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Association of Comorbid Burden with Clinical Outcomes After Transcatheter Aortic Valve Implantation

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Complete List of Authors:	<p>Bagur, Rodrigo; London Health Sciences Centre, Western University, Martin, Glen; University of Manchester Institute of Population Health, Farr Institute</p> <p>Nombela-Franco, Luis; Hospital Clinico Universitario San Carlos, Interventional Cardiology; Institut universitaire de cardiologie et de pneumologie de Quebec, Cardiology</p> <p>Doshi, Sagar; University Hospitals Birmingham, Cardiology</p> <p>George, Sudhakar; Queen Elizabeth Hospital Birmingham</p> <p>Toggweiler, Stefan; Luzerner Kantonsspital, Cardiology</p> <p>Sponga, Sandro; University Hospital of Udine, Cardiothoracic Department</p> <p>Cotton, James; New Cross Hospital, Wolverhampton, Cardiology</p> <p>Khogali, Saib; Royal Wolverhampton Hospitals NHS Trust</p> <p>Ratib, Karim; Keele University</p> <p>Kinnaird, Tim; Keele University, Keele Cardiovascular Research Group; University Hospital of Wales, Department of Cardiology</p> <p>Anderson, Richard; University Hospital of Wales, Cardiology</p> <p>Chu, Michael; London Health Sciences Centre, Western University, Kiaii, Bob; London Health Sciences Centre, Western University</p> <p>Biagioni, Corina; Instituto Cardiovascular. Hospital Universitario Clínico San Carlos</p> <p>Schofield-Kelly, Lois; New Cross Hospital, Wolverhampton, Cardiology</p> <p>Loretz, Lucca; Luzerner Kantonsspital, Cardiology</p> <p>Torracchi, Leonardo; University Hospital of Udine, Cardiothoracic Department</p> <p>Sekar, Baskar; University Hospital of Wales, Department of Cardiology</p> <p>Kwok, Chun Shing; Keele University, Cardiovascular Research Group</p> <p>Sperrin, Matthew; University of Manchester, Health eResearch Centre, Farr Institute</p> <p>Ludman, Peter; Queen Elizabeth Hospital Birmingham, Mamas, Mamas; Manchester University</p>
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Abstract:	<p>Abstract</p> <p>Objectives: To investigate the association of the Charlson Comorbidity</p>

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	<p>Index (CCI) with clinical outcomes after TAVI.</p> <p>Background: Patients undergoing transcatheter aortic valve implantation (TAVI) have high comorbid burden, but evidence around the impact of comorbidity on clinical outcomes is limited.</p> <p>Methods: Data from 1887 patients from the UK, Canada, Spain, Switzerland and Italy were collected between 2007 and 2016. The association of CCI with 30-day mortality, Valve Academic Research Consortium-2 (VARC-2) composite early safety, long-term survival and length of stay (LOS) was calculated using logistic regression and Cox proportional hazard models, as a whole cohort and at a country-level, through a two-stage individual participant data (IPD) random-effect meta-analysis.</p> <p>Results: Most patients had a CCI\geq3 (60%). A weak correlation was found between the total CCI and four different preoperative risks scores ($\rho=0.16$ to 0.29), and approximately 50% of patients classed as low risk from four risk prediction models still presented with a CCI\geq3. Per-unit increases in total CCI were not associated with increased odds of 30-day mortality (OR 1.09, 95% CI: 0.96-1.24) or VARC-2 early safety (OR 1.04, 95%CI: 0.96-1.14), but was associated with increased hazard of long-term mortality (HR 1.10, 95%CI: 1.05-1.16). The two-stage IPD meta-analysis indicated that CCI was not associated with LOS (HR 0.97, 95%CI: 0.93-1.02).</p> <p>Conclusion: In this multi-centre international study, patients undergoing TAVI had significant comorbid burden. We found a weak correlation between the CCI and well-established preoperative risks scores. The CCI had a moderate association with long-term mortality up-to 5-years post TAVI.</p>

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Association of Comorbid Burden with Clinical Outcomes After Transcatheter Aortic Valve Implantation

Rodrigo Bagur,^{*1,2,3} MD, PhD, FAHA; Glen P. Martin,^{*4} PhD; Luis Nombela-Franco,⁵ MD, PhD; MBChB, MD, FRCP; Sagar N. Doshi,⁶ MBChB, MD, FRCP; Sudhakar George,⁶ MBChB; Stefan Toggweiler,⁷ MD; Sandro Sponga, MD,⁸ PhD; James Cotton,⁹ MBBS, MD; Saib S. Khogali,⁹ MBChB, FRCP, MD; Karim Ratib,³ MBBCh; Tim Kinnaird,¹⁰ MBBCh; Richard Anderson,¹⁰ BSc, MBBS, MD, FRCP; Michael W. A. Chu,¹ MD, FRCSC; Bob Kiaii,¹ MD, FRCSC; Corina Biagioni,⁵ MD; Lois Schofield-Kelly,⁹ MBBS; Lucca Loretz,⁷ MD; Leonardo Torracchi,⁸ MD; Baskar Sekar,¹⁰ MD; Chun Shing Kwok,³ BSc, MBBS, MSc, MRCP; Matthew Sperrin,⁴ PhD; Peter F. Ludman,⁶ MD, FRCP, FESC; Mamas A. Mamas,^{3,4} MA, DPhil, FRCP

¹London Health Sciences Centre, London, Ontario, Canada.

²Department of Epidemiology and Biostatistics, Schulich School of Medicine & Dentistry, Western University, London, Ontario, Canada.

³Keele Cardiovascular Research Group, Centre for Prognosis Research, Institute for Primary Care and Health Sciences, Keele University, Stoke-on-Trent, United Kingdom.

⁴Farr Institute, Faculty of Biology, Medicine and Health, University of Manchester, Manchester Academic Health Science Centre, Manchester, United Kingdom.

⁵Cardiovascular Institute, Hospital Clínico San Carlos, Madrid, Spain.

⁶Cardiology Department, Queen Elizabeth Hospital, Birmingham, UK.

⁷Heart Center Lucerne, Lucerne, Switzerland.

⁸Cardiothoracic Department, University Hospital of Udine, Udine.

⁹The Heart and Lung Centre, The Royal Wolverhampton Hospitals NHS Trust,

¹⁰Department of Cardiology, University Hospital of Wales, Cardiff, United Kingdom

Short title: Impact of Charlson Comorbidity Index on TAVI Outcomes

Conflict of Interest: None.

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*These authors contributed equally to the manuscript.

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Corresponding Author:

Rodrigo Bagur, MD, PhD, FAHA
University Hospital, London Health Sciences Centre
339 Windermere Road
London, Ontario, N6A 5A5, Canada.
E-mail: rodrigobagur@yahoo.com

Abstract

Objectives: To investigate the association of the Charlson Comorbidity Index (CCI) with clinical outcomes after TAVI.

Background: Patients undergoing transcatheter aortic valve implantation (TAVI) have high comorbid burden, but evidence around the impact of comorbidity on clinical outcomes is limited.

Methods: Data from 1887 patients from the UK, Canada, Spain, Switzerland and Italy were collected between 2007 and 2016. The association of CCI with 30-day mortality, Valve Academic Research Consortium-2 (VARC-2) composite early safety, long-term survival and length of stay (LOS) was calculated using logistic regression and Cox proportional hazard models, as a whole cohort and at a country-level, through a two-stage individual participant data (IPD) random-effect meta-analysis.

Results: Most patients had a $CCI \geq 3$ (60%). A weak correlation was found between the total CCI and four different preoperative risks scores ($\rho=0.16$ to 0.29), and approximately 50% of patients classed as low risk from four risk prediction models still presented with a $CCI \geq 3$. Per-unit increases in total CCI were not associated with increased odds of 30-day mortality (OR 1.09, 95% CI: 0.96-1.24) or VARC-2 early safety (OR 1.04, 95%CI: 0.96-1.14), but was associated with increased hazard of long-term mortality (HR 1.10, 95%CI: 1.05-1.16). The two-stage IPD meta-analysis indicated that CCI was not associated with LOS (HR 0.97, 95%CI: 0.93-1.02).

Conclusion: In this multi-centre international study, patients undergoing TAVI had significant comorbid burden. We found a weak correlation between the CCI and well-established preoperative risks scores. The CCI had a moderate association with long-term mortality up-to 5-years post TAVI.

Keywords: Aortic Stenosis - Transcatheter Aortic Valve Implantation - Comorbidity - Charlson
Comorbidity Index - Mortality - Meta-Analysis

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Key message:

- The majority of patients who underwent TAVI presented with severe comorbid burden as defined by a CCI of ≥ 3 .
- We found a weak correlation between the CCI and well-established preoperative risks scores, and even patients classed as low preoperative risk presented with a CCI ≥ 3 in approximately 50% of the cases.
- The CCI was moderately associated with increased hazard of mortality up-to 5-years post TAVI.

INTRODUCTION

In patients with severe symptomatic aortic stenosis (AS) who are considered at high or intermediate operative risk, transcatheter aortic valve implantation (TAVI) has become a standard alternative to surgical aortic valve replacement (SAVR)(1-3).

Validated scoring systems permit the estimation of operative risk according to patients' clinical profile and the type of intervention(4-8). However, these scoring systems are elaborated from large "general" populations and might lack predictive accuracy in specific subgroups, particularly elderly patients with valvular heart disease and multiple comorbid conditions.

