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# Dense Fully Convolutional Segmentation of the Optic Disc and Cup in Colour Fundus for Glaucoma Diagnosis

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Received: 11 February 2018; Accepted: 28 March 2018; Published: 30 March 2018



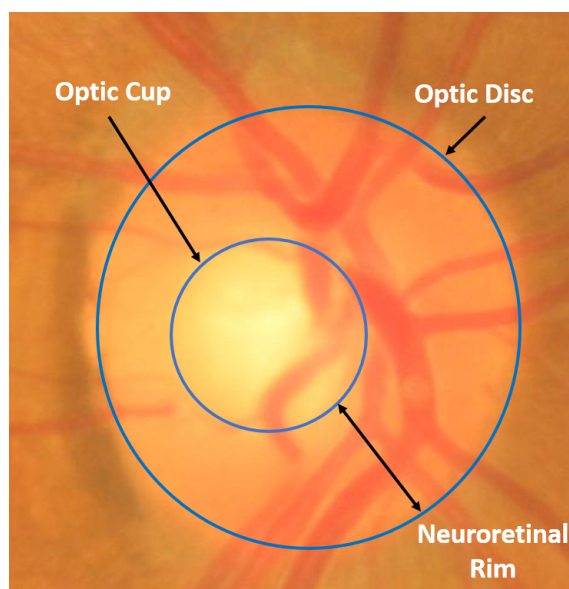
**Abstract:** Glaucoma is a group of eye diseases which can cause vision loss by damaging the optic nerve. Early glaucoma detection is key to preventing vision loss yet there is a lack of noticeable early symptoms. Colour fundus photography allows the optic disc (OD) to be examined to diagnose glaucoma. Typically, this is done by measuring the vertical cup-to-disc ratio (CDR); however, glaucoma is characterised by thinning of the rim asymmetrically in the inferior-superior-temporal-nasal regions in increasing order. Automatic delineation of the OD features has potential to improve glaucoma management by allowing for this asymmetry to be considered in the measurements. Here, we propose a new deep-learning-based method to segment the OD and optic cup (OC). The core of the proposed method is DenseNet with a fully-convolutional network, whose symmetric U-shaped architecture allows pixel-wise classification. The predicted OD and OC boundaries are then used to estimate the CDR on two axes for glaucoma diagnosis. We assess the proposed method's performance using a large retinal colour fundus dataset, outperforming state-of-the-art segmentation methods. Furthermore, we generalise our method to segment four fundus datasets from different devices without further training, outperforming the state-of-the-art on two and achieving comparable results on the remaining two.

**Keywords:** deep learning; image segmentation; convolutional neural networks (CNNs); dense network; retinal fundus images; glaucoma diagnosis

## 1. Introduction

Glaucoma is the collective name of a group of eye conditions that results in damage to the optic nerve at the back of the eye, which can cause vision loss. Glaucoma is one of the commonest causes of blindness and is estimated to affect around 80 million people worldwide by 2020 [1]. Glaucoma is known as the “silent thief of vision” since, in the early phases of the disease, patients do not have any noticeable pain or symptoms of vision loss. It is only when the disease progresses to a significant loss of peripheral vision that the symptoms potentially leading to total blindness may be noticed. Early detection and timely management of glaucoma is key to helping prevent patients from suffering vision loss. There are many risk factors associated with glaucoma amongst which hypertensive intra ocular pressure (IOP) is the most accepted. It is believed that IOP can cause irreversible damage to the optic nerve head, or optic disc (OD). Since the cornea is transparent, the optic disc can be imaged by

several optical imaging techniques, including colour fundus photography. In two dimensional (2D) colour fundus images, the OD can be divided into two regions as shown in Figure 1: a peripheral zone called the neuroretinal rim and a central white region called the optic cup (OC). The ratio of the size (e.g., vertical height) of the OC to the OD, known as CDR, is often used as an indicator for the diagnosis of glaucoma [2]. Accurate segmentation of the OD and OC is essential for useful CDR measurement. However, manual delineation of the OD and OC boundaries in fundus images by human experts is a highly subjective and time consuming process, which is impractical for use in busy clinics. On the other hand, automated segmentation approaches using computers are attractive as they can be more objective and much faster than a human grader. Many different approaches to segmenting of the OD and/or OC in fundus images have been proposed in the literature. The existing methods for automated OD and OC segmentation in fundus images can be broadly classified into three main categories: shape-based template matching [3–9], active contours and deformable based models [10–18], and more recently, machine and deep learning methods [19–35]. We give a brief overview of the existing methods below.



