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Does statin-treated hyperlipidemia affect rotator cuff healing or muscle fatty infiltration after rotator cuff repair?

Running title: Effect of statin-treated hyperlipidemia on rotator cuff repair

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1 **Title of the study:**

2 Does statin-treated hyperlipidemia affect rotator cuff healing or muscle fatty infiltration after
3 rotator cuff repair?

4

5 **Abstract**

6 Purpose: Hyperlipidemia is linked to poor tendon to bone healing and progression of fatty
7 infiltration after rotator cuff repair. Statins effectively treat hyperlipidemia, but it is unknown
8 if they have any potential detrimental effects following rotator cuff repair. The aim of this
9 study was to evaluate the effect of statins on rotator cuff healing and fatty infiltration
10 following repair.

11 Methods: A total of 77 patients undergoing arthroscopic rotator cuff repair were recruited
12 prospectively, 38 patients who were prescribed a statin (statin group) for hyperlipidemia and
13 39 patients not on statin (control group). The patients who did not have both preoperative and
14 one-year postoperative MRI scan were excluded from the study. Patient reported outcome
15 measures, namely the Western Ontario Rotator Cuff index, Constant-Murley score, American
16 Shoulder and Elbow Surgeons score, and Disability of Arm, Shoulder and Hand score were
17 collected preoperatively and at one year. Fatty infiltration was assessed on MRI according to
18 the Goutallier grading preoperatively and at 12 months, rotator cuff healing was assessed at
19 12 months according to the Sugaya classification. Following propensity score weighting to
20 adjust for baseline imbalances, twelve-month outcomes were compared between the two
21 groups.

22 Results: At 12 months, all patient reported outcome measures had improved significantly
23 compared to baseline (WORC, 85.9 vs 32.5, $p<0.001$; ASES, 87.3 vs 37.5, $p<0.001$;
24 Constant, 77 vs 31, $p<0.001$; DASH, 13.6 vs 61.4, $p<0.001$). There was no significant
25 difference in postoperative scores between the two groups (WORC, 84.9 vs 89.6, $p=0.94$;
26 ASES 87.5 vs 86.6, $p=0.40$; Constant, 77 vs 81, $p=0.90$; DASH, 14.4 vs 11.4, $p=0.14$, statin
27 vs control respectively), and in three of these the 95% CIs excluded a clinically meaningful
28 difference. Similarly, rotator cuff healing at 12 months and Goutallier fatty infiltration grades
29 were comparable between the two groups. Retear was seen in six (15.8%) patients in the

30 statin group and eight (20.5%) patients in the control group. Progression of fatty infiltration
31 was seen in four (10.5%) patients in the statin and four (10.3%) in the control group. Statin
32 use did not demonstrate significant association with either retear risk ($P = 0.41$) or
33 progression of fatty atrophy ($P = 0.69$).

34 **Conclusion:** Patient-reported outcomes, rotator cuff retear rate and fatty infiltration on MRI at
35 12 months post rotator cuff repair in patients with hyperlipidemia treated with statins are
36 similar to a control group.

37 **Level of evidence:** Level II; Prospective Cohort Design; Treatment Study

38 **Keywords:** Fatty infiltration; hyperlipidemia; re-tear; rotator cuff repair; statin

39

40

41 Degenerative rotator cuff tears are prevalent in up to 65% of individuals over age 70 years.³⁵
42 The repair process is inherently flawed, with structurally weaker type III collagen at the
43 repair site which commonly results in subsequent re-tear. Degenerative tears are commonly
44 associated with fibrosis and fatty infiltration of the rotator cuff muscles.⁶ The amount of fatty
45 infiltration, as measured with the Goutallier classification,¹⁸ is predictive of poor functional
46 outcome post repair.²⁴

47 Hyperlipidemia is a recognized risk factor for degenerative rotator cuff tear^{1,27} and fatty
48 infiltration of rotator cuff muscles.³⁰ Deposition of lipid as oxidized low-density lipoprotein
49 forms tendon xanthoma,²⁴ resulting in altered mechanical properties, chronic inflammation,
50 and impaired circulation, contributing to the pathogenesis of rotator cuff tear and subsequent
51 poor tendon-bone healing after repair.^{5,9,28,40} In animal models replicating hyperlipidemia, a
52 greater incidence of poor healing or retear^{5,10,14,28} has been reported along with progression of
53 fatty infiltration of the rotator cuff muscles following surgical repair.¹⁰ Likewise, a large
54 population based study has shown higher rate of revision rotator cuff repair in patients with
55 hyperlipidemia.⁸

56 Statins are commonly prescribed cholesterol-lowering medication in hyperlipidemia. They
57 reduce hepatic cholesterol synthesis by inhibiting hydroxy-methyl-glutaryl coenzyme A

