

Differential retinoic acid signaling in the hippocampus of aged rats with and without memory impairment

<https://doi.org/10.1523/ENEURO.0120-21.2021>

Cite as: eNeuro 2021; 10.1523/ENEURO.0120-21.2021

Received: 22 March 2021

Revised: 9 August 2021

Accepted: 17 August 2021

This Early Release article has been peer-reviewed and accepted, but has not been through the composition and copyediting processes. The final version may differ slightly in style or formatting and will contain links to any extended data.

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Title: Differential retinoic acid signaling in the hippocampus of aged rats with and without memory impairment

Abbreviated title: Retinoic acid signaling in neurocognitive aging

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Number of pages: 21

Number of figures: 6

Number of tables: 1

Word count:

- Abstract - 220
- Introduction - 645
- Discussion - 1632

Conflict of interest: P.J.M. is non-executive director of Nevragenics Ltd, a company developing synthetic retinoids for use in neurodegenerative disease. Other authors declare no conflict of interest.

Acknowledgments:

M.U.W.-F. is now at Keele University, England; S.L.R. is at Bright Focus Foundation. This research was supported entirely by the Intramural Research Program of the NIH, National Institute on Aging. The authors thank the members of the Neurocognitive Aging Section for expert technical support and valuable input throughout the course of this project.

39 ABSTRACT

40 Retinoic acid (RA), a metabolite of vitamin A, has many physiological functions, and mounting evidence points to
41 important roles in cognition. *In vitro* experiments indicate that RA is involved in homeostatic synaptic scaling in the
42 hippocampus, which supports overall network stability during learning. It has been previously determined that
43 disrupted RA signaling in the hippocampus causes deterioration of memory, that RA signaling declines with age in
44 brain, and that application of RA reverses this decline. Here we explore whether RA signaling is altered in an animal
45 model of neurocognitive aging. We utilized a Morris water maze protocol to study cognitive decline in aged rats,
46 which assesses hippocampus-dependent spatial memory and reveals substantial inter-individual differences in aged
47 animals. Aged unimpaired (AU) rats perform on par with young, while aged impaired (AI) animals exhibit spatial
48 memory deficits. We show that the major substrate for RA, retinol binding protein 4, is decreased in AU rats, and
49 retinol cell surface receptor declines with chronological age. Other affected components of RA signaling include
50 selective increases in AI animals in hippocampal synthesis (RALDH1) and catabolism of RA (CYP26B1), RA receptor α ,
51 the RA regulated ionotropic glutamate receptor (GluR1), as well as fragile X mental retardation protein. The results
52 support the conclusion that, surprisingly, increased RA signaling in the aged hippocampus is associated with poor
53 cognitive outcome.

54
55 SIGNIFICANCE STATEMENT

56 Growing evidence indicates that retinoic acid (RA) function extends well beyond metabolic control and includes the
57 regulation of memory-related synaptic plasticity. Here we explore whether RA signaling is altered in an animal
58 model of neurocognitive aging. We show that in fact RA function is altered at nearly all levels examined, and these
59 results are unrelated to metabolic aging. Overall, the net effect points in the direction of increased RA signaling in
60 impaired aged animals, which may contribute to disruption in excitation/inhibition balance, a prominent feature of
61 age-related cognitive impairment and suspected early event in the pathogenesis of Alzheimer's disease.

INTRODUCTION

Circulating levels of retinoic acid (RA), a metabolite of vitamin A (retinol), are dependent on dietary availability because animals are unable to synthesize retinol *de novo*. Dietary sources can be from plants in the form of carotenoids or animal sources (retinyl esters; Blomhoff & Blomhoff, 2006). RA has many physiological functions, including control of neuronal differentiation during development, and modulation of neuronal plasticity and neurogenesis in the adult hippocampus (Maden, 2007; Nomoto et al., 2012; Chen et al., 2014). A potential role in memory processes is emerging and RA supplementation as a potential intervention for successful cognitive aging has received preliminary support (Mingaud et al., 2008; Dumetz et al., 2020). Complementing these findings, retinol deficiency during adolescence causes memory impairments comparable to those seen in aged rodents, and vitamin A supplementation can reverse these deficits (Bonnet et al., 2008; Etchamendy et al., 2001). The age-related reduction of plasma retinol binding protein (Kocelak et al., 2018), and retinol (Van Der Loo et al., 2004), as well as decreased vitamin A metabolism (Touyarot et al., 2013), suggest an overall decrease in RA signaling in aging (Enderlin et al., 1997; Etchamendy et al., 2003; Das et al., 2014). A global diminishment in RA functions may link metabolic aging and neurobiological mechanisms responsible for age-associated cognitive decline.

In the blood, retinol circulates freely or bound to retinol binding protein 4 (RBP4), which is carried by transthyretin (TTR). TTR allows stable transport of RBP4 bound retinol and prevents RBP4 filtration and degradation by the kidney (Kanai et al., 1968; Palha, 2002; Vieira and Saraiva, 2014). Circulating retinol enters the cell via STRA6 (STimulated by Retinoic Acid-6) receptor or, due to its lipophilic properties, via cell membrane diffusion (Napoli, 2012; O'Byrne and Blaner, 2013). Inside the cell, retinol binds to the cellular retinol binding proteins and is further metabolized to RA. The last step of RA synthesis is catalyzed by the retinaldehyde dehydrogenase enzymes (RALDHs). RA can exhibit genomic or non-genomic functions, via binding to RA receptors (RARs and RXRs), diffuse to neighboring cells, or be catabolized by the cytochrome p450 family enzymes (CYP26s) (Chen and Napoli, 2008; Chen et al., 2008; Shearer et al., 2012).

The growth, development, and ability of neurons to adapt to a changing environment are crucial for normal cognition. Mounting evidence points to the involvement of RA in memory formation. RA is involved in homeostatic synaptic scaling in the hippocampus, which maintains neuronal network stability in the face of learning-induced changes in synaptic strength (Groth and Tsien, 2008). This modulation is mediated through RA binding to its receptor alpha (RAR α), which acts as an RNA-binding granule (Maghsoodi et al., 2008), promoting the dissociation of ionotropic glutamate receptor (GluR1) mRNA bound to RAR α , making it available for translation. The fragile X mental retardation protein (FMRP) is required for the translation of GluR1, which results in an increase of dendritic synthesis of GluR1 and synaptic strength (Aoto et al., 2008; Soden and Chen, 2010). The expression of glutamate receptors and glutamate uptake decline with age, potentially contributing to memory decline (Segovia et al., 2001; Yang et al., 2015).

To examine the link between hippocampal RA signaling and neurocognitive aging, we used a well-established animal model of age-related cognitive decline. In this model, the hippocampus in aged rats with spatial memory deficits displays a complex constellation of changes relative to younger animals and age-matched subjects with intact memory, including a decrease in the number of inhibitory somatostatin neurons in the dentate gyrus, increased basal Arc protein expression but diminished behavioral induction in the pyramidal cell fields, as well as pyramidal neuron hyperactivity in the CA3 region (Wilson et al., 2005; Spiegel et al., 2013; Fletcher et al., 2014). Here we measured plasma RBP4 and protein levels of hippocampal STRA6 receptor, RA synthesizing and catabolizing enzymes, RAR α , FMRP and GluR1 in young rats and aged animals with and without memory impairment.

MATERIALS and METHODS

Animals

Young (6 months; n=16) and aged (24 months; n=32) male Long Evans rats (Charles River Laboratories, Raleigh, NC) were single housed in a climate controlled vivarium, on a 12:12h light:dark cycle. Animals had *ad libitum* access to food (Teklad Global 18% protein extruded rodent diet with Vitamin A acetate, 30IU/g, 1IU=0.3µg retinol) and water. Rats were screened for health conditions, including skin conditions incompatible with water maze exposure, cataracts and tumors. Only healthy animals were used.