**Figure 1.** An example fundus image showing the optic disc and cup with their boundary contours shown in blue.

(a) Shape-based and template matching models: These methods model the OD as a circular or elliptical object and try to fit a circle using the Hough transform [4,5,8,9], an ellipse [3,6] or a rounded curve using a sliding band filter [7]. These approaches typically feature in the earlier work in optic disc and cup segmentation. In general, these shape-based modelling approaches to OD and OC segmentation are not robust enough due to intensity inhomogeneity, varying image colour, changes in disc shape by lesions such as exudates present in abnormal images, and the presence of blood vessels inside and around the OD region.

(b) Active contours and deformable based models: These methods have been widely applied for the segmentation of the OD and OC [10–18]. Active contours approaches are deformable models which convert the segmentation problem into an energy minimisation problem where different energies are derived to reflect features in the image such as intensity, texture and boundary smoothness. Active contour models are often formulated as non-linear non-convex minimisation problems, thus may not achieve the global minima due to the presence of noise and anomalies. In order to achieve good results in a short time, they require a good initialisation of the OD and OC contour provided either manually or automatically, which suggests their performance is dependent on the initialisation.

(c) Machine- and deep-learning methods: Machine learning, and in particular more recent deep learning based methods have shown promising results for OD and OC segmentation [19–35]. The machine learning based approaches [19–27] highly depend on the type of extracted features which might be representative of a particular dataset but not of others. Also, extracting the features manually by hand is a tedious task and takes a considerable amount of time. Nowadays, deep learning approaches represented by convolutional neural networks (CNNs) are an active research topic. Such networks can learn to extract highly complex features from the input data automatically [28–35]. Lim et al. [28] applied CNNs to feature-exaggerated inputs emphasizing disc pallor without blood vessel hindering to segment both the OD and OC. In [29], Maninis et al. used fully-convolutional neural network [36] based on VGG-16 net [37] for the optic disc segmentation task. For optic cup segmentation, Guo et al. [30] used large pixel patch based CNNs where the segmentation was achieved by classification of each pixel patch and post-processing. In [31], a modified version of the U-Net convolutional network [38] was presented by Sevastopolsky for automatic optic disc and cup segmentation. Furthermore, Shankaranarayana et al. [32] proposed a joint optic disc and cup segmentation scheme using fully convolutional and adversarial networks. Moreover, a framework consisting of ensemble learning based CNNs as well as entropy sampling was presented in [33] by Zilly et al. for optic cup and disc segmentation. In addition to that, Hong Tan et al. [34] proposed a single CNN with seven layers to segment the OD by classifying every pixel in the image. Most recently, Fu et al. [35] used a polar transformation with the multi-label deep learning concept by proposing a deep learning architecture, named M-Net, to segment the OD and OC simultaneously. In general, these recent deep learning methods performed well on the basis that they were trained and tested on the same dataset. They might be incapable of achieving robustness and accuracy enough for evaluating the optic disc and cup in clinical practice as there are different type of variations such as population, camera, operators, disease, and image. These concerns of their generalisation ability should be studied thoroughly.

Given the inherent and unsolved challenges encountered in the segmentation of the OD and OC in the aforementioned methods, we propose a new deep learning based method to segment the OD and OC. The proposed method utilises DenseNet incorporated with fully convolutional network (FCN). The FC-DenseNet, which was originally developed for semantic segmentation [39], is adapted and used for the automatic segmentation of the OD and OC. The contributions of this paper are as follows

1. We propose a new strategy of using FC-DenseNet for simultaneous semantic segmentation of the cup and disc in fundus images. This deep network, which encourages feature re-use and reduces the number of parameters needed allows improved segmentation, particularly of the OC.
2. We determine the optic disc diameter (ODD) and use this to crop the images to 2ODD and rescale. This reduces the image to the region of interest automatically, which reduces computation time without requiring excessive reduction of the image resolution. In turn, this enables us to obtain more accurate segmentations of the optic disc and cup which can be used for diagnosis.
3. We show that this approach achieves state of the art results on a large dataset, outperforming the previous methods. We also demonstrate the effectiveness of this method on other datasets without the need of re-training the model using images from those datasets.
4. We carried out a most comprehensive study involving five publically available datasets. This allows for evaluation with images from many different devices and conditions, and from patients of different ethnicities in comparison with previous work and to demonstrate the robustness of our method.