58 (HMG-CoA) reductase in the mevalonate pathway. Approximately 10-15% of statin users
59 suffer specific side effects affecting the muscle and tendon.² The more common side effects
60 are tendinopathy and tendon ruptures (mostly in tendoachilles and biceps tendon).^{3,29} At the
61 cellular level, statins lead to increased release of collagenases (matrix metalloproteinases 1
62 and 13) by tendon fibroblasts which are believed to cause disruption of extracellular matrix in
63 the tendons resulting in tendinopathy.¹⁵ At supratherapeutic levels, statin causes imbalance in
64 extracellular matrix components affecting muscle cell viability resulting in more drastic
65 consequences as myopathy and rhabdomyolysis.^{2,12,26}

66 With regards to rotator cuff tear, statins have shown some beneficial effects.^{7,11,27} Dolkart et
67 al¹⁴ studied 48 healthy (non-hyperlipidemic) rats and found enhanced biomechanical
68 properties (maximal load to repair failure and stiffness) of repaired cuff tendon in statin-
69 treated rats compared to saline-treated rats ($P < 0.001$). In contrast, Deren et al,¹³ in their
70 study of 80 healthy (non-hyperlipidemic) rats, found similar strength (maximum load to
71 repair failure) and histology (collagen organization, cellularity, vascularity, cartilage
72 formation, new bony matrix deposition) at the tendon-bone healing site in rats treated with
73 local or systemic statin versus non-treated rats ($P > 0.05$). The results of these contradictory
74 studies make it difficult to draw firm conclusions regarding the effect of statin. Moreover,
75 these animal studies did not study the effect of statin use in a hyperlipidemic environment
76 which is usually the clinical scenario, representing a fundamental the animal model.

77 There are few clinical studies which have investigated the effects of statins in rotator cuff
78 disease. A large population-based study by Lin et al²⁷ studied over a million patients using
79 the National Health Insurance Research Database and demonstrated a reduced risk of rotator
80 cuff disease compared to untreated hyperlipidemic patients. This association is believed to
81 occur because of statin's anti-inflammatory role in tendinopathic tissues, where it reduces the
82 formation of pro-inflammatory compounds such as isoprenoids and matrix metalloproteinase
83 3 and 9.⁷ Statin use correlated with a reduced risk of rotator cuff disease (including calcific
84 tendinitis, biceps tenosynovitis, bursitis, partial rotator cuff tear, sprains and strains of rotator
85 cuff tendon along with full thickness rotator cuff tear) compared to non-statin use (HR 0.44-
86 0.71, $P < 0.001$).²⁷

87 Thus far, statins role in re-tear and fatty infiltration following rotator cuff repair has not been
88 studied in a clinical trial setting. Therefore, this study was undertaken with the aim to
89 evaluate the effect of hyperlipidemia treated by oral statin on re-tear rate and fatty infiltration

90 as assessed by magnetic resonance imaging (MRI) and functional outcomes 12 months post
91 repair.

92

93 **Materials and methods**

94 This was a prospective study carried out at a single institution, investigating isolated postero-
95 superior rotator cuff tears over a period of five years from February 2010 to December 2015
96 (IRB approval: IRAS 27416). All patients undergoing arthroscopic repair of isolated postero-
97 superior full-thickness rotator cuff tear, after failed conservative treatment were recruited to
98 the study in a continuous manner. The strict inclusion criteria was the availability of both pre
99 and 12 months postoperative MRI. The exclusion criteria were patients with partial tear,
100 subscapularis tear, previous shoulder surgery, irreparable tears, rotator cuff tear arthropathy
101 or osteoarthritis, proximal humerus fracture on the operated shoulder and ongoing
102 insurance/compensation claim related to the index shoulder.

103 The patient records including drug charts, general practitioner referral letter and preoperative
104 anesthetic charts, were reviewed. Patients were divided into two groups dependent on
105 whether they were prescribed oral statins. All patients on statins (statin group) were
106 prescribed them in the primary care setting prior to surgical repair for the treatment of
107 hyperlipidemia, based on current National Institute for Health and Care Excellence (NICE)
108 guidelines.³¹ The control group consisted of patients not on statins.

109 The surgery was performed by four fellowship-trained surgeons. Following diagnostic
110 arthroscopy, the size of the rotator cuff tear was recorded and then repaired using a technique
111 (single row or double row or suture-bridge repair) based on the discretion of the operating
112 surgeon. Any concomitant pathologies, such as, long head of biceps tendon pathology,
113 subacromial impingement and acromioclavicular arthritis, were addressed as necessary.
114 Postoperatively, all patients underwent uniform rehabilitation as per established departmental
115 protocols, which included six weeks of immobilization, with strengthening commencing at 3
116 months.