Ethical statement

This study was carried out in accordance with the recommendations in the Guide for the Care and Use of Laboratory Animals of the National Institutes of Health. The protocol was approved by the Animal Care and Use Committee of the National Institute on Aging (ASP number LBN-407-2020).

Spatial learning and memory/background behavioral characterization

Hippocampal-dependent spatial learning and memory were assessed using a Morris water maze protocol, optimized for documenting individual differences in aging (Gallagher et al., 1993). Briefly, animals were trained to find the location of a hidden platform, 3 trials per day over 8 consecutive days. Probe trials were interpolated throughout training (one the last trial of every other day), to record spatial bias for the location of the platform. For the probes, the platform was unavailable for escape during the first 30 sec of the trial. A Learning Index (LI) score for each animal was calculated based on average proximity (in cm) to the hidden escape location across the last three probe trials. Lower LI scores indicate better search accuracy focused on the escape location. Aged animals that performed on par with young (Y) were classified as aged unimpaired (AU), while aged animals that performed above an LI cut-off based on Y were classified as aged impaired (AI). The cut off (LI of 240) is based on the normative distribution of scores for many hundreds of young rats in previous research (e.g., Gallagher et al., 1993; Rapp and Gallagher, 1996; Maei et al., 2009; Haberman et al., 2012; Tomás Pereira and Burwell, 2015).

Tissue collection

Euthanasia for post-mortem analysis occurred in the morning/early afternoon no sooner than 2 weeks after completing water maze training, in order to minimize the influence of behavioral testing on the RA measures of interest. Animals were disoriented or briefly anaesthetized using Isoflurane and decapitated. Trunk blood was collected in heparinized tubes, which were slowly inverted multiple times and placed on wet ice. Blood was transferred to Eppendorf tubes and spun at 1500G for 20 minutes at 4°C. Supernatant (plasma) was then transferred to a separate tube and both were kept at -80°C. Brains were extracted, and hippocampi were rapidly dissected over ice, snap frozen on dry ice and stored at -80°C until required.

ELISA

Retinol binding protein 4 (RBP4) enzyme-linked immune-absorbent assay (ELISA) was performed using a rat RBP4 kit (Abcam, ab203362). Plasma samples were diluted to 1 in 500,000, before performing the assay. A standard curve was created using stock RBP supplied and results were obtained by measuring the absorption at 450nm.

156

157 Plasma glucose, triglyceride and creatinine assays

158 Plasma glucose levels were measured with an assay kit (Abcam, ab65333). Plasma samples were first deproteinized
 159 with 10kD spin columns (Abcam, ab93349). A standard curve was generated using stock glucose provided. The assay
 160 was run per manufacturer instructions and the absorption was measured at 570nm. Triglyceride content was tested
 161 using an assay kit (Biovision, #622). Manufacturer's instructions were followed to obtain the standard curve from
 162 the triglyceride stock provided, the results were obtained by measuring the absorption at 570nm and plasma
 163 triglyceride content was calculated. An assay kit was used to determine plasma creatinine content (Biovision, #625).
 164 Deproteinized plasma samples were tested. Manufacturer instructions were followed, and stock creatinine was used
 165 to create a standard curve and absorption was read at 570nm.

166

167

168 Protein extraction and quantification

169 Proteins from one hippocampus per animal (young n=8, aged n=16) were extracted using tissue protein extraction
 170 reagent (T-PER™; Thermo Fisher Scientific) with HALT protease inhibitor cocktail (100x; Thermo Fisher Scientific),
 171 homogenized, sonicated, spun down for 20 min (13200rpm at 4°C) and supernatant collected. Protein content in
 172 the supernatant was measured using Pierce's bicinchoninic acid (BCA) assay (Thermo Fisher Scientific). Proteins
 173 were made into stock solution of 5mg/ml.

174

175

176 Synaptosome preparation

177 Synaptic protein extraction reagent (Syn-PER™; Thermo Fisher Scientific) was used to isolate synaptosomes, which
 178 contain key pre- and postsynaptic proteins. Briefly, 10ml of Syn-PER™ was added to each mg of hippocampal tissue,
 179 which was then homogenized using Dounce homogenizer. Samples were then centrifuged at 3600rpm for 10 min at
 180 4°C. Supernatant was placed in a fresh tube and centrifuged again at 12700rpm for 20 min at 4°C. Supernatant was
 181 then removed, and the pellet resuspended in Syn-PER™ (2ml/g of tissue). Again, protein content was measured by
 182 BCA assay and made into 5mg/ml stock solution.

183

184

185 Western Blot

186 Samples (25mg protein per well/lane) were separated by SDS-PAGE Bis-Tris gel (Invitrogen) and MES-SDS buffer
 187 (Invitrogen). All groups (Y, AU and AI) were represented within each gel. Following separation, proteins were
 188 transferred onto PVDF membranes (Invitrogen) using an iBlot dry blotting system (Invitrogen). The antibodies were
 189 diluted in blocking solution (2% ELC advance blocking agent; GE Healthcare) in wash buffer (TBS and 0.1% Tween-
 190 20) and membranes were incubated overnight at 4°C. Immunoreactivity was detected by application of conjugated
 191 secondary antibodies (AlexaFluor 488; AlexaFluor 546; CY5; Jackson ImmunoResearch Laboratories). The
 192 immunoblots were scanned on a Sapphire imager (Azure Biosystems, Inc.) at 100mm resolution and quantified using
 193 ImageJ software (National Institutes of Health, USA). Glyceraldehyde 3-phosphate dehydrogenase (GAPDH) or b-
 194 actin were used as loading controls and all results were normalized to the expression of these proteins.

195

Primary antibodies

The primary antibodies and their dilutions are listed in *Table 1*.

Antibody name	Host	WB dilution	Predicted molecular weight	Supplier	Catalogue number
Anti-RALDH1	goat	1:3000	55kDa	Abcam	ab9883
Anti-RALDH3	rabbit	1:1000	57kDa	Abcam	ab129815
Anti- β -actin	mouse	1:30 000	45kDa	BioVision Incorporated	3598
Anti-CYP26A1	mouse	1:1000	49kDa	Santa Cruz Biotechnology	sc-53618
Anti-CYP26B1	rabbit	1:1000	58-60kDa	ProteinTech Antibodies	21555-1-AP
Anti-FMRP	rabbit	1:1000	80kDa	Abcam	ab17722
Anti-GAPDH	rabbit	1:10 000	37kDa	Santa Cruz Biotechnology	sc-25778
Anti-GluR1	rabbit	1:500 1:250 (s)	106kDa	ThermoFisher Scientific	PA1-46151
Anti-PSD95	rabbit	1:500	95kDa	Millipore	AB9708
Anti-RAR α	goat	1:2000 1:1000 (s)	51kDa	Abcam	ab28767
Anti-Stra6	rabbit	1:1000	95kDa	ProteinTech Antibodies	22001-1-AP

Table 1 Primary antibodies used for the western blot (WB) with corresponding dilutions. (s) – synaptosome preparation

Statistical analysis

Statistical analysis was performed using Prism 7.0 (GraphPad Software, Inc., La Jolla USA). Unless otherwise stated, unpaired 2-tailed Student's t-test was used when comparing two groups, while 2-way analysis of variance (2-way ANOVA) with Tukey's multiple comparison test was applied to multiple group analysis. Pearson r correlation coefficients were calculated to investigate associations between variables of interest. Values of $p < 0.05$ were considered statistically significant. An observation was considered an outlier if its value was more than 2 standard deviations from the mean. This was the case for only one young animal, which was excluded from the RALDH1 analysis.

