



## SPITZER PARALLAX OF OGLE-2015-BLG-0966: A COLD NEPTUNE IN THE GALACTIC DISK

R. A. STREET<sup>1</sup>, A. UDALSKI<sup>2</sup>, S. CALCHI NOVATI<sup>3,4,5,51</sup>, M. P. G. HUNDERTMARK<sup>6</sup>, W. ZHU<sup>7</sup>, A. GOULD<sup>7</sup>, J. YEE<sup>8,52</sup>, Y. TSAPRAS<sup>9</sup>,  
D. P. BENNETT<sup>10</sup>

(THE ROBO NET PROJECT & MINDSTEP CONSORTIUM),

U. G. JØRGENSEN<sup>6</sup>, M. DOMINIK<sup>11,53</sup>, M. I. ANDERSEN<sup>12</sup>, E. BACHELET<sup>1,13</sup>, V. BOZZA<sup>4,14</sup>, D. M. BRAMICH<sup>13</sup>, M. J. BURGDORF<sup>15</sup>,  
A. CASSAN<sup>16</sup>, S. CICERI<sup>17</sup>, G. D'AGO<sup>5</sup>, SUBO DONG<sup>18</sup>, D. F. EVANS<sup>19</sup>, SHENG-HONG GU<sup>20</sup>, H. HARKONNEN<sup>6</sup>, T. C. HINSE<sup>21</sup>,  
KEITH HORNE<sup>11</sup>, R. FIGUERA JAIMES<sup>11,22</sup>, N. KAINS<sup>23</sup>, E. KERINS<sup>49</sup>, H. KORHONEN<sup>12,24</sup>, M. KUFFMEIER<sup>6</sup>, L. MANCINI<sup>17</sup>,  
J. MENZIES<sup>25</sup>, S. MAO<sup>26</sup>, N. PEIXINHO<sup>27</sup>, A. POPOVAS<sup>6</sup>, M. RABUS<sup>17,28</sup>, S. RAHVAR<sup>29</sup>, C. RANC<sup>16</sup>, R. TRONSGAARD RASMUSSEN<sup>30</sup>,  
G. SCARPETTA<sup>4,5</sup>, R. SCHMIDT<sup>9</sup>, J. SKOTTFELT<sup>31</sup>, C. SNODGRASS<sup>32</sup>, J. SOUTHWORTH<sup>19</sup>, I. A. STEELE<sup>33</sup>, J. SURDEJ<sup>34</sup>,  
E. UNDA-SANZANA<sup>27</sup>, P. VERMA<sup>5</sup>, C. VON ESSEN<sup>30</sup>, J. WAMBSGANSS<sup>9</sup>, YI-BO. WANG<sup>20</sup>, O. WERTZ<sup>34</sup>

(THE OGLE PROJECT),

R. POLESKI<sup>2,7</sup>, M. PAWLAK<sup>2</sup>, M. K. SZYMAŃSKI<sup>2</sup>, J. SKOWRON<sup>2</sup>, P. MRÓZ<sup>2</sup>, S. KOZŁOWSKI<sup>2</sup>, Ł. WYRZYKOWSKI<sup>2</sup>, P. PIETRUKOWICZ<sup>2</sup>,  
G. PIETRZYŃSKI<sup>2</sup>, I. SOSZYŃSKI<sup>2</sup>, K. ULACZYK<sup>35</sup>

(THE SPITZER TEAM),

C. BEICHMAN<sup>3</sup>, G. BRYDEN<sup>36</sup>, S. CAREY<sup>37</sup>, B. S. GAUDI<sup>7</sup>, C. B HENDERSON<sup>7,36,54</sup>, R. W. POGGE<sup>7</sup>, Y. SHVARTZVALD<sup>36,54</sup>

(THE MOA COLLABORATION),

AND

F. ABE<sup>38</sup>, Y. ASAKURA<sup>38</sup>, A. BHATTACHARYA<sup>10</sup>, I. A. BOND<sup>39</sup>, M. DONACHIE<sup>40</sup>, M. FREEMAN<sup>40</sup>, A. FUKUI<sup>41</sup>, Y. HIRAO<sup>42</sup>,  
K. INAYAMA<sup>43</sup>, Y. ITOW<sup>38</sup>, N. KOSHIMOTO<sup>42</sup>, M. C. A. LI<sup>40</sup>, C. H. LING<sup>39</sup>, K. MASUDA<sup>38</sup>, Y. MATSUBARA<sup>38</sup>, Y. MURAKI<sup>38</sup>,  
M. NAGAKANE<sup>42</sup>, T. NISHIOKA<sup>38</sup>, K. OHNISHI<sup>44</sup>, H. OYOKAWA<sup>38</sup>, N. RATTENBURY<sup>40</sup>, TO. SAITO<sup>45</sup>, A. SHARAN<sup>40</sup>, D. J. SULLIVAN<sup>46</sup>,  
T. SUMI<sup>42</sup>, D. SUZUKI<sup>38</sup>, J. TRISTRAM<sup>47</sup>, Y. WAKIYAMA<sup>10</sup>, A. YONEHARA<sup>43</sup>

(KMTNET MODELING TEAM), C. HAN<sup>48</sup>, J.-Y. CHOI<sup>48</sup>, H. PARK<sup>48</sup>, Y. K. JUNG<sup>48</sup>, AND I.-G. SHIN<sup>48</sup>

<sup>1</sup>LCOGT, 6740 Cortona Drive, Suite 102, Goleta, CA 93117, USA

<sup>2</sup>Warsaw University Observatory, Al. Ujazdowskie 4, 00-478 Warszawa, Poland

<sup>3</sup>NASA Exoplanet Science Institute, MS 100-22, California Institute of Technology, Pasadena, CA 91125, USA

<sup>4</sup>Dipartimento di Fisica "E.R. Caianiello," Università di Salerno, Via Giovanni Paolo II 132, I-84084, Fisciano, Italy

<sup>5</sup>Istituto Internazionale per gli Alti Studi Scientifici (IIASS), Via G. Pellegrino 19, I-84019 Vietri sul Mare (SA), Italy

<sup>6</sup>Niels Bohr Institute & Centre for Star and Planet Formation, University of Copenhagen, Øster Voldgade 5, DK-1350—Copenhagen K, Denmark

<sup>7</sup>Department of Astronomy, Ohio State University, 140 West 18th Avenue, Columbus, OH 43210, USA

<sup>8</sup>Harvard-Smithsonian Center for Astrophysics, 60 Garden Street, Cambridge, MA 02138, USA

<sup>9</sup>Astronomisches Rechen-Institut, Zentrum für Astronomie der Universität Heidelberg (ZAH), D-69120 Heidelberg, Germany

<sup>10</sup>Department of Physics, University of Notre Dame, Notre Dame, IN 46556, USA

<sup>11</sup>SUPA, School of Physics & Astronomy, University of St Andrews, North Haugh, St Andrews KY16 9SS, UK

<sup>12</sup>Niels Bohr Institute & Dark Cosmology Centre, University of Copenhagen, Juliane Mariesvej 30, DK-2100—Copenhagen Ø, Denmark

<sup>13</sup>Qatar Environment and Energy Research Institute, Qatar Foundation, P.O. Box 5825, Doha, Qatar

<sup>14</sup>Istituto Nazionale di Fisica Nucleare, Sezione di Napoli, Napoli, Italy

<sup>15</sup>Meteorologisches Institut, Universität Hamburg, Bundesstraße 55, D-20146 Hamburg, Germany

<sup>16</sup>Sorbonne Universités, UPMC Univ Paris 6 et CNRS, UMR 7095, Institut d'Astrophysique de Paris, 98 bis bd Arago, F-75014 Paris, France

<sup>17</sup>Max Planck Institute for Astronomy, Königstuhl 17, D-69117 Heidelberg, Germany

<sup>18</sup>Kavli Institute for Astronomy and Astrophysics, Peking University, Yi He Yuan Road 5, Hai Dian District, Beijing 100871, China

<sup>19</sup>Astrophysics Group, Keele University, Staffordshire, ST5 5BG, UK

<sup>20</sup>Yunnan Observatories, Chinese Academy of Sciences, Kunming 650011, China

<sup>21</sup>Korea Astronomy & Space Science Institute, 776 Daedukdae-ro, Yuseong-gu, 305-348 Daejeon, Korea

<sup>22</sup>European Southern Observatory, Karl-Schwarzschild Straße 2, D-85748 Garching bei München, Germany

<sup>23</sup>Space Telescope Science Institute, 3700 San Martin Drive, Baltimore, MD 21218, USA

<sup>24</sup>Finnish Centre for Astronomy with ESO (FINCA), Väisälantie 20, FI-21500 Piikkiö, Finland

<sup>25</sup>South African Astronomical Observatory, P.O. Box 9, Observatory 7935, South Africa

<sup>26</sup>National Astronomical Observatories, Chinese Academy of Sciences, 100012 Beijing, China

<sup>27</sup>Unidad de Astronomía, Fac. de Ciencias Básicas, Universidad de Antofagasta, Avda. U. de Antofagasta 02800, Antofagasta, Chile

<sup>28</sup>Instituto de Astrofísica, Facultad de Física, Pontificia Universidad Católica de Chile, Av. Vicuña Mackenna 4860, 7820436 Macul, Santiago, Chile

<sup>29</sup>Department of Physics, Sharif University of Technology, P.O. Box 11155-9161 Tehran, Iran

