

Systemic peptide-mediated oligonucleotide therapy improves long-term survival in spinal muscular atrophy

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The development of antisense oligonucleotide therapy is an important advance in the identification of corrective therapy for neuromuscular diseases, such as spinal muscular atrophy (SMA). Because of difficulties of delivering single-stranded oligonucleotides to the CNS, current approaches have been restricted to using invasive intrathecal single-stranded oligonucleotide delivery. Here, we report an advanced peptide-oligonucleotide, Pip6a-morpholino phosphorodiamidate oligomer (PMO), which demonstrates potent efficacy in both the CNS and peripheral tissues in severe SMA mice following systemic administration. SMA results from reduced levels of the ubiquitously expressed survival motor neuron (SMN) protein because of loss-of-function mutations in the *SMN1* gene. Therapeutic splice-switching oligonucleotides (SSOs) modulate exon 7 splicing of the nearly identical *SMN2* gene to generate functional SMN protein. Pip6a-PMO yields SMN expression at high efficiency in peripheral and CNS tissues, resulting in profound phenotypic correction at doses an order-of-magnitude lower than required by standard naked SSOs. Survival is dramatically extended from 12 d to a mean of 456 d, with improvement in neuromuscular junction morphology, down-regulation of transcripts related to programmed cell death in the spinal cord, and normalization of circulating insulin-like growth factor 1. The potent systemic efficacy of Pip6a-PMO, targeting both peripheral as well as CNS tissues, demonstrates the high clinical potential of peptide-PMO therapy for SMA.

spinal muscular atrophy | survival motor neuron | antisense oligonucleotide | splice switching oligonucleotide | cell-penetrating peptide

Spinal muscular atrophy (SMA), a leading genetic cause of infant mortality primarily due to lower motor neuron degeneration and progressive muscle weakness, results from loss of the ubiquitous survival motor neuron 1 gene (*SMN1*) (1, 2). Humans have a second nearly identical copy, *SMN2*, that differs from *SMN1* by a crucial nucleotide transition within exon 7 leading to the predominant generation of an alternative exon 7-excluded transcript and only marginally functional protein (3–7). *SMN2* therefore fails to compensate for loss of *SMN1* unless sufficient copies are present to generate functional levels of full-length SMN protein (2).

A rational, gene therapy-based approach for SMA uses single-stranded antisense splice-switching oligonucleotides (SSOs) to enhance *SMN2* pre-mRNA exon 7 inclusion via steric block of splice regulatory pre-mRNA elements (8). Targeting the intron splice silencer N1 (ISS-N1) site within intron 7, by deletion or SSO-mediated splice switching, improves exon 7 inclusion (9, 10). ISS-N1-targeted SSOs used to treat presymptomatic severely affected neonatal SMA mice, via systemic or intracerebroventricular administration, extend survival from 10 to >100 d (11, 12). Although SSO targeting to the CNS is essential, there is also evidence for a peripheral role for the SMN in SMA (13–24).

Although SSO therapy is currently at an advanced stage of development and one of the most promising approaches for SMA, a major challenge is efficient delivery. The current generation of SSOs does not cross the blood–brain barrier and must be administered by repeated intrathecal injection. Although this mode of

administration appears to be safe, nearly a third of treated patients experience the typical side effects associated with lumbar puncture, and patients who develop scoliosis, which frequently occurs in SMA, pose additional challenges associated with lumbar puncture (25). To improve delivery of neutrally charged SSOs dramatically, we have developed an advanced phosphorodiamidate oligomer (PMO) internalizing peptide (Pip) peptide delivery technology. Pip peptides are covalently conjugated (26, 27) and capable of SSO delivery to a variety of adult tissues, including liver, kidney, skeletal muscle, diaphragm, and heart (26, 28–30). Here, we report that a highly active peptide, Pip6a, directly conjugated to a morpholino PMO permits highly efficient systemic delivery, which enhances bodywide SMN expression, including in brain and spinal cord; rescues the phenotype; and dramatically prolongs the life span of severe SMA mice. These data demonstrate powerful SMA disease modification by peptide-PMO therapy, a benefit that could be extended to many other neurodegenerative disorders (8, 31, 32).