117 All patients had MRI scan using a 3 Tesla, Magnetom Trio scanner (Siemens, Erlangen,
118 Germany). MRI was performed within three months prior to surgery and then repeated at one

119 year following repair. The MRI was assessed by two musculoskeletal trained radiologist for
120 fatty infiltration of the rotator cuff muscles using Goutallier grade¹⁸ and rotator cuff healing
121 at the tendon-bone interface as per the Sugaya classification.³⁶ Sugaya grade 4 (minor
122 discontinuity as small full thickness tear) and 5 (major discontinuity as medium to large full
123 thickness tear) were considered as failure of tendon healing and re-tear. Progression of fatty
124 infiltration was noted if there was progression of Goutallier grade following repair.

125 Functional outcome of all patients was assessed using the Western Ontario Rotator Cuff
126 (WORC) index, Constant-Murley score, American Shoulder and Elbow Surgeons (ASES)
127 score, and Disability of arm, shoulder and hand (DASH) score, both at baseline and at one
128 year post repair. The minimum clinically important difference (MCID) of each score was:
129 WORC 15,¹⁶ Constant-Murley 10.4,²⁵ ASES 15.5,²² and DASH 16.3.³⁸ The surgeons
130 performing the surgery, outcome assessors and the radiologist were blinded to the patient's
131 groups.

132 *Statistical analysis*

133 The distribution of continuous variables was assessed using QQ-plots and non-parametric
134 methods were used where appropriate. The baseline characteristics of the two groups were
135 compared using two-tailed t-tests for continuous variables and chi-square/Fisher Exact test
136 for categorical variables. The standardized mean difference (SMD) was determined for each
137 baseline variable (covariate), and any difference above 10% was considered to indicate a
138 meaningful imbalance.⁴ If the imbalance was above 10%, propensity score matching using a
139 covariate balancing approach²⁰ was used to compare the 12-months patient-reported and MRI
140 outcomes. The adjusted baseline covariate balance and the adjusted difference in 12-month
141 outcomes between the two groups were estimated using inverse probability treatment
142 weighting (IPTW), where the inverse of the propensity scores was used as sampling weights.⁴
143 An SMD between the covariates above 10% for the weighted samples was assumed to
144 indicate a meaningful remaining covariate imbalance and handled as described below.^{4,32}

145 The improvement in patient reported outcomes post-surgery for the entire patient
146 population was analyzed using Wilcoxon signed rank tests. Between the two groups, the
147 continuous outcomes were compared using weighted quantile regression models, binary
148 outcomes compared using weighted Poisson regression and ordinal outcomes compared using
149 weighted proportional odds models. All covariates with an imbalance above 10% in the

150 weighted samples were included in these analyses as covariates, an approach known as
151 “doubly robust”.¹⁶ The Goutallier classification of fatty infiltration might have a higher value
152 in hyperlipidemia patients, even after statin treatment, and could therefore correlate with
153 statin treatment. If a covariate correlates better with treatment than with outcome, its
154 inclusion in the analysis could introduce bias.⁴ We therefore also performed a sensitivity
155 analysis by omitting the Goutallier classification as a covariate and repeating the re-tear risk
156 calculation. The results of the proportional odds model were reported as the probability of
157 ordinal superiority (POS), the chance that a patient in the treatment group has a higher
158 ordinal score than a patient in the control group. In all analyses, robust “sandwich” estimators
159 of variance were used.⁴ A two-sided p-value below 0.05 was assumed to denote statistical
160 significance. The differences in patient-reported outcomes between the two groups was
161 interpreted by comparing their 95% confidence intervals (95% CIs) to their MCID. If the
162 MCID fell outside the 95% CI, the difference was deemed not important. All statistical
163 analyses were performed using R vs 4.0.2 and the packages CBPS, cobalt, ltest and rms.

164

165

166 **Results**

167 A total of 89 eligible patients were recruited; 12 patients were excluded due to unavailability
168 of postoperative MRI. A total of 77 patients formed the final study group of which 38 used
169 statins. None of the patients were lost to follow-up. The group demographics and other
170 preoperative parameters are shown in Table 1. The two groups were poorly balanced for all
171 but two baseline variables (ASES and Constant score), with imbalances up to 62% of their
172 SD (Fig 1). All imbalances apart from the WORC index were adequately corrected by using
173 inverse propensity score weighting (Fig 1). Since the remaining imbalance of 13% in the
174 baseline WORC score was above 10%, this score was used as a covariate in all further
175 analyses. The effective sample sizes of the two weighted samples were calculated as 38 for
176 the statin group and 26 for the control group.

177 Additional procedures were performed in both groups with no significant difference: biceps
178 tenotomy (15 in statin, 17 in control); biceps tenodesis (six in statin, eight in control);

179 subacromial decompression (25 in statin, 23 in control); acromio-clavicular joint excision
180 (three in statin, two in control group) ($P > 0.05$).