<sup>30</sup>Stellar Astrophysics Centre, Department of Physics and Astronomy, Aarhus University, Ny Munkegade 120, DK-8000 Aarhus C, Denmark

<sup>31</sup>Centre for Electronic Imaging, Department of Physical Sciences, The Open University, Milton Keynes, MK7 6AA, UK

<sup>32</sup>Planetary and Space Sciences, Department of Physical Sciences, The Open University, Milton Keynes, MK7 6AA, UK

<sup>33</sup>Astrophysics Research Institute, Liverpool John Moores University, Liverpool CH41 1LD, UK

<sup>34</sup>Institut d'Astrophysique et de Géophysique, Allée du 6 Août 17, Sart Tilman, Bât. B5c, B-4000 Liège, Belgium

<sup>35</sup>Department of Physics, University of Warwick, Gibbet Hill Road, Coventry, CV4 7AL, UK

<sup>36</sup>Jet Propulsion Laboratory, California Institute of Technology, 4800 Oak Grove Drive, Pasadena, CA 91109, USA

<sup>37</sup>Spitzer, Science Center, MS 220-6, California Institute of Technology, Pasadena, CA, USA

<sup>38</sup>Solar-Terrestrial Environment Laboratory, Nagoya University, Nagoya 464-8601, Japan

<sup>39</sup>Institute of Information and Mathematical Sciences, Massey University, Private Bag 102-904, North Shore Mail Centre, Auckland, New Zealand

<sup>40</sup>Department of Physics, University of Auckland, Private Bag 92019, Auckland, New Zealand

<sup>41</sup>Okayama Astrophysical Observatory, National Astronomical Observatory of Japan, 3037-5 Honjo, Kamogata, Asakuchi, Okayama 719-0232, Japan

<sup>42</sup>Department of Earth and Space Science, Graduate School of Science, Osaka University, Toyonaka, Osaka 560-0043, Japan

<sup>43</sup>Department of Physics, Faculty of Science, Kyoto Sangyo University, 603-8555 Kyoto, Japan

<sup>44</sup>Nagano National College of Technology, Nagano 381-8550, Japan

<sup>45</sup> Tokyo Metropolitan College of Aeronautics, Tokyo 116-8523, Japan<sup>46</sup> School of Chemical and Physical Sciences, Victoria University, Wellington, New Zealand<sup>47</sup> Mt. John University Observatory, P.O. Box 56, Lake Tekapo 8770, New Zealand<sup>48</sup> Department of Physics, Chungbuk National University, Cheongju 361-763, Korea<sup>49</sup> Jodrell Bank Centre for Astrophysics, School of Physics and Astronomy, University of Manchester, Oxford Road, Manchester M13 9PL, UK

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## ABSTRACT

We report the detection of a cold Neptune  $m_{\text{planet}} = 21 \pm 2 M_{\oplus}$  orbiting a  $0.38 M_{\odot}$  M dwarf lying 2.5–3.3 kpc toward the Galactic center as part of a campaign combining ground-based and *Spitzer* observations to measure the Galactic distribution of planets. This is the first time that the complex real-time protocols described by Yee et al., which aim to maximize planet sensitivity while maintaining sample integrity, have been carried out in practice. Multiple survey and follow up teams successfully combined their efforts within the framework of these protocols to detect this planet. This is the second planet in the *Spitzer* Galactic distribution sample. Both are in the near to mid-disk and are clearly not in the Galactic bulge.

*Key words:* gravitational lensing; micro

## 1. INTRODUCTION

The 2015 *Spitzer* microlensing campaign is the first project with the specific goal of characterizing the Galactic distribution of planets. Such characterization has three requirements.

1. A survey that is sensitive to planets in substantially different Galactic environments.
2. Well-characterized selection.
3. The ability to determine, at least statistically, the Galactic environment of each potential host, whether or not a planet is detected.

At present, microlensing is the only possible method to attack this problem because all other planet search techniques fail criterion (1). We note that Clarkson et al. (2008) first attempted to answer this question by using *Hubble Space Telescope* data from the SWEEPS project to measure stellar proper motions and to establish the kinematic population membership of 16 transiting planet candidates from the Sagittarius Windows. Unfortunately, however, these candidates are difficult to confirm due to their faintness. By contrast, microlensing is about equally sensitive to planet-hosting lenses at all distances along the line of sight from the Sun to the Galactic bulge sources that are monitored for microlensing events.

Initially, microlensing planet searches were conducted in a fairly opportunistic way, dominated by targeted follow up of “interesting” events. Because “interesting” was not necessarily well defined, it was difficult to satisfy criterion (2). Nevertheless, Gould et al. (2010) and Cassan et al. (2012) were able to construct subsamples with well characterized selection. In parallel, the RoboNet and MiNDSTeP teams developed robotic algorithms (Horne et al. 2009; Dominik et al. 2010; M. P. G. Hundertmark et al. 2016, in preparation) to carry out the target selection in a repeatable and well characterized manner. With the advent of second generation surveys, (initially MOA and particularly OGLE-IV and most recently KMTNet), uniform selection is becoming routine (see, e.g., Shvartzvald et al. 2016; D. Suzuki et al. 2015, in preparation). In their high-cadence zones, these wide-field surveys can obtain dense enough

coverage that additional follow up observations are not necessary to detect and characterize planets. Hence, events can be monitored uniformly with a pre-defined observing sequence.

The main problem has always been to determine the location of the lenses that are being probed for planets, including both those in which planets are detected and those for which they are not. For the great majority of microlensing events, the lens (host) star is not definitively identified and for many it is not detected at all. For these cases, the microlens parallax

$$\begin{aligned} \pi_E &\equiv \frac{\pi_{\text{rel}}}{\theta_E} \frac{\mu}{\mu}; & \theta_E^2 &\equiv \kappa M \pi_{\text{rel}}; \\ \kappa &\equiv \frac{4G}{c^2 \text{ au}} \simeq 8.1 \frac{\text{mas}}{M_{\odot}}, \end{aligned} \quad (1)$$

is the key to determining the lens mass and distance. Here,  $\pi_{\text{rel}} = \text{au}(D_L^{-1} - D_S^{-1})$  and  $\mu$  are, respectively, the lens source relative parallax and proper motion,  $\theta_E$  is the angular Einstein radius, and  $M$  is the lens mass. As detailed by Figure 1 from Gould & Horne (2013), the amplitude  $\pi_E = \pi_{\text{rel}}/\theta_E$  is set by the fact that motion by the observer of 1 au displaces the apparent angular separation of the lens and source by an angle  $\pi_{\text{rel}}$ , which in turn induces microlensing effects according to how large this displacement is compared to the Einstein radius. In principle, there are two ways to observe the displacement caused by parallax. First, the observer can wait to be moved by the Earth’s orbital motion, creating a displacement relative to simple rectilinear motion between the source and lens. Second, two observers at substantially different locations can compare their observations.

Some microlens parallaxes have been measured from the ground using the first effect, particularly for long timescale events (Poindexter et al. 2005) and including fortuitously a significant number of microlens planetary events (Gould et al. 2010). However, the sample of events with parallaxes measured from the ground is extremely heavily biased toward nearby lenses because they have projected Einstein radii  $\tilde{r}_E \equiv \text{au}/\pi_E \sim 2\text{--}5 \text{ au}$ , roughly three times smaller than is typical of bulge lenses. Hence, this sample is almost unusable for measuring the Galactic distribution of planets.

The alternative is space-based parallaxes. At  $\sim 1 \text{ au}$  from Earth, *Spitzer* is ideally located to be such a “microlens parallax satellite,” and was used for the first such measurement by Dong

<sup>50</sup> Sagan Visiting Fellow.<sup>51</sup> Sagan Fellow.<sup>52</sup> Royal Society University Research Fellow.<sup>53</sup> NASA Postdoctoral Program Fellow.

et al. (2007). It does, however, face a number of challenges. First, due to Sun-angle viewing restrictions, it can observe Galactic bulge targets (which are near the ecliptic) for only 38 continuous days out of the 8 months they are visible from Earth. Second, microlensing events must first be detected from the ground and identified as reasonably planet-sensitive before they are targeted by *Spitzer*, and these uploads occur 3–10 days before the observations begin (Figure 1 of Udalski et al. 2015b). Since microlensing events often evolve quite rapidly, these practical constraints intrinsically restrict the pool of targets. Finally, *Spitzer* observes at  $\lambda = 3.6 \mu\text{m}$ , roughly 4.5 times the wavelength of ground-based microlensing searches. This creates technical problems, some of which are described below. See Yee et al. (2015a) for a more complete discussion.

In 2014 the director granted 100 hr for a pilot program to determine whether *Spitzer* could function effectively as a parallax satellite. Special protocols were developed to rapidly upload targets (Udalski et al. 2015b). New techniques were developed by Yee et al. (2015b) and Calchi Novati et al. (2015a) to break the famous “4-fold degeneracy” (Refsdal 1966; Gould 1994) that had been believed to require extremely aggressive observational strategies (e.g., Gould 1995; Gaudi & Gould 1997). For the two events with measured (OGLE-2014-BLG-1050, Zhu et al. 2015b) or strongly constrained (OGLE-2014-BLG-0124, Udalski et al. 2015b)  $\theta_E$  (using the standard technique for events with caustic features, Yoo et al. 2004), the lens mass  $M$  and distance  $D_L$  were determined using inversions of Equation (1)

$$M = \frac{\theta_E}{\kappa\pi_E}; \quad \pi_{\text{rel}} = \theta_E \pi_E; \quad D_L = \frac{\text{au}}{\pi_{\text{rel}} + \pi_S}. \quad (2)$$

Here  $\pi_S$  is the source parallax which is almost always well understood because the source is visible. More critically, since the overwhelming majority of single-lens events do not show caustic features, a robust method was developed for estimating distances kinematically for events with measured  $\pi_E$  but not  $\theta_E$  (Calchi Novati et al. 2015a).