The Charlson Comorbidity Index (CCI) is a global measure of comorbidity burden that was developed and validated for estimating prognosis and adverse clinical outcomes in a broad spectrum of patients with multiple coexisting illnesses(9-11). While the Heart Team considers the presence of multiple comorbid conditions of patients during their decision-making processes for TAVI eligibility, the association of comorbid burden with clinical outcomes is mainly limited to single centre studies(12,13) with relatively small sample sizes. Therefore, the aim of this multi-centre international study was to report the distribution of comorbidity burden in patients undergoing TAVI and the association with short and long-term clinical outcomes, as well as length of stay (LoS) after TAVI.

METHODS

Participants

This analysis included prospective data collected between 2007 and 2016 on all TAVI procedures undertaken across four UK-TAVI centres (Queen Elizabeth Hospital (Birmingham), University Hospital of North Staffordshire (Stoke-On-Trent), University Hospital of Wales (Cardiff) and New Cross Hospital (Wolverhampton)), and four non-UK TAVI centres (University Hospital, London Health Sciences Centre (Ontario, Canada), Cardiovascular

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3 Institute, Hospital Clínico San Carlos (Madrid, Spain), Heart Center Lucerne (Lucerne,
4 Switzerland) and University Hospital of Udine (Udine, Italy). The UK derived data were
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6 extracted from those submitted to the UK TAVI registry(14,15), while the non-UK data were
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8 derived from each individual centre's databases. The variables recorded across all
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10 centres/countries included patient baseline demographics, pre-procedural risk factors, peri/post-
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12 procedural information and outcomes occurring before index hospital discharge. For UK patients,
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14 all-cause long-term mortality information was available through linkage with the Office for
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16 National Statistics, and for non-UK patients, this information was collected either by last
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18 available on-site clinical visit or by telephone contacts. The research ethics boards at the
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20 participating sites approved the datasets for the study.
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26 **Comorbidity burden measurement**

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28 The CCI was utilized as a measure of comorbid burden(16), and was retrospectively
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30 calculated for each patient across all contributing centres. The 19 components of CCI are:
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32 previous myocardial infarction, congestive heart failure, peripheral vascular disease,
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34 cerebrovascular disease, dementia, chronic obstructive pulmonary disease, connective tissue
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36 disease, peptic ulcer disease, mild or severe liver disease, diabetes with or without end-organ
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38 damage, moderate-severe chronic kidney disease, hemiplegia, leukemia, lymphoma, any tumour
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40 with or without metastases and Acquired Immune Deficiency Syndrome status. Each of the
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42 components has an associated weighting, which is summed across the 19 conditions to define the
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44 total CCI score; thus, total CCI can range from 0 to 37 points, with higher values indicating
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46 increasing comorbid burden.
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Statistical Analysis

Continuous data were described using the mean and range of values, with group comparisons made using analysis of variance (ANOVA). Likewise, categorical data were presented as raw number of events and corresponding percentages, with comparisons made using the chi-squared test. The primary outcomes in this analysis were 30-day mortality and long-term survival. Secondary outcomes were LoS (defined as the number of days between admission and discharge) and the Valve Academic Research Consortium-2 (VARC-2) composite early safety endpoint (defined as any of the following occurring within 30-days: mortality, stroke, life-threatening bleed, acute kidney injury, coronary artery obstruction, major vascular complication, and valve-related dysfunction)(17). The impact of comorbidity on each outcome was examined with total CCI modelled as both a continuous variable (i.e. the effect of per-unit increases in total CCI), and across strata of CCI=0, CCI=1, CCI=2 and CCI \geq 3 (to examine non-linear relationships between total CCI and the outcomes).

Patients with missing information that precluded CCI calculation were excluded from the analysis. Similarly, patients with missing endpoint data were removed from the analysis of that specific endpoint. Missing covariate information was imputed using multiple imputation by chained equations, where we generated ten imputed datasets(18). The imputation models for each covariate included the majority of other baseline covariates, total CCI, each of the considered endpoints, and a random-effect at the country-level(19,20). All subsequent analyses were performed within each imputed dataset, with parameters of interest pooled across imputations using Rubin's rules(18).

Baseline patient risk was summarised with the Logistic EuroSCORE (LES)(5), the EuroSCORE-II (ESII)(21), Society of Thoracic Surgeons (STS) Predicted Risk of Mortality(8), and the TAVI-specific FRANCE-2(22) prediction models. These models were calculated in the

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3 multiple imputed data and were therefore averaged across imputations. Spearman's rank
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5 correlation coefficients were calculated to determine the strength of the correlation between total
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7 CCI and each risk model.
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10 Associations between CCI and the binary endpoints (30-day mortality/VARC-2 composite
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12 early safety) were examined with logistic regression, with unadjusted, age and sex adjusted, and
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14 multivariable adjusted odds ratios (ORs) reported. Similarly, the effect of CCI on long-term
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16 survival and LOS was examined non-parametrically using Kaplan-Meier plots, with
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18 multivariable adjusted hazard ratios (HRs) estimated with Cox proportional hazards models.
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20 Long-term survival was modelled up-to 5 years post-procedural follow-up.
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24 Each of the multivariable adjustments included all baseline/procedural variables that were
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26 (a) recorded across all the datasets, and (b) not components of the CCI, or on the causal pathway
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28 between CCI and outcome. Specifically, the following variables were included in the
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30 multivariable adjustment: age, sex, smoking status, atrial fibrillation, height, weight, Canadian
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32 Cardiovascular Society (CCS) class IV, New York Heart Association (NYHA) Functional
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34 Classification, coronary artery disease, pulmonary hypertension (>60 mmHg), aortic valve area,
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36 left ventricular ejection fraction (LVEF), non-elective procedure indication, and non-transfemoral
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38 access route indication.
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42 When analysing the data as a whole cohort, we included a random effect (i.e. random
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44 intercept) at a country-level within each of the analysis models, thereby aiming to respect the
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46 clustering of the data collection. Similarly, we also performed a two-stage individual participant
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48 data (IPD) meta-analysis at a country-level. Here, data from each country were analysed
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50 individually, and the results from which were then pooled using a random effects model across
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52 countries(23,24). The multiple imputation was incorporated into this process by first applying
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Rubin's rules at the country-level prior to pooling the country-specific estimates using meta-analytical techniques(25).

All analyses were performed using R version 3.4.2(26). Graphical plots were made using the "ggplot2" package(27), the "mice" package was used for the multiple imputation(28), and the meta-analysis was performed with the "metafor" package(29).

RESULTS

Between August 2007 and September 2016, data for n=1887 patients were available, comprising 791, 308, 181, 375, 232 patients from the UK, Canada, Italy, Spain and Switzerland, respectively. Patient baseline characteristics as a whole cohort and across strata of CCI are given in **Table 1**; similarly, **Supplementary Table 1** presents baseline characteristics by country. The mean age of patients was 81.6 years with 51.4% male. Most procedures were undertaken electively and via transfemoral access (83%). The proportion of patients with atrial fibrillation/flutter (P=0.041), previous cardiac surgery (P=0.020), previous balloon-aortic valvuloplasty (P=0.003), previous percutaneous coronary intervention (P<0.001), NYHA class III/IV (P=0.002), coronary artery disease (P<0.001), LVEF<50% (P<0.001) and undergoing non-elective procedures (P=0.046) varied significantly across strata of total CCI (**Table 1**).

The distribution of total CCI for the whole cohort and by country is given in **Figure 1**. The median total CCI was 3 (interquartile range: 2-4), with a minimum of 0 and maximum of 11. Across all countries, the majority of patients had a total CCI of 3 or more, with the proportion of patients with $CCI \geq 3$ ranging from 56% (UK) to 72% (Switzerland) (**Supplementary Figure 1**). The most common cardiovascular comorbidities were previous myocardial infarction (24%) and congestive heart failure (59%), while the most common non-cardiovascular comorbidities were chronic kidney disease (50%) and chronic obstructive pulmonary disease (21%) (**Supplementary Figure 2**).

CCI and Established Risk Models

We calculated the LES, ESII, STS and the TAVI-specific FRANCE-2 prediction models for all patients. Unsurprisingly, the proportion of patients with $CCI \geq 3$ increased across quantiles of the predicted risks from each model (**Figure 2**). Nevertheless, within the lowest predicted risk quantile, the proportion of patients with a $CCI \geq 3$ was 50.2%, 48.5%, 46.8% and 50.4% for LES, ESII, STS and FRANCE-2, respectively, with a weak Spearman's rank correlation coefficient between the total CCI and predicted LES ($\rho=0.21$, 95%CI: 0.16-0.25), ESII ($\rho=0.21$, 95%CI: 0.16-0.25), STS ($\rho=0.28$, 95%CI: 0.24-0.32), and FRANCE-2 ($\rho=0.16$, 95%CI: 0.12-0.20) score.

30-day and long-term mortality

Data on 30-day mortality were available in 1644 (87%) patients, of which, 68 (4.14%) died within 30 days post TAVI. There was no significant difference in crude 30-day mortality rates with either per-unit increase in total CCI (OR: 1.11, 95% CI: 0.98-1.25; **Table 2**), or across CCI strata ($P=0.312$) with 30-day mortality rates of 1.06%, 4.33%, 3.29%, and 4.67% for $CCI=0$, $CCI=1$, $CCI=2$ and $CCI \geq 3$, respectively. Similarly, the multivariable adjusted odds for 30-day mortality were not significantly different for unit increases in total CCI (OR: 1.09, 95% CI: 0.96-1.24; **Table 2**). Across the UK, Canadian, Italian, Spanish and Swiss TAVI centres, the crude proportions of 30-day mortality were 20/567 (3.53%), 15/308 (4.87%), 5/162 (3.09%), 25/375 (6.67%), and 3/232 (1.29%), respectively ($P=0.016$). The two-stage IPD meta-analysis showed that the multivariable adjusted odds of 30-day mortality were significantly higher per unit increase in total CCI for UK patients (OR 1.36, 95%CI: 1.08-1.71), but no significant differences in 30-day mortality rates were found in patients from other countries, with a pooled OR of 1.12 (95%CI: 0.88-1.41) (**Supplementary Figure 3**).