Results

Systemic Pip6a-PMO Treatment of Severe SMA Mice Rescues the Disease Phenotype and Enhances Survival. Pip6a, our lead delivery peptide (28, 29), was directly conjugated to a 20-mer PMO sequence targeting the ISS-N1 element of *SMN2* intron 7 (12). We evaluated the efficacy of Pip6a-PMOs in vitro using the neuroblastoma cell line SH-SY5Y, which resulted in a significant

Significance

Splice-switching oligonucleotide (SSO) treatment in spinal muscular atrophy (SMA) has quickly become a clinical reality, but without an effective delivery system, the practicalities of delivering SSO therapy efficiently might preclude its widespread use. Our peptide-conjugated SSOs are being designed for clinical trials for the treatment of Duchenne muscular dystrophy. Here, we report advanced phosphorodiamidate oligomer (PMO) internalizing peptide (Pip) peptides that effectively deliver SSOs bodywide and at doses an order-of-magnitude lower than required by naked SSOs in a mouse model of SMA. Furthermore, our peptide-SSO is able to deliver to the CNS of adult mice. This study thus presents an oligonucleotide showing activity in the CNS following a systemic route with peptide delivery.

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comparison using a low dose of compounds, Pip6a-PMO was clearly better able to correct the SMA phenotype over naked PMO-treated mice.

Pip6a-PMO Treatment Demonstrates Dose-Dependent Phenotypic Rescue and Enhanced Survival. As established above, pups treated with a single dose of 10 $\mu\text{g/g}$ of Pip6a-PMO survived a median of 167 d. We next evaluated lower doses of Pip6a-PMO, demonstrating that survival of treated pups was dose-dependent. Untreated mice survived a median of 12 d, whereas a single dose of 5 $\mu\text{g/g}$ of Pip6a-PMO improved median survival to 55 d (Fig. 2A and Table S1). Furthermore, Pip6a-PMO-treated animals receiving a single 2.5- $\mu\text{g/g}$ dose survived a median of 33 d, whereas no increase in survival was recorded for pups treated with a 1- $\mu\text{g/g}$ dose (Table S1). Reduced doses of 2.5 and 5 $\mu\text{g/g}$ of Pip6a-PMO still improved weight, muscle strength, movement, and coordination over untreated SMA pups (Fig. S3). Delayed onset of peripheral ear and tail necrosis, a characteristic pathological finding in this model, was also found to be dose-dependent (Table S2).

Several seminal studies have reported that using two doses of SSOs confers stronger phenotypic improvements than single doses (11, 43, 44). We therefore treated severe SMA pups at PND0 and PND2 with our most effective doses of Pip6a-PMO (5 and 10 $\mu\text{g/g}$), as well as 10 $\mu\text{g/g}$ of Pip6a-PMO scrambled and 10 $\mu\text{g/g}$ of naked PMO. Two doses of scrambled Pip6a-PMO showed no improvement on survival (Table S1). Median survival of pups treated with two doses of naked PMO was improved over single-dose treatment (median survival of 54 d and 11.5 d, respectively) (Table S1). The second dose of naked PMO also moderately improved weight and motor function (Fig. S4). By contrast, all mice treated with two doses of 5 or 10 $\mu\text{g/g}$ of Pip6a-PMO survived at least 200 d, with median survivals of 283 and 457 d, respectively, the greatest life span extension published for any SSO-based therapy to date (Fig. 2B and Table S1). Importantly, doubling the doses did not generate a toxic response in these pups. Indeed, analysis of serum markers for liver and kidney toxicity revealed no differences between treated and untreated SMA pups 7 d postadministration (Fig. S5).

Early assessment of phenotypes after two doses of 5 and 10 $\mu\text{g/g}$ of Pip6a-PMO in SMA pups showed an overall improvement over untreated pups (Fig. S6). Pre- and postweaning weights for Pip6a-PMO-treated animals were greater than for untreated groups, yet never reaching the pre- and postweaning weights of unaffected littermates (Fig. S6A and B). Response to negative geotaxis was similar between unaffected littermates and Pip6a-PMO-treated pups, with the majority of treated pups completing the task by day 8. A much smaller percentage of untreated SMA pups were able to complete the task, even by day 12 (Fig. S6C). Hind-limb strength of Pip6a-PMO-treated pups was also similar to unaffected littermates (Fig. S6D).