However, the 2014 *Spitzer* campaign was entirely focused on demonstrating the feasibility of the method, and no systematic effort was made to find planets. Nevertheless, one planet was discovered (Udalski et al. 2015b).

The successes of the 2014 campaign and the breakthroughs it precipitated led to the realization that satellite parallax measurements could be used to measure the Galactic distribution of planets. In 2015, 832 hr were awarded for a program whose primary objective was to do just that (Gould et al. 2014). In fact, as specifically argued in the proposal, several such annual campaigns will be required to acquire sufficient statistics to make this measurement.

As discussed in detail by Yee et al. (2015a), the observational protocols required to (1) maximize the sensitivity of the survey to planets, while (2) maintaining well characterized selection are both intricate and complex. We describe in some detail how these applied to the case of the planetary detection reported below. However, those readers interested in a full understanding must actually study Yee et al. (2015a).

Here, we report the discovery and characterization of the planet OGLE-2015-BLG-0966Lb. This is the second planet (after OGLE-2014-BLG-0124Lb, Udalski et al. 2015b) in the statistical sample of microlens parallax planets that can be used to determine the Galactic distribution. The observations, and the observational protocols that guided them, are described in

Section 2. The ground-based and *Spitzer* light curves are combined to yield microlensing parameters for the event (including the microlens parallax  $\pi_E$ ) in Section 3. There are some challenges in the estimate of  $\theta_E$  relative to the usual case, which are discussed in Section 4. After resolving these, we present the system physical parameters in Section 5. Finally, we discuss some implications of this discovery in Section 6.

## 2. OBSERVATIONS

### 2.1. OGLE Alert and Observations

All 2015 *Spitzer* targets were chosen based on microlensing alerts issued by the Optical Gravitational Lens Experiment (OGLE) or the Microlensing Observations in Astrophysics (MOA) collaboration, with a substantial majority coming from OGLE.

The OGLE alert for OGLE-2015-BLG-0966 was issued on 2015 May 11, well in advance of the *Spitzer* campaign, for which the first observations were on June 6. It lies at equatorial coordinates (17:55:01.02,  $-29:02:49.6$ ), with Galactic coordinates (0.96,  $-1.82$ ), placing it in the OGLE field BLG505, which implies that it is observed at a 20 minute cadence with OGLE’s 1.3 m Warsaw Telescope at the Las Campanas Observatory in Chile (Udalski et al. 2015a). These dense observations permitted OGLE to alert the event, using its Early Warning System (EWS) real-time event detection software (Udalski et al. 1994; Udalski 2003), when the source had just entered the Einstein ring, and so was just 0.38 mag above its  $I = 19.62$  mag baseline. OGLE continued to observe with this cadence throughout the event except for interruptions due to weather and the monthly passage of the moon through the bulge.

### 2.2. *Spitzer* Observations

A key element in meeting criterion (2) for measuring the Galactic distribution of planets is that events must be selected for *Spitzer* observations without allowing any knowledge about the presence or absence of planets to influence that decision. As described in Yee et al. (2015a), *Spitzer* targets can be chosen “objectively” or “subjectively.” If they meet certain specified criteria as of 6 hr before target submission (Mondays at UT 15:00), then they are objective and *must* be chosen for observations with a certain specified cadence. In this case, all the planets discovered (as well as all planet sensitivity, i.e., ability to detect planets) whether from before or after the *Spitzer* observations begin, can be incorporated into the analysis. In addition, if the event has not yet been selected objectively, the team may *at any time* still choose the event “subjectively” guided qualitatively by the goal of maximizing the sum (over all choices) of the products  $\sum_i S_i P_i$  where  $S_i$  is the sensitivity of event  $i$  to planets and  $P_i$  is the probability that a microlens parallax will actually be measured. Only data taken (or rather, made public) after this selection date may be considered when calculating  $S_i$ . The cadences of subjectively chosen events can also be specified subjectively, but as a practical matter they are usually specified to be the same as for objectively chosen events. Note that exclusion of *Spitzer* data from the analysis applies only to determining whether or not an event enters the sample. Once the event has a sufficiently well measured parallax to enter the sample, all *Spitzer* data can be applied to improve the precision of the parallax measurement or to search for planets.

If there remains time for additional *Spitzer* observations after scheduling all targets according to their adopted cadences, this is applied to high-magnification events, with cadence ranked according to predicted magnification in the observation interval.

A point of direct relevance to the present case is that if an event is initially chosen subjectively but later meets objective criteria, the objective status takes precedence. This means that all planets and planet sensitivity from before the subjective alert can now be included in the analysis, but also that only observations following the objective alert can be used to determine if the parallax is measured well enough to enter the sample. If these objectively based parallax data are not adequate for a measurement, then all the *Spitzer* data can be included, but then only planets and planet sensitivity from after the subjective alert can enter the analysis.

Figures 2 and 3 from Yee et al. (2015a) show flow charts for this decision making process.

On Monday June 15, the *Spitzer* team chose OGLE-2014-BLG-0966 for “secret” observations at one-day cadence. The purpose of “secret” observations is to resolve the tension between two aspects of the observations. First, any event selected for *Spitzer* observations must be observed and continue to be observed at some predetermined cadence for a predetermined amount of time. However, *Spitzer* targets can only be updated once per week. Hence, if the future course of an event is uncertain at the time the targets must be sent to *Spitzer* for observations, it may be selected as “secret.” Until it is formally selected, none of the *Spitzer* observations may be included in the calculation of parallax but if the event turns out to have low planet sensitivity and/or poor chances of a viable parallax measurement, no commitment has been made to continue observing it in the future, which would be a waste of resources. Hence, “secret” observations permit the team to defer this choice until more information about the event is available, with a maximum “loss” of one week’s observations. In this case, however, the team gained sufficient confidence in the event just two days later to announce it publicly on 2015 June 15 UT 21:31. The cadence was specified as “objective,” meaning once per day, which could not then be altered.

However, the next week, on Monday June 22, the event qualified for bonus observations because it was predicted to be high magnification (as seen from Earth) during that week of *Spitzer* observations, June 24 UT 11:43 to July 1 UT 16:46. According to the prescriptions of Yee et al. (2015a), all observing time that is not allocated for regular observations should be applied to high-magnification events, rank ordered by the  $1\sigma$  lower limits of their predicted peak magnification during the observing interval. This prediction is for magnification at Earth if that is all the information available (as was the case for this event in that week). OGLE-2015-BLG-0966 was estimated as  $A_{\max} > 11$  and so was assigned eight per day cadence. It received a total of 58 observations during that week. In fact, this week did prove to contain the event’s  $A_{\max} \sim 100$  peak as seen from the ground. The magnification of the event during the next week was less than the cutoff for distributing extra *Spitzer* observations, so it was observed at the previously determined cadence of  $1 \text{ day}^{-1}$ . This week it also met the objective criteria for selection of rising events (criteria “B” in Yee et al. 2015a). This meant that if the event parallax could be adequately measured using *Spitzer* data from after July 1 UT 16:46, then all planets and planet sensitivity could be included.

Otherwise only planets and sensitivity from after 2015 June 15 UT 21:31 could be included (assuming all *Spitzer* data were enough to measure a microlens parallax). For the final “week” (actually about 10 days), the event again met the criteria for additional high-magnification (as seen from Earth) observations and was slated for a cadence of four per day. It may seem strange that it would fail these criteria on the fifth week but pass them on the sixth week, since the event was falling during this time. However, the criteria for receiving extra observations due to high magnification are (necessarily) based on predicted magnification, not actual magnification, and these predictions improve with time. Second, the competition from other events varies each week, so there is strict correspondence between (predicted) magnification and observations only within a given week, not between weeks.

Altogether, *Spitzer* observed this event a total of 129 times, each with 6 dithered 30 s exposures.

### 2.3. MOA Data

MOA independently identified this event on June 16 and monitored it as MOA-2015-BLG-281 using its 1.8 m telescope with a  $2.2 \text{ deg}^2$  field at Mt. John, New Zealand. In contrast to most other observatories, which observe in the *I* band, MOA observes in a broad *R-I* band pass. The MOA cadence for this field is 15 minutes. Thus, during the long June–July nights, OGLE and MOA together nominally cover this field for about 18 hr per night. However, the weather in New Zealand is far worse than in Chile, so the fraction of nights that actually have this near continuous coverage is under 50%.

