1 Two independent proteomic approaches provide a comprehensive analysis of the synovial

2 fluid proteome response to Autologous Chondrocyte Implantation

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35 Abstract

36 Background: Autologous Chondrocyte Implantation (ACI) has a failure rate of approximately 20% but 37 we are yet to fully understand why. Biomarkers are needed that can pre-operatively predict which 38 patients are likely to fail, so that alternative or individualised therapies can be offered. We previously 39 used a label-free (LF) quantitation with dynamic range compression proteomic approach to assess 40 the synovial fluid (SF) of ACI responders and non-responders. However, we were only able to identify 41 a few differentially abundant proteins at baseline. Here, we build upon these previous findings by 42 assessing higher abundance proteins within these SFs, providing a more global proteome analysis 43 from which we can understand more of the biology underlying ACI success or failure.

Methods: Isobaric tagging for relative and absolute quantitation (iTRAQ) proteomics was used to
assess SFs from ACI responders (mean Lysholm improvement of 33; n=14) and non-responders
(mean Lysholm decrease of 14; n=13) at the two stages of surgery (cartilage harvest and chondrocyte
implantation). Differentially abundant proteins in iTRAQ and combined iTRAQ and LF datasets were
investigated using pathway and network analyses.

49 Results: iTRAQ proteomics has confirmed our previous finding that there is a marked proteome shift 50 in response to cartilage harvest (70 and 54 proteins demonstrating \geq 2.0 fold change and p<0.05 51 between Stages I and II in responders and non-responders, respectively). Further, it has highlighted 52 28 proteins that were differentially abundant between responders and non-responders to ACI, that 53 were not found in the LF study, 16 of which were altered at baseline. The differential expression of 54 two proteins (complement C1S subcomponent and matrix metalloproteinase 3 (MMP3)) was 55 confirmed biochemically. Combination of the iTRAQ and LF proteomic datasets has generated in-56 depth SF proteome information that has been used to generate interactome networks representing 57 ACI success or failure. Functional pathways that are dysregulated in ACI non-responders have been 58 identified, including acute phase response signalling.

59 Conclusions: Several candidate biomarkers for baseline prediction of ACI outcome have been
60 identified. A holistic overview of the SF proteome in responders and non-responders to ACI has been
61 profiled providing a better understanding of the biological pathways underlying clinical outcome,
62 particularly the differential response to cartilage harvest in non-responders.

63 Keywords

64	Autologous Chondrocyte Implantation (ACI); iTRAQ proteomics; Label-free quantification proteomics;
65	Synovial Fluid; Cartilage repair; Complement C1S subcomponent; Matrix metalloproteinase 3; MMP3
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92 Background

Identification of putative biomarkers that can be used to predict patient outcome prior to treatment for
cartilage injury has been highlighted as a key initiative for the prevention of osteoarthritis (OA) by the
Osteoarthritis Research Society International (OARSI) (1). Further, the recent National Institute for
Health and Care Excellence (NICE) recommendation for use of the cell therapy Autologous
Chondrocyte Implantation (ACI), in the UK National Health Service (NHS) has increased the need to
identify accurate prognostic biomarkers for this application (2).

99 We recently published the first study (3), to our knowledge, that has used a proteomic approach with 100 the aim of identifying candidate biomarkers to predict the success of ACI, a cellular therapy for the 101 treatment of traumatic cartilage injury (4,5). This therapy is a two-stage procedure; during the initial 102 surgery (Stage I) healthy cartilage is harvested from a minor load-bearing region of the joint, then 103 chondrocytes are isolated and culture expanded for three to four weeks prior to a second surgery in 104 which the chondrocytes are implanted into the cartilage defect (Stage II) (5,6). Approximately 500 105 patients have been treated with ACI in our centre and despite an 81% success rate (7), we are yet to 106 fully understand why some individuals fail to respond well. We have identified a biomarker, 107 aggrecanase-1, that when its activity is un-detectable pre-operatively, can be used together with 108 known demographic and injury-associated risk factors to help predict ACI success (8,9). However, we 109 are yet to identify a biomarker (or panel of biomarkers) that can be used to accurately predict ACI 110 failure. The identification of such a biomarker(s) for ACI and other cartilage repair strategies would 111 allow for the better stratification of patients' prior to joint surgery and may provide candidates for 112 therapies to improve ACI success.

113 Proteomic analyses remain one of the most widely used methods to identify novel biomarker 114 candidates and have previously been utilised to identify biomarkers of OA progression (as 115 summarised by Hsueh et al. in 2014 (10)). The synovial fluid (SF) provides an attractive biological 116 fluid for biomarker identification, as it bathes the injured joint and therefore contains proteins that 117 might reflect the whole joint environment. Proteomic profiling of the SF, however, is technically difficult 118 due to the broad dynamic range of proteins present within it (7,8). Several un-biased, global 119 proteomic studies for the identification of biomarkers within the SF have been completed. 120 Nevertheless, the number of protein 'hits' has been somewhat limited, as authors have tended to

either profile SFs with no pre-treatment to account for the wide range of proteins (11–16) or have
depleted high abundance proteins (17–22) meaning that the altered quantities of these proteins
cannot be considered.

124 Isobaric tags for absolute and relative quantitation (iTRAQ) is reported as the most accurate labelling 125 method for quantifying comparative abundance of proteins (23). When compared to label free (LF) 126 quantitation proteomics, iTRAQ quantitation has traditionally been considered as a more accurate 127 technique (24); however as mass spectrometers improve, these techniques are becoming more 128 comparable and LF quantitation is becoming increasingly popular (25). Unlike LF quantitation 129 proteomics, iTRAQ utilises isobaric tags to label the primary amines at the peptide level, prior to 130 pooling the samples to enable simultaneous identification and quantitation of the proteins. 4plex and 131 8plex labels are available enabling quantitation of up to 8 conditions in a single analysis, thus 132 minimising the number of mass spectrometry runs which can be cost effective and time efficient. 133 However, when compared to LF quantitation, in which any number of samples can be analysed and 134 compared, iTRAQ labelling limits the number of samples that can be compared, meaning biological 135 replicate samples are often pooled together into relevant biological conditions. iTRAQ proteomics is a commonly used tool for the identification of biomarkers in a plethora of diseases. This proteomic 136 137 approach has been used to profile the SF proteome (20,26), successfully identifying differentially 138 abundant protein biomarker candidates for several diseases/conditions.

Our previous study highlighted the potential of using protein equalisation to study low abundance proteins in human SF, but this identified few differentially abundant proteins in baseline SF, when comparing individuals who did or did not do well following cartilage repair therapy (1). This study, therefore, aimed to increase the number of protein biomarker candidates that could be identified for the pre-operative prediction of clinical outcome following ACI and to allow for the assessment of high abundance proteins which may also strengthen our understanding of the biological processes underlying treatment success.

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149 Methods

150 Synovial fluid collection and storage

SF was collected, as described previously (3,8,27), from the knee joints of patients, with informed 151 152 consent and following local research ethical approval. Immediately prior to both ACI surgeries, Stage I 153 (cartilage harvest) and Stage II (chondrocyte implantation), 20 mL of saline was injected into the joint 154 and 20 rounds of leg flexion and extension carried out to allow aspiration of as much SF as possible 155 (3,27). SF was then centrifuged at 6,000 g for 15 minutes at 4°C and split into aliquots for long-term 156 storage in liquid nitrogen. The dilution factor of the SF samples was calculated by comparing urea content in SF to matched blood plasma using a QuantiChrom[™] Urea Assay kit (BioAssay Systems, 157 158 Hayward, USA) according to manufacturer's instructions, as described previously (3,8,28) and SF 159 samples with a dilution factor greater than 10 were excluded from the study.