RESULTS

Aged rats display increased individual differences in spatial memory

Spatial memory was assessed in the Morris water maze using a protocol optimized for the study of cognitive aging (Gallagher et al., 1993). Over the course of testing rats were given probe trials to assess spatial bias for the platform location, and LI scores were calculated for each animal. As predicted, aged animals, on average displayed higher LI scores (young mean=182.3 (n=16), aged mean=239.1 (n=32), $t_{(46)}=4.537$, $p < 0.0001$; FIG. 1A). Consistent with many

earlier studies (Lee et al., 2005; Castellano et al., 2012; Fletcher et al., 2014; Myrum et al., 2019), the aged group exhibited substantially increased variability such that some rats performed on par with young, while others performed well outside the normal range. Aged animals with LI scores below 240 (n=15) were classified as aged unimpaired (AU), and rats that scored above 240 (n=17) were operationally defined aged impaired (AI). This animal model provides an opportunity to test for chronological age effects (young vs. aged), while also allowing the study of mechanisms of cognitive aging, by comparing the young, AU and AI, and exploring potential linear correlations between LI scores and RA signaling factors in the same subjects.

Plasma retinol-binding protein 4 is reduced in aged animals without memory impairment

Retinol can circulate free in blood or bound to RBP4/TTR complex. We tested plasma RBP4 concentration, which is indicative of retinol availability. We found no differences in plasma RBP4 between the young and aged groups ($t_{(22)}=1.568$, $p=0.131$; FIG. 1B). However, RBP4 levels were significantly lower in AU compared to Y and AI rats ($F_{(2,21)}=11.48$, $p=0.0004$; Y vs. AU $p=0.0019$; AU vs. AI $p=0.0009$; FIG. 1C). Additionally, levels of circulating retinol-binding protein strongly correlated with spatial memory performance among the aged rats such that subjects with higher RBP4 levels displayed worse spatial memory (n=16, $r^2=0.303$, $p=0.027$; FIG. 1D, black line). The correlation was not significant when the data for young rats were included in the analysis (n=24, $r^2=0.055$, $p=0.273$; FIG. 1D, grey line), suggesting that coupling between RBP4 availability and hippocampal memory function emerges specifically in relation to cognitive aging.

Although the liver is the major peripheral source of RBP4, it is also released by adipocytes (Thompson et al., 2017), and elevated RBP4 levels have been reported in obese and diabetic individuals (Yang et al., 2005; Esteve et al., 2009). Since aged rats are heavier than young adults (young mean=653g, aged mean=828g, $t_{(22)}=3.979$, $p=0.0006$; FIG. 1E) we examined whether RBP4 levels correlate with body weight. In the aged group there was no difference in body weight between AU and AI rats ($t_{(14)}=0.06$, $p=0.95$; FIG. 1F). RBP4 levels were unrelated to body weight in young and aged animals considered together (n=24, $r^2=0.01$, $p=0.65$; FIG. 1G, grey line), and no correlation between body weight and RBP levels was detected when AU and AI rats were considered alone (n=16, $r^2=0.032$, $p=0.032$; FIG. 1G, black line). These results indicate that RBP4 levels in aging are more tightly linked with individual differences in cognitive outcome than with the effects of chronological age, per se.

Aged Long-Evans rats do not display metabolic syndrome

Plasma glucose, triglyceride and creatinine content were measured to determine if aged animals display metabolic symptoms that might affect RBP4 levels. Plasma glucose was significantly lower in aged animals compared with young ($t_{(22)}=2.445$, $p=0.023$; FIG. 2A). This difference was especially prominent when AI rats were compared with young ($F_{(2,21)}=4.155$, $p=0.03$; Y vs. AI, $p=0.023$; FIG. 2B), but not with AU ($p=0.34$). Thus, despite substantially increased weight, pancreatic function seems to keep glucose levels on par with, or lower than, in young rats compared to AU and AI animals. Plasma triglyceride content was measured to test liver function, and the results showed no difference between young and aged animals ($t_{(22)}=0.319$, $p=0.75$; FIG. 2C), or when aged rats were split depending on their cognitive profile ($F_{(2,21)}=0.454$, $p=0.64$; Fig. 2D). Kidney function was assessed indirectly via plasma creatinine levels. Subjects with chronic kidney disease often display increased circulating RBP4 (Koceták et al., 2018; Xun et al., 2018). We saw no differences in plasma creatinine levels between young and aged rats ($t_{(22)}=1.133$, $p=0.27$; FIG. 2E). However, we found significantly lower plasma creatinine in AI group compared with young and AU rats ($F_{(2,21)}=8.59$, $p=0.002$; Y vs. AI, $p=0.0116$; AU vs AI, $p=0.002$; FIG. 2F). These data taken together indicate that the RBP4 results in aged rats are not a secondary consequence metabolic disease.

267

268 Hippocampal STRA6 receptor expression is reduced in aged animals

269 STRA6 is a cell surface receptor by which retinol enters the cell. We measured protein expression of this RA receptor
270 in whole hippocampus from young and aged animals. Levels of STRA6 were significantly lower in aged animals
271 compared with young ($t_{(22)}=6.00$, $p<0.0001$; FIG. 3B). This was true for aged rats without and with spatial memory
272 impairment ($F_{(2,21)}=17.40$, $p<0.0001$; Y vs. AU $p<0.0001$; Y vs. AI, $p=0.0002$; FIG. 3C). Moreover, hippocampal STRA6
273 protein levels did not differ between the aged subgroups (FIG. 3C) or correlate with memory performance (young
274 and aged: $n=24$, $r^2=0.0121$, $p=0.096$; aged only: $n=16$, $r^2=0.010$ $p=0.148$). These results indicate that, independent
275 of cognitive outcome, hippocampal aging is associated with a reduction of the receptor allowing retinol cell entry.

276

277

278 RA synthesis is increased in aged impaired rats

279 The last step of RA metabolism is catalyzed by the RALDH enzymes. Here we assessed the protein expression of two
280 RALDH enzymes in whole hippocampal preparations, RALDH1 and RALDH3. Significantly higher levels of RALDH1
281 protein were found in aged rats relative to Y ($t_{(22)}=2.805$, $p=0.011$; FIG. 3D). This was the case only for AI, in which
282 hippocampal RALDH1 expression was significantly higher than in both Y and AU animals. ($F_{(2,20)}=9.314$, $p=0.001$; Y
283 vs. AI $p=0.001$; AU vs. AI $p=0.026$; FIG. 3E). Notably, the expression of this enzyme correlated with LI scores among
284 the aged animals such that rats with higher RALDH1 expression scored more poorly (i.e., higher LI scores; $n=16$,
285 $r^2=0.42$, $p=0.007$; FIG. 3F, black line). The correlation was similar when the young and aged animals are considered
286 together ($n=23$, $r^2=0.518$, $p=0.0001$; FIG. 3F, grey line). RALDH3 protein expression in whole hippocampus was
287 comparable in the young and aged groups ($t_{(22)}=1.593$, $p=0.125$; FIG. 3G) and unrelated to cognitive status
288 ($F_{(2,21)}=1.22$, $p=0.315$; FIG. 3H). In the aggregate, the results indicate that RALDH1 driven RA synthesis is potentially
289 increased in the hippocampus of aged impaired rats.

290

291

292 Aged impaired animals display increased RA catabolism

293 RA is catabolized by the family of cytochrome p450 enzymes, CYP26. We measured the protein expression of
294 CYP26A1 and CYP26B1 enzymes in the whole hippocampus preparations. CYP26A1 protein expression was largely
295 overlapping between young and aged rats ($t_{(22)}=1.609$, $p=0.122$; FIG. 4B) without and with spatial memory deficits
296 ($F_{(2,21)}=1.651$, $p=0.216$; FIG. 4C). In contrast, we detected significantly higher CYP26B1 protein expression in the aged
297 hippocampus ($t_{(22)}=2.428$, $p=0.024$; FIG. 4D). Interestingly, CYP26B1 levels were selectively increased in AI, differing
298 from both young and AU rats ($F_{(2,21)}=10.85$, $p=0.0006$; Y vs. AI $p=0.0007$; AU vs. AI $p=0.005$; FIG. 4E); levels in the
299 latter groups were equivalent. Furthermore, there was a strong correlation between the expression of CYP26B1 and
300 cognitive performance in the young and aged rats ($n=24$, $r^2=0.455$, $p=0.0003$; FIG. 4F, grey line), which was also
301 robust among the aged animals alone ($n=16$, $r^2=0.265$, $p=0.040$; FIG. 4F, black line), such that poor spatial memory
302 was coupled with higher CYP26B1 enzyme levels. These results suggest that, although age-related increases in RA
303 catabolism are selectively observed in aged animals with memory impairment, catabolic enzyme levels are coupled
304 with spatial memory across the full range of individual differences observed in both young and aged rats.