181 The postoperative MRI demonstrated an overall re-tear rate (Sugaya grade 4 and 5) of 14/77
182 (18%, 95%CI 11-28%). There were six (15.8%) re-tears in the statin and eight (20.5%) in the
183 control group (Fig 2), with no evidence for a difference in re-tear risk between the groups
184 (RR=0.65, 95%CI 0.24-1.8, $P = 0.41$) (Table 2). This was reflected in the comparison of
185 Sugaya grades, which suggested that the balance of probabilities was tilted favorably towards
186 the statin group with patients using statins having a 38% chance (95%CI 28-52) to have
187 worse repair integrity, or in other words 62% chance to have better repair integrity than
188 patients not using statins (Table 2).

189 There was no significant difference in postoperative fatty infiltration between the two groups
190 ($P = 0.24$) (Table 2). None of the patients in either group had reversal of fatty infiltration.
191 However, progression of fatty infiltration following surgery was seen in four (10.5%) patients
192 in the statin and four (10.3%) patients in the control group. No evidence was found for a
193 significant difference between the two groups ($P = 0.52$) (Fig 3).

194 The preoperative Goutallier classification showed a large imbalance between the two groups,
195 indicating it correlated well with treatment (Table 1). On repeating the propensity score
196 analysis while excluding the Goutallier classification, the remaining imbalances were similar
197 to those found in the full analysis, with only the WORC more than 10% out of balance.
198 However, the imbalance in the Goutallier classification remained high post repair. The
199 relative re-tear risk calculated in this way was close to that from the full analysis (0.78,
200 95%CI 0.28-2.20), as was the probability of a higher Sugaya grade (42%, 95%CI 28-56%).

201 None of the four postoperative patient-reported outcomes were distributed normally.
202 Compared to baseline, all patient-reported outcomes improved significantly when analyzed
203 for the whole group (Table 3). For each score, the lower 95% confidence levels of the
204 difference in medians was at least twice the MCID, suggesting a clinically meaningful
205 improvement for all scores. No evidence was found for a difference in postoperative patient-
206 reported outcomes between the two groups (Table 2). The 95% confidence interval of the
207 differences in outcome excluded the MCID for two scores (ASES and DASH) and was very
208 close to the MCID for one (Constant). Only for the WORC index, a clinically important
209 difference between the two groups could not be excluded.

210 Discussion

211 This is the first prospective clinical study to evaluate the effect of hyperlipidemia treated by
212 oral statin on re-tear rate, fatty infiltration and clinical outcome following rotator cuff repair.
213 The most important finding of this study is that hyperlipidemia treated by oral statin is
214 unlikely to adversely affect the integrity of the repair or the clinical outcome following
215 rotator cuff repair. Moreover, it was found that if statin use would influence the patient-
216 reported outcomes, its influence is likely to be below the MCID on three of the four scores
217 which were investigated. If statin use would influence repair integrity, then most likely the
218 effect as measured by Sugaya grade is beneficial.

219 MRI at 12 months revealed similar re-tear rates in the statin-treated hyperlipidemia group
220 versus a non-statin non-hyperlipidemia control group. The results contradict the study of
221 Garcia et al¹⁷ who evaluated 86 rotator cuff repairs with ultrasound (US) at six months post-
222 surgery. They found significantly high odds of partial and full thickness re-tear in statin-
223 treated hyperlipidemia patients (45.5%) in comparison to non-hyperlipidemia patients
224 (11.3%) [OR 6.5, $P < 0.001$]. The difference in re-tear risk between this study cannot be
225 explained by the different diagnostic techniques (US versus MRI), which have comparable
226 diagnostic accuracy.³⁴ More likely explanations are the nature of the studies or the influence
227 of confounding factors. Garcia et al¹⁷ was a retrospective study involving a subpopulation of
228 85 out of 401 (21%) rotator cuff repair patients who had postoperative ultrasound, a sampling
229 method that may have led to selection bias. Our prospective study on the other hand involved
230 a planned postoperative MRI, and therefore included a large proportion (77/89 or 87%) of our
231 patients, reducing the risk of selection bias. With respect to confounding factors, Garcia et
232 al¹⁷ did not attempt to account for them by balancing the two groups or by including known
233 confounders as covariates in their analyses. Instead, it reported that none of six potential
234 confounders had a statistically significant influence on re-tear rates, implying that this would
235 justify a direct comparison between the two study groups. However, statistical significance is
236 a poor criterion when deciding whether adjusting for confounders is needed.²¹ Our study used
237 propensity score weighting to balance the two groups for all measured confounders,
238 increasing the probability that we assessed the true effect of statin-treated hyperlipidemia.
239 An unaddressed confounder by Garcia et al¹⁷ is the time between surgery and imaging
240 follow-up. The mean time to follow-up was 7 months, with a range of 4-9 months. However,
241 the critical period for re-tear extends to 6 months,³³ suggesting the scans prior to six months

242 may have introduced error. Unfortunately, no information on the timing of scanning in the
243 two groups was provided and therefore its potential effect cannot be assessed. In our
244 prospective study, all MRI scans were performed at 12 months postoperatively, ensuring it
245 would capture almost all re-tears.