### 2.4. Ground-based Follow-up Data

#### 2.4.1. Follow-up Strategy

As discussed in Yee et al. (2015a), ground-based follow up strategy is intimately connected with event selection, both objective and subjective. Approximately 150 (nominally) point lens events were selected for *Spitzer* observations during the 2015 campaign, which far exceeds the resources of all follow up groups combined to densely monitor events to search for planets. This tension has two interrelated implications. First, follow up groups are explicitly encouraged *not* to monitor events that are heavily monitored by surveys, with survey coverage being described in some detail at the time of the announcement of each event. Second, there is a strong bias for the *Spitzer* team to select events that are heavily monitored by surveys, exactly because coverage is not dependent on limited follow up resources. Indeed, for events (such as OGLE-2015-BLG-0966) that have 20 minute OGLE cadence, there is an extremely strong bias because additional follow up from the same time zone would be redundant, and hence the follow up observing resources are best applied to other events without such intense survey coverage. Similarly for events in the  $16 \text{ deg}^2$  core KMTNet fields, which have roughly 15 minute cadence from 3 observatories, these considerations apply even more strongly. OGLE-2015-BLG-0966 lies approximately in the middle of one of the four KMTNet prime fields. However, the *Spitzer* team’s map of these fields was precise enough to recognize that the event lay in a gap between chips, so that it was not actually covered by KMTNet. (KMTNet did not at this time publicly list the events that it was monitoring).

As OGLE-2015-BLG-0966 approached its peak (HJD/7205.2, July 1.7), the very high cadence and high quality of OGLE data permitted an accurate estimate of the peak magnification  $A_{\max} \sim 90$ , which would make the event highly sensitive to planets (Griest & Safizadeh 1998). Because OGLE would normally densely cover the event during the long Chile night, while MOA would cover the even longer New Zealand night, the need for follow up would have appeared minimal. This is particularly true for the fairly large number of follow up telescopes in Chile, whose observations would be completely redundant with OGLE.

However, the peak of this event happened to occur when the moon was passing through the bulge, during which time OGLE does not observe. Hence, several follow up groups concentrated their efforts on this event. Nevertheless, the event did not gain the undivided attention of follow up groups due to several competing events. Most notable was OGLE-2015-BLG-0961, which peaked less than 12 hr earlier and at even higher magnification  $A_{\max} = 200$ . However, this event was covered by KMTNet and so assumed much lower (but still not zero) priority from follow up groups.

In particular, we note that the planet was discovered only because follow up groups recognized that there would be no survey coverage of this event over Chile. We note that this has a potential impact on the future use of these data to measure the planet sensitivity, as outlined in Zhu et al. (2015a). According to the *Spitzer* microlensing program strategy, data may be included if they were taken without knowledge of the planet's existence. In the case of OGLE-2015-BLG-0966, intensive follow up observations were undertaken in response to a predicted gap in survey coverage due to the moon, and not due to any known anomaly, meaning the data may be used for planet sensitivity calculations.

#### 2.4.2. Las Cumbres Observatory Global Telescope Network (LCOGT)

LCOGT provided ground-based observations primarily from its southern ring of 8 1.0 m telescopes sited at CTIO/Chile, SAAO/South Africa, and Siding Spring/Australia (Brown et al. 2013). Two telescopes in Chile are equipped with the new generation of Sinistro imagers that incorporate  $4k \times 4k$  Fairchild CCD-486 BI CCDs and offer a field of view of  $27' \times 27'$ . All other 1.0 m telescopes support SBIG STX-16803 cameras with Kodak KAF-16803 front-illuminated  $4096 \times 4096$  pix CCDs, used in bin  $2 \times 2$  mode with a field of view of  $15'.8 \times 15'.8$ . Observations were also made from the 2.0 m Faulkes North Telescope in Haleakala, Hawaii, using its  $10' \times 10'$  Spectral camera (Fairchild CCD-486 BI CCD). All telescopes in the network are equipped with a consistent set of filters. SDSS- $i'$  was used for the large majority of these observations, with a small number made using the Bessell- $V$  filter. Due to the constraints described above, LCOGT employed its TArget Prioritization (TAP) algorithm (M. P. G. Hundertmark et al. 2015, in preparation) to select a subset of events from the *Spitzer* target list based on their predicted sensitivity to planets, which were drawn from *Spitzer* targets that fell in regions of lower survey cadence. Since OGLE-2015-BLG-0966 falls in a region of high survey cadence, it was not selected for observation until the moon's passage through the Bulge interrupted survey observations. At that point, the event was flagged for high-density observations on both the 1.0 and 2.0 m networks. These observations were conducted as groups of 2–10 exposures repeated at intervals of

a few minutes to hours, with less dense observations being taken as the event returned to baseline. Hence, the data typically have dense packets of coverage followed by short gaps. Observations continued to be requested<sup>54</sup> at high cadence after the identification of the anomaly until it was clear that the event was returning to baseline, and when real-time modeling from Bozza et al. (2010) indicated no further light curve features were expected.

#### 2.4.3. Danish Telescope

The Danish 1.54 m telescope is one of the national telescopes hosted by ESO at La Silla in Chile. After a successful refurbishment in 2012 by the Czech company Projectsoft, it was equipped with the first routinely operated multi-color instrument providing Lucky Imaging photometry (Skottfelt et al. 2015). The instrument itself consists of two Andor iXon+ 897 EMCCDs and two dichroic mirrors splitting the signal into red and visual bandpasses. For the 2015 microlensing campaign, the camera was operated at 10 Hz and lucky exposures were calibrated and tip-tilt-corrected as described by Harpsøe et al. (2012). Photometry was obtained from the collapsed images using the DanDIA pipeline (Bramich 2008) and based on routines of the RoboNet reduction pipeline. A modified version of ARTEMiS (Dominik et al. 2008) was deployed to coordinate the observation of microlensing targets, and in the case of OGLE-2015-BLG-0966 resulted in confirmation of the anomaly from a second site.

#### 2.4.4. CTIO-SMARTS

For the duration of the *Spitzer* campaign, the Microlensing Follow Up Network ( $\mu$ FUN) doubled its normal allocation to 6 hr per night on the dual optical/IR ANDICAM camera on the 1.3 m SMARTS telescope at CTIO. This facility was tasked with several objectives that were not always completely compatible. These included regular monitoring of *Spitzer* targets in low-cadence OGLE fields in order to predict their future behavior, sparse monitoring of all targets in order to measure their  $H$ -band source fluxes using ANDICAM's IR channel, and dense monitoring of events that were at fairly high or very high magnification in order to detect planets. Hence, similar to the LCOGT telescopes, CTIO-SMARTS observed in blocks with short gaps during which other goals (including other science and shared resources) were pursued.

#### 2.5. Other Bands

OGLE, LCOGT, and CTIO-SMARTS all obtained  $V$ -band observations in order to measure the  $V - I$  source color in order to characterize the source. As described above, CTIO-SMARTS automatically obtained  $H$ -band observations through the IR channel simultaneously with both the  $V$ -band and  $I$ -band optical observations. These also are used for source characterization and are not included in the analysis.

#### 2.6. Data Reduction

All ground-based data were reduced using standard algorithms. All data entering the main analysis used variants

<sup>54</sup> The LCOGT network operates by accepting requests for observations from users, which are then executed if at all possible once weather, target visibility, and facility availability are taken into account. Therefore, gaps in data can sometimes occur if combinations of these factors mean observations cannot be made, even if requests continue to be submitted.

**Table 1**  
All Solutions

Parameters	(+, +), wide	(+, -), wide	(-, +), wide	(-, -), wide	(+, +), close	(+, -), close	(-, +), close	(-, -), close
$\chi^2/\text{dof}$	15136/15278	15138/15278	15137/15278	15135/15278	15136/15278	15151/15278	15154/15278	15135/15278
$t_0^a$	7205.1979	7205.1953	7205.1955	7205.1937	7205.1978	7205.1945	7205.1936	7205.1938
	0.0012	0.0013	0.0013	0.0012	0.0012	0.0012	0.0012	0.0012
$u_0$	0.01136	0.01136	-0.01157	-0.01152	0.01136	0.01136	-0.01162	-0.01149
	0.00010	0.00010	0.00010	0.00010	0.00010	0.00010	0.00010	0.00009
$t_E$ (days)	57.7	57.8	57.7	57.7	57.7	57.7	57.5	57.8
	0.4	0.4	0.4	0.4	0.4	0.5	0.4	0.4
$\rho$ ( $10^{-4}$ )	14.08	14.00	14.06	14.06	14.07	14.02	14.12	14.04
	0.14	0.15	0.14	0.13	0.15	0.15	0.15	0.14
$\pi_{E,N}$	0.0234	-0.0561	0.0397	-0.0412	0.0234	-0.0538	0.0317	-0.0412
	0.0012	0.0015	0.0012	0.0013	0.0012	0.0059	0.0094	0.0012
$\pi_{E,E}$	-0.238	-0.237	-0.232	-0.237	-0.239	-0.264	-0.272	-0.237
	0.006	0.012	0.003	0.006	0.006	0.007	0.007	0.006
$\alpha$ (deg)	50.7	50.6	-50.4	-50.4	50.6	50.6	-50.3	-50.4
	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1
$s$	1.1141	1.1146	1.1148	1.1147	0.9093	0.9091	0.9088	0.9091
	0.0033	0.0032	0.0033	0.0033	0.0026	0.0025	0.0027	0.0026
$q$ ( $10^{-4}$ )	1.67	1.67	1.68	1.68	1.70	1.70	1.71	1.70
	0.05	0.05	0.05	0.05	0.05	0.05	0.06	0.05
Blend	-0.027	-0.024	-0.027	-0.026	-0.027	-0.025	-0.031	-0.024
	0.008	0.009	0.008	0.008	0.008	0.008	0.008	0.008