To elucidate molecular efficacy, SMN mRNA expression and protein expression were determined in treated tissues (Fig. 2C and D). Spinal cord, brain, lower limb skeletal muscle, heart, and liver were harvested at PND7, and *FLSMN2* transcripts were analyzed by quantitative PCR (qPCR), normalized to total *SMN2* expression, whereas total SMN protein expression was determined by Western blot. A dose-dependent increase of *FLSMN2* mRNA as well as SMN protein was observed in nearly all tissues of mice treated with Pip6a-PMO. These results demonstrate that the efficacy of Pip6a-PMO is dose-dependent and improves with multiple administrations.

Pip6a-PMO Improves NMJ Structure and Innervation. We previously described rescue of NMJ pathology in pups treated with 10 $\mu\text{g/g}$ of Pip6a-PMO. To understand further the dose-dependent improvement on phenotype of Pip6a-PMO-treated animals, we analyzed the innervation and endplate area of NMJs from the TVA of pups treated with the reduced dose of 5 $\mu\text{g/g}$ compared with 10 $\mu\text{g/g}$ of Pip6a-PMO (Fig. 3). Severe SMA mice have greater numbers of partially or fully denervated NMJs (45). A single dose of 10 $\mu\text{g/g}$ rescued NMJs to normal levels of innervation, and NMJs from pups treated with 5 $\mu\text{g/g}$ of Pip6a-PMO also showed an improvement over untreated pups (Fig. 3B). Similarly, postsynaptic endplate areas were significantly greater in both treatment groups compared with SMA-affected mice (Fig. 3C). The extent of NMJ phenotypic correction therefore nicely correlates with life span extension and Pip6a-PMO concentration.

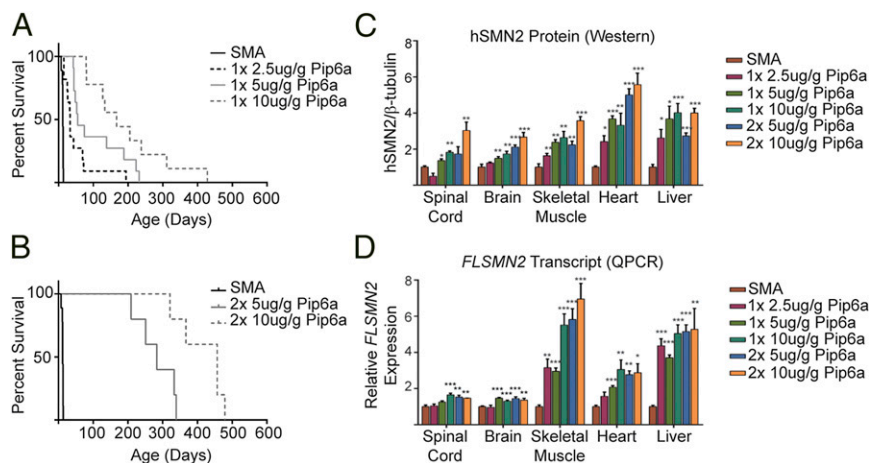


Fig. 2. Dose-dependent Pip6a-PMO restoration of phenotype. (A) Survival curves for untreated severe SMA mice ($n = 9$) and for pups treated with a single dose (PND0) of 2.5 $\mu\text{g/g}$ of Pip6a-PMO ($n = 11$), 5 $\mu\text{g/g}$ of Pip6a-PMO ($n = 11$), or 10 $\mu\text{g/g}$ of Pip6a-PMO ($n = 9$). All administrations of Pip6a-PMO resulted in statistically significant improvement of survival over untreated SMA mice ($P \leq 0.0001$, log-rank Mantel-Cox test). (B) Survival curves for untreated severe SMA mice ($n = 9$) and two doses (PND0/2) of 5 $\mu\text{g/g}$ ($n = 5$) and 10 $\mu\text{g/g}$ of Pip6a-PMO ($n = 5$). Both administrations with Pip6a-PMO resulted in statistically significant improvement of survival over untreated SMA mice ($P \leq 0.0001$, log-rank Mantel-Cox test). (C) Protein expression of SMN in tissues following single PND0 (1x) or double PND0 and PND2 (2x) administration of Pip6a-PMO. SMN protein was analyzed with Western blots for human SMN and mouse β -tubulin. SMN expression of untreated SMA pups was normalized to 1 for comparative expression. (D) *FLSMN2* transcript levels were measured by qPCR. Expression of *FLSMN2* in each untreated SMA tissue was normalized to 1 for comparative expression. Samples are plotted as mean \pm SEM, and statistical significance compared with untreated SMA mice was determined by Student's t test (* $P \leq 0.05$; ** $P \leq 0.01$; *** $P \leq 0.001$).