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3 A total of 1555 patients had data on long-term survival, totalling 3663 person-years of
4 follow-up. The overall six-month, one-year and two-year survival estimates were 91.0%, 87.9%
5 and 79.8%, respectively. Across CCI strata, one-year survival rates were 95.1%, 89.3%, 90.6%
6 and 85.9% for CCI=0, CCI=1, CCI=2 and CCI \geq 3, respectively (**Figure 3**, log-rank $P < 0.001$). A
7 univariable Cox proportional hazards model indicated that the hazard of mortality was
8 significantly higher for unit increases in total CCI (HR 1.11, 95%CI 1.06-1.16; **Table 2**). The
9 hazards of mortality remained significantly higher for unit increases in total CCI after adjusting
10 for age and sex (HR 1.11, 95%CI: 1.06-1.17), and after multivariable adjustment (HR 1.10,
11 95%CI: 1.05-1.16). Similarly, long-term survival differed significantly across strata of total CCI
12 with a multivariable adjusted HR of 1.73 (95%CI: 1.01-2.94) for patients with CCI=2 and 2.18
13 (95%CI: 1.36-3.61) for those with CCI \geq 3 as compared with those with a CCI=0 (**Table 3**). The
14 two-stage IPD meta-analysis on hazards for long-term mortality across each participating country
15 resulted in a pooled HR (multivariable adjusted) of 1.13 (95%CI: 0.98-1.30) per unit increases in
16 total CCI (**Figure 4**).

34 **Length of Stay**

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37 Across the whole cohort, 1820 (96%) patients had information on their LoS, with a median
38 LoS of 7 days (interquartile range 5-13 days). Unit increases in total CCI were not associated
39 with longer LoS after multivariable adjustment (HR: 1.00, 95%CI: 0.97-1.02; **Table 2**). Similar
40 findings were observed within the two-stage IPD meta-analysis with a combined meta-analysis
41 HR of 0.97 (95%CI: 0.93-1.02; **Figure 4**).

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44 Analyses for non-linear relationships between CCI and LoS indicated that the multivariable
45 adjusted HRs for a shorter LoS were 1.31 (95%CI: 1.02, 1.68), 1.41 (95%CI: 1.11-1.79) and 1.27
46 (95%CI: 1.02-1.59) for patients with CCI=1, CCI=2 and CCI \geq 3, respectively, as compared to
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3 those with CCI=0 (**Table 3**). Comparable results were observed when we modelled the
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5 (multivariable adjusted) HR for a shorter LoS as a smooth function of total CCI (**Figure 5**).
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8 The two-stage IPD meta-analysis for LoS, indicated that UK patients with at least 1 co-
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10 morbid condition (i.e. CCI>0) had a significantly shorter LoS compared with UK patients with a
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12 CCI=0 (**Supplementary Figure 4**). However, the pooled IPD meta-analysis results showed no
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14 significant difference in LoS across strata of total CCI, with pooled HRs of 0.89 (95%CI: 0.44-
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16 1.80), 1.07 (95%CI: 0.66-1.73) and 0.92 (95%CI: 0.54-1.54), for CCI=1, CCI=2, CCI≥3,
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18 respectively, compared with CCI=0 (**Supplementary Figure 4**).
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21 **VARC-2 Composite Early Safety**

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24 A total of 745 patients were removed due to missing data on the VARC-2 composite early
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26 safety outcome (i.e. patients who were missing components of the composite outcome). Hence,
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28 1142 (60.5%) patients had information on the VARC-2 composite early safety outcome, of
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30 which, the event rate was 18.6%. Across strata of CCI, the event rate was 13/69 (18.8%), 37/201
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32 (18.4%), 26/207 (12.6%) and 136/665 (20.5%) for CCI=0-CCI=1-CCI=2 and CCI≥3,
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34 respectively (P=0.089). After multivariable adjustment, the odds of the VARC-2 composite early
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36 safety outcome were not significantly different with per-unit increases in total CCI (**Table 2**) or
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38 across strata of total CCI (**Table 3**).
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41 **DISCUSSION**

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44 In this multi-centre international study, the majority of patients who underwent TAVI
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46 presented with severe comorbid burden as defined by a CCI of ≥3. We found a weak correlation
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48 between the CCI and well-established preoperative risks scores, and even patients classed as low
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50 preoperative risk presented with a CCI≥3 in approximately 50% of the cases. While UK patients
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3 with a CCI between 1 and 3 had shorter LoS, this finding was not observed within the other non-
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5 UK centres. The CCI was associated with increased hazard of mortality up-to 5-years post TAVI.
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8 The CCI is a validated tool to assess comorbidity burden and has been shown to be a
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10 predictor of outcome in patients with aortic stenosis(12,13,30,31). However, we may have to
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12 consider selection bias since TAVI candidates with a CCI=0 are unlikely to be truly “without
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14 comorbidity” and may merely have prevalent comorbid conditions not captured by the CCI.
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16 Explicitly, it is likely that patients undergoing TAVI presenting a CCI=0 are more comorbid as
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18 compared to those in the general population by virtue of the fact that they are considered not
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20 suitable for SAVR. Some of the few exemptions to this might be old patients (i.e. >85 years),
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22 who, solely because of their age, will benefit from a TAVI procedure rather than SAVR(3,32),
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24 regardless if they are considered low surgical risk. Therefore, the cohort of patients with a CCI=0
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26 are likely to represent a high-risk cohort by virtue of other comorbid conditions not captured by
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28 CCI or frailty.
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32 33 **Pre-operative risk assessment**

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35 The utility of the STS score and the logistic EuroSCORE on patients being evaluated for
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37 TAVI is limited(33). Indeed, the poor performance of these scores are due to the fact that they
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39 were derived for predicting surgical outcomes and are not calibrated to perform in TAVI
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41 procedures in which patients are excluded from SAVR by virtue of certain comorbidities, such as
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43 porcelain aorta, chest radiation/hostile chest, cancer, immunodeficiency, liver disease/cirrhosis,
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45 and frailty, that, among others, are not computed into the risk models. A such, this may translate
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47 into an incorrect decision-making processes, but also, it might artificially exaggerate the positive
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49 results obtained with either surgical or transcatheter procedures(34). Our results show a weak
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51 correlation between the CCI and STS score, LES, ESII and FRANCE-2 scores. Importantly, even
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53 those patients classed as low preoperative risk were still relatively comorbid as defined by a
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3 CCI \geq 3 in approximately 50% of the patients. These results suggest that the risk models do not
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5 capture several aspects of preoperative risk.
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7 **Comorbidity burden and mortality**

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10 Our results indicate that comorbidity burden assessed by the CCI was not associated with
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12 significantly higher odds of 30-day mortality, yet, it was in the long-term. These results are in
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14 line with previous reports(12,13,35). A potential explanation is that procedural-related variables
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16 have a greater impact on in-hospital and 30-day mortality (35,36), but (other than stroke within
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18 30 days) procedural variables do not impact long-term survival following TAVI (36). These
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20 findings support the theory that comorbid conditions have a greater impact on long-term
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22 mortality than the index TAVI procedure. Indeed, it is known that moderate to high-risk patients,
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24 the majority die from non-cardiac conditions(13,35,37,38). The current analysis suggests that
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26 CCI score moderately correlated with survival up-to 5-year post procedure across multiple
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28 countries/centres, each with different practices and valve types. Hence, further supporting the
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30 notion that if the patient gets through the procedure, then again, mortality is driven by the
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32 common comorbid states that general ageing features ensure take over. Therefore, the use of CCI
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34 may potentially serve as a measure to estimate long-term mortality as compared to standard risk
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36 assessment.
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42 **Comorbidity Burden and Length of Stay**

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44 The results from this analysis indicate that CCI was generally not associated with LoS. UK
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46 patients with a higher total CCI were significantly more likely to be discharged earlier than those
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48 with a CCI=0, but this was not observed across all countries. One needs to interpret these
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50 findings with caution given that LoS is driven by several clinical but also non-clinical factors
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52 such as home circumstances and country or centre-specific practices for discharge. Moreover,
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54 certain centers with a predominant use of self-expanding TAVI devices, may delay discharge due
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3 to perceived need for latter permanent pacemaker implantation. Additionally, the time period for
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5 the analysis (2007-2016) covers temporal changes to TAVI practice such as the introduction of
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7 conscious sedation and new devices technology, thus, potentially weakening comorbidity and
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9 LoS associations; future analyses on contemporary cohorts of TAVI patients could investigate
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11 this further.
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14 **Limitations**

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17 Several limitations need to be considered. Firstly, although our results were obtained from
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19 prospectively gathered datasets, the retrospective nature of the analysis are exposed to potential
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21 (unmeasured) confounders. Therefore, we cannot interpret the results as causal, but rather they
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23 represent associations between CCI and the investigated outcomes. Secondly, in most centers we
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25 only had data on CCI as a quantification of comorbid burden. Although this is a widely used and
26
27 validated measure of estimating prognosis and adverse clinical outcomes, the findings may differ
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29 if other comorbidity scales were used. Finally, as discussed above, selection bias needs to be
30
31 considered in this context, since TAVI patients with a CCI=0 are unlikely to be representative of
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33 those in the general population with CCI=0.
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37 **Conclusion**

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40 In this multi-centre, international study, the majority of patients who underwent TAVI
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42 presented with 3 or more comorbid conditions as assessed by the CCI. We found a weak
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44 correlation between the CCI and well-established preoperative risks scores and, even those
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46 patients classed as low preoperative risk presented with a CCI \geq 3 in about 50% of the patients.
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48 While the 30-day mortality rates were significantly higher per unit increase in total CCI for UK
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50 patients, no statistically significant differences were found in patients from other countries. The
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52 CCI was associated with increased hazard of mortality up-to 5-years post TAVI, suggesting that
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CCI is a potentially useful measure to assess long-term outcomes compared with standard risks assessment.