**Note.**<sup>a</sup> HJD-2450000.**Table 2**

Abbreviated Table of Parameter Values Averaged over the Four Solutions. Parameters which could be Estimated by Visual Inspection of the Light Curve Are included for Comparison

Parameters	Wide solution	Close solution	Visual-inspection Solution
Best $\chi^2$	15135	15135	
$t_0^a$	7205.196 $\pm$ 0.002	7205.195 $\pm$ 0.002	7205.19
$ u_0 $	0.0115 $\pm$ 0.0001	0.0115 $\pm$ 0.0001	0.012
$t_E$ (days)	57.7 $\pm$ 0.4	57.7 $\pm$ 0.4	57
$\rho$ ( $10^{-4}$ )	14.04 $\pm$ 0.15	14.07 $\pm$ 0.15	12
$ \pi_{E,N} $	0.04 $\pm$ 0.02	0.03 $\pm$ 0.02	...
$\pi_{E,E}$	-0.24 $\pm$ 0.01	-0.25 $\pm$ 0.02	...
$ \alpha $ (deg)	50.5 $\pm$ 0.2	50.5 $\pm$ 0.2	50.5
$s$	1.115 $\pm$ 0.004	0.909 $\pm$ 0.003	...
$q$ ( $10^{-4}$ )	1.68 $\pm$ 0.05	1.70 $\pm$ 0.06	...

**Note.**<sup>a</sup> HJD-2450000.

of image subtraction (Alard & Lupton 1998; Bramich et al. 2013). CTIO-SMARTS used DoPhot (Schechter et al. 1993) reductions for the source characterization analysis.

As explained in Yee et al. (2015a), no previously existing *Spitzer* reduction software was suitable for variable stars in crowded fields. Calchi Novati et al. (2015b) describe the custom software designed specifically to reduce the data from this program.

### 3. LIGHT CURVE ANALYSIS

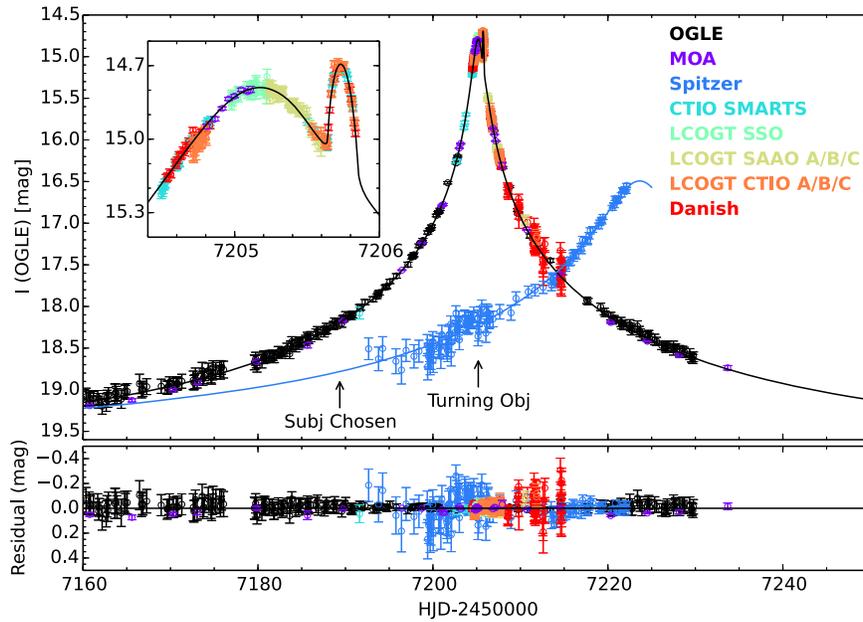
Real-time light curve analysis, both manual and automated (Bozza et al. 2010), was conducted during the event, and immediately alerted observers to the presence of the anomaly.

Extensive offline analysis was subsequently carried out once data gathering on the event was complete.

The analysis reported below is based on a simultaneous fit to ground-based and *Spitzer* data. However, the key event characteristics can be most easily understood by considering the two light curves separately, and indeed many characteristics can be inferred by visual inspection.

Ignoring for the moment the post-peak perturbation centered at  $t_{\text{pert}} = 7205.75$ , the ground-based light curve shown in Figure 1 peaks at  $t_0 = 7205.19$ , almost 4.85 mag above baseline, indicating a high-magnification event  $A_{\text{max}} \gtrsim 85$  (i.e., more if the baseline source is blended). In the neighborhood of the peak, such events are characterized by flux evolution  $F(t) = F_{\text{peak}}(1 + (t - t_0)^2/t_{\text{eff}}^2)^{-1/2}$  where  $t_{\text{eff}} = u_0 t_E$ ,  $t_E$  is the Einstein timescale, and  $u_0$  is the impact parameter normalized to  $\theta_E$  (Gould 1996). Inspection of the peak region shows  $t_{\text{eff}} = 0.68$  days. (For example, CTIO data at  $\pm 1.50$  days from peak are 0.96 mag below peak. Hence,  $t_{\text{eff}} = 1.5 \text{ day}(10^{0.8 \times 0.96} - 1)^{-1/2} = 0.68 \text{ day}$ .) The fact that there is no pronounced dip just prior to the abrupt rise due to a caustic crossing starting at  $t_{\text{cc}} = 7205.64$  shows that the companion/host mass ratio is very small,<sup>55</sup> i.e., this is a planetary rather than binary system. Hence, the center of mass of the system is very close to the “center of magnification.” It is the source motion relative to the “center of magnification” that produces the overall Paczyński (1986) curve over the unperturbed portions of the light curve. The proximity of the single caustic crossing to the peak of the Paczyński curve implies a trajectory which just clips the point of an arrow-

<sup>55</sup> Higher mass ratio binaries tend to produce a large central caustic. While there are some source-lens relative trajectories that could produce a similar light curve, the trajectory would have to closely approach (though not cross) another branch of the caustic structure which would distort the light curve from the Paczyński curve. For discussions of binary and planetary caustic properties, see Han (2006) and Rattenbury (2009).



**Figure 1.** OGLE-2015-BLG-0966 light curve. Combined data from 10 telescopes (color coded) trace the ground-based light curve nearly continuously. With the exception of the  $\sim 6$  hr post-peak bump, it is well characterized by a high-magnification point lens Paczyński (1986) curve. As described in the text, five of the seven parameters ( $t_0$ ,  $u_0$ ,  $t_E$ ,  $\alpha$ ,  $\rho$ ) needed to describe this curve can be read off light curve or extracted with very simple analysis. The remaining two ( $s$ ,  $q$ ) (planet-star separation and mass ratio) require more detailed modeling. The *Spitzer* light curve is aligned to the OGLE scale so that equal “magnitudes” represent equal magnifications. The microlens parallax  $\pi_E$  can be well estimated simply by comparing the *Spitzer* and ground-based light curves. See Section 3. (OGLE and MOA data are binned for display in the Figure, but not in the fit. Ground-based data points with uncertainties  $>0.2$  mag are suppressed in the figure to avoid clutter, but are included in the fit.) Arrowed labels indicate the times when this event was chosen first as a “subjective” target and then turned into an “objective” one.

**Table 3**

The Un-dereddened Source and Red Clump Magnitude and Color Measured from OGLE and CTIO Data in their Respective Instrumental Magnitude Systems

	OGLE	CTIO
$I_S$	$19.63 \pm 0.03$	$20.031 \pm 0.012$
$(V - I)_S$	$2.48 \pm 0.06$	$-0.577 \pm 0.031$
$I_{cl}$	$16.48 \pm 0.026$	$17.02 \pm 0.019$
$(V - I)_{cl}$	$2.79 \pm 0.014$	$-0.23 \pm 0.015$
$\Delta I$	$3.15 \pm 0.05$	$3.01 \pm 0.05$
$\Delta (V - I)_S$	$-0.31 \pm 0.06$	$-0.35 \pm 0.03$

shaped caustic. For the rest of the light curve to have remained unperturbed, the widest part of this arrow must lie away from the trajectory. The caustic must lie along the binary axis, so this means that the arrow points toward the center of magnification. Assuming that the source moves at a constant rate relative to the lens binary axis, it is possible to use the time gap between the light curve peaks to estimate the angle of the trajectory relative to that axis,  $\alpha$  from a ratio with  $t_{\text{eff}}$ . This implies that the source is moving at an angle  $\alpha = \tan^{-1}(t_{\text{eff}}/(t_{\text{pert}} - t_0)) = 0.88$  radians ( $50.5^\circ$ ) relative to the planet host axis. There is no dip between the caustic entrance and exit, which implies that the caustic edges are separated by significantly less than the source radius  $\rho$  (normalized to  $\theta_E$ ). Hence, the source radius crossing time,  $t_* \equiv \rho t_E$ , can be estimated  $t_* \approx (t_{\text{pert}} - t_{\text{cc}}) \times \sin(\alpha) = 0.07$  days. While it is not obvious by inspection, a simple point lens fit to the unperturbed light curve shows that the source is essentially unblended, so that in fact  $A_{\text{max}} = 85$ , so  $u_0 = 0.012$ ,

and hence  $t_E = t_{\text{eff}}/u_0 = 57$  days, and thus  $\rho = 1.2 \times 10^{-3}$ . That is,  $(t_0, u_0, t_E, \alpha, \rho) = (7205.19, 0.012, 57 \text{ day}, 51^\circ, 1.2 \times 10^{-3})$ . These by eye estimates agree reasonably well with the parameter values derived from the best-fitting models (described below) presented in Tables 1 and 2. The remaining two parameters that can be extracted from the ground-based light curve, i.e., the planet-star mass ratio  $q$  and the planet-star separation  $s$  (normalized to  $\theta_E$ ), cannot be estimated by eye and must be determined from the fit. That said, it is worth noting that the shape and scale of the caustic derived by the above arguments restrict the possible combinations of  $s$  and  $q$  which could have produced the light curve seen.