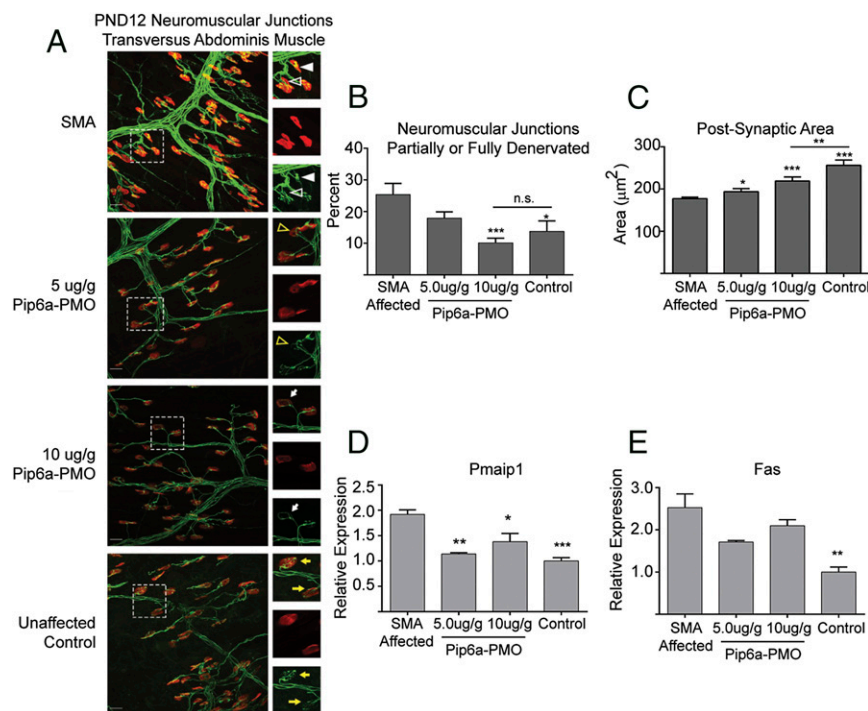


Fig. 3. Rescue of SMA pathology in Pip6a-PMO-administered SMA pups. (A) Representative confocal images of NMJs from untreated SMA pups, unaffected controls, and pups administered 5 μ g/g or 10 μ g/g of Pip6a-PMO PND0. Postsynaptic endplates were stained in red (α -bungarotoxin) and neurons were stained in green in neurofilament (2H3) and synaptic vesicles (SV2). (Scale bar, 25 μ m.) Reduced innervation (open white triangle) and accumulation of neurofilament (filled white triangle) were observed in SMA-untreated pups. Partial innervation (open yellow triangle) and poor arborization (white arrow) were visible in Pip6a-PMO-treated pups. The optimal complexity of terminal arborizations and fully innervated NMJs is observable in unaffected controls (yellow arrows). (B) NMJs were scored for denervation, either partial or full, and plotted as the percentage of total NMJs analyzed. (C) Postsynaptic areas were quantified using ImageJ. Quantitative levels of markers for apoptosis are shown: Pmaip1 (D) and Fas (E) relative transcripts in spinal cord of PND7-treated and untreated mice, normalized to *mGapdh* transcript levels. In B–E, data are represented as mean \pm SEM (* P \leq 0.05; ** P \leq 0.005; *** P \leq 0.0005 by Student's *t* test in comparison to untreated SMA mice).