160 Clinical responders to ACI were defined as individuals who demonstrated an increase of at least 10 161 points in the Lysholm score at 12 months post-treatment compared to their baseline score, as has 162 been used previously (29–31). The Lysholm score is a validated (32), patient-self assessment score, 163 encompassing knee pain and joint function that ranges from 0-100, with 100 representing 'perfect' 164 knee function (32,33). Thirteen patients were considered as non-responders to ACI, demonstrating a 165 mean decrease in Lysholm score of 14 points (range -4 - -46) and 14 SF donors were considered 166 responders with a mean improvement of 33 points (range 17-54).

167 Sample preparation and analysis using iTRAQ proteomics (iTRAQ nLC-MS/MS)

Total protein was quantified using a PierceTM 660nm protein assay (Thermo Scientific, Hemel 168 169 Hempstead, UK) (34) and a total of 200 µg of SF protein was pooled equally from the donors in each 170 of the following experimental groups: Stage I, responders (n=8); Stage I, non-responders (n=7); Stage 171 II responders (n=12) and Stage II, non-responders (n=12). The pooled samples were then 172 precipitated in six volumes of ice-cold acetone overnight at -20°C. The precipitates were pelleted by 173 centrifugation at 13,000 g for 10 mins at 4°C before being re-suspended in 200 µl triethylammonium 174 bicarbonate (TEAB) buffer. Eighty five micrograms of protein for each experimental sample was then 175 subjected to reduction, alkylation (as instructed in the iTRAQ labelling kit (Applied Biosystems, 176 Bleiswijk, Netherlands)). Sequencing grade modified trypsin (Promega) (10 µg per 85 µg of protein)

	Stage I		Stage II		Mann-Whitney U	Mann-Whitney U
	Responders (n=8)	Non-responders (n=7)	Responders (n=12)	Non-responders (n=12)	(A)R v NR- SI; (B) R v NR- SI	(A)SI v SII-R; (B) SI v SII- NR
Difference in Lysholm Score	27 (17-38)	-8 (-417)	34 (17-54)	-11 (-446)	(A) 0.0003; (B) <0.0001	(A) 0.21; (B) 0.55
BMI (kg/m²)	29 (23-31)	27 (24-31)	27 (23-48)	29 (22-36)	(A) 0.94; (B) 0.54	(A) 0.73; (B) 0.68
Age (years)	32 (17-49)	40 (25-50)	40 (17-90)	43 (25-52)	(A) 0.28; (B) 0.92	(A) 0.17; (B) 0.58
Male/Female (No. of participants)	8/0	7/0	11/1	10/2	(A) >0.99; (B) >0.99	(A) >0.99; (B) 0.51
Smoker (No. of participants)	1	2	1	3	(A) 0.54; (B) 0.59	(A) >0.99; (B) >0.99
Dilution Factor of SF	5 (3-9)	4 (2-7)	4 (1-9)	3 (2-5)	(A) 0.48; (B) 0.25	(A) 0.53; (B) 0.50
Total defect area (cm ²)	14 (0.4-24)	6 (0.6-12)	6 (1-20)	5 (0.6-12)	(A) 0.74; (B) 0.35	(A) 0.45; (B) 0.28
Patella defect (No. of participants)	1	1	4	2	(A) >0.99; (B) 0.64	(A) 0.60; (B) >0.99
LFC defect (No. of participants)	2	0	0	0	(A) 0.47; (B) >0.99	(A) 0.15; (B) >0.99
LTP defect (No. of participants)	1	0	0	0	(A) >0.99; (B) >0.99	(A) 0.15; (B) >0.99
MFC defect (No. of participants)	2	2	1	6	(A) >0.99; (B) 0.07	(A) 0.54; (B) 0.63
Trochlea defect (No. of participants)	0	3	2	1	(A) 0.20; (B) >0.99	(A) 0.49; (B) 0.12
Multiple defects (No. of participants)	1	0	1	1	(A) >0.99; (B) >0.99	(A) >0.99; (B) >0.99
Unknown defect location (No. of participants)	1	1	4	2	(A) >0.99; (B) 0.64	(A) 0.60; (B) >0.99

Table 1: Demographic data for patient samples from Stage I or Stage II were analysed, those who responded well clinically (responders) or who did not
 respond well (non-responders) to autologous chondrocyte implantation (ACI) are indicated in separate groups. None of the demographic parameters, other
 than a difference in Lysholm score, showed differences between responders (R) and non-responders (NR) in individuals whose SFs from Stage I (SI) or
 Stage II (SII) were compared, nor were there differences between individuals who were either responders or non-responders when comparing Stage I and
 Stage II samples (p≥0.05; Mann-Whitney U). Data are median (range). Abbreviations: BMI, body mass index; LFC, lateral femoral condyle; LTP, lateral tibial
 plateau; MFC, medial femoral condyle.

was then added to the samples for overnight digestion at 37°C. Tryptic digests were labelled with the
iTRAQ tags, according to manufacturer's instructions: 114- Stage II, responders; 115- Stage II, nonresponders; 116- Stage I, responders; 117- Stage I, non-responders, before being pooled to one
microcentrifuge tube prior to being dried down in a vacuum centrifuge.

iTRAQ- labelled peptides were resuspended in 0.6 mL of loading Buffer Ascx (10 mM monopotassium phosphate (KH₂PO₄), 20% acetonitrile (MeCN), pH 3.0), followed by sonication. The pH was adjusted to 3.0 with 0.5 M orthophosphoric acid (H₃PO₄). The peptides were separated by strong cation exchange chromatography as described previously (35). A total of 14 SCX fractions were analysed by nanoLC ESI MSMS using a TripleTOF 5600 tandem mass spectrometer (ABSciex, Foster City, CA) as described previously (36)

194 The raw mass spectrometry data file was subsequently analysed using ProteinPilot 4.5 software with 195 the Paragon[™] and ProGroup[™] algorithms (ABSciex) against the human sequences in the Swiss-Prot 196 database (downloaded Dec 2012). Searches were performed using the pre-set iTRAQ settings in 197 ProteinPilot. Trypsin was selected as the cleavage enzyme and MMTS for the modification of 198 cysteines with a "Thorough ID" search effort. ProteinPilot's Bias correction assumes that most 199 proteins do not change in expression. Finally, detected proteins were reported with a Protein 200 Threshold [Unused ProtScore (confidence)] >0.05 and used in the quantitative analysis if they were 201 identified with two or more unique peptides with 95% confidence or above. P-values and false 202 discovery rates for the iTRAQ ratios were calculated by the ProteinPilot software. Proteins with iTRAQ 203 ratios with p-values ≤0.05 and with differential abundances of ≥±2.0 fold change (FC) were used in 204 further analysis.