Confidential: For Review Only

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Tables

Table 1: Baseline Patient Characteristics and Post-Procedural Outcomes as a Whole Cohort and Across Each Strata of Charlson Comorbidity Index (CCI).

Variable	Overall (n=1887)	CCI=0 (n=104)	CCI=1 (n=276)	CCI=2 (n=373)	CCI≥3 (n=1134)	P-value
Age, mean (min-max) [missing]	81.6 (23-96) [0]	82.8 (43-94)	81.4 (23-94)	81.8 (51-96)	81.4 (44-95)	0.256
Male, n (%) [missing]	970 (51.4) [0]	49 (47.1)	131 (47.5)	165 (44.2)	625 (55.1)	<0.001
Diabetic, n (%) [missing]	564 (29.9) [2]	8 (7.69)	32 (11.6)	91 (24.4)	433 (38.2)	<0.001
Current or Ex-smoker, n (%) [missing]	750 (39.7) [239]	34 (32.7)	115 (41.7)	131 (35.1)	470 (41.4)	0.063
Creatinine, mean (min-max) [missing]	108.6 (0-638) [3]	89.6 (42-281)	83.6 (38-250)	94.3 (36-638)	121.2 (0-579)	<0.001
Dialysis, n (%) [missing]	18 (0.95) [413]	2 (1.92)	0 (0)	4 (1.07)	12 (1.06)	0.275
Previous MI, n (%) [missing]	429 (22.7) [0]	8 (7.69)	42 (15.2)	66 (17.7)	313 (27.6)	<0.001
Pulmonary Disease, n (%) [missing]	469 (24.9) [5]	7 (6.73)	51 (18.5)	82 (22.0)	329 (29.0)	<0.001
Neurological Disease, n (%) [missing]	320 (17.0) [2]	6 (5.77)	39 (14.1)	44 (11.8)	231 (20.4)	<0.001
Extracardiac Arteriopathy, n (%) [missing]	401 (21.3) [2]	12 (11.5)	46 (16.7)	57 (15.3)	286 (25.2)	<0.001
Atrial Fibrillation/Flutter, n (%)	549 (29.1) [10]	20 (19.2)	80 (29.0)	98 (26.3)	351 (31.0)	0.041

[missing]						
Previous cardiac surgery, n (%) [missing]	479 (25.4) [0]	32 (30.8)	88 (31.9)	86 (23.1)	273 (24.1)	0.020
Previous BAV, n (%) [missing]	322 (17.1) [578]	14 (13.5)	27 (9.78)	69 (18.5)	212 (18.7)	0.003
Previous PCI, n (%) [missing]	367 (19.4) [313]	14 (13.5)	37 (13.4)	56 (15.0)	260 (22.9)	<0.001
Height-mean (min-max) [missing]	1.64 (1.32-1.90) [213]	1.65 (1.38-1.90)	1.64 (1.44-1.89)	1.63 (1.40-1.90)	1.64 (1.32-1.90)	0.033
Weight, mean (min-max) [missing]	73.7 (40-135.9) [212]	71.7 (46-95)	72.6 (44-136)	72.1 (40-131)	74.7 (41-132)	0.009
CCS IV, n (%) [missing]	20 (1.06) [1017]	0 (0)	3 (1.09)	5 (1.34)	12 (1.06)	0.706
NYHA III/IV, n (%) [missing]	1546 (81.9) [12]	76 (73.1)	226 (81.9)	289 (77.5)	955 (84.2)	0.002
Coronary Artery Disease, n (%) [missing]	960 (50.9) [4]	40 (38.5)	130 (47.1)	170 (45.6)	620 (54.7)	<0.001
Pulmonary Hypertension, n (%) [missing]	265 (14.0) [645]	8 (7.69)	35 (12.7)	50 (13.4)	172 (15.2)	0.157
Aortic Valve area, mean (min- max) [missing]	0.68 (0.20-4.0) [429]	0.68 (0.20-2.00)	0.67 (0.26-3.71)	0.67 (0.20-2.80)	0.69 (0.20-4.00)	0.573
LVEF <50%, n (%) [missing]	691 (36.6) [27]	25 (24.0)	93 (33.7)	112 (30.0)	461 (40.7)	<0.001
Non-elective procedure, n (%) [missing]	217 (11.5) [608]	5 (4.81)	32 (11.6)	31 (8.31)	149 (13.1)	0.010
Non-TF Access, n (%)	329 (17.4)	19 (18.3)	41 (14.9)	54 (14.5)	215 (19.0)	0.143

Logistic EuroSCORE, mean % (min-max)*	24.8 (1.72-98.0)	18.1 (4.83-75.6)	22.4 (1.72-75.9)	21.4 (2.97-73.6)	27.1 (2.15-98.0)	<0.001
STS-Score, mean % (min-max)*	5.33 (0.59-48.6)	3.70 (1.16-19.7)	4.02 (0.70-13.7)	4.53 (0.88-14.7)	6.05 (0.59-48.6)	<0.001
EuroSCORE II, mean % (min-max)*	8.21 (0.78-66.0)	5.94 (1.75-25.4)	6.89 (0.91-35.9)	6.63 (1.03-34.0)	9.26 (0.78-66.0)	<0.001
FRANCE-2, mean % (min-max)*	9.24 (3.49-40.6)	7.43 (3.49-28.9)	8.20 (3.49-27.1)	8.87 (3.49-28.9)	9.78 (3.49-40.6)	<0.001
Outcomes						
30-day mortality, n (%)	68/1644 (4.14%)	1/94 (1.06%)	10/231 (4.33%)	11/334 (3.29%)	46/985 (4.67%)	0.312
1-Year survival, %	87.9%	95.1%	89.3%	90.6%	85.9%	<0.001
Length of Stay, median (days)	7	8	7	7	8	0.018
VARC-2 Early safety, n (%)	212/1142 (18.6%)	13/69 (18.8%)	37/201 (18.4%)	26/207 (12.6%)	136/665 (20.5%)	0.089

*The Logistic EuroSCORE, STS-Score and EuroSCORE II each aim to predict short-term mortality following cardiac surgery; the FRANCE-2 model is a TAVI specific model to estimate 30-day mortality risk. All risk models were calculated here using the multiple imputed data. MI: myocardial infarction. BAV: balloon-aortic valvuloplasty. PCI: percutaneous coronary intervention. CCS: Canadian Cardiovascular Society. NYHA: New York Heart Association. LVEF: left ventricular ejection fraction. TF: transfemoral. VARC: Valve Academic Research Consortium.

Table 2: Differences in 30-day Mortality, Long-term Survival and Length of Stay Per Unit Increases in Total Charlson Comorbidity Index for the whole cohort.

30-day mortality	OR (95% CI)
Univariable	1.11 (0.98-1.25)
Age and Sex adjusted	1.13 (1.00-1.27)
Multivariable*	1.09 (0.96-1.24)
VARC-2 Early Safety	OR (95% CI)
Univariable	1.08 (1.00-1.16)
Age and Sex adjusted	1.08 (1.00-1.17)
Multivariable*	1.04 (0.96-1.14)
Long-term Survival	HR (95% CI)
Univariable	1.11 (1.06-1.16)
Age and Sex adjusted	1.11 (1.06-1.17)
Multivariable*	1.10 (1.05-1.16)
Length of Stay	HR (95% CI)
Univariable	0.96 (0.94-0.98)
Age and Sex adjusted	0.96 (0.94-0.98)
Multivariable*	1.00 (0.97-1.02)

Each model includes a random intercept at the country-level. *The multivariable model adjusted for the following variables: age, sex, smoking status, atrial fibrillation/flutter, height, weight, Canadian Cardiovascular Society Class IV, New York Heart Association class, coronary artery disease, pulmonary hypertension, aortic valve area, left ventricular ejection fraction, non-elective procedure indication, non-transfemoral access route. CI: confidence interval, OR: odds ratio, HR: hazard ratio. VARC: Valve Academic Research Consortium.