Loss of motor neurons in the spinal cord appears to be a late feature of SMA mouse models, and there are no universally accepted methods for quantifying motor neuron loss, hindering consistency across different studies. The health of neurons in the spinal cord was thus demonstrated by measuring two transcripts for apoptosis, TNF receptor superfamily member 6 (*fas*) and phorbol-12-myristate-13-acetate-induced protein 1 (*Pmaip1*), which are dysregulated in the spinal cord of SMA mice (46). At PND7, spinal cords from unaffected littermates expressed significantly lower transcript levels of *fas* and *Pmaip1* than spinal cords from SMA-affected pups (Fig. 3D and E). Treatment with a single dose of 5 or 10 μ g/g of Pip6a-PMO reduced their transcript levels, indicating that early treatment protects against programmed cell death within the spinal cord.

Pip6a Delivers SSO to the CNS in Adult Mice. Although we have achieved systemic delivery in early postnatal pups, targeting the CNS of patients at all ages will be critical for a successful SMA therapy. To test this objective, we chose to use adult mice carrying the human *SMN2* transgene (*Smn1^{tm1Hung/WT};SMN2^{tg/tg}*). These mice are indistinguishable from wild-type animals. At 7.5 wk of age, mice were treated twice by tail vein administration 2 d apart with 18 μ g/g of Pip6a-PMO or saline. Seven days post-administration, tissues of the CNS and relevant peripheral tissues were harvested and SMN expression was assessed. Transcript levels of *FLSMN2* relative to total *SMN2* transcript levels were significantly increased in all parts of the brain and spinal cord, as well as in skeletal muscle and liver (Fig. 4A–C). SMN protein levels were augmented in skeletal muscles and liver only (Fig. 4D). Suboptimal sensitivity in the protein detection method may have limited the observation of increased levels in CNS tissues, which are predicted to be lower than the levels observed in peripheral tissues. This study demonstrates that our peptide delivery of antisense oligonucleotides causing changes in transcripts within the adult CNS.

Discussion

In developing an effective SMA therapy, it is important to consider the CNS and peripheral requirements for SMN restoration. Multiple studies in SMA mouse models have generated conflicting data regarding the importance of systemic versus CNS-only treatment

(11, 12, 43, 44, 47, 48). The single most important pathological finding for SMA is the loss of lower motor neurons, and denervation at the NMJ is the earliest pathological change in SMA mice (37–41). However, reduced SMN expression has also been observed to cause skeletal muscle (13, 14, 16) and vascular system (19, 20, 49) defects in SMA mice, and SMN is involved in general cellular functions, including small nuclear ribonucleic protein (snRNP) biogenesis (13, 22–24) and glucose metabolism (17, 18, 21). Thus, although delivery to the CNS is primordial for SMA therapy, combined targeting of both the CNS and the periphery has the potential of being the optimal approach. We have shown that Pip6a-conjugated PMO heralds a potent therapeutic

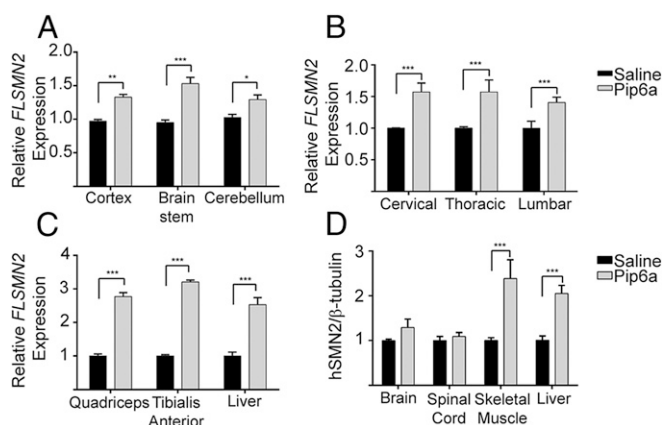


Fig. 4. Pip6a-PMO administration in unaffected adult mice harboring the human *SMN2* allele (*Smn1^{tm1Hung/WT};SMN2^{tg/tg}*). (A–C) Quantitative RT-PCR from tissues of mice administered 18 mg/kg, i.v. 2 d apart. Tissues from brain (A), spinal cord (B), and peripheral skeletal muscles and liver (C) were harvested 7 d postadministration. In all tissues, *FLSMN2* expression was significantly increased over saline-treated mice. (D) Protein expression of SMN in tissues. Separate tissues of spinal cord and skeletal muscles were combined. SMN protein was analyzed with Western blots for human SMN and mouse β -tubulin. Data are represented as mean \pm SEM (* P \leq 0.005; ** P \leq 0.001; *** P \leq 0.0001 by Student's *t* test in comparison to saline-treated mice).