205 Verification of iTRAQ nLC-MS/MS results using Enzyme Linked Immunosorbant Assay (ELISA)

Two proteins of biological relevance were measured by ELISA in the non-pooled samples to verify the mass -spectrometry findings. Firstly, Complement C1S subcomponent (C1s) was selected, as this protein demonstrated differential abundance between responders and non-responders to ACI within the baseline SF (prior to Stage I surgery) and, therefore, could have potential as a biomarker of outcome prediction. C1s was assessed using a human ELISA (Cusabio, USA). Samples were first assayed using a 1 in 100 dilution in assay sample diluent and for those samples that were undetectable in the assay was repeated using undiluted samples. Secondly Matrix metalloproteinase

213 3 (MMP3) was selected to investigate the differential response to Stage I surgery (i.e. the proteome

shift between Stages I and II) in non-responders to ACI. MMP3 was assessed using a human

215 Quantikine[®] ELISA (R&D Systems, Abingdon, UK). Samples were diluted 1 in 100 in assay kit diluent

216 prior to assessment. Both ELISAs were carried out according to the manufacturer's instructions and

217 protein concentrations were normalised to the sample dilution factor. Statistical analysis was

- 218 performed in GraphPad Prism version 6.0. Student's t-tests were used to assess differential
- abundance.

220 Assessment of the overlap of proteins identified from the two proteomic approaches

221 In order to assess whether the use of two independent proteomic approaches allows for a greater

222 number of significant protein changes to be identified, the datasets from this study (iTRAQ nLC-

223 MS/MS) and our previously published study assessing the same patient samples (LF LC-MS/MS; (3))

were compared to one another. Venn-diagrams were plotted using VENNY 2.1.0 software (37), to

assess the overlap of differentially abundant proteins that was identified via the two approaches.

226 Pathway and network analysis of proteomic datasets

227 The datasets generated from both proteomic approaches were combined. Specifically, proteins which 228 were differentially expressed (≥1.2 FC; p ≤0.05) in each biological comparison e.g. Stage I responders 229 versus non-responders, in either proteomic approach were merged into a single dataset. A modest 230 fold-change cut-off was used to ensure the greatest number of differentially abundant proteins could 231 be included in the pathway and network analyses, as has been used previously (3,18). The iTRAQ 232 nLC-MS/MS dataset independently and when merged with the LF dataset was analysed using 233 pathway enrichment analysis (Ingenuity, Qiagen, US) to identify and visualise affected canonical 234 pathways. Pathways with $p \le 0.005$ were considered as statistically significant (Fisher's exact test).

The merged LF & iTRAQ nLC-MS/MS datasets of proteomic response to cartilage harvest (e.g. differential abundance between Stages I and II) in responders and non-responders were assessed using interactome network analysis, which is an unbiased mathematical method of visualising and interpreting complex interactions between large numbers of molecules (38). Interactome networks are made up of nodes (the individual objects being studied, e.g. proteins) and edges (the connections between the objects, e.g. known protein-protein interactions) (39). By studying groups of proteins that are highly interconnected, known as modules, key functions within an interactome network can be highlighted (39). Conducting interactome network analysis alongside pathway enrichment analysis, allows for greater confidence in the selection of candidate pathways or molecules for further study as these represent two independent methods of mapping the data, known protein-protein interactions and text mining, respectively. The interactions between the differentially abundant proteins were assessed using the Protein Interaction Network Analysis For Multiple Sets (PINA4MS) app (40) in Cytoscape (v3.0) to generate network models based on protein-protein interactions. These models were either based upon only those proteins identified in the proteomic analyses (non-inferred nodes) or from proteins identified in the proteomic analyses alongside their inferred interactions (inferred nodes) (41). The Moduland (v2.8.3) algorithm (42) was applied to the interactome networks in Cytoscape (v3.0) to identify highly connected clusters of proteins (modules) that demarcate the hierarchical structure of the interactome network. The biological function of each module was assessed by analysing the proteins identified within each module using the pathway analysis tool in Reactome software (43,44). The significance of the pathway functions identified in Reactome was determined by Fisher's exact test and *p*≤0.05 was considered statistically significant.

266 **Results**

Proteomic data has been deposited in the PRIDE ProteomeXchange and can be accessed using the
 identifier PXD008321.

269 Identification of proteins to predict ACI outcome prior to Stage I or Stage II

- 270 iTRAQ nLC-MS/MS highlighted 16 proteins (≥±2.0 FC; p ≤0.05) which were differentially abundant
- between responders and non-responders to ACI at baseline (immediately prior to Stage I) (Table 2).
- 272 Prior to Stage II of the ACI procedure, 12 proteins displayed differential abundance between
- 273 responders and non-responders (Table 3).

274 At both stages of treatment, SF analysed using iTRAQ nLC-MS/MS identified a greater number of

275 differentially abundant proteins between individuals who did or did not respond well to ACI compared

- to SF which had undergone protein normalisation using ProteoMiner[™] beads and LF LC-MS/MS
- analysis (3). Further, the two proteomic techniques identified no common differentially abundant
- 278 proteins.

279 Differential abundance of proteins a Stage II compared to Stage I of ACI

Proteomic profiling of the SF using iTRAQ nLC-MS/MS highlighted a considerable effect of the
cartilage harvest procedure (Stage I) in both responders and non-responders, with 70 and 54 proteins
being differentially abundant between Stages I and II, respectively. Thus strengthening the similar
findings from the analysis of these samples using LF LC-MS/MS (3).

284 Interestingly, the iTRAQ nLC-MS/MS and LF LC-MS/MS identified no common Stage I compared to 285 Stage II protein differences in the clinical responders (70 differentially abundant proteins identified by 286 iTRAQ nLC-MS/MS and 14 identified by LF LC-MS/MS; Table 4). This lack of overlap between the 287 two proteomic techniques is highlighted in Figure 1. There were, however, six proteins (gelsolin, 288 vitamin K-dependent protein S, C4b binding protein alpha chain, fibrinogen alpha chain, fibrinogen 289 beta chain and fibrinogen gamma chain) that were identified by both proteomic techniques in the non-290 responders, all of which showed commonality in the direction of protein shift, across the MS platforms, 291 with iTRAQ nLC-MS/MS consistently resulting in greater differences in abundance than those 292 identified from the LF LC-MS/MS data. A total of 54 protein abundance changes between Stages I 293 and II in non-responders were identified using iTRAQ nLC-MS/MS and 55 protein differences were

Protein		Identified using:		
Description	Accession	Fold Change	LF LC-MS/MS	iTRAQ nLC- MS/MS
Complement C1s subcomponent	P09871	-5.15		+
Haptoglobin	P00738	-4.49		+
Mesencephalic astrocyte-derived neurotrophic factor	P55145	2.15		+
Plasma protease C1 inhibitor	P05155	2.19		+
Ig kappa chain V-II region MIL	P01615	2.60	+	
Bifunctional glutamate/prolinetRNA ligase	P07814	2.61		+
Pigment epithelium-derived factor	P36955	3.13		+
Apolipoprotein A-IV	P06727	3.19		+
Apolipoprotein L1	014791	3.19		+
N-acetylglucosamine-6-sulfatase	P15586	3.25		+
Retinol-binding protein 4	P02753	3.34		+
Inter-alpha-trypsin inhibitor heavy chain H1	P19827	3.37		+
Extracellular matrix protein 1	Q16610	3.77		+
Lumican	P51884	3.80		+
Histidine-rich glycoprotein	P04196	3.84		+
Endoplasmin	P14625	4.37		+
Serum paraoxonase/arylesterase 1	P27169	4.41		+

Table 2: Fold change of proteins that are differentially abundant ($\geq \pm 2.0$ FC; p ≤ 0.05 ; protein identified

by at least 2 unique peptides) in the synovial fluid of clinical non-responders compared to clinical

297 responders to ACI immediately prior to Stage I. Positive numbers denote higher abundance in non-

298 responders compared to responders. Proteins were identified using either protein dynamic

299 compression coupled with label free quantification liquid-chromatography tandem mass spectrometry

300 (LF LC-MS/MS) or no protein dynamic compression with isobaric tags for absolute and relative

301 quantitation (iTRAQ) LC-MS/MS.