246 Cancienne et al⁸ reported outcomes of 30,638 patients following rotator cuff repair using an
247 insurance-based database of patient records. They found increased revision surgery rates in
248 patients with hyperlipidemia than in patients without hyperlipidemia (OR 1.59, 95%CI 1.17-
249 2.16, $P = 0.020$). However, the revision rate in hyperlipidemic patients treated with statins
250 was very similar to that in healthy patients (OR 0.91, 95%CI 0.69-1.20, $P = 0.501$). Although
251 rotator cuff re-tears have an adverse effect on clinical outcome,³⁹ they can be largely
252 asymptomatic and therefore do not necessarily require surgery.^{23,33} The revision rates
253 reported therefore might still hide a difference in re-tear rates given no post-surgical imaging
254 was performed. The results from the current study would suggest this is not the case.

255 Following surgery, patients in both groups reported a significant and clinically important
256 improvement in all four patient reported scores, with a magnitude of at least twice the
257 minimum clinically important difference (MCID) based on the lower 95% confidence limits.
258 The clinical outcomes post-surgery were comparable between the two groups, with 95%
259 confidence intervals or “plausible ranges” suggesting that this study excludes any differences
260 larger than the MCID for three of the four outcomes. Our finding of equivalent functional
261 outcomes matches the findings from a recent retrospective study by Zeng et al⁴¹ investigating
262 the outcome of rotator cuff repair in 266 patients with hyperlipidemia treated with statins.
263 They also found no evidence for a difference in functional outcome up to 24 months after
264 surgery, and suggested that a well-designed prospective studies focused on concurrently
265 assessing clinical and radiological postoperative outcomes would be useful to validate their
266 finding. The results of this study do indeed substantiate their findings and that there is no
267 detrimental effect of statin-treated hyperlipidemia on clinical outcome.

268 Finally, we assessed the effect of statin-controlled hyperlipidemia on fatty infiltration. At
269 baseline, we found no significant difference between the two groups in fatty infiltration levels
270 as assessed by the Goutallier classification, but this classification did show the largest
271 imbalance between the two groups among all baseline variables. Hence, although statin might
272 reduce hyperlipidemia-induced fatty infiltration, some difference with our control group
273 probably remained. Following repair, similar levels and similar progression of fatty

274 infiltration between the two groups was found. The effect of hyperlipidemia on fatty
275 infiltration after administrating statins has so far only been investigated in animal models.
276 Davis et al¹¹ induced a rotator cuff tear in healthy (non-hyperlipidemic) rats and measured
277 lipid content in rotator cuff muscle four weeks later. They found similar total triglyceride
278 levels between statin treated and non-treated rats, and concluded that statin does not prevent
279 fatty infiltration in a normal lipid environment. Conversely, Chung et al¹⁰ reported
280 progression of fatty infiltration after rotator cuff repair in rabbits who were exposed to
281 persistent hyperlipidemia, however statin treatment led to lower fat-to-muscle proportion and
282 better tendon-to-bone interface with less fat interposition compared to rabbits on high
283 cholesterol diet without statin. This supported the protective role of statin against fatty
284 infiltration in the presence of hyperlipidemia. Taken together, the results of the present study
285 and the animal studies suggest that statin treatment of hyperlipidemia has a potential role in
286 preventing further fatty infiltration of the repaired rotator cuff.

287 The amount of fatty infiltration might be higher in hyperlipidemia patients, despite being
288 treated with statins, and therefore correlates with the group membership in our study. We did
289 indeed find that the Goutallier classification showed the highest amount of imbalance among
290 the covariates in our study despite similar tear size. Including such “instrumental variables”
291 in the analysis might introduce bias.⁴ However, excluding the Goutallier classification from
292 our analyses gave only minor changes to the calculated re-tear risk and Sugaya score. This
293 sensitivity analysis therefore indicates that the Goutallier classification did not bias our
294 results.