Table 3: Hazard Ratios for Long-term Survival and Length of Stay, and Odds Ratios for the VARC-2 Composite Early Safety Across Strata of Charlson Comorbidity Index (CCI)

Long-term survival	HR of CCI=1 vs CCI=0 (95% CI)	HR of CCI=2 vs CCI=0 (95% CI)	HR of CCI≥3 vs CCI=0 (95% CI)
Univariable	1.19 (0.68-2.10)	1.80 (1.06-3.05)	2.30 (1.41-3.76)
Age and Sex adjusted	1.21 (0.69-2.13)	1.86 (1.10-3.16)	2.36 (1.44-3.86)
Multivariable*	1.17 (0.66-2.06)	1.73 (1.01-2.94)	2.18 (1.32-3.61)
Length of stay	HR of CCI=1 vs CCI=0 (95% CI)	HR of CCI=2 vs CCI=0 (95% CI)	HR of CCI≥3 vs CCI=0 (95% CI)
Univariable	1.13 (0.89-1.43)	1.17 (0.93-1.47)	0.96 (0.77-1.18)
Age and Sex adjusted	1.12 (0.89-1.43)	1.17 (0.93-1.47)	0.95 (0.77-1.18)
Multivariable*	1.31 (1.02-1.67)	1.41 (1.11-1.79)	1.27 (1.02-1.59)
VARC-2 Early Safety	OR of CCI=1 vs CCI=0 (95% CI)	OR of CCI=2 vs CCI=0 (95% CI)	OR of CCI≥3 vs CCI=0 (95% CI)
Univariable	0.98 (0.48-1.98)	0.63 (0.30-1.32)	1.14 (0.60-2.15)
Age and Sex adjusted	0.99 (0.49-2.00)	0.64 (0.31-1.34)	1.16 (0.61-2.20)
Multivariable*	0.94 (0.45-1.94)	0.57 (0.27-1.21)	0.94 (0.48-1.85)

Patients with CCI=0 were used as the reference group. Each model includes a random intercept at the country-level. *The multivariable model adjusted for the following variables: age, sex, smoking status, atrial fibrillation/flutter, height, weight, Canadian Cardiovascular Society Class IV, New York Heart Association Class, coronary artery disease, pulmonary hypertension, aortic valve area, left ventricular ejection fraction, non-elective procedure indication, non-transfemoral access route. CI: confidence interval, OR: odds ratio, HR: hazard ratio, VARC: Valve Academic Research Consortium.

Figures legends

Figure 1: Distribution of total Charlson Comorbidity Index (CCI) as a Whole Cohort and by Country. UK: United Kingdom.

Figure 2: Proportion of Patients Within Each Charlson Comorbidity Index (CCI) Strata Across Quantile of Predicted Risk Models. LES: logistic EuroSCORE, ESII: EuroSCORE-II, STS: Society of Thoracic Surgeons.

Figure 3: Kaplan-Meier for Long-term Survival by Charlson Comorbidity Index (CCI) strata. TAVI: transcatheter aortic valve implantation.

Figure 4: Forest Plot of (multivariable adjusted) Hazard Ratios for Long-term Survival (top panel) and Length of Stay (bottom panel). Data from a two-stage individual participant data random-effect meta-analysis. UK: United Kingdom. CCI: Charlson Comorbidity Index.

Figure 5. Multivariable adjusted hazard ratio for shorter length of stay as a smooth function of total Charlson Comorbidity Index (CCI).

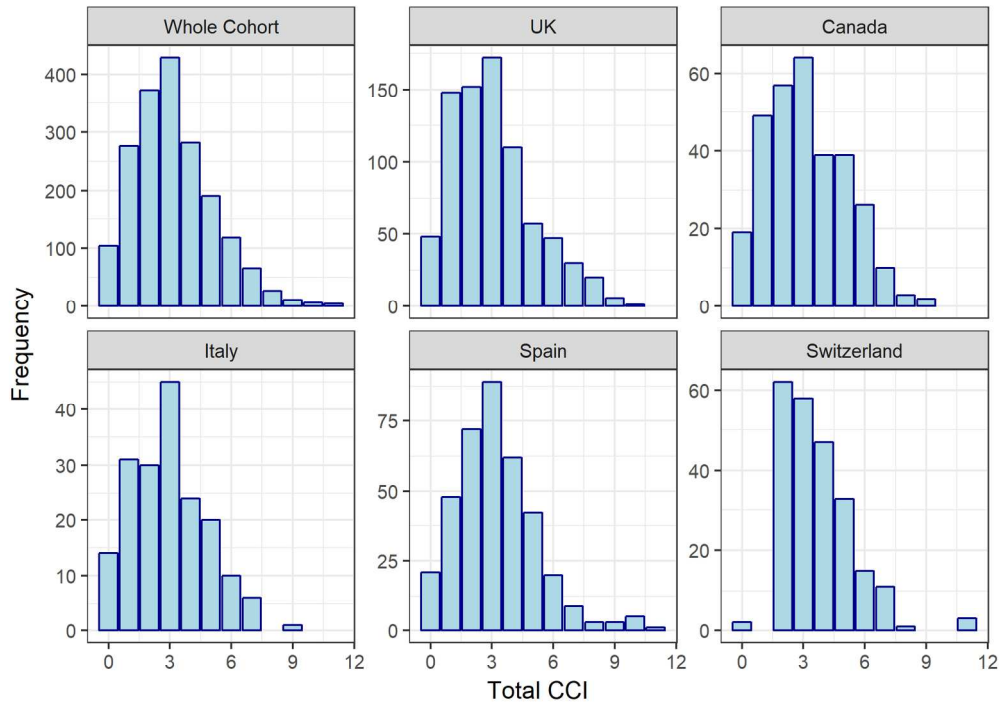


Figure 1

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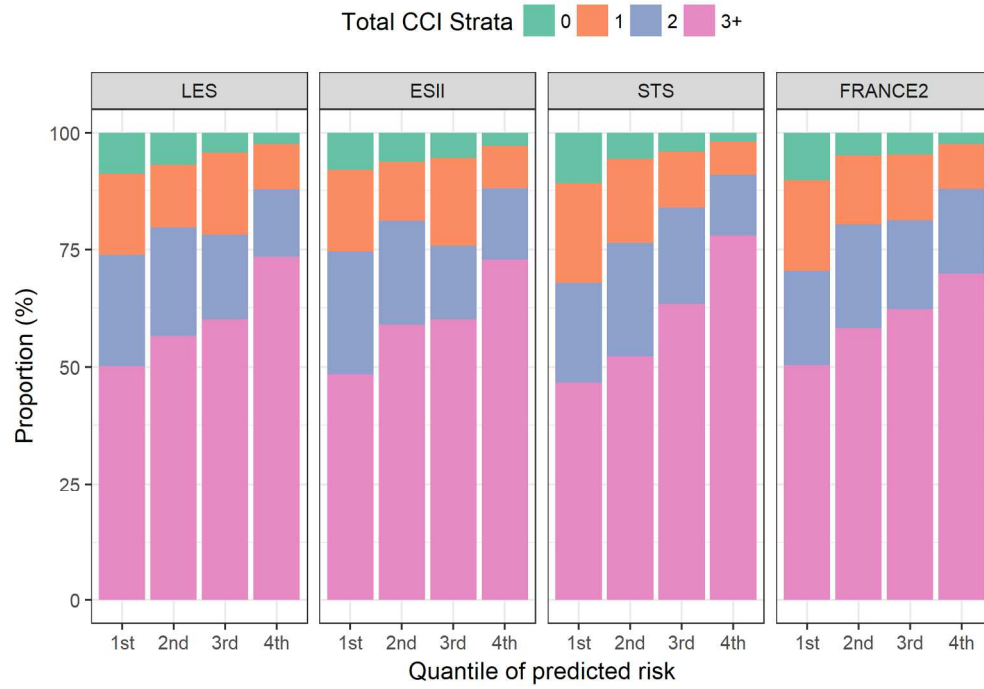
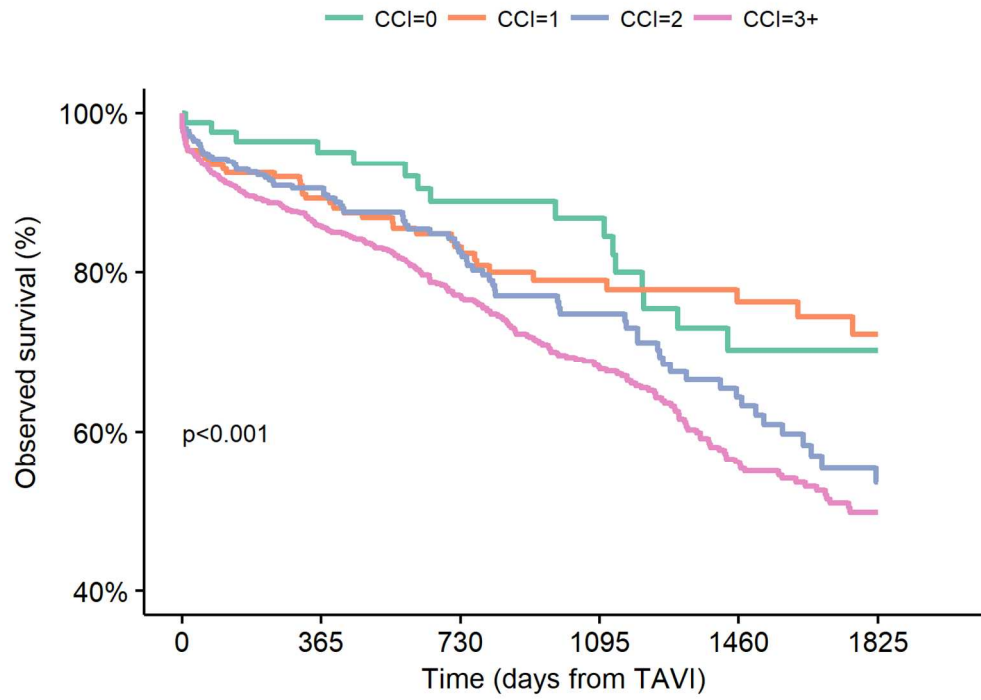