Protein		Identified using:		
Description	Accession	Fold Change	LF LC-MS/MS	iTRAQ nLC- MS/MS
40S ribosomal protein S14	P62263	-8.63		+
Kinectin	Q86UP2	-6.20		+
Apolipoprotein C-III	P02656	-2.78		+
High mobility group protein B1	P09429	-2.56		+
Kininogen-1	P01042	2.27		+
26S protease regulatory subunit 7	P35998	2.34	+	
26S proteasome non-ATPase regulatory subunit 13	Q9UNM6	2.43	+	
Alpha-enolase	P06733	2.56		+
Alpha-2-HS-glycoprotein	P02765	2.78		+
Hemopexin	P02790	2.88		+
Ferritin light chain	P02792	2.91	+	
Platelet factor 4	P02776	3.26	+	
Thrombospondin-1	P07996	3.40	+	
Nucleosome assembly protein 1-like 1	P55209	4.94	+	
Cofilin-1	P23528	7.08	+	
EH domain-containing protein 1	Q9H4M9	7.30	+	
Hemoglobin subunit delta	P02042	8.09		+
Protein S100-A6	P06703	8.39		+
T-complex protein 1 subunit eta	Q99832	8.43	+	
Hemoglobin subunit beta	P68871	32.81		+
Hemoglobin subunit alpha	P69905	44.06		+

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Table 3: Fold change of proteins that are differentially abundant (≥±2.0 FC; p ≤0.05; protein identified

by at least 2 unique peptides) in the synovial fluid of clinical non-responders compared to clinical

315 responders to ACI immediately prior to Stage II. Positive numbers denote higher abundance in non-

316 responders compared to responders. Proteins were identified using either protein dynamic

317 compression coupled with label free quantification liquid-chromatography tandem mass spectrometry

318 (LF LC-MS/MS) or no protein dynamic compression with isobaric tags for absolute and relative

319 quantitation (iTRAQ) LC-MS/MS.

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Protein		Fold Change	Identif	ied using:
Description	Accession		LF LC-MS/MS	iTRAQ nLC-MS/MS
Microtubule-associated protein 1B	P46821	-20.65	+	
40S ribosomal protein S14	P62263	-16.75		+
Protein disulfide-isomerase A6	Q15084	-7.59		+
Nucleolin	P19338	-5.11		+
Histone H1.2	P16403	-3.84		+
Stress-induced-phosphoprotein 1	P31948	-3.63		+
Complement factor D	P00746	-3.44		+
SH3 domain-binding glutamic acid-rich-like protein	075368	-3.44		+
Heterogeneous nuclear ribonucleoprotein U	Q00839	-3.40		+
78 kDa glucose-regulated protein	P11021	-3.25		+
Cartilage oligomeric matrix protein	P49747	-3.10		+
Annexin A2	P07335	-2.96		+
Mesencephalic astrocyte-derived neurotrophic factor	P55145	-2.86		+
Kinectin	Q86UP2	-2.81		+
Complement factor H-related protein 3	Q02985	-2.77	+	
Phosphatidylethanolamine-binding protein 1	P30086	-2.51		+
Peroxiredoxin-4	Q13162	-2.49	+	
Regucalcin	Q15493	-2.44		+
Malate dehydrogenase, mitochondrial	P40926	-2.44		+
N-acetylglucosamine-6-sulfatase	P15586	-2.31		+
Gelsolin	P06396	-2.27		+
Alpha-endosulfine	O43768	-2.25		+
Peptidyl-prolyl cis-trans isomerase FKBP3	Q00688	-2.11		+
Hemopexin	P02790	2.05		+
Serum paraoxonase/arylesterase 1	P27169	2.07		+
Secreted phosphoprotein 24	Q13103	2.10	+	
Heparin cofactor 2	P05546	2.13		+
Ferritin light chain	P02792	2.21	+	
Attractin	075882	2.21		+
Ig gamma-2 chain C region	P01859	2.23		+
Plasma kallikrein	P03952	2.24		+
Chondroitin sulfate proteoglycan 4	Q6UVK1	2.35	+	
Collagen alpha-2(I) chain	P08123	2.37	+	
Collagen alpha-1(V) chain	P20908	2.54	+	
CD5 antigen-like	O43866	2.58		+
Phospholipid transfer protein	P55058	2.63		+
Insulin-like growth factor-binding protein complex acid labile subunit	P35858	2.68		+
Prothrombin	P00734	2.68		+
Beta-2-glycoprotein 1	P02749	2.78		+
Collagen alpha-2(V) chain	P05997	2.84	+	
Plasma protease C1 inhibitor	P05155	2.91		+

Serum amyloid P-component	P02743	2.91		+
Complement C1q subcomponent subunit B	P02746	3.01		+
Collagen alpha-1(I) chain	P02452	3.05	+	
Alpha-2-antiplasmin	P08697	3.10		+
Alpha-1B-glycoprotein	P04217	3.19		+
Complement factor B	P00751	3.25		+
Complement component C7	P10643	3.40		+
Vitamin K-dependent protein S	P07225	3.42		+
Apolipoprotein E	P02649	3.44		+
Alpha-1-antichymotrypsin	P01011	3.44		+
Carboxypeptidase N subunit 2	P22792	3.53		+
Vitronectin	P04004	3.63		+
Inter-alpha-trypsin inhibitor heavy chain H3	Q06033	3.66		+
Complement C5 O	P01031	4.00		+
Plasminogen	P00747	4.06		+
Kininogen-1	P01042	4.17		+
Platelet factor 4	P02776	4.26	+	
Inter-alpha-trypsin inhibitor heavy chain H2	P19823	4.49		+
Periostin	Q15063	4.57	+	
Apolipoprotein L1	014791	4.61		+
Protein 4.1	P11171	4.66	+	
26S proteasome non-ATPase regulatory subunit 13	Q9UNM6	4.78	+	
Inter-alpha-trypsin inhibitor heavy chain H1	P19827	5.01		+
Inter-alpha-trypsin inhibitor heavy chain H4	Q14624	5.06		+
Complement C1r subcomponent	P00736	5.15		+
Complement component C6	P13671	5.45		+
Complement factor H	P08603	5.50		+
Catalase	P04040	5.60		+
Ficolin-3	075636	6.43		+
C4b-binding protein alpha chain	P04003	7.05		+
Ceruloplasmin	P00450	7.51		+
Pregnancy zone protein	P20742	8.09		+
Fibrinogen alpha chain	P02671	8.40		+
Apolipoprotein M	095445	9.04		+
Protein S100-A6	P06703	9.82		+
Hemoglobin subunit alpha	P69905	9.82		+
Complement C1s subcomponent	P09871	10.00		+
Ig mu chain C region	P01871	12.13		+
Haptoglobin	P00738	13.68		+
Fibrinogen beta chain	P02675	16.90		+
Hemoglobin subunit beta	P68871	19.41		+

Table 4: Fold change of proteins that are differentially abundant (≥±2.0 FC; p ≤0.05; protein identified

329 by at least 2 unique peptides) in the synovial fluid of clinical responders at Stage II compared to Stage

- 330 I of ACI. Positive numbers denote higher abundance at Stage II compared to Stage I. Proteins were
- 331 identified using either protein dynamic compression coupled with label free quantification liquid-
- 332 chromatography tandem mass spectrometry (LF LC-MS/MS) or no protein dynamic compression with
- 333 isobaric tags for absolute and relative quantitation (iTRAQ) LC-MS/MS.