option combining the genetic precision of SSOs with the systemic delivery efficacy of a small molecule. Systemic Pip6a-PMO treatment demonstrates ultrahigh potency, with only a single 10- μ g/g dose at PND0 yielding a median survival of 167 d in severe SMA pups and the longest lived mouse surviving 428 d, which is far beyond the greatest extension recorded for naked PMO (12) (Fig. 2A and Table S1). This dramatic extension in survival is associated with increased SMN expression in brain, spinal cord, and all examined peripheral tissues; rescued levels of circulating IGF1; reduced NMJ denervation; and decreased expression of apoptotic markers in the spinal cord (Figs. 1 and 3). Furthermore, we were able to enhance median survival to over 450 d with two 10- μ g/g doses (Fig. 2B and Table S1). Significantly enhanced survival in severe SMA models has been reported using systemic administration of SSOs targeting the ISS-N1 site. The best survival data published to date include reports by Hua et al. (11), who reported a median survival of 248 d, and by Zhou et al. (44), who reported a median survival of 261 d. However, the former administered two doses of 160 mg/kg and the latter used a single dose of 40 mg/kg. Pip6a delivery allows us to reduce the dose required for maximum survival by at least fourfold, an important step for generating clinically relevant compounds.

Current clinical trials (Ionis Pharmaceuticals) use intrathecal delivery of a 2'-O-methoxyethyl (2'-MOE) SSO, primarily targeting SMN expression in the CNS. In an open-label phase 2 study, infants with SMA were treated with SSO targeting ISS-N1 (Nusinersen, IONIS-SMN_{RX}), which modestly improved their median event-free age (age of permanent ventilation or death) (50). More encouragingly, treated infants showed improvement in muscle function and motor milestones. In a separate phase 2 study in children with SMA, the same SSO resulted in improved mobility [6-min walk test] (51), upper limb mobility test (52), and Hammersmith functional motor scale-expanded function (53)] compared with pretreatment functions. F. Hoffman-La Roche in Switzerland, PTC Therapeutics, and the SMA Foundation have partnered to develop a small-molecule drug (RG7800) capable of positively influencing exon 7 splicing systemically. This trial, termed Moonfish, has been suspended because of safety concerns in treated animals. However, Roche has a new similarly acting drug (RG7916) for which they have initiated a phase 1 study to investigate safety, tolerability, pharmacokinetics, and pharmacodynamics in healthy volunteers. A related trial with a Novartis-sponsored orally active small molecule that enhances *SMN2* splicing, elevates full-length SMN protein, and extends survival in a severe SMA mouse model is also underway, sponsored by Novartis. The molecular mechanism of action of this drug is via stabilization of the transient double-stranded RNA structure formed by the *SMN2* pre-mRNA and U1 snRNP complex (54). It will be of great interest to compare results of the CNS restoration of SMN protein (intrathecal SSO) with the outcome of the combined peripheral and CNS restoration of SMN protein level, because these trials could provide the greatest indication in patients of the need for a systemic and/or CNS treatment.

Although a previous study observed brain delivery of antisense oligonucleotides with tagged dyes (55), we report the bona fide activity of a CNS-peptide-delivered SSO. We have demonstrated here the potent impact of peptide delivery on PMO SSO therapy. In addition to SMA, other diseases for antisense oligonucleotide therapies include ALS (56, 57), Huntington's disease (58, 59), and Parkinson's disease (60, 61), which all ideally require both systemic and CNS SSO delivery. Future work will focus on extending further the clinical applications of Pip-PMOs.

Materials and Methods

Synthesis of Peptide-PMO Conjugates. Pip6a Ac-(RXRRBRXRYQLIRXBRXRB)-COOH was synthesized and conjugated to PMO as described previously (28). The PMO sequence targeting ISS-N1 intron 7 (-10-27) (5'-ATTCACCTTCATAATGCTGG-3') and PMO scrambled (5'-ATTGCTATCAAAATCCTGC-3') was purchased from Gene Tools LLC.