The effect of adding the *Spitzer* data is to determine the microlens parallax  $\pi_E$ , which is incorporated directly into the fit in equatorial (north, east) coordinates. However, as illustrated in Figure 1 of Gould (1994), the impact of these measurements is better visualized in the frame defined by the projected *Spitzer*-Earth axis  $D_\perp$ , in which

$$\begin{aligned} \pi_E &= \frac{\text{au}}{D_\perp} (\Delta\tau, \Delta\beta); & \Delta\tau &= \frac{t_{0,\oplus} - t_{0,\text{sat}}}{t_E}; \\ \Delta\beta &= \pm u_{0,\oplus} - \pm u_{0,\text{sat}}, \end{aligned} \quad (3)$$

and where the subscripts indicate parameters as measured from Earth and *Spitzer*.

For the intuitive analysis of the *Spitzer* light curve (Figure 1), we begin with the external information that the timescale  $t_E \approx 57$  days is known from the ground-based light curve. In fact, since the Earth-*Spitzer* motion (projected on the sky) is about  $20 \text{ km s}^{-1}$  at the time that we will evaluate Equation (3), the timescales will not be exactly the same. However, this is expected to be a relatively small effect. Hence, we ignore it here with the proviso that we will later check for self-

**Table 4**

Summary of the Physical Parameters of the Lensing System and Source Star

Parameters	Units	
$\theta_S$	$\mu\text{as}$	$1.07 \pm 0.10$
$\theta_E$	mas	$0.76 \pm 0.07$
$\mu_{\text{geo}}$	$\text{mas yr}^{-1}$	$4.8 \pm 0.5$
$M_{L,\text{planet}}$	$M_{\oplus}$	$21 \pm 2$
$M_{L,\text{total}}$	$M_{\odot}$	$0.38 \pm 0.04$
$D_L^a$	kpc	3.3 or 2.5
$r_{\perp}^a$	au	2.7 or 2.1
$\pi_{\text{rel}}$	mas	$0.19 \pm 0.01$

**Note.**

<sup>a</sup> Indicates a value that depends on whether the source is in the Bulge (first value) or the disk (second value).

consistency. The *Spitzer* light curve shows a factor  $\sim 4$  rise over the 30 days of observations ending at 7222.14, where it is clearly turning over,<sup>56</sup> implying a peak  $t_{0,\text{sat}} \sim 7225$ . Thus, the interval between peaks, normalized to the Einstein timescale is  $\Delta\tau = (t_{0,\oplus} - t_{0,\text{sat}})/t_E \sim 0.35$ . Since 30 days before the peak,  $u_{\text{sat}} \sim 0.5$  ( $A \sim 2$ ), the magnification at peak is at least  $A_{\text{max,sat}} \gtrsim 8$  (more if the *Spitzer* source flux is blended), so  $|\Delta\beta| = |u_{0,\oplus} - u_{0,\text{sat}}| \simeq u_{0,\text{sat}} \lesssim 0.12$ . *Spitzer* was 1.39 au from the Earth midway between the peaks, with  $D_{\perp} = 1.27$  au after taking account of projection. Since it is approximately due west of Earth, the two coordinates in Equation (3) basically correspond to east and north, respectively. Hence, we derive  $\pi_{E,E} \sim -0.28$ , and  $|\pi_{E,N}| < 0.1$ . As a final check, we note that these values imply a projected velocity in the Earth frame of  $\tilde{v} = au/(\pi_E t_E) \sim 100 \text{ km s}^{-1}$ , meaning that Earth's motion is not completely negligible. In principle, we could make recursive corrections, but the main point of this exercise is to show that most of the results of the full light curve analysis can basically be derived by simple inspection of the *Spitzer* and ground-based light curves.

We systematically model the combined Earth-based and *Spitzer* light curves using software described in Dong et al. (2006, 2009), notwithstanding the above analysis showing that five of the seven Earth-based parameters are well determined by visual inspection and simple analysis. Note that for this thorough analysis we do not assume that the event timescale will be observed from both Earth and space. The location of the *Spitzer* spacecraft during the event was extracted from the *JPL Horizons* website,<sup>57</sup> enabling us to make a precise conversion of the timestamps of its data to Heliocentric Julian Date, with the corresponding conversion made for all ground-based observatories. All data sets are then modeled in the same frame of reference. We conduct a systematic search of parameter space using two different techniques, one based on an  $(s, q, \alpha)$  grid and the other based on light curve morphologies. Models are evaluated based on the reduced  $\chi^2$  of the fit per data set ( $\chi_{\text{red}}^2$ ). To account for variations in the estimation of photometric errors in different data sets, we adopt the common practise (e.g., Bachelet et al. 2012) of re-

normalizing the errors according to:

$$e_{\text{new}} = a_0 \sqrt{e_{\text{orig}}^2 + a_1^2}. \quad (4)$$

Coefficients  $a_0$  and  $a_1$  are set such that the  $\chi_{\text{red}}^2$  of each data set relative to the model equal unity.

We found that the only viable solutions do in fact have the five parameters as approximately specified above. Moreover,  $s$  and  $q$  are well localized, except that (as is often the case) there is a degenerate solution  $s \rightarrow s^{-1}$  (Griest & Safizadeh 1998).

Figures 2 and 3 illustrate the geometries of all eight solutions, and Table 1 gives the parameters for these solutions. The notation (+, -) is used to indicate solutions where the lens source relative trajectory approaches the caustic from the positive or negative  $\theta$  direction in the lens-plane geometry. Two indices are given for each solution: one for Earth-based observations and one for *Spitzer*. According to Table 1, the best wide and close solutions differ by  $\Delta\chi^2 < 1$ . Hence, this very common degeneracy is completely unbroken in the present case. However, since the microlensing parameters of these two sets of solutions are very similar, their physical implications are basically the same.

Within each set of solutions (wide or close), the physical implications are even closer to the point of being nearly identical. Therefore, we present in Table 2 a simplified summary of each set of solutions, with the parameter values being averages over the four solutions and the ‘‘errors’’ taking account of both the fit errors at different minima and the differences between minima.

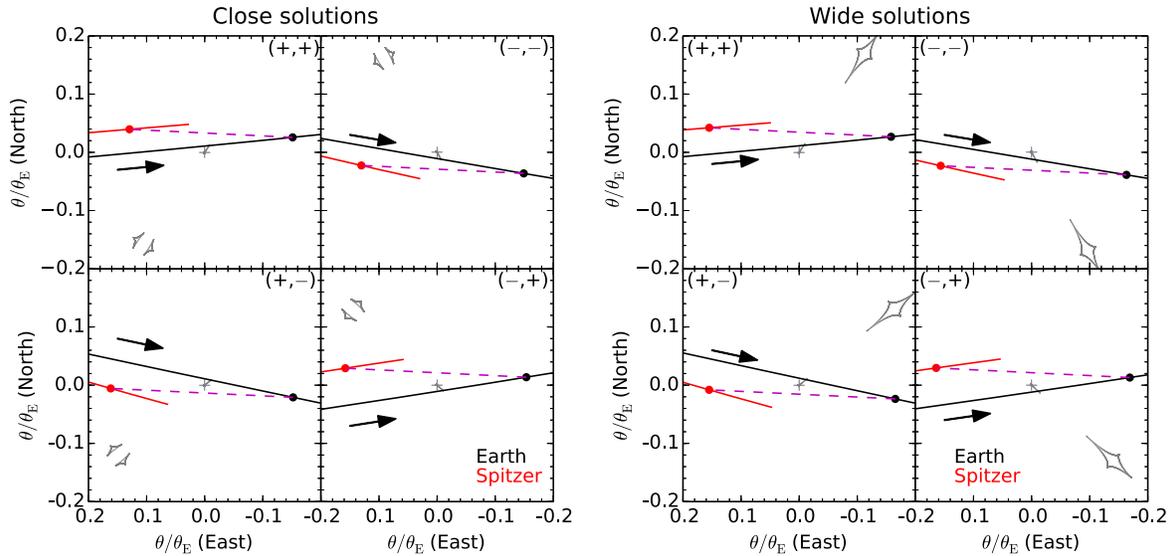
## 4. EINSTEIN RADIUS ESTIMATE

We estimate  $\theta_E$  using the standard approach (Yoo et al. 2004), i.e., estimating the source surface brightness from its dereddened color  $(V - I)_0$  and the calibrated color/surface brightness relation of Kervella et al. (2004), and then comparing this to dereddened magnitude  $I_{s,0}$ .