357 identified by LF LC-MS/MS (Table 5; Figure 1).

358 <u>iTRAQ nLC-MS/MS confirmed that there is a significant response to the cartilage harvest</u> 359 procedure (Stage I) in non-responders to ACI

360 Pathway analysis of the iTRAQ nLC-MS/MS identified proteins, using the pathway enrichment tools in 361 Ingenuity, suggested that the proteins which were differentially abundant at Stage II compared to 362 Stage I in non-responders are likely to impact on numerous canonical pathways; many of which were 363 confirmatory of the previously published functional pathways identified from the LF nLC-MS/MS 364 derived proteins (3). These functional pathways included acute phase response signalling (p=2.93 $x10^{-1}$), the complement system (p=2.11 $x10^{-1}$) and Liver X receptor/Retinoic X receptor (LXR/RXR) 365 signalling ($p=1.95 \times 10^{-1}$). Moreover, many more functional pathways were affected as a result of the 366 367 proteins that were differentially abundant in response to Stage II compared to Stage I in non-368 responders compared to responders (Supplementary Tables 1 and 2); reiterating that the SF 369 proteome response to cartilage harvest is more distinct in non-responders to ACI.

370 Similar pathways were identified from the differentially abundant proteins identified by the 371 iTRAQ nLC-MS/MS and LF LC-MS/MS analyses

372 Both iTRAQ nLC-MS/MS and LF LC-MS/MS analyses resulted in the acute phase response signalling 373 being highlighted as one of the most significantly affected pathways in response to cartilage harvest in 374 non-responders to ACI, therefore this pathway was further assessed. Figure 4 highlights that analysis 375 of the SF proteome using the two independent proteomic techniques resulted in a greater number of 376 differentially abundant downstream proteins being identified. In addition, many complementary 377 proteins have been identified when comparing these datasets, with the vast majority of proteins that 378 are predicted to be increased in the plasma (the standard bodily fluid referred to in Ingenuity) during 379 the acute phase response being more abundant in the SF at Stage II compared to Stage I and vice 380 versa.

As the results of the two proteomic approaches seem to be complementary to one another, the two datasets were combined to generate a more comprehensive profile of the SF proteome. Pathway analysis using Ingenuity again identified many similar functional pathways as were identified via the independent LF LC-MS/MS and iTRAQ nLC-MS/MS datasets. The most significant canonical

Protein			Identified using:		
Description	Accession	Fold Change	LF LC- MS/MS	iTRAQ nLC-MS/MS	
Protein S100-A6	P06703	-4.49		+	
Annexin A1	P04083	-4.13		+	
Hemoglobin subunit beta	P68871	-4.09		+	
Complement factor D	P00746	-3.87		+	
Perilipin-4	Q96Q06	-3.87	+		
Gelsolin	<u>P06396</u>	-3.31		+	
Gelsolin	<u>P06396</u>	-1.68	+		
Syntaxin-7	O15400	-3.31	+		
Fermitin family homolog 3	Q86UX7	-3.29	+		
Histone H1.2	P16403	-3.13		+	
Transaldolase	P37837	-3.08		+	
Neuroblast differentiation-associated protein AHNAK	Q09666	-2.78	+		
Heterogeneous nuclear ribonucleoprotein K	P61978	-2.69	+		
Hyaluronan and proteoglycan link protein 3	Q96S86	-2.65	+		
Alpha-enolase	P06733	-2.63		+	
ATP-citrate synthase	P53396	-2.63	+		
Annexin A2	P07355	-2.56		+	
Fatty acid-binding protein, epidermal	Q01469	-2.43	+		
Peroxiredoxin-1	Q06830	-2.20	+		
Tripeptidyl-peptidase 1	014773	-2.19	+		
Insulin-like growth factor-binding protein 6	P24592	-2.13	+		
Na(+)/H(+) exchange regulatory cofactor NHE-RF1	014745	-2.11	+		
Peroxiredoxin-6	P30041	-2.08	+		
Histamine N-methyltransferase	P50135	-2.07	+		
Mortality factor 4-like protein 1	Q9UBU8	-2.06	+		
Transcription elongation factor A protein 1	P23193	-2.06	+		
Cartilage acidic protein 1	Q9NQ79	-2.03		+	
2',3'-cyclic-nucleotide 3'-phosphodiesterase	P09543	-1.20	+		
Fructose-bisphosphate aldolase A	P04075	-1.97	+		
Leucine zipper transcription factor-like protein 1	Q9NQ48	-1.94	+		
Protein S100-A13	Q99584	-1.94	+		
40S ribosomal protein S3	P23396	-1.93	+		
Filamin-A	P21333	-1.92	+		
Microtubule-associated protein RP/EB family member 1	Q15691	-1.92	+		
Nuclear migration protein nudC	Q9Y266	-1.90	+		
Prostaglandin E synthase 3	Q15185	-1.85	+		
Stress-induced-phosphoprotein 1	P31948	-1.85	+		
Cytokine-like protein 1	Q9NRR1	-1.81	+		
Plastin-2	P13796	-1.81	+		
Coronin-1C	Q9ULV4	-1.80	+		
Vinculin	P18206	-1.80	+		