Figure 2

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Number at risk

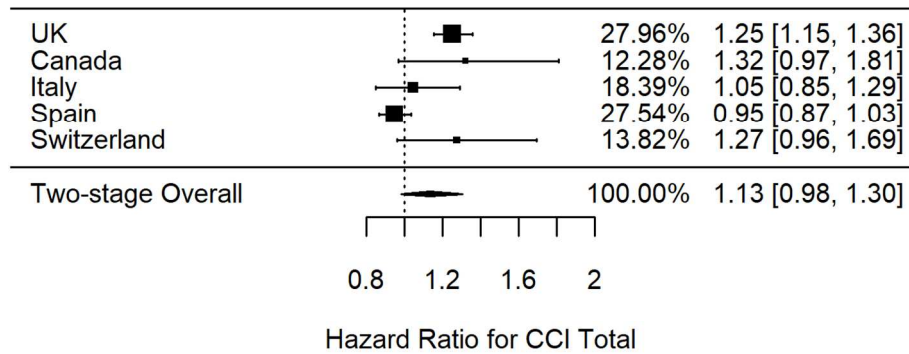
CCI=0	86	69	51	38	26	17
CCI=1	219	156	104	70	49	31
CCI=2	319	246	141	88	58	30
CCI=3+	931	701	420	261	144	82

Figure 3

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Only

Long-term Survival



Length of Stay

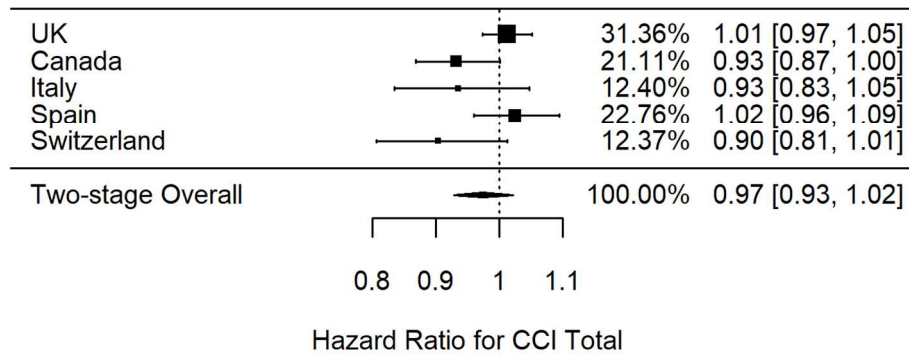


Figure 4

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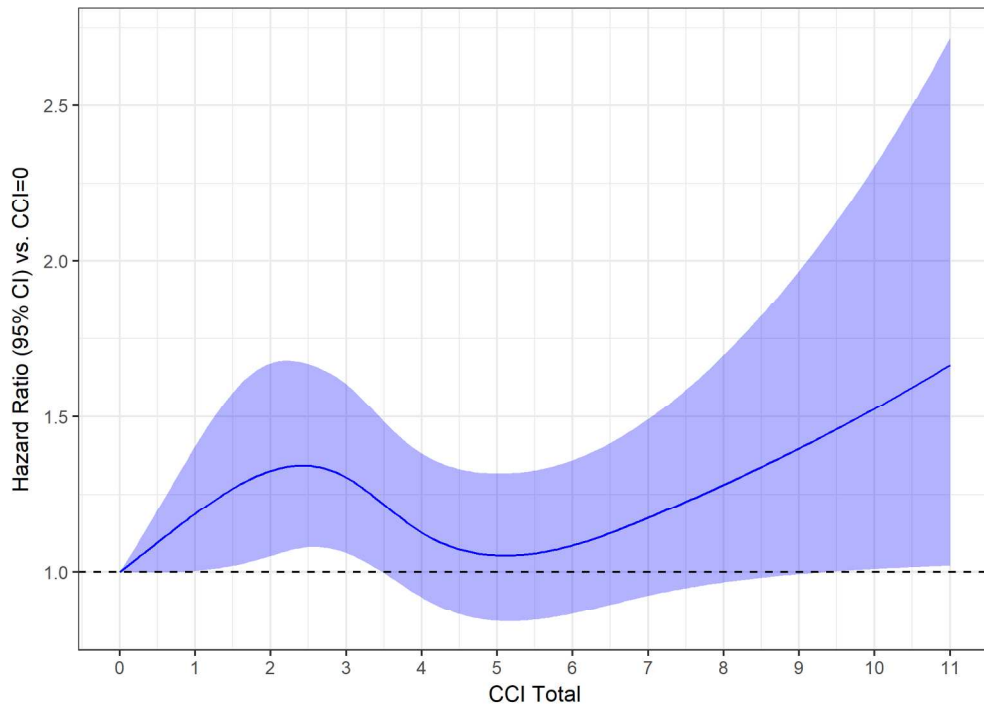


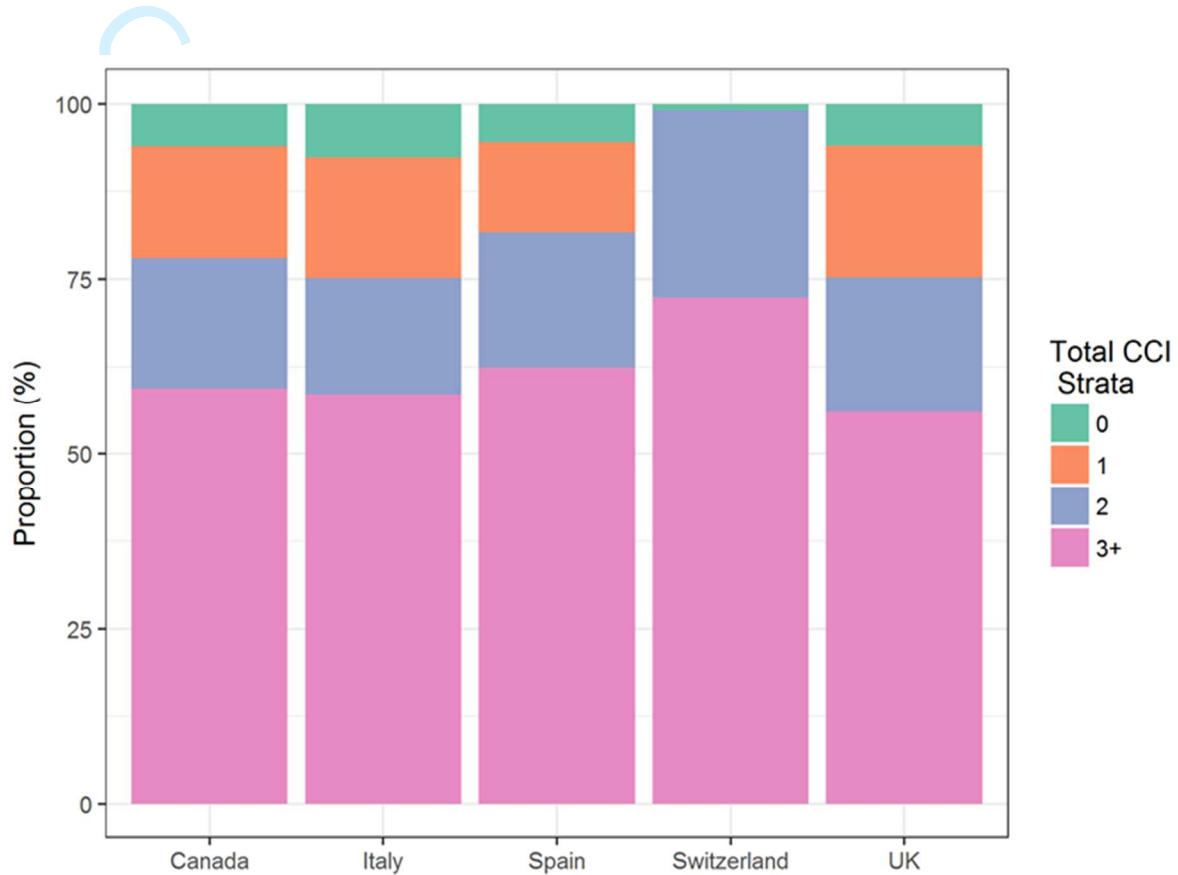
Figure 5

177x127mm (300 x 300 DPI)

