeLa cells [83]. Viral infection
225 results in activation of Toll-like receptor signaling pathways of the innate immune response,
226 sequestration of growth factors, chemokines and cytokines, altered leucocyte adhesion and also
227 influence the behaviour of metalloproteases [84]. The influence of flaviviruses on PG regulation and
228 expression, or the interplay between them, which may exert considerable influence on disease
229 progression, has yet to be explored in detail. Nevertheless, it is noteworthy that the PG, syndecan, is
230 shed in response to stress or damage [85] and, in the case of the bacterium, *Pseudomonas aeruginosa*, is
231 exploited to enhance microbial virulence [86,87]. In addition to the role played by HS in DENV
232 attachment, the NS1 viral glycoprotein that is secreted by infected cells and has been implicated in the
233 disease process, attaches to cell membranes via HS and CS [88]. Thus, GAG components of PGs are
234 involved in several stages of the viral infection process. It will be intriguing to discover the extent to
235 which similar events occur with other flaviviruses. Mechanical stress, which could, under certain strongly
236 inflammatory conditions be relevant to infection, has also been shown to alter PG expression in
237 endothelial cells. The HSPGs contained different polysaccharide (HS) components and exhibited distinct
238 biochemical properties [89]. Stress induced by NO alters the turnover of matrix components [90] which,
239 although recorded for rheumatoid arthritis, may also apply more widely. Interestingly, in the case of
240 infection of endothelial cells by another class of virus, herpes virus, both HSPGs and CSPGs were down-
241 regulated [91].

242 **CONCLUSION**

243 There are several routes available for cell death resulting in varied degrees of longevity and stress on
244 host cells, hence, a variety of mechanisms available for exploitation by the virus. It seems highly likely
245 that the response of cells varies between the causes of the stress to the cells [92] and between different

246 virus types, the viral load, as well as the cell type and its stage in the cell cycle [93]. The response to
247 inflammation can also be complex, as is the case in kidney where some PGs such as agrin and glomerular
248 basement membrane-associated HS levels are decreased, but CD44 is increased [94]. While there are
249 broad similarities between flaviviruses in this regard, particularly between WNV and JEV, which can both
250 induce neural symptoms, it is not yet clear in mechanistic terms, where this propensity originates. A role
251 for GAGs beyond attachment, which itself could explain some facets of tissue tropism of the flaviviruses,
252 in the infection process and cellular responses, is also beginning to emerge. Comparisons between
253 phylogenetically close viruses, such as DENV and ZIKV, will be particularly interesting in this regard. One
254 observation that can be made is that there is little information available concerning PG expression in
255 relevant insect vectors and still less relating the effects of flavivirus infection to PG expression in insects.
256 This represents a significant gap in our knowledge of the life-cycle and infection process of these
257 important viruses.

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491

492 **Figure Legends**

493 **Figure 1.** Main cell death modality. Apoptosis is induced by two independent and connected pathways.
494 The intrinsic pathway provokes mitochondrial stress and cytochromeC activation followed by a cascade
495 of caspase activation that terminates with the activation of CASP3, the executor of apoptosis. The
496 extrinsic pathway is triggered by ligation of death receptors that ultimately also activates CASP3.
497 Independently of caspase activation is autophagy that can be triggered by death receptor ligation and

498 involves lysosome degradation. Pyroptosis is an inflammasome modality of cell death that is mediated
499 by activation of CASP1 and release of the proinflammatory cytokines, IL-1b and IL-18.

500

501 **Figure 2.** The structure of the flavivirus genome. The protein is cleaved co- and post-translationally to
502 form; capsid (C), membrane (M) and envelope (E) structural proteins and also non-structural proteins
503 (NS) -1, 2A, 2B, 3, 4A, 4B and 5.

504

505

Figure 1

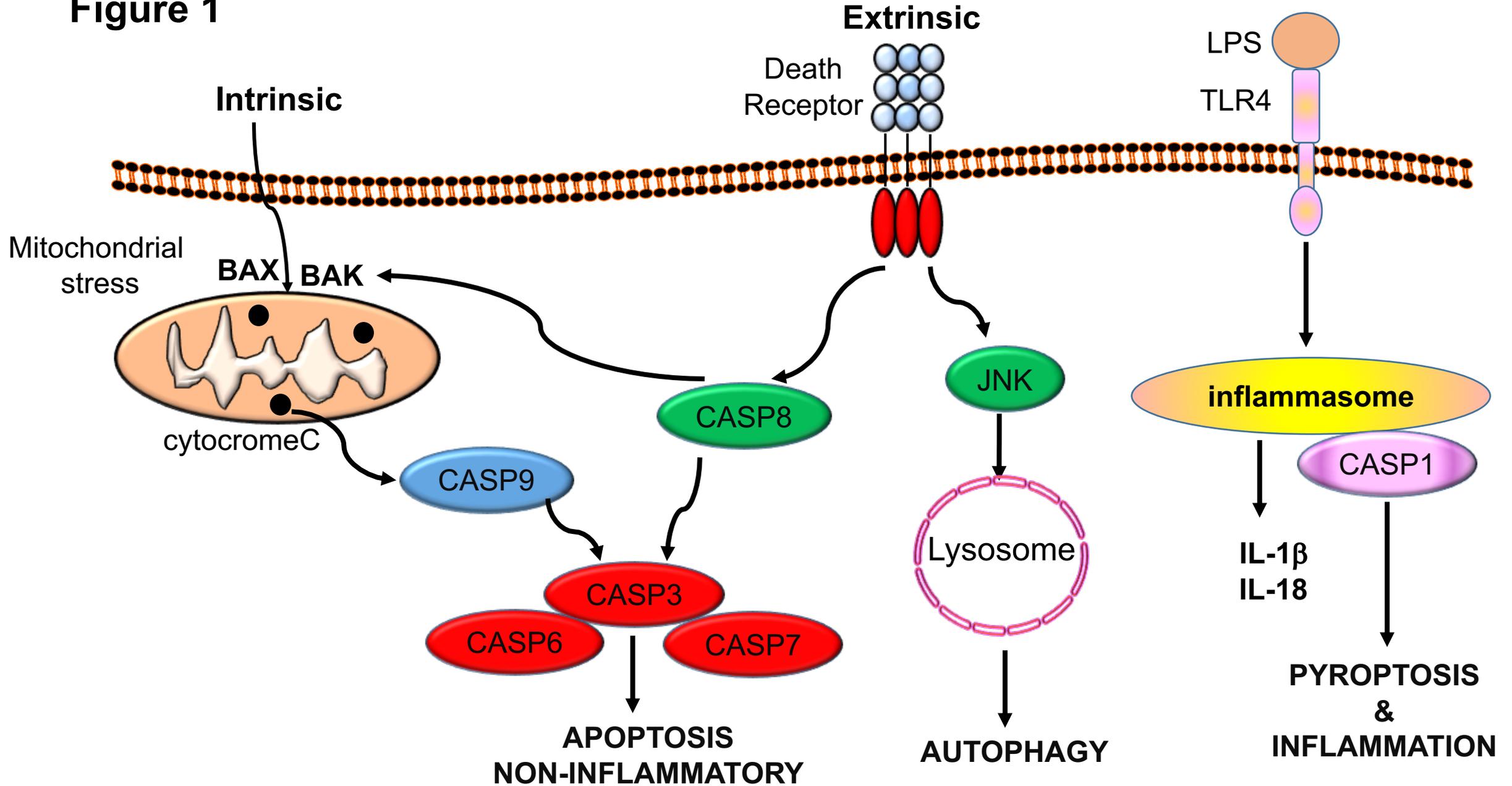


Figure 2