Cathepsin K	P43235	-1.79	+	
Hsc70-interacting protein	P50502;Q8IZP2	-1.76	+	
Putative phospholipase B-like 2	Q8NHP8	-1.74	+	
Spectrin beta chain, erythrocytic	P11277	-1.73	+	
Complement factor I	P05156	2.11		+
Alpha-1-antichymotrypsin	P01011	2.22		+
Titin	Q8WZ42	2.23		+
Cytoplasmic dynein 1 heavy chain 1	Q14204	2.23	+	
F-actin-capping protein subunit beta	P47756	2.25	+	
Mannan-binding lectin serine protease 1	P48740	2.26	+	
Serum amyloid P-component	P02743	2.27		+
Complement component C6	P13671	2.29		+
Thrombospondin-3	P49746	2.36	+	
Soluble scavenger receptor cysteine-rich domain-containing protein SSC5D	A1L4H1	2.39	+	
Plasma kallikrein	P03952	2.42		+
Complement factor B	P00751	2.47		+
Afamin	P43652	2.47		+
Vitamin K-dependent protein S	P07225	2.49	+	
Vitamin K-dependent protein S	P07225	3.08		+
Integrin beta-like protein 1	O95965	2.51	+	
C4b-binding protein beta chain	P20851	2.55	+	
Fibronectin	P02751	2.58	+	
Clusterin	P10909	2.65		+
Vitronectin	P04004	2.68		+
Bifunctional glutamate/prolinetRNA ligase	P07814	2.70		+
Nucleobindin-1	Q02818	2.71	+	
Complement component C9	P02748	2.75		+
Zinc-alpha-2-glycoprotein	P25311	2.75		+
Complement C1r subcomponent	P00736	2.83		+
Heparin cofactor 2	P05546	2.83		+
Ferritin light chain	P02792	2.84	+	
Proteoglycan 4	Q92954	2.88		+
C4b-binding protein alpha chain	P04003	2.91	+	
C4b-binding protein alpha chain	P04003	10.38		+
Matrix Metalloproteinase 3	P08254	2.91		+
Attractin	075882	2.94		+
Insulin-like growth factor-binding protein complex acid labile subunit	P35858	3.02		+
Alpha-1B-glycoprotein	P04217	3.05		+
Fibrinogen alpha chain	P02671	3.10	+	
Fibrinogen alpha chain	P02671	11.91		+
Lumican	P51884	3.13		+
Chondroitin sulfate proteoglycan 4	Q6UVK1	3.16	+	
Collagen alpha-2(V) chain	P05997	3.19	+	

Complement C2	P06681	3.22		+
Fibrinogen beta chain	P02675	3.25	+	
Fibrinogen beta chain	P02675	18.37		+
Secreted phosphoprotein 24	Q13103	3.26	+	
Matrix Metalloproteinase 1	P03956	3.33	+	
Latent-transforming growth factor beta-binding protein 1	Q14766	3.45	+	
Phospholipid transfer protein	P55058	3.47		+
Inter-alpha-trypsin inhibitor heavy chain H3	Q06033	3.47		+
Complement C1q tumor necrosis factor-related protein 3	Q9BXJ4	3.50	+	
Adipocyte enhancer-binding protein 1	Q8IUX7;Q8N436	3.51	+	
Adiponectin	Q15848	3.52	+	
<u>Fibrinogen gamma chain</u>	P02679	3.79	+	
<u>Fibrinogen gamma chain</u>	P02679	18.37		+
Plasminogen	P00747	3.84		+
Apolipoprotein C-II	P02655	3.94		+
CD5 antigen-like	O43866	4.17		+
Collagen alpha-1(V) chain	P20908	4.26	+	
Complement factor H	P08603	4.57		+
Inter-alpha-trypsin inhibitor heavy chain H4	Q14624	4.61		+
Complement C5	P01031	4.74		+
Collagen alpha-1(I) chain	P02452	4.84	+	
Ceruloplasmin	P00450	5.01		+
Histidine-rich glycoprotein	P04196	5.11		+
Target of Nesh-SH3	Q7Z7G0	5.25		+
Plasma protease C1 inhibitor	P05155	5.30		+
Apolipoprotein M	O95445	5.65		+
Inter-alpha-trypsin inhibitor heavy chain H2	P19823	5.70		+
Periostin	Q15063	5.81	+	
Apolipoprotein C-III	P02656	5.92		+
Kinectin	Q86UP2	6.02		+
Carboxypeptidase N subunit 2	P22792	7.73		+
Serum paraoxonase/arylesterase 1	P27169	8.02		+
Apolipoprotein L1	014791	8.95		+
Ig mu chain C region	P01871	13.30		+
Apolipoprotein E	P02649	13.80		+
Inter-alpha-trypsin inhibitor heavy chain H1	P19827	15.56		+

Table 5: Fold change of proteins that are differentially abundant ($\geq \pm 2.0$ FC; p ≤ 0.05 ; protein identified by at least 2 unique peptides) in the synovial fluid of clinical non-responders at Stage II compared to Stage I. Positive numbers denote higher abundance at Stage II compared to Stage I of ACI. Proteins were identified using either protein dynamic compression coupled with label free quantification liquidchromatography tandem mass spectrometry (LF LC-MS/MS) or no protein dynamic compression with isobaric tags for absolute and relative quantitation (iTRAQ) LC-MS/MS. Proteins identified by both

391 proteomic techniques are underlined and in italics.

pathways associated with the non-responder response to cartilage harvest (Stage II vs Stage I) were, acute phase response signalling ($p=1.10 \times 10^{-9}$), intrinsic prothrombin activation pathway ($p=3.43\times 10^{-7}$) and the complement system ($p=1.22\times 10^{-6}$). Further, analysis of upstream regulators to these dysregulated proteins included those identified using the LF LC-MS/MS analysis data alone e.g. transforming growth factor beta 1 (TGFB1; $p=2.05 \times 10^{-13}$), dihydrotestosterone ($p=4.48 \times 10^{-11}$) and peroxisome proliferator-activated receptor alpha (PPARA; $p=1.09 \times 10^{-9}$) (3).

398 The combined datasets were then used to generate unbiased interactome networks that represent the 399 differentially abundant proteins (non-inferred networks), their likely interacting proteins (inferred 400 networks) and how these proteins interact with one another, resulting in models of systemic protein 401 response to cartilage harvest in either the responders or non-responders to ACI. Based on proteins 402 that were differentially abundant between Stages I and II of ACI in non-responders, an interactome 403 network consisting of 115 nodes (proteins) and 40 edges (protein-protein interactions) was generated. 404 Further, an inferred network consisting of 2893 proteins and 35576 protein-protein interactions was 405 generated based upon the addition of proteins that are likely to interact with the differentially abundant 406 proteins (PINA4MS interactome database). Proteins that were differentially abundant in response to 407 cartilage harvest in responders to ACI were used to generate interactome networks (non-inferred: 83 408 nodes and 118 edges; inferred: 2084 nodes and 54007 edges). The ModuLand algorithm was applied 409 to each of these networks to identify modules within the network that can be hierarchically ranked to 410 identify groups of proteins that are the most fundamental in the functioning of the network. Figure 4 411 highlights the top 10 modules from each of the networks generated. These modules again highlight 412 the disparity between the ACI responder and non-responder response to cartilage harvest, with only 413 modules centred on the proto-oncogene tyrosine-protein kinase (SRC) protein being identified in the 414 inferred networks of both non-responder and responder groups. Interestingly, assessment of the functional pathways related to the ModuLand identified modules in the non-responder networks again 415 highlighted regulation of the complement cascade ($p=1.68 \times 10^{-8}$; Fisher's Exact test), thus providing 416 417 confidence in its importance based on identification via two independent bioinformatic approaches.

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421 **Discussion**

422 The recent NICE technology appraisal of ACI has recommended this treatment for a specific subset of 423 patients with cartilage injury in the knee (2). The identification of novel biomarkers that can strengthen 424 current patient demographic risk factors in predicting clinical outcomes (9), as well as developing a 425 greater understanding of the underlying biology associated with success and failure will be beneficial, 426 particularly as this treatment option is likely to be implemented on a wider scale in the near future. 427 This study has built upon our previously published work (3,8), highlighting a number of novel protein 428 candidates that have potential as biomarkers to predict ACI outcome. Moreover, comprehensive 429 proteomic profiling of SF has further highlighted proteome differences between responders and non-430 responders to ACI.