305

306

307 Cellular and synaptosome RAR α expression is increased in aged impaired rats

308 RAR α is one of six RA receptors but the only one that regulates homeostatic plasticity via non-nuclear action (Aoto
309 et al., 2008; Yang et al., 2015). This receptor is involved in homeostatic synaptic scaling through its interaction with
310 FMRP and GluR1. We measured RAR α protein expression in whole hippocampus homogenates and found no
311 differences in RAR α expression between young and aged animals ($t_{(22)}=1.321$, $p=0.2$; FIG. 5B). Interestingly,
312 however, the expression of RAR α was significantly elevated in AI animals in comparison with both young and AU rats

($F_{(2,21)}=6.218$, $p=0.008$; Y vs. AI $p=0.021$, AU vs. AI $p=0.013$; FIG. 5C). In addition, cognitive scores correlated with the expression of RAR α protein across the young and aged rats ($n=24$, $r^2=0.184$, $p=0.037$; FIG. 5D, grey line), such that animals with worse spatial memory showed higher RAR α expression. Among the aged animals alone, water maze performance failed to correlate significantly with RAR α protein expression ($n=16$, $r^2=0.155$, $p=0.131$; FIG. 5D, black line). The strength of the association was nearly identical in both analyses, however, suggesting that the 'aged only' result is less robust due to the decreased sample size and statistical power.

Non-genomic actions of RA signaling are mediated by RA receptors localized outside of the nucleus. We were specifically interested in the presence of RAR α in the synaptosome preparations, because non-nuclear RAR α acts as a mRNA granule containing GluR1 receptor mRNA. Therefore, we measured RAR α protein levels in whole hippocampus synaptosome fractions. Similar to results for the whole cell lysates, we found no differences in RAR α protein presence ($t_{(18)}=1.385$, $p=0.18$ FIG. 5E). However, AI animals displayed increased synaptosome RAR α content relative to young and AU rats ($F_{(2,17)}=6.561$, $p=0.008$; Y vs. AI $p=0.026$, AU vs. AI $p=0.014$; FIG. 5F), also similar to the pattern in whole lysates. Additionally, synaptosome RA receptor expression positively correlated with spatial memory performance among the young and aged rats, with higher LI scores (i.e., poor memory) associated with increased RAR α protein expression ($n=20$, $r^2=0.312$, $p=0.011$; FIG. 5G, grey line). A similar positive correlation was also observed when the aged animals were considered alone in the analysis ($n=15$, $r^2=0.314$, $p=0.030$; FIG. 5G, black line). These results indicate that RAR α expression is increased selectively in aged animals with memory impairment in both whole cell and synaptosome preparations. Among the aged rats, levels of this receptor localized to the synaptosome compartment were coupled with individual differences in the hippocampal memory.

Hippocampal FMRP protein is increased in aged animals

Next, we examined FMRP protein expression, which is required for the translation of GluR1 mRNA. We found significantly increased levels of FMRP protein in the aged hippocampus ($t_{(22)}=3.27$, $p=0.0035$ FIG. 6B). AI values were elevated relative to young rats ($F_{(2,21)}=7.202$, $p=0.004$; Y vs. AI $p=0.003$; FIG. 6C), whereas results for AU were intermediate and failed to differ from either Y or AI (FIG. 6C). FMRP protein levels failed to correlate with LI scores, and overall, the data point to a general age-related increase in hippocampal FMRP.

Cellular and synaptosome GluR1 levels are increased in aged animals with memory impairment

In the presence of FMRP, GluR1 mRNA is translated locally in a RAR α regulated manner, enhancing AMPA receptor synaptic expression and strength. We measured GluR1 receptor protein levels in whole cell lysates and associated synaptosome preparations. No differences were observed in GluR1 expression between the young and aged group ($t_{(22)}=0.776$, $p=0.446$; FIG. 6D). However, GluR1 protein was significantly increased in AI animals compared with AU ($F_{(2,21)}=4.161$, $p=0.030$, AU vs. AI $p=0.031$; FIG. 6E), although neither aged subgroup differed from Y. The correlation between GluR1 protein levels and spatial memory was not significant when the young and aged animals were considered together ($n=24$, $r^2=0.061$, $p=0.245$ FIG. 6F, grey line). However, a reliable correlation was observed among the aged animals ($n=16$, $r^2=0.248$, $p=0.050$; FIG. 6F, black line), where poor spatial memory (i.e., high LI scores) was associated with higher hippocampal GluR1 levels.

In the synaptosome fraction we found higher GluR1 protein expression in the aged rats than young ($t_{(18)}=3.104$, $p=0.006$; FIG. 6G), and this effect appeared largely attributable to elevation among AI animals ($F_{(2,17)}=5.865$, $p=0.012$; Y vs. AI $p=0.009$; FIG. 6H). Although we found no direct correlation between spatial memory performance and synaptosome GluR1 levels, the results suggest that ionotropic glutamate expression in hippocampus, in both the cytosol and synaptosome, is predominantly increased in AI rats.

359

360 **DISCUSSION**

361 Research on the neurobiology of cognitive aging has traditionally focused on identifying differences between groups
 362 configured on the basis of chronological age. This approach, however, can obscure the increased individual
 363 variability that is a hallmark of aging in humans and animal models. Here, adopting a strategy validated in many
 364 previous studies (McQuail et al., 2018; Rapp et al., 1987, 2020), we explicitly capitalized on this variability to test
 365 whether changes in RA signaling are associated with differential cognitive outcomes in aging. The current evidence
 366 clearly documents that RA signaling in the hippocampus is disrupted across multiple levels of regulation in aged rats
 367 with memory deficits. Specifically, while levels of a key transporter of the substrate for RA (STRA6) were decreased
 368 in the aged hippocampus independent of cognitive status, changes in other components of the RA signaling
 369 pathway, including but not limited to synthesis and catabolism of RA (RALDH1, CYP26B1, RAR α , FMRP and GluR1),
 370 were selectively increased among aged animals with memory impairment. Levels of most of the affected RA
 371 signaling factors were reliably correlated with individual differences in spatial memory among aged rats. Although
 372 the specific mechanisms linking changes in RA signaling to disrupted memory-related plasticity remain to be
 373 determined, in the aggregate our results point to an overall increase in hippocampal RA signaling associated with
 374 age-related cognitive impairment. While previous studies have suggested that global RA decline leads to cognitive
 375 impairment (Etchamendy et al., 2001; Bonnet et al., 2008; Dumetz et al., 2020), our findings suggest that locally
 376 increased RA signaling is coupled with age-related cognitive decline, perhaps reflecting failed compensatory
 377 mechanisms. Alongside experimental design factors that might contribute to apparent discrepancies across studies,
 378 such as rat strain and diet, the current results highlight the importance of considering RA signaling in relation to
 379 individual variability in the cognitive outcome of aging. Nonetheless, a priority knowledge gap for future
 380 investigation is to explore the direction of causality, testing whether the observed effects of aging on RA signaling
 381 are a driver of, or response to, cognitive decline.

382

383

384 **Retinol availability in aged animals**

385 The availability of retinol in the circulation is essential for the synthesis of RA. Circulating levels of retinol are
 386 dependent on dietary availability of vitamin A and are influenced by retinol storage in the liver, which is the largest
 387 storage site in the body (Napoli, 2012). The major pathway for retinol transport is through binding to RBP4/TTR
 388 complex (Li et al., 2014), and here we used plasma RBP4 levels as a proxy for retinol content in the circulation.
 389 Animals in this study were maintained under identical conditions, ensuring that dietary retinol availability was
 390 constant and not the basis of the RBP4 differences seen between the aged groups.