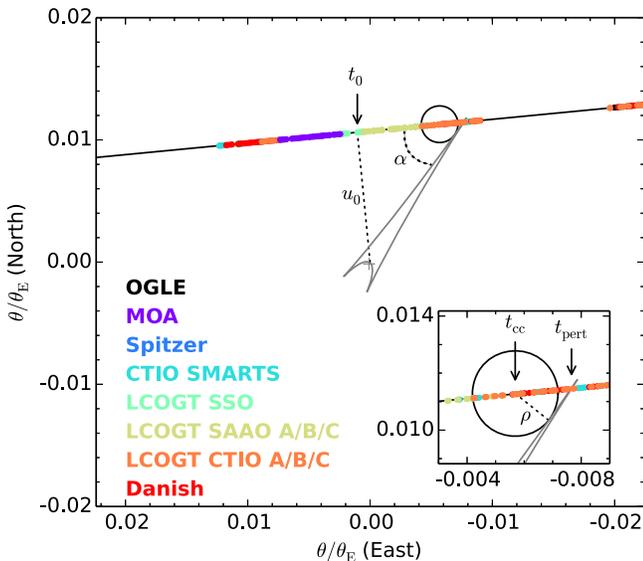
We begin (as is usual) by assuming that the source is behind the same dust column as the bulge clump stars. Visual comparison of  $V$ - and  $I$ -band images of the field indicates substantial differential reddening, with a region of apparent extinction extending to the southeast of the target. We restricted our analysis to stars within a  $60''$  radius about the source, wherein the clump appears compact. The centroid  $I$  magnitude and  $(V - I)$  color of the red clump were measured by calculating the mean values of these parameters for stars within  $I$ ,  $(V - I)$  ranges chosen to isolate the clump on the color-magnitude diagram. The quoted uncertainties refer to the standard error on the mean of each parameter. Table 3 compares the measured  $I$  magnitude and  $(V - I)$  of the source and the red clump centroid from both the OGLE and the CTIO data. Using OGLE data, we find that the source is  $\Delta I = 3.15 \pm 0.05$  mag below the clump, whereas using CTIO data we find  $\Delta I = 3.01 \pm 0.05$  mag. Figure 4 shows the color-magnitude diagrams derived from both data sets. This difference is typical of the difficulty in centroiding the clump in the vertical (magnitude) direction. We adopt  $\Delta I = 3.08 \pm 0.07$  mag and so  $I_{s,0} = I_{\text{cl},0} + \Delta I = 17.49 \pm 0.08$  mag, where  $I_{\text{cl},0} = 14.41$  mag is adopted from Nataf et al. (2013). Comparing this value with OGLE's  $I_{\text{cl}}$ , we infer the extinction of  $A(I) = 2.07$  mag along this line of sight. Similarly, by comparing OGLE's  $(V - I)_{\text{cl}}$  with  $(V - I)_{\text{cl},0} = 1.06$  mag from Bensby et al. (2013), we derive a reddening measurement of  $E(V - I)_{\text{cl}} = 1.73$  mag.

<sup>56</sup> The shape of the light curve indicates that it is reaching the point of maximum magnification, resulting in the inflexion point in the light curve.

<sup>57</sup> <http://ssd.jpl.nasa.gov/?horizons>



**Figure 2.** Thumbnail sketches of all eight caustic geometries for planetary microlensing event OGLE-2015-BLG-0966. The black and red lines represent the trajectories as seen from Earth and *Spitzer*, respectively. The right ends of the red lines represent the end of *Spitzer* observations at HJD 7222.14. All eight solutions have essentially the same physical implications. First, while the overall caustic structures (closed black curves) differ between close (left) and wide (right) binary solutions, the central caustics, which are the only part probed by the Earth and *Spitzer* observations, are virtually identical. These are related by the  $s \leftrightarrow s^{-1}$  degeneracy, and since  $s \simeq 1.1$  is close to unity, they correspond to physically similar systems. The microlens parallax  $\pi_E$  is essentially determined by the offset between the *Spitzer* and Earth trajectories at the same epoch (magenta dashed line segments). See Equation (3). The amplitude of  $\pi_E$  does differ slightly between the  $(\pm, \pm)$  and  $(\pm, \mp)$  solutions because the source is on the same side of the lens as seen from Earth and *Spitzer* for the former and on the opposite side for the latter, leading to a different distance perpendicular to the direction of motion. However, because the event is high magnification as seen from Earth ( $u_{0,\oplus} \ll 1$ ), this difference is itself small, and because the separation along the direction of motion is much larger than the separation perpendicular, this has almost no effect on the magnitude of the parallax,  $\pi_E$ , which is what enters the main physical parameters. See Table 1. The only substantial difference among these eight solutions is that the motion is somewhat north of west for the  $(+, \pm)$  solutions and somewhat south of west for the  $(-, \pm)$  solutions. However, this small difference has no impact on the main physical parameters, which do not depend on this direction.



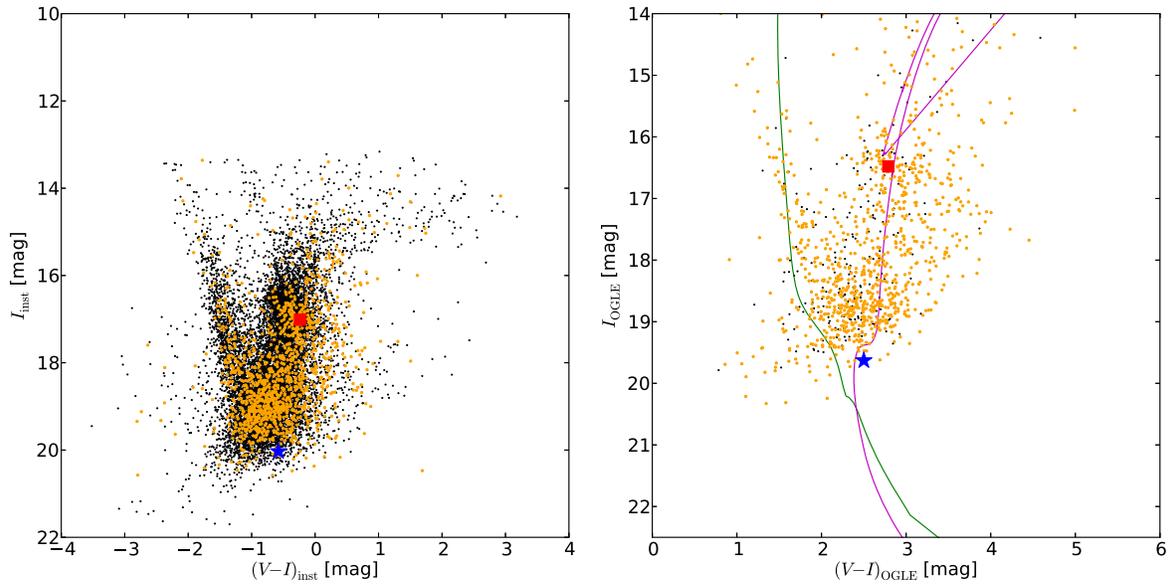
**Figure 3.** Detail of caustic structure for one of the eight degenerate solutions shown in Figure 2 (close  $(-, -)$ ). The cross represents the position of the lens host star. The colored circles represent the data points on the light curve shown in Figure 1. The black circle on the trajectory represents the size of the source. As described in Section 3, the angle between the source trajectory and the binary axis (same as the caustic axis) is determined directly by the time offset of the anomalous peak ( $t_{\text{pert}}$ ) from the overall peak ( $t_0$ ), relative to the curvature of the peak, without reference to any model.  $t_{\text{cc}}$  refers to the time of caustic crossing. However, the derivation of  $(s, q)$ , which determines the overall caustic structure in this plot, does require detailed modeling. See Section 3.

Determining  $(V - I)_s$  (and so  $(V - I)_{s,0}$ ) presents greater challenges given that the source is quite faint at a baseline of  $V \sim 21.9$  mag and that the full moon was passing through

the bulge when the source was most highly magnified. OGLE systematically acquires V-band data for all its fields, but of course avoids observing near the moon. Hence, the OGLE  $(V - I)_0$  color estimate has relatively large errors:  $\Delta(V - I)_s = -0.31 \pm 0.06$  mag. CTIO deliberately targeted the event near peak (and so moon passage) in order to obtain high signal-to-noise measurements. Some of these observations were corrupted by scattered moonlight, but most appear fine. These data yield  $\Delta(V - I)_s = -0.35 \pm 0.03$  mag. We combine these OGLE and CTIO measurements by standard error weighting and obtain  $(V - I)_{s,0} = (V - I)_{\text{cl},0} + \Delta(V - I) = 0.72 \pm 0.012$  mag, where we have adopted  $(V - I)_{\text{cl},0} = 1.06$  mag from Bensby et al. (2013).

Applying the relation derived by Nataf et al. (2013) to calculate the distance to the Galactic Bar given the viewing angle from Earth, we infer a distance of 8.00 kpc. If we assume that the source is exactly at the distance to the clump and adopt  $M_{I,\text{cl}} = -0.12$  mag, then this implies  $[M_I, (V - I)_0]_s = (2.96, 0.72)$  mag. This is a plausible pair of values for a star just entering the sub-giant branch from the turnoff. That is, the assumption that the source lies behind all the dust is self-consistent, since it implies that the source inhabits a reasonably well-populated part of the color–magnitude diagram.