431 In the majority of studies in which the SF proteome has been profiled, either high (11–16) or low (17– 432 22) abundance proteins have been assessed via depletion or non-depletion of abundant proteins prior 433 to proteomic analysis. Our study highlights that the use of both a proteome dynamic range compression technique (Proteominer[™]) (3) in tandem with analysis of non-depleted SF samples can 434 435 provide a more holistic overview of proteome changes:, since both iTRAQ nLC-MS/MS and LF LC-436 MS/MS highlighted large numbers of differentially abundant proteins between Stages I and II of ACI, 437 with little crossover between techniques. This type of all-inclusive approach to unbiased whole-438 proteome analysis of biological fluids may therefore be more successful in the identification of 439 candidate biomarkers for other treatments/ disease states beyond those investigated here.

440 A limitation of our previous study (3) was that very few proteins were identified as differentially 441 abundant between responders and non-responders at baseline. In order for biomarkers aimed at 442 predicting ACI success to be most useful clinically, patients who are likely to fail or respond to this 443 procedure need to be identified prior to any surgical intervention. Interestingly, analysis of non-444 dynamic range compressed proteins with iTRAQ nLC-MS/MS analysis was able to detect a greater 445 number of differentially abundant proteins between responders and non-responders prior to Stage I 446 surgery. The protein with most altered abundance in responders compared to non-responders at 447 Stage I was C1s. This higher abundance in responders was confirmed in individual patient samples using a biochemical assay. C1s is a major constituent of the trimeric complement C1 protein, which 448 449 triggers the classical complement pathway. Once activated, the classical complement pathway

450 promotes inflammation to enable the removal of damaged cells and/or microbes. Moreover, C1s has 451 been shown to cleave insulin growth factor 1 (IGF-1) (45) and insulin like growth factor binding protein 452 5 (IGFBP-5) (46). Both IGF-1 and IGFBP-5 are chondroprotective when in their intact state (45,47) 453 and inhibition of C1s activity within the canine SF reduced cleavage of IGFBP-5 and IGF-1, resulting 454 in reduced cartilage damage following anterior cruciate ligament rupture (45). These studies indicate 455 that high C1s activity levels are likely detrimental to cartilage repair. In future studies, it would therefore be interesting to consider the activation state of C1s, alongside its overall abundance to 456 457 determine how this protein might contribute to ACI success or failure. Further, the complement 458 cascade is known to be important in the pathogenesis of OA, with OA patients demonstrating 459 increased gene expression of complement agonists compared to inhibitors (48). OA related 460 pathogenesis, such as the release of cartilage extracellular matrix molecules and the production of 461 inflammatory mediators all induce complement activation (48). The increased pre-operative levels that 462 we have identified in individuals who responded well to ACI, perhaps indicate that ACI has potential to 463 be successful in individuals who may have developed an early OA phenotype.

It must be noted that a limitation of the iTRAQ nLC-MS/MS analysis performed in this study is that 464 465 pooled samples were analysed. Pooling of the samples may have resulted in some differentially 466 abundant proteins not being detected, particularly if there was large variation between patients for 467 some proteins. This again highlights the benefit of performing independent pooled and non-pooled 468 proteomic techniques to optimise the number of differentially abundant proteins that can be identified. 469 To perform an iTRAQ proteomics study, however, the number of samples that can be individually 470 analysed by mass spectrometry is limited by the number of available isobaric tags; currently a 471 maximum of 8 are commercially available. Therefore, it was decided that in order to assess 472 differences across the experimental groups, replicates of human samples were best pooled to ensure 473 protein differences represented the complexity of human variation. Further, C1s and MMP3 were 474 validated in individual patient SFs by biochemical means, both of which demonstrated differential abundance in the same direction of change as identified using iTRAQ proteomics. The magnitude of 475 476 differential abundance, however, was lower when assessed using ELISA compared to iTRAQ nLC-477 MS/MS, thus highlighting the importance of biochemical validation of candidate biomarkers identified by iTRAQ nLC-MS/MS in individual technical replicate samples, particularly when considering small 478 479 fold change differences from pooled samples.

480 Analysis of the iTRAQ nLC-MS/MS and LF LC-MS/MS datasets, both independently and when 481 combined, has highlighted that there is a marked proteome shift in response to cartilage harvest, i.e. 482 between Stages I and II of ACI. This analysis has resulted in a plethora of candidate biomarkers that 483 may have the potential to inform as to whether an individual is likely to respond well to ACI prior to 484 chondrocytes being implanted during Stage II. The proteoglycan, collagen II, IX and X degrading 485 enzyme, MMP3 (49) has been biochemically validated as one of these candidate proteins that is 486 significantly increased at Stage II compared to Stage I only in non-responders to ACI. If we can 487 identify accurate Use of these biomarkers these willcould have the potential to prevent the burden of a 488 second surgery in a patient for whom this therapy is likely to be unsuccessful, or could indicate that a 489 greater period of time should be left from when the cartilage harvest procedure takes place and when 490 the cells are implanted or that a tailored cartilage implantation procedure would be more efficacious. 491 Alternatively, these candidate biomarkers could be used to determine whether a tailored cartilage 492 implantation procedure would be more efficacious, for example alongside the use of anti-inflammatory 493 drugs, molecule specific inhibitors or perhaps via the use of other cells such as mesenchymal stromal 494 cells (MSCs) which have anti-inflammatory and immunomodulatory properties and have been shown 495 to enhance the repair of cartilage defects (50,51).

496 To investigate the significant proteome shift that exists in response to cartilage harvest, pathway 497 analyses were performed to better distinguish the underlying biological mechanisms which dictate if 498 an individual will respond to ACI or not. Interestingly, the number of biological pathways and functions 499 that were differentially regulated at Stage II compared to Stage I was greater in the non-responders, 500 again strengthening our previous findings that there is a marked and distinct proteome response to 501 cartilage harvest in non-responders to ACI (3). The acute phase response was the pathway predicted 502 to be most significantly differentially regulated in response to cartilage harvest in non-responders to 503 ACI. In-depth assessment of individual protein changes within this pathway again highlighted the 504 benefit of using independent proteomic techniques to profile the SF, as a large number of proteins 505 were differentially abundant between Stages I and II, only three of which were identified using both 506 techniques. The acute phase response is the body's first systemic response to immunological stress, 507 trauma and surgery (52). At the site of injury/trauma, pro-inflammatory cytokines are normally 508 released, activating inflammatory cells, ultimately resulting in inflammatory mediators and cytokines 509 being released into the extracellular fluid compartment to be circulated within the blood (52).

Interestingly, previous bioinformatic analyses of the proteome of late OA compared to healthy controls, highlighted dysregulated acute phase response in the end-stage OA cohort (18). The exacerbated activation of the acute phase response in non-responders following initial surgery could indicate that these patients have a greater immune response to surgery and that they have a lesser ability to dampen down the acute phase following surgery or that they have already developed an advanced OA phenotype, deeming a therapy to repair cartilage injury unsuitable.

516 Analysis of the canonical pathways which are associated with protein differences identified by either 517 the iTRAQ nLC-MS/MS or the LF LC-MS/MS independently (1), highlighted many of the same 518 biological pathways as being differentially regulated in response to cartilage harvest. Therefore, 519 despite different proteins having been identified by the two methods, when these are considered 520 alongside their likely interacting proteins in a 'systemic' manner, many common functional pathways 521 have been identified irrespective of whole proteome coverage. Moreover, the overlap of biological pathways identified when comparing iTRAQ nLC-MS/MS and LF LC-MS/MS analyses adds 522 523 confidence to our assertion that the biological mechanisms identified as underlying ACI success or 524 failure warrant further investigation.