391

392 Our results revealed significantly lower RBP4 plasma levels in AU animals compared with young. This is consistent
 393 with recent findings in humans showing decreased plasma RBP4 content in aged individuals (Soo Lee et al., 2013;
 394 Kocelak et al., 2018). Interestingly, AI animals had RBP4 levels comparable to young and significantly higher than AU
 395 rats. The liver and kidney prominently influence RBP4, because of their role in synthesis and excretion, respectively.
 396 Plasma triglyceride levels, which are indicative of liver function, were comparable across groups, suggesting that
 397 impaired liver function is unlikely to drive changes in RBP4. Renal function also affects circulating RBP4 and can be
 398 assessed by plasma creatinine levels, where high levels are indicative of kidney disease. Kocelak *et al.* (2018) found
 399 increased circulating RBP4 in patients with chronic kidney disease. That study concluded that plasma levels of this
 400 retinol binding protein predominantly reflect poor kidney function and are only modestly sensitive to aging. Here we
 401 found no age-related change in this binding protein. Overall, plasma RBP4 in aged animals strongly correlated with
 402 learning index scores, where low levels were detected in AU plasma. Together, this pattern of results raises the
 403 possibility that the selective decrease of plasma RBP4 seen in AU rats may be a component of an adaptive cascade,
 404 reducing retinol availability in blood, and providing a potential biomarker of resilient cognitive aging.

405

406 Some studies have reported an increase in RBP4 with obesity and insulin resistance (Esteve et al., 2009; Shajarian et
 407 al., 2015), whereas others have found no relationship (Ülgen et al., 2010; Kocelak et al., 2018). Aged rats in the
 408 present experiment did not display visible signs indicative of disrupted glucose regulation (e.g., increased water
 409 consumption and urination, or sharp weight gain), confirmed by normative circulating glucose levels. Additionally,
 410 plasma triglyceride content, a core component of the metabolic syndrome, was similar between groups. Creatinine
 411 levels further suggested that the rats were free of underlying renal disease that might influence RBP4 levels.
 412 Although the aged animals were significantly heavier and exhibited greater adiposity than young, RBP4 levels were
 413 unrelated to body weight. Therefore, differences in plasma RBP4 among the aged rats were not secondary to weight
 414 gain or systemic metabolism change, and instead point to a potential RA influence on cognitive aging independent
 415 of metabolic aging.

416

417

418 **Global increase of RA metabolism in aged impaired animals**

419 A significant role of RA in memory is emerging, complementing reports that, in the hippocampus, RA is involved in
 420 homeostatic synaptic scaling (Aoto et al., 2008; Sarti et al., 2012; Hsu et al., 2019). Although there is evidence that
 421 retinol signaling is altered in the aged brain (Enderlin et al., 1997; Touyarot et al., 2013), the involvement of RA in
 422 cognitive aging has received limited attention.

423

424 Our findings establish that protein levels of STRA6 receptor, which plays an important role in the retinol transport
 425 across blood-tissue barriers (Kelly et al., 2016), are decreased in the aged hippocampus. In brain, expression of this
 426 receptor is regulated by the availability of vitamin A, and in tissues other than the eye, cytosolic retinol
 427 concentration is only partly regulated by STRA6 (Berry et al., 2013). In our model, age-related decline in
 428 hippocampal STRA6 expression does not appear to be a consequence of systemic change in retinol, as the results fail
 429 to parallel the observed changes in plasma RBP4 levels. Regardless of the mechanism, which remains to be
 430 determined, the reduction in STRA6 may reduce intracellular retinol availability. This is consistent with decreased
 431 retinoid brain levels in aged mice (Kelly et al., 2016).

432

433 We also examined the abundance of RA synthesizing and catabolizing enzymes in the hippocampus. Retinal
 434 dehydrogenase enzymes are responsible for the last step of RA synthesis. In the present experiments, RALDH1
 435 expression was increased in aged animals, whereas RALDH3 levels were unchanged. Increased RALDH1 levels in the
 436 aged hippocampus contrast with reports of reduced retinol metabolism in other tissues (Etchamendy et al., 2003;
 437 Van Der Loo et al., 2004; Das et al., 2014) raising the possibility that the increase is a brain-specific response in
 438 aging. In the future it will be useful to extend the analysis to include the third RA synthesizing enzyme, RALDH2. The
 439 RA catabolic enzymes CYP26A1 and CYP26B1 are both expressed in the rat hippocampus (McCaffery and Simons,
 440 2007; Stoney et al., 2016). CYP26A1 has greater catalytic activity for RA than CYP26B1 (Topletz et al., 2012),
 441 although CYP26B1 is more widely distributed in the brain (Stoney et al., 2016) and tightly regulates RA signaling
 442 during development (Abu-Abed et al., 2002). CYP26 gene expression is dynamically regulated by dietary retinol and
 443 RA from liver and extrahepatic tissues (Ray et al., 1997; Wang et al., 2002) While liver CYP26B1 is reportedly
 444 upregulated in aged subjects (Yamamoto et al., 2002), whether brain expression changes with age is unknown. Here
 445 we found no difference in CYP26A1 between young and aged animals, whereas CYP26B1 protein levels increased
 446 with age. In line with the observed increase in synthesizing enzyme, the predicted consequence of enhanced RA
 447 presence is a net increase in CYP26B1-mediated catabolism.

448

449 One of the non-genomic functions of RA is mediated by RAR α , which undergoes active nuclear transport (Poon and
 450 Chen, 2008). This receptor is implicated in homeostatic synaptic scaling (Chen et al., 2014; Li et al., 2019), synaptic

transmission in somatosensory cortex (X. Yee and Chen, 2016), and normal tactile sensory processing (Park et al., 2018). Here we found significantly higher levels of RAR α in AI rats, in both cytosolic and synaptosome fractions from hippocampus, suggesting that non-genomic RA action is affected in these animals. The presumed consequence is greater RAR α availability for RA to bind to and release GluR1 mRNA, increasing availability for translation.

The translation of GluR1 mRNA critically depends on FMRP. This protein is not directly related to the RA signaling pathway, but it is an important mediator of RA's downstream effects. An RNA-binding functional regulator, FMRP localizes to cytosolic membranes and the nucleus (Bostrom et al., 2016; Smidak et al., 2017), where it controls synaptic protein synthesis, modulating dendritic spine formation (Feng et al., 1997; Greenough et al., 2001; Weiler et al., 2004). Deficiency of FMRP leads to local protein synthesis-dependent endocytosis of GluR1 receptor (Nakamoto et al., 2007), and activation of GluRs influences dendritic FMRP localization (Antar, 2004). In contrast to previous reports (Singh et al., 2007; Smidak et al., 2017), our findings demonstrate modest but statistically reliable increases in hippocampal FMRP protein in aged rats, an effect predominantly attributable to AI rats. This increase, together with higher expression of GluR1, is positioned to potentially influence excitatory neurotransmission in the hippocampus. Interestingly, pyramidal neurons in the hippocampal CA3 region of AI animals exhibit elevated firing rates (Wilson et al., 2005), and pharmacological treatments that reduce hyperactivity improve memory in both AI rats and MCI patients (Koh et al., 2009; Bakker et al., 2012). RA actions via RAR α are also known to cause downscaling of synaptic inhibition by FMRP-dependent removal of synaptic GABA $_A$ receptors (Sarti et al., 2013). It is possible, that altered GluR1 expression and network disinhibition lead to disrupted excitation/inhibition balance, which in turn may contribute to memory impairments observed in aged rats.