295 This study is not without limitations. One limitation was a lack of untreated hyperlipidemic
296 patients in our cohort, which could have served as an extra control group to compare with the
297 two current groups. However, considering the higher re-tear risk and fatty infiltration levels
298 in hyperlipidemia patients^{10,28,30} and the secondary effects of hyperlipidemia on the
299 cardiovascular system, there are ethical issues in trying to construct a positive control group.
300 Secondly, serum lipid levels were not measured prior to the study. Including them in the
301 analysis might have allowed to achieve a better balance between the groups, for instance by
302 accounting for possible undiagnosed cases of hyperlipidemia in the control group or
303 treatment failure in the statin group. We did not measure serum lipid because studying the
304 effect of statins was the original objective of this cohort study. A full lipid profile is normally
305 ordered by general practitioners before prescribing statins as part of the primary and

306 secondary prevention of cardiovascular diseases (CVD) in hyperlipidemia patients.³¹ The
307 primary prevention is undertaken in all patients over 40 years of age with altered lipid profile
308 and more than 10% risk of developing CVD based on QRISK3 tool.¹⁹ However, this data is
309 not routinely collected before rotator cuff surgery. Thirdly, there is a possibility of
310 performance bias in this study due to the different repair techniques used by different
311 surgeons (single or double row or suture bridge repair).

312 A final limitation concerns the sample size in the current study. The sample size was based
313 around a continuous outcome, namely the need to demonstrate a difference in functional
314 outcome of at least the MCID. And indeed, the sample size was large enough to demonstrate
315 that the differences in functional outcomes from statin-treated hyperlipidemia were highly
316 likely smaller than the MCID. However, studies using binary outcomes such as re-tear risk
317 require larger sample sizes.³⁷ Although we demonstrated that the relative re-tear risk in statin-
318 treated hyperlipidemia patients is unlikely above 1.8 times that in control patients, surgeons
319 might want more reassurance. However, even a study powered to demonstrate that the
320 relative risk is unlikely to be more than 1.5 (or the odds ratio below 1.7) would already need
321 412 patients in each group. This calculation assumes a 5% alpha error rate, 80% power, and
322 identical re-tear risks of 18% in both groups. Clearly, such a study would have to be
323 multicentral and rely on strict MRI or ultrasound follow-up. Such larger studies could
324 confirm our findings and narrow down our risk estimates. On the other hand, when using the
325 full Sugaya grading system instead of its dichotomized version, the balance of probabilities
326 indicated that statin-treated hyperlipidemia patients had a better repair integrity at 12 months.
327 We consider this a finding worth of further investigation, and the required sample size would
328 be much smaller than 824 patients.

329

330 **Conclusion**

331 The results support the role of statin in reversing the adverse effect of hyperlipidemia on the
332 results of rotator cuff surgery. In this study, statin treatment in patients with hyperlipidemia
333 patients reduced re-tear rates and progression of fatty infiltration to similar rates found in
334 patient without hyperlipidemia, suggesting a positive influence of statin in hyperlipidemia
335 patients who need rotator cuff repair. Moreover, statin-treated hyperlipidemia patients and

336 non-hyperlipidemia control patients had no clinically important difference in three of the four
337 clinical outcomes we investigated.

338

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472 **Figure Legends**

473 **Figure 1:** Balance of baseline characteristics (covariates) in unadjusted and adjusted sample.
474 The imbalance of each characteristic was characterized by its SMD (standardized mean
475 difference). The two dashed vertical lines represent the 10% criterion denoting meaningful
476 imbalance.

477 **Figure 2:** MRI T2 FS coronal image showing full-thickness tear in supraspinatus tendon
478 (Sugaya grade 5) following repair in a patient in the statin group

479 **Figure 3:** MRI T1 sagittal image showing fatty infiltration in supraspinatus (A) Goutallier
480 grade 1 before surgery; (B) Goutallier grade 2 after surgery for a patient in the statin group

481

482 **Table Legends**

483 **Table 1:** Demographic and baseline clinical characteristics

484 **Table 2:** Unadjusted (“raw”) outcomes (12 months postoperative) for the two study groups
485 and adjusted differences between them

486 **Table 3:** Overall patient-reported outcomes in the whole study population

Characteristics		Statin	Control	<i>P</i> value	SMD
N		38	39		
Age (years)		61.7 (6.6)	60.2 (7.4)	0.35	0.215
Sex	F	15 (39.5%)	20 (51.3%)	0.42	0.239
	M	23 (60.5%)	19 (48.7%)		
Tear size (mm)		23.2 (12.7)	21.0 (13.2)	0.47	0.167
Repair types	Single-row	7 (19.4)	12 (31.6)	0.49	0.281
	Double-row	8 (22.2)	7 (18.4)		
	Suture-Bridge	21 (58.3)	19 (50.0)		
Goutallier	0	5 (13.2%)	9 (23.1%)	0.064	0.616
	1	26 (68.4%)	28 (71.8%)		
	2	7 (18.4%)	1 (2.6%)		
	3	0 (0.0%)	1 (2.6%)		
	4	0	0		
Clinical outcome scores	WORC	29.7 (15.5)	35.8 (15.2)	0.087	0.395
	ASES	37.9 (20.0)	38.8 (16.3)	0.84	0.046
	Constant	33.3 (18.9)	34.7 (18.7)	0.76	0.071
	DASH	62.3 (15.0)	54.9 (18.7)	0.064	0.435