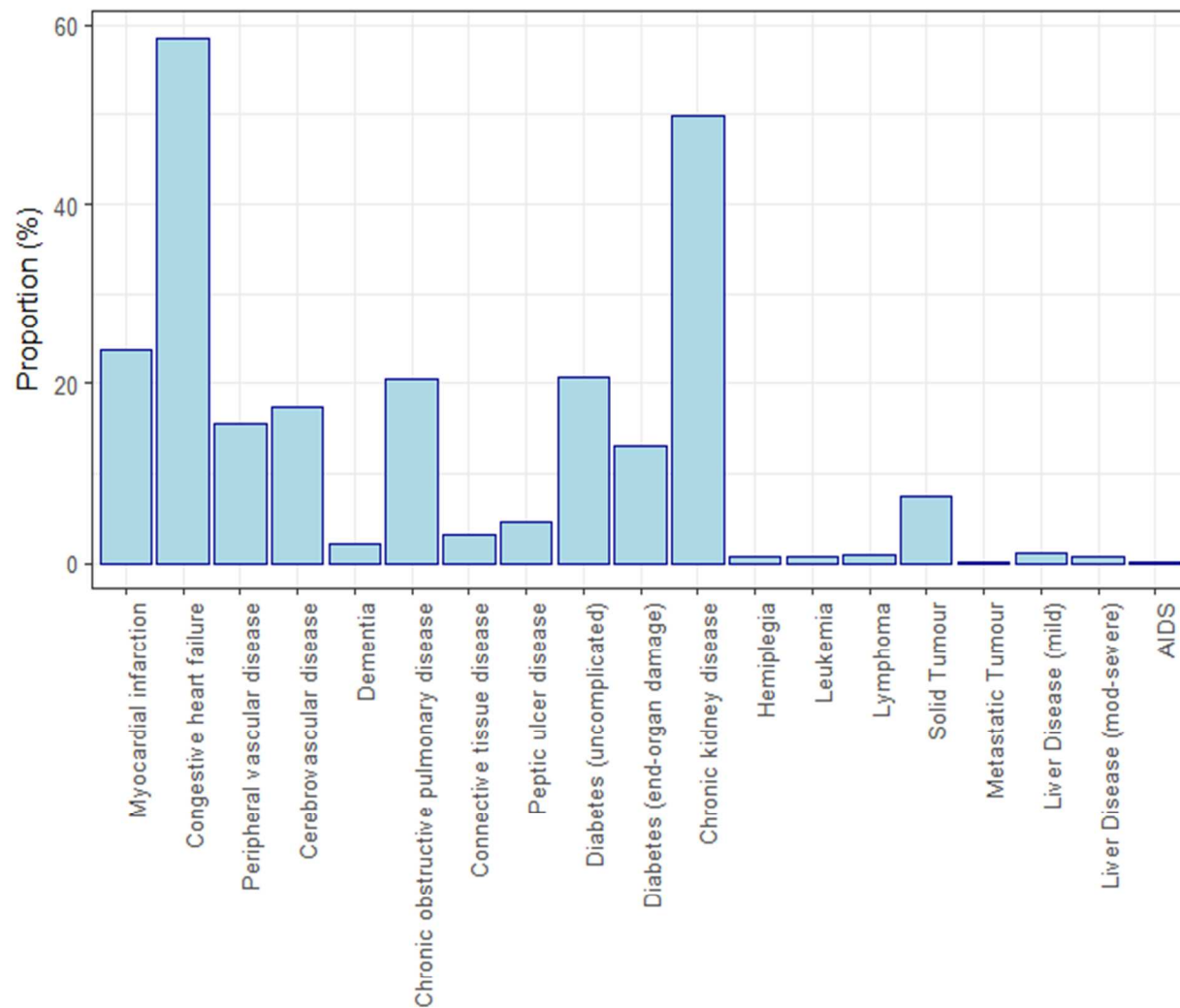
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SUPPLEMENTARY MATERIAL

Supplementary Figure 1: Proportion of Patients Within Each Charlson Comorbidity Index (CCI) Strata Across Country.

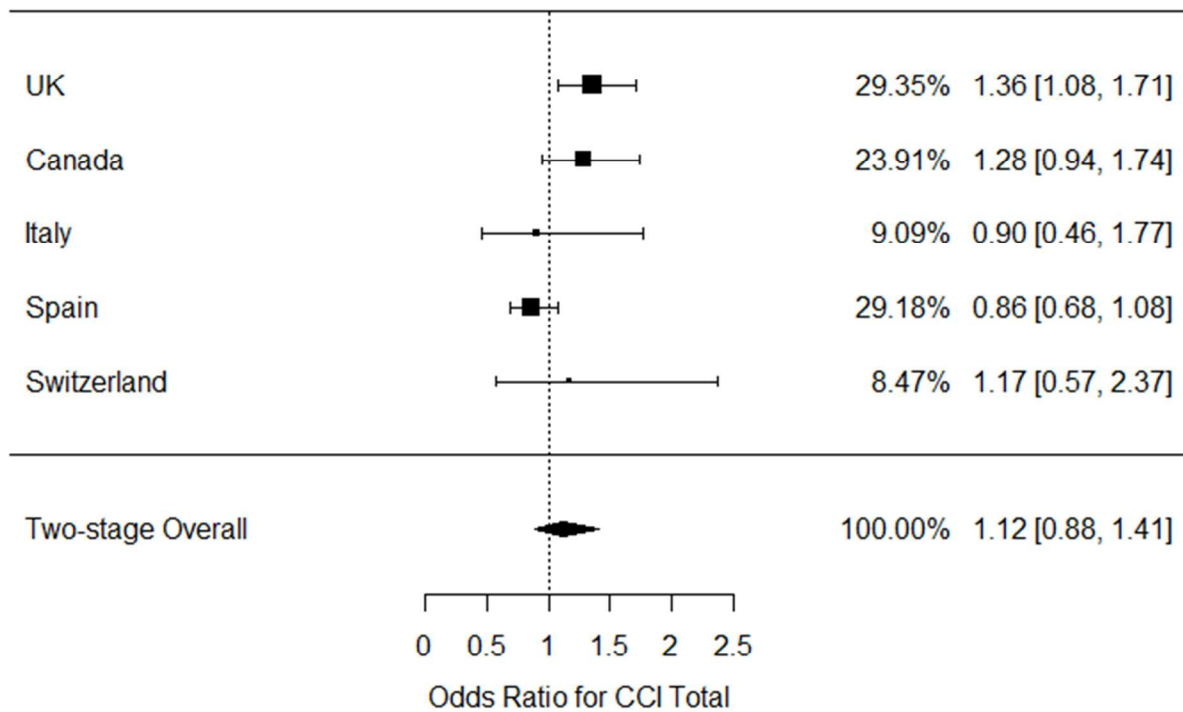


Supplementary Figure 2: Prevalence of each Charlson Comorbidity Index (CCI) component.

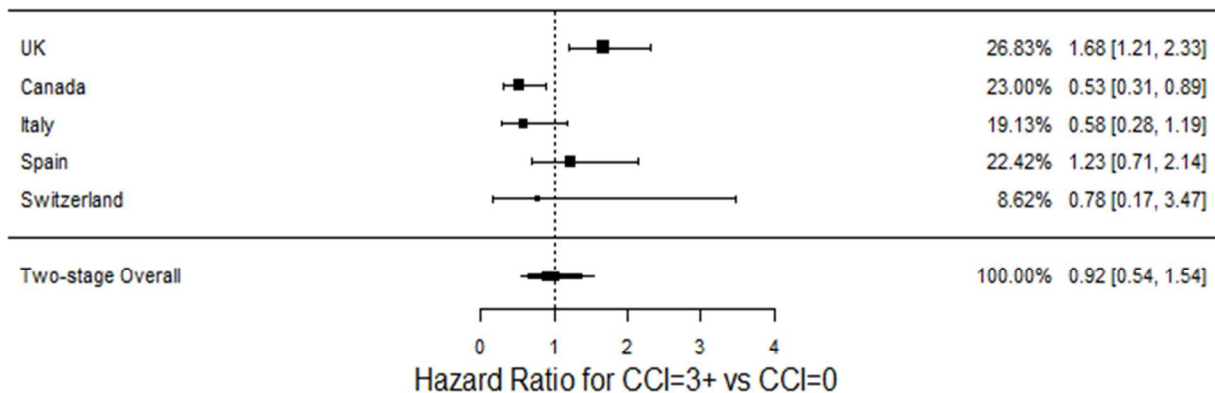
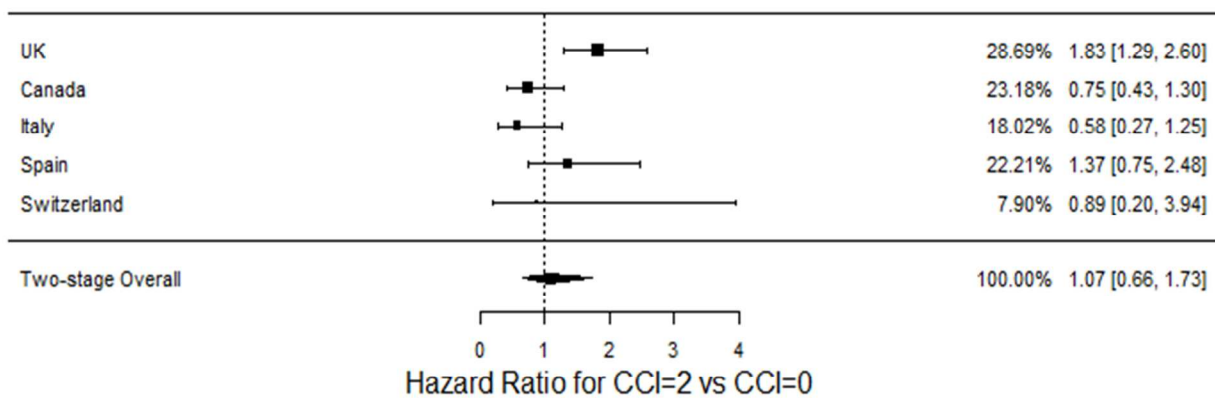
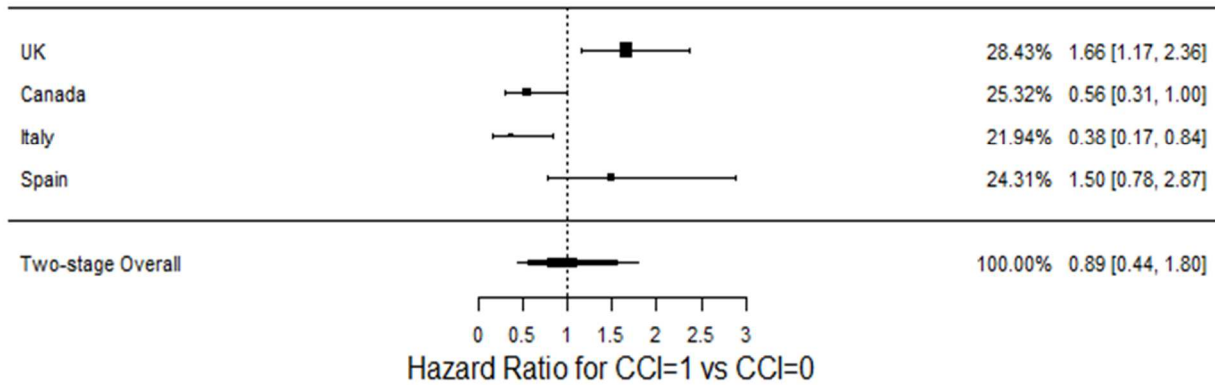


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Supplementary Figure 3: Forest plot of odds ratios for 30-day mortality from a two-stage individual participant data meta-analysis; estimates adjusted for the following variables: age, sex, smoking status, atrial fibrillation/flutter, height, weight, Canadian Cardiovascular Society Class IV, New York Heart Association, coronary artery disease, and aortic valve area. Charlson Comorbidity Index (CCI). UK: United Kingdom.