CONCLUSION

Compelling evidence indicates that RA function extends well beyond metabolic control and includes regulation of memory-related synaptic plasticity. Here we demonstrate that RA signaling in neurocognitive aging is affected at nearly all levels of regulation examined. We found a decrease in plasma RBP4 in aged animals without memory impairment. Net hippocampal RA signaling is likely increased in aged rats with cognitive impairment, reflecting in part greater synthesizing and catabolizing enzyme expression. Furthermore, we find increases in RAR α , FMRP and GluR1 selectively in aged rats with memory impairments. These changes appear unrelated to metabolic aging, and instead most are specifically related to individual differences in the cognitive outcome of aging rather than chronological age. The importance of neuronal excitation/inhibition balance in relation to cognitive outcome has been highlighted in many studies and is the core of numerous neurological diseases where altered RA signaling is implicated (Wołoszynowska-Fraser et al., 2020). Together the current results lean in favor of increased RA signaling, potentially contributing to the excitation/inhibition imbalance that is prominently featured in age-related cognitive impairment. This work further extends the boundaries of RA function in brain, and specifically highlights the importance of considering aging effects in relation to individual variability in cognitive aging. Among potential future directions, a comprehensive account of RA signaling influences on neurocognitive aging will also require a parallel assessment of genomic pathway effects.

M.U.W.-F., P.J.M., P.R.R. designed research; M.U.W.-F., S.L.R., J.M.L. performed research; M.U.W.-F., J.M.L. analyzed data; M.U.W.-F., S.L.R., J.M.L., P.J.M., P.R.R. wrote the manuscript. This research was supported entirely by the Intramural Research Program of the NIH, National Institute on Aging.

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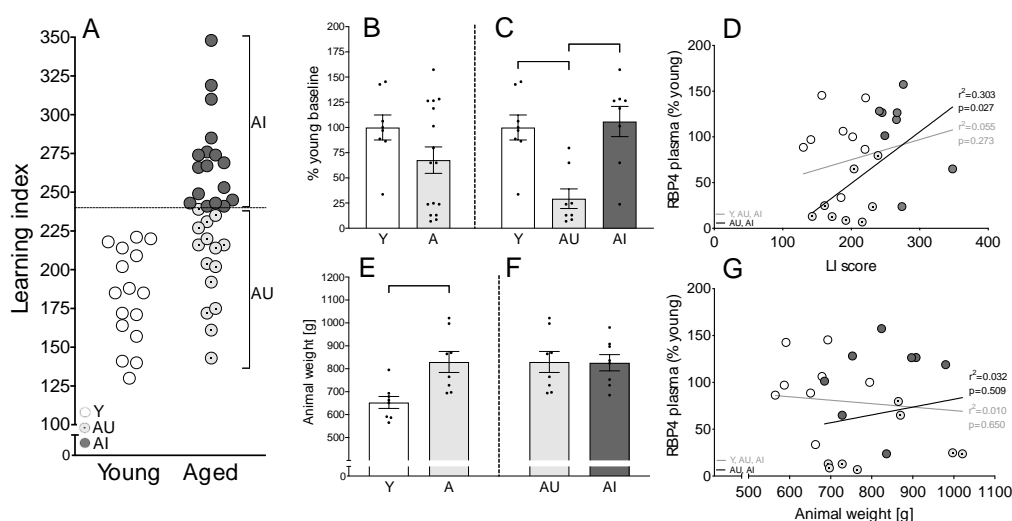


Figure 1 Spatial memory performance, animal weight and plasma RBP4 levels

Learning index scores for individual young and aged animals (A); plasma RBP4 levels presented as % of young baseline (B and C); correlation of plasma RBP4 and LI score (D); mean body weights of animals tested. Note that body weight did not differ among the aged rats (E and F); correlation of plasma RBP4 and body weight (G). Results shown as bars with individual animal data plotted. Statistical analysis – unpaired 2-tailed Student's t-test (B, E and F), one-way ANOVA, with Tukey's multiple comparisons test (C), and linear regression (D and G; all animals – grey line; aged animals – black line); ** $p < 0.01$; *** $p < 0.001$. Young $n = 16$ and aged $n = 32$ (AU $n = 15$, AI $n = 17$; panel A); young $n = 8$ and aged $n = 16$ (AU $n = 8$, AI $n = 8$; panels B-G). Error bars represent standard error of mean.

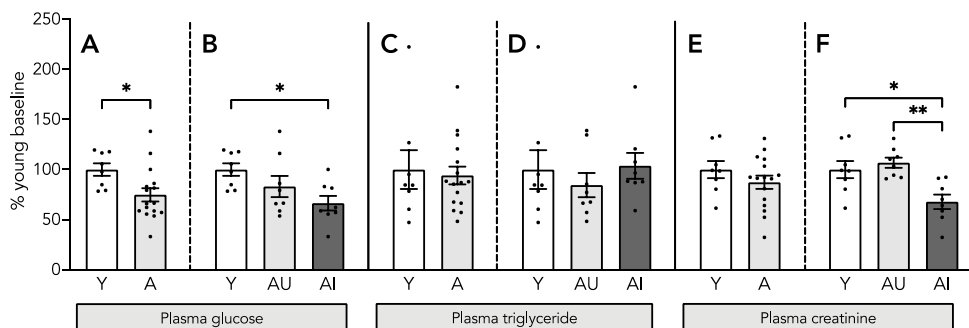


Figure 2 Plasma glucose, triglyceride and creatinine levels

Levels of plasma glucose (A and B) triglyceride (C and D), and creatinine (E and F) in the Y, aged, AU, and AI groups. Results shown as bars with individual animal data plotted. Statistical analysis – unpaired 2-tailed Student's t-test (A, C and E), and one-way ANOVA, with Tukey's multiple comparisons test (B, D and F); * p<0.05; ** p<0.01. Young n=8 and aged n=16 (AU n=8, AI n=8; all panels). Error bars represent standard error of mean.