In Vitro Study. Human neuroblastoma SH-SY5Y cells were treated using 25, 50, 100, 250, and 500 nM oligonucleotides in 500 μ L of full-serum DMEM and analyzed for *FLSMN2* mRNA expression 24 h later.

Animal Models. Experiments were carried out in the Biomedical Sciences Unit, University of Oxford, according to procedures authorized by the UK Home Office. SMA-like mouse strain FVB.Cg-Smn1tm1HungTg(*SMN2*)2Hung/J was generated and maintained as previously described (33, 34). The intravenous injections were performed by single (PND0) or double (PND0 and PND2) administration via the facial vein. Doses of 2.5 μ g/g (0.25 nmol/g), 5.0 μ g/g (0.5 nmol/g), and 10 μ g/g (1 nmol/g) of Pip6a-PMO and 10 μ g/g of PMO (1.48 nmol/g) were diluted in 0.9% saline and given at a volume of 5 μ L/g of body weight. Treated mice were given mash and jelly as food supplementation. Tail vein administration in adult mice was performed in unaffected mice (*Smn1^{tm1Hung}/WT*; *SMN2^{tg/tg}*) at 7.5 wk of age. Two doses of 18 μ g/g of Pip6a-PMO or saline were given 2 d apart. Tissues were harvested 7 d postadministration.

Measuring Early Disease Phenotype. Weights were taken daily, and overall health was assessed. Following the humane end point, mice were euthanized according to the approved procedure of rising CO₂. Negative geotaxis was performed as previously described (35). Statistical significance was determined by a binomial test. The hind-limb suspension tube test was performed as described in procedures for preclinical studies by Translational Research in Europe – Assessment & Treatment of Neuromuscular Diseases (35). For the purposes of reproducibility, factors such as leg pulls and time were left out of the analysis. Statistical significance was determined by Fisher's test with Bonferroni correction in SPSS.

NMJ Immunohistochemistry. Animals treated with Pip6a-PMO were harvested 12 d postadministration (PND12). The TVA muscle was isolated, and immunohistochemistry was performed on NMJs as previously described (42, 62). NMJs were imaged using an Olympus FV1000 confocal microscope. Images were taken with a 40 \times objective as a z-stack. Only NMJs with fully observable postsynapses were used for denervation counts. More than 300 NMJs were analyzed for full or partial denervation per group, which was observed by the colocalization of presynaptic neurons with postsynaptic acetylcholine receptors. The area of postsynaptic motor endplates was measured using ImageJ (NIH) as previously published (63).

qPCR. RNA extraction from harvested tissues was carried out using TRIzol reagent (Invitrogen), and cDNA was generated using an ABI High Capacity cDNA Reverse Transcription Kit (Invitrogen) following the manufacturer's instructions. A qPCR reaction using Power SYBR Green Master Mix (Life Technologies) was performed and analyzed on an Applied Biosystems StepOnePlusTM real-time PCR system (Life Technologies). *FLSMN2* and total *SMN2* transcripts were amplified using gene-specific primers (Table S3). *Fas*, *Pmaip1*, and *Gapdh* were amplified using an Integrated DNA Technologies-derived probe and primer set (Table S3).

Protein Extraction and Western Blot. Protein was harvested from ~300 mg of tissue homogenized into radioimmunoprecipitation buffer with complete mini-proteinase inhibitors (Roche). Protein (30–40 μ g) was probed for human SMN protein using anti-SMN, clone SMN-KH monoclonal IgG1 (Millipore), and mouse β -tubulin utilizing anti- β -actin monoclonal IgG2a (Sigma) and secondary antibody IRDye 800CW goat anti-mouse IgG (LI-COR Biosciences). Membranes were imaged on a LI-COR Odyssey FC imager and analyzed with Image StudioTM software (LI-COR Biosciences).

IGF1 ELISA. Concentration of serum IGF1 was determined using a Murine IGF1 ELISA kit (900-K170; Peprotech) following the manufacturer's instructions.

Clinical Biochemistry. Serum samples from mice administered Pip6a-PMO or PMO at PND0 and PND0/2 were extracted from the jugular vein upon harvest at PND7, and analysis of toxicity biomarkers was performed by a clinical pathology laboratory (Mary Lyon Centre, Medical Research Council, Harwell, UK).

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