525 Finally, the datasets of combined iTRAQ nLC-MS/MS and LF LC-MS/MS identified proteins were 526 used to generate interactome models that represent the systemic proteome response to cartilage 527 harvest that exists within the SF of both ACI responders and non-responders, from which biological 528 functional pathways could be further studied. Biological functional pathways that were identified using 529 this approach, as well as using IPA can most confidently be taken forward as candidates for further 530 study, as they have been identified by independent bioinformatic methods. Furthermore, given the 531 complexity of the knee joint environment, it is likely that the responder/non-responder phenotype is 532 the result of many subtle protein changes which together contribute to overall dysfunction of a 533 biological network, rather than the result of an individual biological molecule or pathway per se. 534 Therefore, the interactome models generated in this study provide an important opportunity to 535 consider how these proteins interact with one another and result in such phenotypes, as well as, 536 providing a platform for further studies to investigate how potential modifications to the ACI procedure 537 e.g. using co-incidental anti-inflammatory drugs in non-responders at Stage II, may alter these

- 538 biological networks. Thus, these models may provide a potential *in silico* tool for predicting ACI
- 539 outcome, as is commonly used in drug development strategies (53).

Conclusion

This study has highlighted the advantage of using two independent proteomic techniques to profile a holistic overview of the SF proteome, ideal for unbiased identification of biomarker candidates. iTRAQ nLC-MS/MS analysis of SF samples from individuals who have either responded well or very poorly to ACI has highlighted proteins that with further validation have the potential to predict clinical outcome prior to treatment. We have confirmed that there is a marked SF proteome shift following cartilage injury, which is exacerbated in non-responders. Network and pathway analyses have demonstrated the complexity of the biological response underlying this proteome shift in non-responders, with several biological pathways identified that may act as targets for therapeutic intervention.

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733 List of Abbreviations

734 ACI, autologous chondrocyte implantation; BMI, body mass index; C1s, Complement 1S 735 subcomponent; iTRAQ, isobaric tagging for relative and absolute quantitation; LC-MS/MS, liquid 736 chromatography- tandem mass spectrometry; LF, label-free quantitation; LFC, lateral femoral 737 condyle; LTP, lateral tibial plateau; MFC, medial femoral condyle; LXR/RXR, Liver X receptor/ 738 Retinoic X receptor; MMP3, matrix metalloproteinase 3; nLC-MS/MS, nano liquid chromatography-739 tandem mass spectrometry; NICE, The National Institute for Health and Care Excellence; NHS, 740 National Health Service OA, osteoarthritis; OARSI, Osteoarthritis Research Society International; 741 PINA4MS, Protein Interaction Network Analysis For Multiple Sets; SF, synovial fluid; SRC, proto-742 oncogene tyrosine-protein kinase; TEAB, triethylammonium bicarbonate; TGFB1, transforming growth 743 factor beta 1

744 **Declarations**

745 <u>Ethics approval and consent to participate</u>

746 SF samples from patients undergoing ACI were collected under three independent ethical approvals: 747 Investigating the potential for cells and molecules isolated from orthopaedic patients for modelling 748 and understanding pathogenic conditions and developing diagnostic markers and therapies for 749 musculoskeletal disorders and spinal cord injury' (11/NW/0875); 'Autologous cell therapy for 750 Osteoarthritis: An evaluation of the safety and efficacy of autologous transplantation of articular 751 chondrocytes and/or bone marrow derived stromal cells to repair chondral/osteochondral lesions of 752 the knee' (11/WM/0175) and 'Arthritis and cartilage repair study' (06/Q6201/9). 11/NW/0875 was 753 approved by the NRES committee North West- Liverpool East. 11/WM/0175 was approved by the 754 NRES committee West Midlands - Coventry and Warwick and 06/Q2601/9 was approved by 755 Shropshire and Staffordshire-Shropshire local research ethics committee. All patients gave valid 756 informed consent prior to samples being collected.

757 Consent for publication

- 758 Not applicable for this study.
- 759 Availability of data and material
- 760 Proteomic data has been deposited in the PRIDE ProteomeXchange and can be accessed using the
- 761 identifier PXD008321.
- 762 <u>Competing interests</u>
- The authors declare that they have no competing interests.
- 764 Funding
- 765 We would like to thank Arthritis Research UK for supporting this work via grants 19429, 20815 and
- 766 21122. The sponsors had no involvement in the study design, data collection and interpretation or
- 767 preparation of the manuscript. Mandy Peffers is supported through a Wellcome Trust Clinical
- 768 Intermediate Fellowship. This work was supported by the Wellcome Trust grant 094476/Z/10/Z which
- funded the purchase of the TripleTOF 5600 mass spectrometer at the BSRC Mass Spectrometry and
- 770 Proteomics Facility, University of St Andrews.

771 <u>Authors' contributions</u>

- 772 CHH, ELW, KTW, HRF, MJP & SR came up with conception and design of the study. CHH, ELW,
- 773 HRJ, SLS & CHB collected data which was then analysed and interpreted by CHH, ELW, KTW, MJP
- 8 HRF. CHH, ELW, HRF, SR, MJP, SLS, CHB, JBR, PG & KTW drafted the manuscript, critically
- revised and approved the final article. PG & JBR provided patients' synovial fluid samples. Funding
- for the study was obtained by KTW & SR. All authors read and approved the final manuscript.
- 777 <u>Acknowledgments</u>
- We would like to thank Professor Rob Beynon for his advice on study design and help with analysis ofsamples using label free quantitation proteomic analysis.
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Figure 1: Venn-Diagrams representing the proteins identified using isobaric tags for relative and absolute
 quantitation (iTRAQ) proteomics and label-free quantitation (LF) proteomics which were differentially

abundant (\geq 2.0 FC; p \leq 0.05) in the SF at Stage I (SI) compared to Stage II (SII) in responders (R) compared to

- 788 non-responders (NR) to ACI.

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Complement C1S Subcomponent



Matrix Metalloproteinase-3





- ACI responders (F) but was biochemically confirmed to be differentially abundant in the SF of non-responders
- 807 between Stages I and II of the ACI procedure (*p* =0.001; Student's t-test).



821 Figure 3: Proteins of Acute Phase Signalling at Stage II compared to Stage I in non-responders to Autologous Chondrocyte Implantation (ACI).

- 822 Several synovial fluid proteins that are downstream of acute phase response signalling were differentially abundant between Stages I and II of ACI. Proteins
- 823 edged in purple, orange and blue were identified using iTRAQ nLC-MS/MS, LF LC-MS/MS or by both techniques, respectively. (Adapted from Ingenuity).



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Figure 4: The ModuLand algorithm was applied to inferred and non-inferred interactome networks of
 differentially abundant proteins (±1.2FC; p≤0.05) between Stages I and II of ACI in clinical responders and
 non-responders. Modules were identified from both non-inferred (protein changes identified from proteomic

analysis only) and inferred (identified protein changes and inferred proteins interactions) networks and are
 ranked based on their hierarchical network connectivity.