The rest of this paper is organised as follows. In Section 2, the five datasets used in this study as well as the proposed method and associated experiments are described. The obtained results are presented in Section 3 and discussed in Section 4. Finally, Section 5 concludes the paper.

## 2. Materials and Methods

### 2.1. Image Datasets

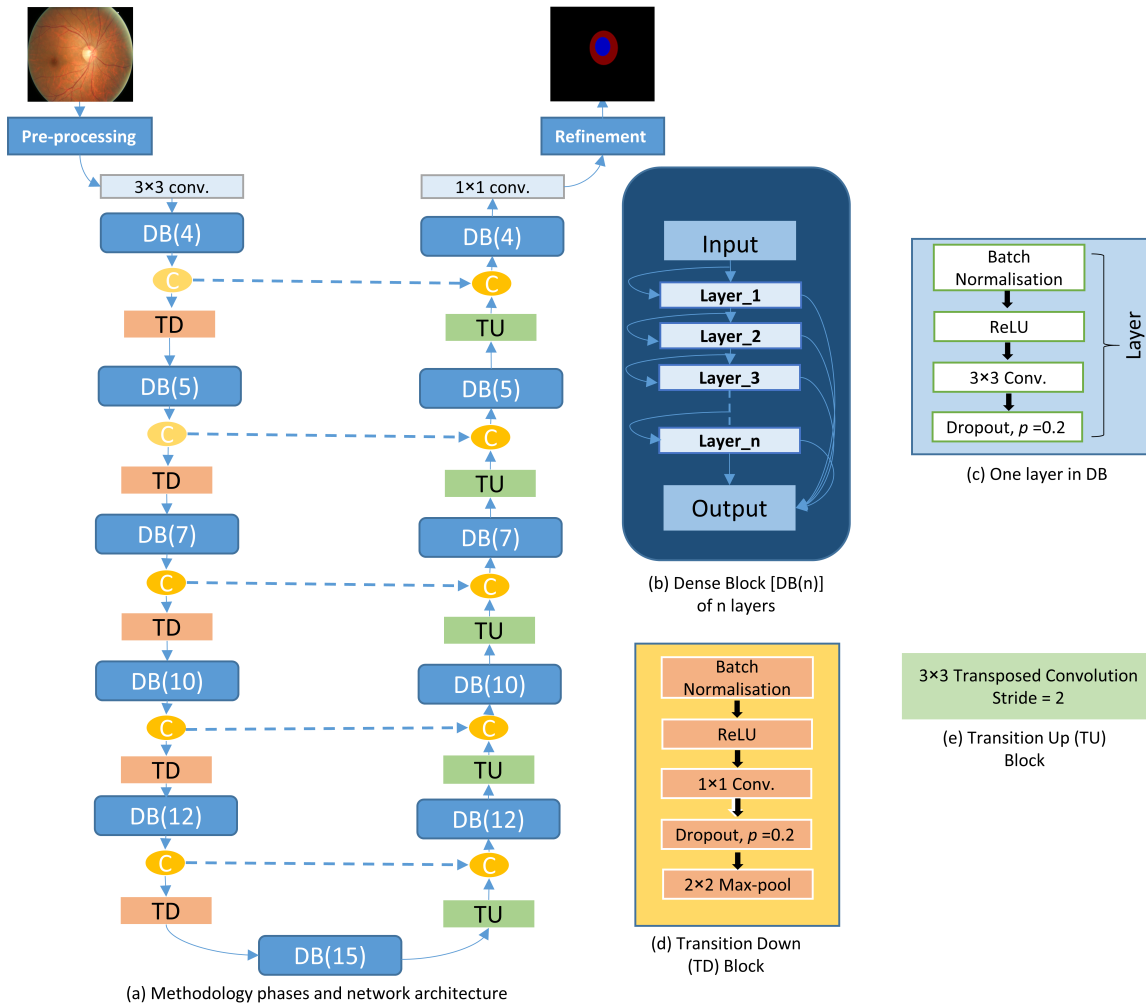
In our experiments, we use five publicly available datasets of colour retinal fundus images: ORIGA [40], DRIONS-DB [41], Drishti-GS [42], ONHSD [10], and RIM-ONE [43]. The ORIGA dataset [40] comprises 650 fundus images with resolution of  $3072 \times 2048$  pixels including 482 normal eyes and 168 glaucomatous eyes. The DRIONS-DB dataset [41] consists of 110 fundus images with resolution of  $600 \times 400$  pixels. The Drishti-GS dataset [42] contains 101 fundus images centred on the OD with a Field-Of-View (FOV) of 30-degrees and resolution of  $2896 \times 1944$  pixels. The ONHSD dataset [10] comprises of 99 fundus images captured using a Canon CR6 45MNf fundus camera from 50 patients. The images have a FOV of 45-degrees and resolution of  $640 \times 480$  pixels. The RIM-ONE dataset [43] comprises 169 fundus images taken using a Nidek AFC-210 fundus camera with a body of a Canon EOS 5D Mark II of 21.1 megapixels with resolution of  $2144 \times 1424$  pixels. The ORIGA, Drishti-GS, and RIM-ONE datasets are provided with the OD and OC ground truth while DRIONS-DB and ONHSD are only provided with the OD ground truth.