Supplementary Figure 4: Forest plot of (multivariable adjusted) hazard ratios (HRs) for length of stay from a two-stage individual participant data random-effect meta-analysis across strata of total Charleston Comorbidity Index (CCI). UK: United Kingdom.



Supplementary Table 1: Baseline patient characteristics across each country of data origin.

Variable	UK (n=791)	Canada (n=308)	Italy (n=181)	Spain (n=375)	Switzerland (n=232)	P-value
Age, mean (min-max)	80.9 (23-94)	81.0 (50-96)	81.0 (40-94)	83.0 (61-95)	82.5 (44-95)	<0.001
Male, n (%) [missing]	455 (57.5)	160 (51.9)	88 (48.6)	144 (38.4)	123 (53.0)	<0.001
Diabetic, n (%) [missing]	221 (27.9)	112 (36.4)	56 (30.9)	127 (33.9) [2]	48 (20.7)	<0.001
Current or Ex-Smoker, n (%) [missing]	498 (63.0) [1]	124 (40.3) [5]	39 (21.5)	89 (23.7) [1]	N/A [232]	<0.001
Creatinine, mean (min-max) [missing]	114.1 (38-638)	103.3 (36-427)	120.4 (6.2-557) [3]	100.7 (44-408)	101.1 (0.0-579)	<0.001
Dialysis, n (%) [missing]	10 (1.26)	5 (1.62)	N/A [181]	3 (0.80)	N/A [232]	0.614
Previous MI, n (%)	210 (26.5)	74 (24.0)	40 (22.1)	73 (19.5)	32 (13.8)	0.001
Pulmonary Disease, n (%) [missing]	222 (28.1) [1]	86 (27.9) [4]	43 (23.8)	79 (21.1)	39 (16.8)	0.002
Neurological Disease, n (%) [missing]	174 (22.0)	55 (17.9) [1]	21 (11.6)	42 (11.2%) [1]	28 (12.1)	<0.001
Extracardiac Arteriopathy, n (%) [missing]	243 (30.7)	53 (17.2) [1]	26 (14.4)	28 (7.47) [1]	51 (22.0)	<0.001
Atrial Fibrillation/Flutter,	216 (27.3) [6]	77 (25.0) [1]	86 (47.5)	107 (28.5)	63 (27.2) [3]	<0.001

n (%) [missing]						
Previous Cardiac Surgery, n (%) [missing]	216 (27.3)	146 (47.4)	55 (30.4)	43 (11.5)	19 (8.19)	<0.001
Previous BAV, n (%) [missing]	55 (6.95) [89]	N/A [308]	N/A [181]	196 (52.3)	71 (30.6)	<0.001
Previous PCI, n (%) [missing]	191 (24.1) [1]	N/A [308]	43 (23.8) [4]	83 (22.1)	50 (21.6)	0.797
Height, mean (min-max) [missing]	1.66 (1.32-1.90) [6]	1.66 (1.41-1.90) [8]	N/A [181]	1.59 (1.33-1.85)	1.65 (1.40-1.87) [18]	<0.001
Weight, mean (min-max) [missing]	74.8 (40.0-132.0) [7]	76.0 (41-136) [6]	N/A [181]	70.5 (42-120)	72.4 (41-124) [18]	<0.001
CCS IV, n (%) [missing]	13 (1.64)	7 (2.27) [229]	N/A [181]	N/A [375]	N/A [232]	0.653
NYHA III/IV, n (%) [missing]	750 (94.8)	283 (91.9) [1]	93 (51.4)	276 (73.6) [11]	144 (62.1)	<0.001
Coronary Artery Disease, n (%) [missing]	362 (45.8) [4]	209 (67.9)	94 (51.9)	178 (47.5)	117 (50.4)	<0.001
Pulmonary Hypertension, n (%) [missing]	47 (5.94) [227]	N/A [308]	33 (18.2) [18]	164 (43.7) [11]	21 (9.05) [81]	<0.001
Aortic Valve area, mean (min-max) [missing]	0.69 (0.20-3.0) [27]	N/A [308]	0.70 (0.30-3.71) [10]	0.61 (0.20-4.0) [62]	0.73 (0.40-2.8) [22]	<0.001
LVEF<50%, n (%) [missing]	424 (53.6) [1]	74 (24.0) [8]	44 (24.3) [2]	84 (22.4) [0]	65 (28.0) [16]	<0.001

Non-elective procedure, n (%) [missing]	176 (22.3) [1]	37 (12.0)	4 (2.21)	N/A [375]	N/A [232]	<0.001
Non-TF Access, n (%) [missing]	111 (14.0)	129 (41.9)	54 (29.8)	0 (0)	35 (15.1)	<0.001
Logistic EuroSCORE, mean % (min-max)*	27.4 (2.2-98)	26.6 (2.8-87.4)	22.9 (2.01-79.5)	22.3 (1.72-83.4)	19.3 (3.25-69.5)	<0.001
STS-Score, mean % (min-max)*	5.80 (0.59-48.6)	5.02 (0.98-22.0)	5.25 (0.79-32.0)	4.41 (0.76-20.5)	5.67 (0.89-22)	<0.001
EuroSCORE II, mean % (min-max)*	10.1 (0.78-66.0)	9.12 (1.21-49.3)	7.21 (0.91-41.3)	5.69 (0.96-38.9)	5.59 (1.02-29.5)	<0.001
FRANCE-2, mean % (min-max)*	9.29 (3.49-40.6)	10.0 (3.49-32.8)	9.83 (4.16-33.7)	7.19 (3.49-28.9)	10.9 (3.49-34.1)	<0.001
Outcomes						
30-day mortality, n (%)	20/567 (3.53)	15/308 (4.87)	5/162 (3.09)	25/375 (6.67)	3/232 (1.29)	0.016
1-Year survival, %	86.3	94.8	75.1	82.0	95.7	<0.001
Length of Stay, median (days)	7	6	13	7	7	<0.001
VARC-2 Early safety, n (%)	134/661 (20.3)	32/300 (10.7)	46/181 (25.4)	N/A	N/A	<0.001

Cells denoted with “N/A” indicate 100% missing data for that particular country/variable combination. *The Logistic EuroSCORE, STS-Score and EuroSCORE II each aim to predict short-term mortality following cardiac surgery; the FRANCE-2 model is a TAVI specific model to estimate 30-day mortality risk. All risk models were calculated here using the multiple imputed data. UK: United Kingdom. MI: myocardial infarction. BAV: balloon-aortic

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valvuloplasty. PCI: percutaneous coronary intervention. CCS: Canadian Cardiovascular Society. NYHA: New York Heart Association. LVEF: left ventricular ejection fraction. TF: transfemoral. VARC: Valve Academic Research Consortium.

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STROBE Statement - Checklist of items that should be included in reports of observational studies

	Item No	Checklist of item	Reported on page #
Title and abstract	1	(a) Indicate the study's design with a commonly used term in the title or the abstract	1 and 2
		(b) Provide in the abstract an informative and balanced summary of what was done and what was found	1 and 2
Introduction			
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	5
Objectives	3	State specific objectives, including any pre-specified hypotheses	5
Methods			
Study design	4	Present key elements of study design early in the paper	5 and 6
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	5 and 5
Participants	6	(a) <i>Cohort study</i> —Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up <i>Case-control study</i> —Give the eligibility criteria, and the sources and methods of case ascertainment and control selection. Give the rationale for the choice of cases and controls <i>Cross-sectional study</i> —Give the eligibility criteria, and the sources and methods of selection of participants	5 and 6
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	7
Data sources/ measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	7 and 8
Bias	9	Describe any efforts to address potential sources of bias	8
Study size	10	Explain how the study size was arrived at	5 and 6
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why	6 to 8
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding	6 to 8
		(b) Describe any methods used to examine subgroups and interactions	6 to 8
		(c) Explain how missing data were addressed	6 to 8

Results			
Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed	9
		(b) Give reasons for non-participation at each stage	Not Applicable
		(c) Consider use of a flow diagram	Not Applicable
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders	9
		(b) Indicate number of participants with missing data for each variable of interest	Table 1 and Supplementary Table 1
		(c) <i>Cohort study</i> —Summarise follow-up time (eg, average and total amount)	10
Outcome data	15*	<i>Cohort study</i> —Report numbers of outcome events or summary measures over time	9 to 12
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included	10 and 11
		(b) Report category boundaries when continuous variables were categorized	9 to 11
		(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	As Hazzard Ratio
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses	Not Applicable
Discussion			
Key results	18	Summarise key results with reference to study objectives	12
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias	14
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence	15
Generalisability	21	Discuss the generalisability (external validity) of the study results	13 and 14
Other information			
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based	1

*Give information separately for cases and controls in case-control studies and, if applicable, for exposed and unexposed groups in cohort and cross-sectional studies.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at www.strobe-statement.org.