However, another interpretation is that the source lies on the upper main sequence (since its color is similar to the Sun). Then,  $M_I \sim 4.15$  mag, so that the star lies 1.2 mag in front of the Bulge in distance modulus, or at  $D_S \sim 4.5$  kpc from us. In this case, it would still lie about 125 pc below the Galactic plane and so behind most, but possibly not all, the dust toward the Galactic bulge. In Figure 4 we have overplotted solar metallicity PARSEC isochrones (Bressan et al. 2012)



**Figure 4.** Color–magnitude diagrams plotted from the CTIO (left) and OGLE (right) data. In the CTIO plot, stars within 60 arcsec of the target that were used in the analysis are highlighted with larger red circles. See Section 4. Solar metallicity PARSEC isochrones are overlotted on the OGLE data for a  $\log g = 4.4$  main sequence star and a  $\log g = 3.9$  sub-giant at ages ( $\log \text{age} = 7.35$  and  $9.95$ ) where their respective  $V - I$  colors were closest to that of the source, as a reference for the two possible evolutionary states of the object. These curves have been offset by the measured extinction to overlay the (unedited) data from OGLE. Star and square symbols mark the measured positions of the source and red clump, respectively.

for a  $\log g = 4.4$  main sequence star and a  $\log g = 3.9$  sub-giant at ages where their respective  $V - I$  colors were closest to that of the source, for reference.

We first estimate the source radius under the assumption that it is a bluish bulge sub-giant and then address how this estimate may be affected if the source is in fact a disk main sequence star. We used the tabular data presented by Bessell & Brett (1988) to convert  $(V - I)_{s,0}$  to  $(V - K)_{s,0}$ . They quote an uncertainty of “ $<0.04$  mag,” and we adopt  $0.02$  mag as a reasonable error for the purposes of our analysis. This leads to estimates

$$\begin{aligned} \theta_* &= 1.07 \pm 0.10 \mu\text{as}; & \theta_E &= \frac{\theta_*}{\rho} = 0.76 \pm 0.07 \text{ mas}; \\ \mu_{\text{geo}} &= \frac{\theta_E}{t_E} = 4.8 \pm 0.5 \text{ mas yr}^{-1}. \end{aligned} \quad (5)$$

If the source were a disk main sequence star, but nevertheless lay behind all the dust, then these estimates would not be affected at all. Only the dereddened color and magnitude enter the calculation, and these would not change. If the source does lie in front of some of the dust, then it is both intrinsically redder in  $(V - I)$  and fainter in  $I$  than the above calculations would imply. Of course fainter stars have smaller radii at fixed surface brightness, but redder stars have lower surface brightness (so larger radii) at fixed magnitude. Hence, these two effects tend to cancel. Since the total amount of dust behind the source is unlikely to be large and the two effects tend to cancel, and since at this point we cannot reliably estimate how much dust does lie behind the source, we will proceed on the assumption that the source is behind all of the dust. However, in Section 6, we discuss how this issue could be partly or fully resolved in the future.

The above procedure has become a standard approach for calculating the angular radii of microlensing source stars since Yoo et al. (2004), but we note recent work by Boyajian et al.

(2014) to develop improved empirical relations predicting stellar angular size for main sequence stars as functions of photometric color measured from a wider range of color indices. The new  $V$ ,  $(V - I_C)$  relations enable a more direct estimate of  $\theta_*$  without first converting the measured color to another passband. From this we derive a value of  $\theta_* = 1.04 \pm 0.16 \mu\text{as}$ , consistent with our previous estimate.

## 5. PHYSICAL PARAMETERS

The extraction of physical parameters is made simpler by the “resolution” (or rather, irrelevance) of the ambiguity of the amplitude of  $\Delta\beta$  (Section 3), but more complicated by the ambiguity in the source distance (Section 4). We therefore begin with the lens mass estimate, which does not depend on the source distance, derived from Gould (2000):

$$\begin{aligned} M &= \frac{\theta_E}{\kappa\pi_E} = 0.38 \pm 0.04 M_\odot; \\ m_{\text{planet}} &= qM = 21 \pm 2M_\oplus. \end{aligned} \quad (6)$$

Similarly, for the projected velocity in the geocentric and heliocentric frames (Gould & Yee 2014)

$$\begin{aligned} \tilde{\mathbf{v}}_{\text{geo}}(N, E) &= \frac{\text{au}}{t_E} \frac{\pi_E}{\pi_E^2} = (0 \pm 16, -124 \pm 40) \text{ km s}^{-1} \\ \tilde{\mathbf{v}}_{\text{hel}} &= \tilde{\mathbf{v}}_{\text{geo}} + \mathbf{v}_{\oplus,\perp} = (0 \pm 16, -95 \pm 40) \text{ km s}^{-1}, \end{aligned} \quad (7)$$

where  $\mathbf{v}_{\oplus,\perp}(N, E) = (-0.8, 28.8) \text{ km s}^{-1}$  is the Earth’s velocity projected on the plane of the sky at the peak of the event and where we have ignored the slight differences among the four wide solutions.

Regardless of the source location, the relative parallax is well-determined

$$\pi_{\text{rel}} = \theta_E \pi_E = 0.19 \pm 0.01 \text{ mas}. \quad (8)$$

enabling us to constrain the distance to the lens through the relation:

$$\pi_{\text{rel}} = \pi_L - \pi_S = \frac{au}{D_L} - \frac{au}{D_S}. \quad (9)$$

However, depending on the distance to the source, this leads to two different distance estimates for the lens  $D_L = 3.3$  kpc (bulge source) or  $D_L = 2.5$  kpc (disk source). However, within the context of our program of determining the Galactic distribution of planets, these two outcomes are basically similar: foreground disk lens. In Section 6, we discuss how this ambiguity may be resolved with future observations.

Finally, the ambiguity in  $D_L$  gives rise to an ambiguity of the same size in the star-planet projected separation  $r_{\perp} = s\theta_E D_L = 2.7$  au (bulge source) or 2.1 au (disk source). Adopting a snowline  $r_{\text{snow}} = 2.7$  au ( $M/M_{\odot}$ ), these separations are at  $r_{\perp}/r_{\text{snow}} = 2.2$  and 1.7 snowline distances, respectively. Hence, in either case, this planet is a “cold Neptune.” Note that in making these estimates, we have adopted  $s = 1$ , i.e., midway between (and 10% different from) the close and wide solutions. The physical parameters of this system are summarized in Table 4.

## 6. DISCUSSION

This is the second microlensing planet (after OGLE-2014-BLG-0124Lb), whose mass and distance have been measured with the aid of parallax observations using *Spitzer*. However, it is the first to be so characterized as part of a program specifically designed to measure the Galactic distribution of planets by means of well defined selection criteria (Yee et al. 2015a). While naively such well defined criteria might seem to lead to clear cut observing decisions, the example of OGLE-2015-BLG-0966 demonstrates that they in fact create a set of complex interlocking constraints, both hard and soft, on decision processes of multiple semi-autonomous decision makers working toward a common goal. Specifically, there was the struggle to determine whether surveys would cover this event, including both static but non-obvious (KMT) information and dynamic OGLE information, the impact on balancing with coverage of other events (also impacted by survey coverage), and wild oscillations in *Spitzer* cadence due to objective criteria. See Section 2.

In the present case, these follow up observations that were subject to these interlocking constraints led to the detection and characterization of a planet while preserving the integrity of the selection process. In subsequent papers, we will show that similar sensitivity to planets (while maintaining sample integrity) was achieved under similar conditions for several other high-magnification events.

The character of the planet continues to confirm that “cool Neptunes are common” (Gould et al. 2006), even though that original claim was based on just two detections. However, the central focus of the present effort is not the frequency of planets as a function of mass, but of Galactocentric radius. Nothing can be said so far about this in part because there are so far only two planets in the sample, but mainly because the sensitivity of the surveys to planets as a function of Galactocentric radius has not yet been determined (although see Zhu et al. 2015a for initial work in that direction). Nevertheless, it is intriguing to note that both detected planets are in the near to mid-disk.

While the lens is clearly in the disk, it is unknown at this point whether the source is in the bulge or the disk. While the

source distance is of overall secondary interest, it does affect the lens distance  $D_L$  and planet-star separation  $r_{\perp}$  at the 25% level and therefore should be resolved if possible. We note that the heliocentric projected velocity  $\tilde{v}_{\text{hel}}$  does tend to favor a disk source just because the solutions all have the heliocentric projected velocities pointing basically out of the Galactic plane, and indeed somewhat retrograde. By contrast, as expected naively (and confirmed by Calchi Novati et al. 2015a), events with bulge sources and disk lenses tend to have  $\tilde{v}_{\text{hel}}$  aligned with Galactic rotation. This is because the Sun and the lens both basically partake of this rotation while bulge source proper motions tend to be both isotropically distributed and of low amplitude.

The situation would be greatly clarified by measuring the proper motion of the source, as Yee et al. (2015b) did for the *Spitzer* event OGLE-2014-BLG-0939. In contrast to that case, however, in which the source was bright and easily distinguished from neighbors, which enabled precise astrometric measurements from over a decade of OGLE observations, the OGLE-2015-BLG-0966 source star is both too faint and too close ( $0''.7$ ) to a neighbor for reliable astrometry. However, using difference image astrometry applied to high-magnification images, the current position of the lens is measured with a precision of about 20 mas. Hence, a single epoch of high-resolution imaging 10 years from now should be able to reliably determine whether the source proper motion is consistent with disk motion (typically about  $6 \text{ mas yr}^{-1}$ ).

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