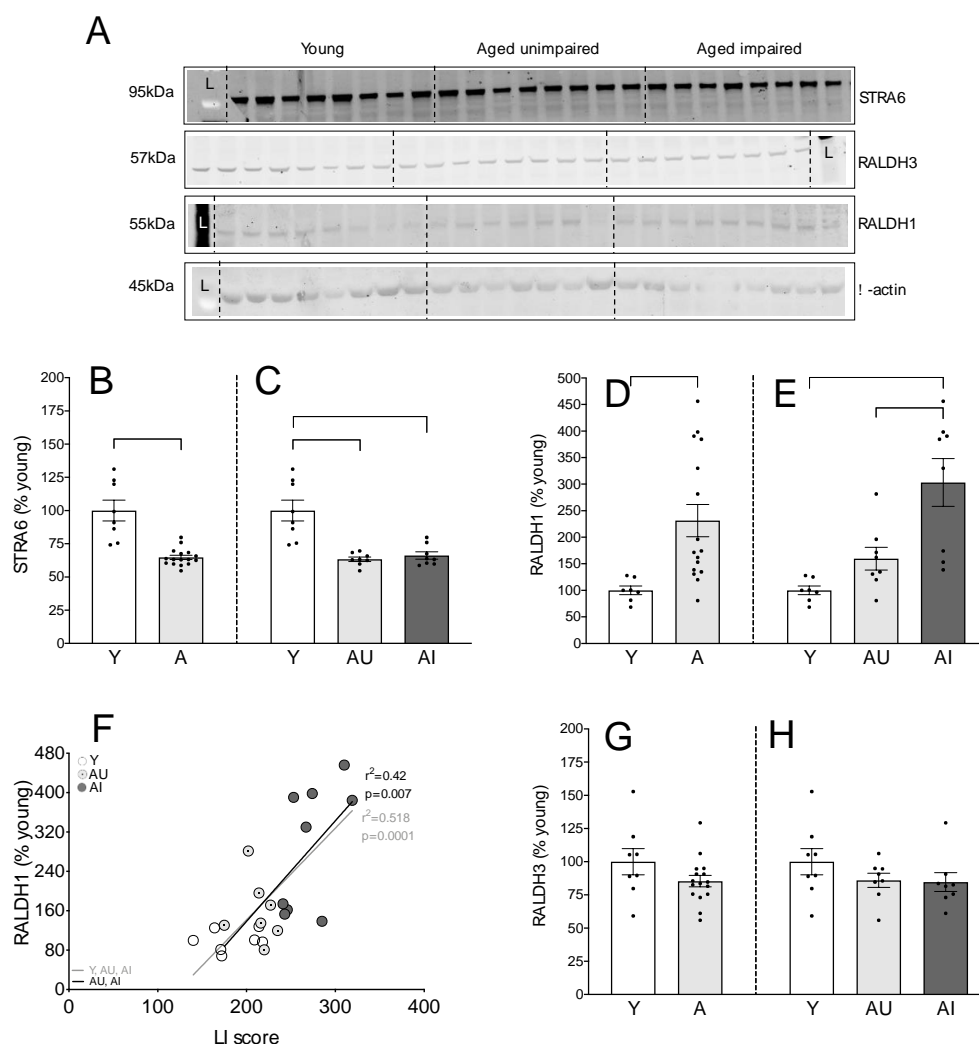


Figure 3 Protein expression of STRA6, RALDH1 and RALDH3 in the hippocampus

Representative blots for proteins of interest (**A**), expression relative to β-actin as a percentage of young values, L stands for molecular ladder lane. Hippocampal expression of STRA6 (**B** and **C**), RALDH1 (**D** and **E**) and RALDH3 (**G** and **H**). Correlation of protein expression of RALDH1 and LI scores (**F**). Results shown as bars with individual animal data plotted. Statistical analysis – unpaired 2-tailed Student's t-test (**B**, **D** and **G**), one-way ANOVA, with Tukey's multiple comparisons test (**C**, **E** and **H**), and linear regression (**F**; all animals – grey line; aged animals – black line); Y – young, AU – aged unimpaired, AI – aged impaired; * $p<0.05$; ** $p<0.01$; *** $p<0.001$; **** $p<0.0001$. Young $n=8$ and aged $n=16$ (AU $n=8$, AI $n=8$; B, G-H); young $n=7$ and aged $n=16$ (AU $n=7$, AI $n=9$; D-F). Error bars represent standard error of mean.

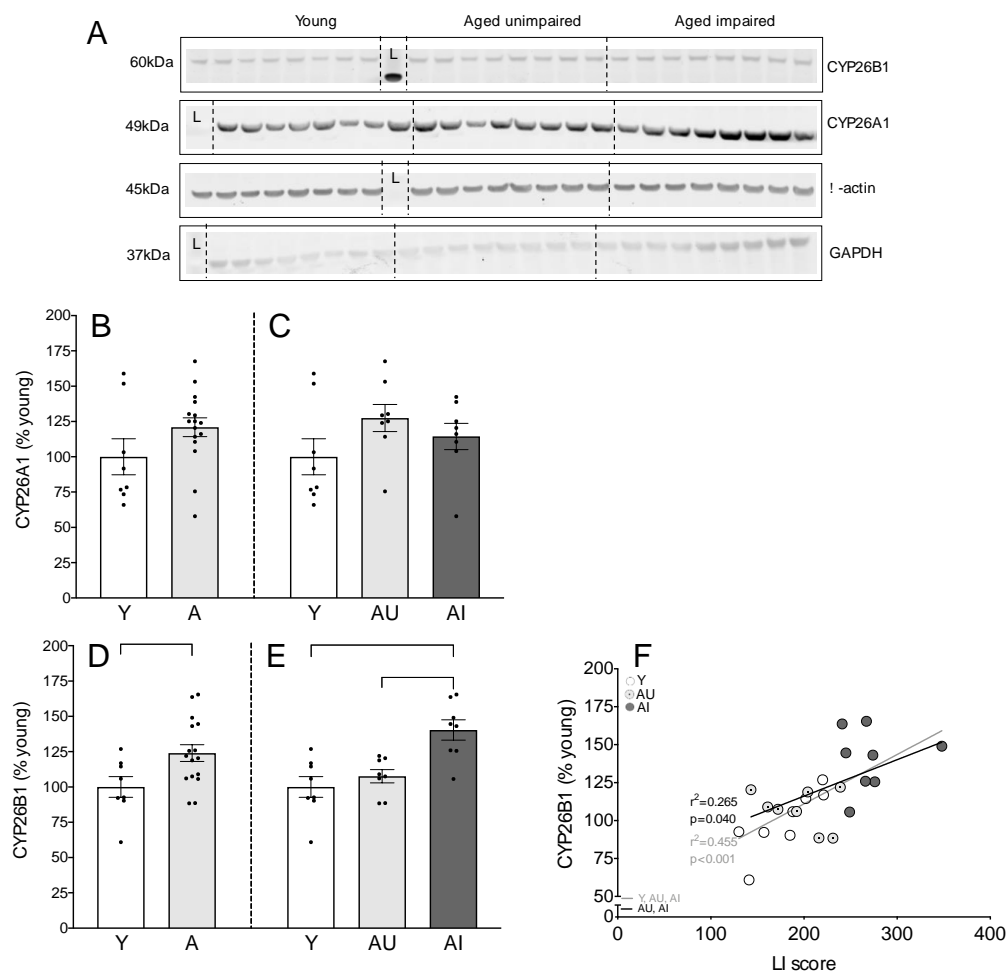


Figure 4 Protein expression of RA catabolizing enzymes in the hippocampus

Representative blots for proteins of interest (**A**), CYP26A1 expression relative to GAPDH, CYP26B1 expression relative to β-actin, L stands for molecular ladder lane. Hippocampal expression of CYP26A1 (**B** and **C**), and CYP26B1 (**D** and **E**). Correlation of CYP26B1 levels and LI scores (**F**). Results shown as bars with individual animal data plotted. Statistical analysis – unpaired 2-tailed Student's t-test (**B** and **D**), one-way ANOVA, with Tukey's multiple comparisons test (**C** and **E**), and linear regression (**F**; all animals – grey line; aged animals – black line); Y – young, AU – aged unimpaired, AI – aged impaired; * $p<0.05$; ** $p<0.01$; *** $p<0.001$. Young $n=8$ and aged $n=16$ (AU $n=8$, AI $n=8$; B-F). Error bars represent standard error of mean.