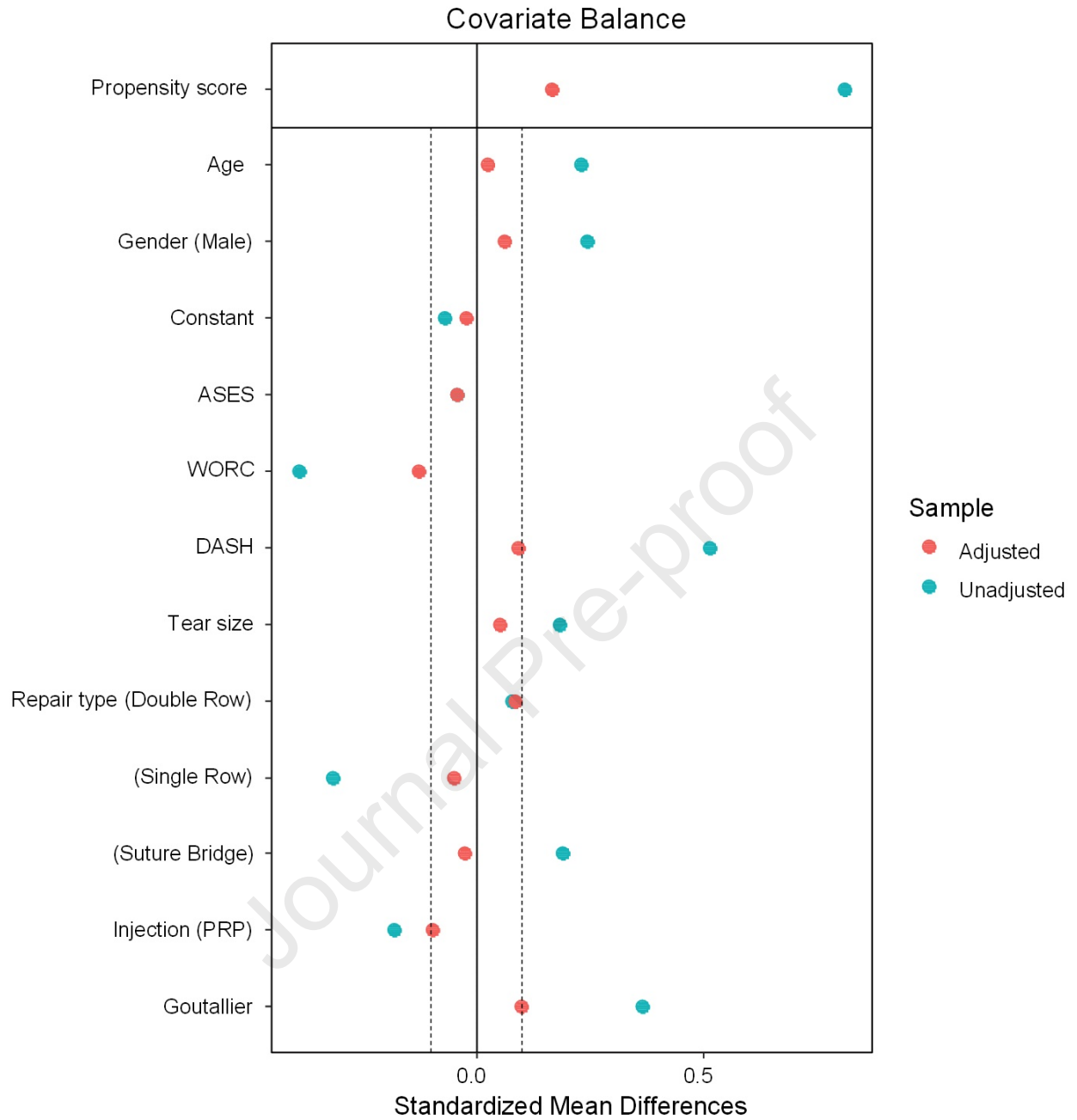
Notes: Continuous variables reported as means (SD), categorical variables reported as number (%). *P* values based on 2-tailed t-tests for continuous variables and chi-squared tests or Fisher's exact test (Goutallier) for categorical variables. WORC, Western Ontario Rotator Cuff index; ASES, American shoulder and elbow score; DASH, Disability of arm, shoulder and hand score; n, Number of patients; SMD, Standardised Mean Difference.