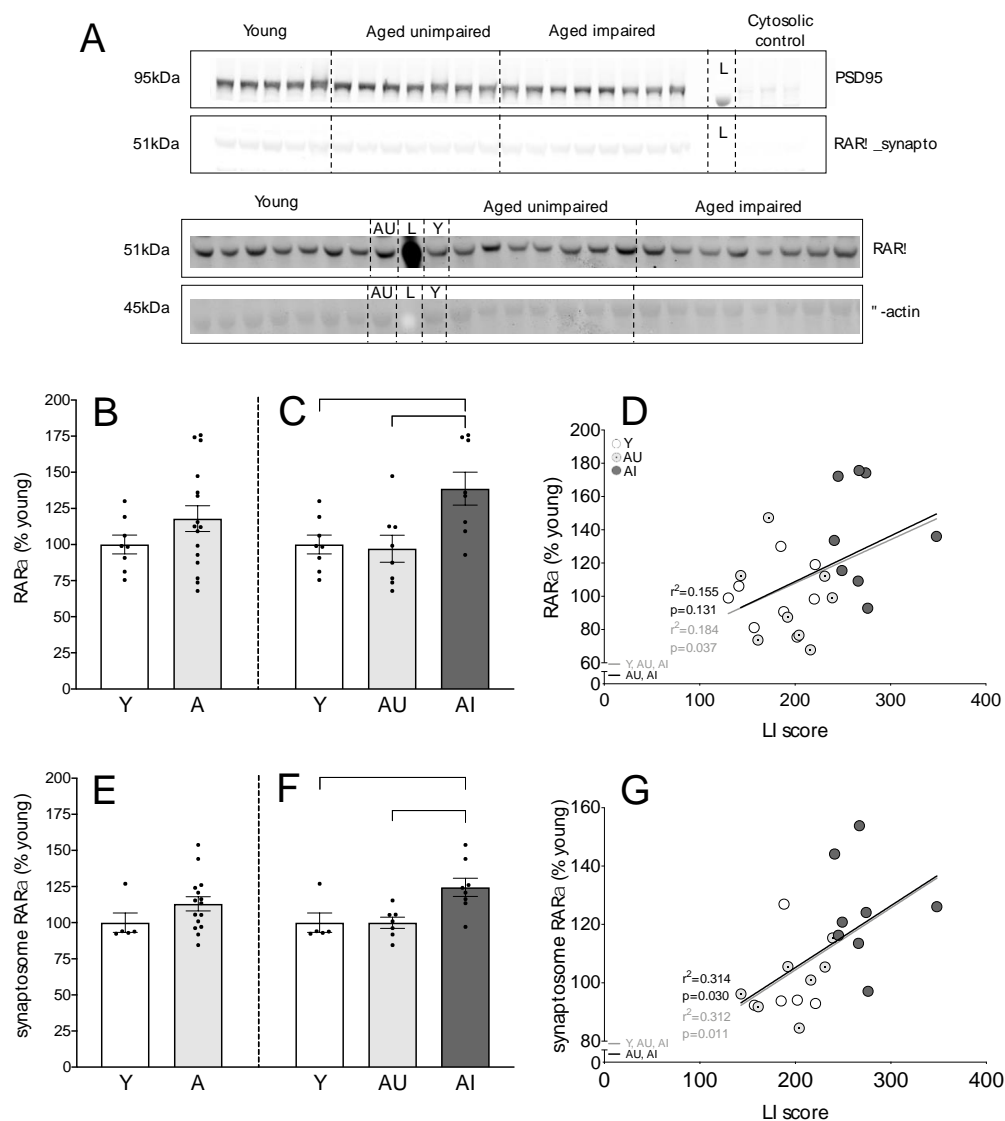


Figure 5 Cellular and dendritic RAR α expression in the hippocampus

Representative blots for RAR α (A), cellular RAR α expression relative to β -actin (bottom two panels), and synaptosome RAR α expression relative to PSD95 (top two panels); L stands for molecular ladder lane. Cytosolic fraction included as a confirmation of the fractionation. Hippocampal expression of RAR α (B and C). Correlation of RAR α protein levels with LI scores (D). Synaptosome compartment RAR α content (E and F). Correlation of synaptosome RAR α levels and LI scores (G). Results shown as bars with individual animal data plotted. Statistical analysis – unpaired 2-tailed Student's t-test (B and E), one-way ANOVA, with Tukey's multiple comparisons test (C and F), and linear regression (D and G; all animals – grey line; aged animals – black line; Y – young, AU – aged unimpaired, AI – aged impaired; C – cytosolic fraction; * $p<0.05$. Young $n=8$ and aged $n=16$ (AU $n=8$, AI $n=8$; B-D); young $n=5$ and aged $n=15$ (AU $n=7$, AI $n=8$; E-G). Error bars represent standard error of mean.

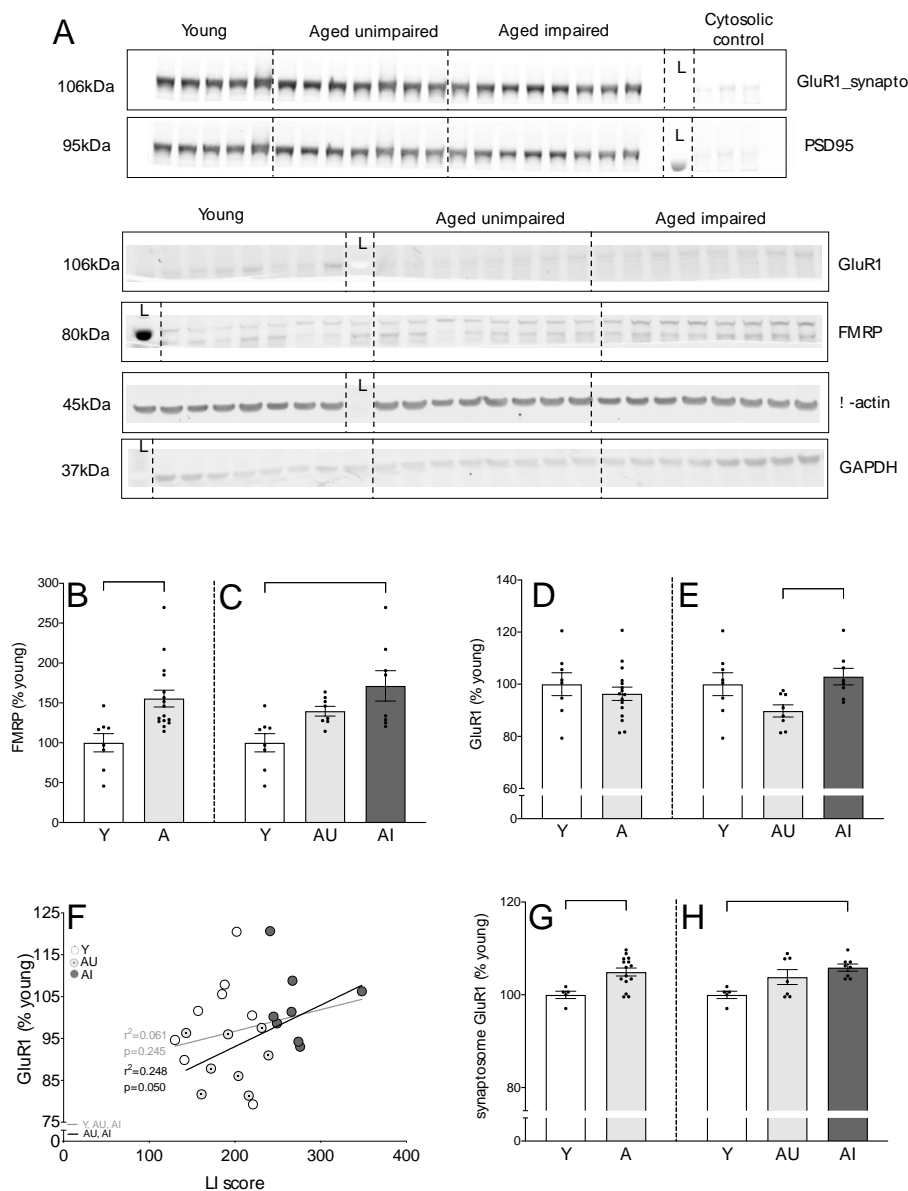


Figure 6 FMRP expression and cellular and dendritic expression of GluR1 in the hippocampus

Representative blots for proteins of interest (**A**), FMRP expression relative to GAPDH (bottom four panels); cellular GluR1 expression relative to β-actin, and synaptosome GluR1 levels relative to PSD95 (top two panels); L stands for molecular ladder lane. Cytosolic fraction included as confirmation of the fractionation. Hippocampal expression of FMRP (**B** and **C**). Whole hippocampus (**D** and **E**) and synaptosome GluR1 protein levels (**G** and **H**). Correlation of cytosolic GluR1 protein expression with LI scores (**F**). Results shown as bars with individual animal data plotted. Statistical analysis – unpaired 2-tailed Student's t-test (**B**, **D** and **G**), one-way ANOVA, with Tukey's multiple comparisons test (**C**, **E** and **H**), and linear regression (**F**; all animals – grey line; aged animals – black line); Y – young, AU – aged unimpaired, AI – aged impaired; C – cytosolic fraction; * $p < 0.05$. Young $n=8$ and aged $n=16$ (AU $n=8$, AI $n=8$; B-F); young $n=5$ and aged $n=15$ (AU $n=7$, AI $n=8$; G-H). Error bars represent standard error of mean.