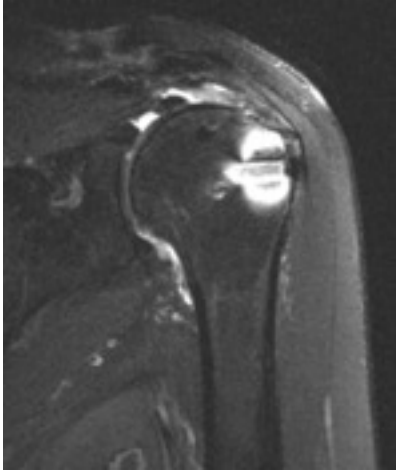
Outcome	Level	Statin	Control	Adjusted difference, RR or POS (95% CI)	P value
Cuff healing	Normal	32 (84.2)	31 (79.5)	0.65 (0.24 to 1.80)	0.41
	Re-tear	6 (15.8)	8 (20.5)		
Sugaya grade	1	17 (45.9)	12 (30.8)	0.38 (0.25-0.52)	0.098
	2	10 (27.0)	14 (35.9)		
	3	4 (10.8)	5 (12.8)		
	4	3 (8.1)	2 (5.1)		
	5	3 (8.1)	6 (15.4)		
Goutallier classification	0	4 (10.5)	7 (17.9)	0.59 (0.44-0.72)	0.24
	1	24 (63.2)	28 (71.8)		
	2	9 (23.7)	2 (5.1)		
	3	1 (2.6)	2 (5.1)		
	4	0	0		
Fatty infiltration	Same	34 (89.5)	35 (89.7)	1.59 (0.39-6.4)	0.52
	Progression	4 (10.5)	4 (10.3)		
WORC		84.9 (56.2-95.2)	89.6 (70.9-95.7)	-0.7 (-19.1 to 6.0)	0.94
ASES		87.5 (64.6-94.9)	86.6 (63.3-96.7)	-3.5 (-9.9 to 4.1)	0.40
Constant		77 (62-83.5)	81 (66.5-86.5)	-0.5 (-10.6 to 4.4)	0.90
DASH		14.4 (5.1-33.7)	11.4 (2.3-20.3)	8.1 (-0.6 to 12.9)	0.14

Notes: Categorical variables reported as number (%) and their differences as RR (relative risk) or POS (probability of ordinal superiority) (95%CI). Continuous outcomes (WORC, ASES, Constant, DASH) reported as medians (IQR) and their differences as medians (95%CI). Adjusted differences, 95%CI and P values based on weighted quantile regression models for continuous outcomes, weighted Poisson regression models for binary outcomes and weighted proportional odds models for ordered-categorical outcomes, with weights determined from inverse propensity scores. WORC, Western Ontario Rotator Cuff index; ASES, American shoulder and elbow score; DASH, Disability of arm, shoulder and hand score.

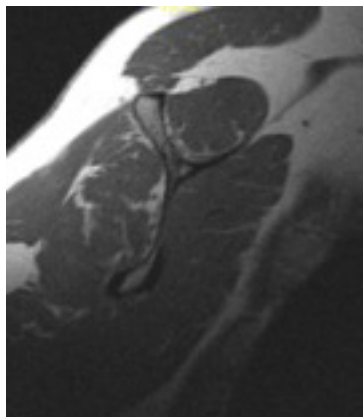
Outcome	Baseline		12 Months		Difference (95% CI)	P value	MCID
	Median (IQR)	Range	Median (IQR)	Range			
WORC	32.5 (20.1-42.9)	4.2-72.4	85.9 (62.4-95.4)	0-100	45.0 (38.1-51.8)	< 0.001	15
ASES	37.5 (24.3-50.8)	3.3-88.3	87.3 (64.2-96.6)	8.3-100	40.2 (32.6-48.0)	< 0.001	15.5
Constant	31 (20-49)	2-78	77 (65-85)	8-100	39.0 (33.0-44.8)	< 0.001	10.4
DASH	61.4 (47.5-70.4)	27.3-70.4	13.6 (4.5-27.3)	0-81.8	-39.3 (-44.8—34.0)	< 0.001	16.3

Notes: *P* values based on Wilcoxon signed-rank test. The 95% CIs of the difference in medians are non-parametric intervals. WORC, Western Ontario Rotator Cuff index; ASES, American shoulder and elbow score; DASH, Disability of arm, shoulder and hand score; MCID, Minimum Clinically Important Difference.

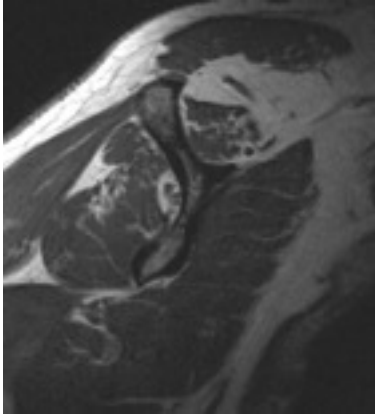




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