### 2.2. Methods

For the OD and OC segmentation task, our proposed deep learning based approach shown in Figure 2 comprises three main steps: (i) Pre-processing: the image data is prepared for training with different pre-processing schemes considering the green channel only from colour (red-green-blue [RGB]) images since the other colour channels contain less useful information and the green channel was found to have less variability across the datasets than the colour image as a whole. We also extract and crop the region of interest (ROI) represented by the OD region; (ii) Designing and learning: FC-DenseNet architecture [39] is adapted and used to fulfil the pixel-wise classification of images; and finally (iii) Refinement: to obtain the final segmentations by correcting the misclassified pixels located outside the OD and OC areas.

**Pre-processing:** First, RGB images without considering any pre-processing scheme (referred to as 'Without' through the text) are used. We do pre-processing on Origa data so that the network will generalise better to other datasets which are not used for training and never seen by the network during the learning. One of the considerations for colour information is achieved by training and testing the network using only green channel ('G'). Further, the region of interest represented by the OD area within two optic disc diameter (2ODD), has been cropped from green channel ('G + C') and used for the network training.

**Designing and Learning:** A FC-DenseNet network adapts the classification network DenseNet [44] to a fully-convolutional neural network (FCN) [36] for segmentation. A fully convolutional network is an end-to-end learnable network where the decision-making layers of the network are convolutional filters instead of fully connected layers. This adaptation on the top layers reduces the loss of spatial information caused by fully connected layers as a result of the connectivity of the output neurons of the fully connected layers to all input neurons. The key feature of DenseNet is its ability to further exploit the extracted features reuse and strengthening feature propagation by making a direct connection between each layer to every other layer. The FC-DenseNet network is composed of three main blocks: dense, transition down, and transition up. The Dense block (DB) consists of a batch normalisation layer, followed by rectified linear unit as an activation function, a  $3 \times 3$  convolution layer, and dropout layer with a dropping rate of 0.2. A transition down (TD) block is composed of batch normalisation layer, followed by rectified linear unit as an activation function,  $3 \times 3$  convolution layer, dropout layer with a dropping rate of 0.2, and  $2 \times 2$  Max pooling layer. A transition Up (TU) block contains  $3 \times 3$  transposed convolution layer. Note that batch normalisation can reduce overfitting and dropout has a similar effect. Depending on the level of overfitting, the network may require one, both, or even neither of these. We have found that our network performs better on this problem with including both dropout and batch normalisation.



**Figure 2.** Block diagram of the proposed optic disc and cup segmentation system. (a) Methodology and fully convolutional DenseNet architecture; (b) Dense Blocks (DB); (c) One layer in DB; (d) Transition Down block (TD); (e) Transition Up block (TU). The circle (C) refers to concatenation process. Note, red and blue represent the cropped rim and OC respectively in the segmentation.

The architecture of the network used in our experiments (shown in Figure 2) is built from one  $3 \times 3$  convolution layer on the input, followed by five dense blocks each consisting of 4, 5, 7, 10, and 12 layers respectively where each dense block followed by transition down block, one dense block with 15 layers in the last layer of the down-sampling path (bottleneck), five transition up blocks each followed by dense block consisting of 12, 10, 7, 5, and 4 layers respectively, and a  $1 \times 1$  convolution followed by a non-linearity represented by Softmax function. RMSprop [45], an optimisation algorithm based on stochastic gradient descent, is used for network training with a learning rate of  $10^{-3}$  within 120 epochs with early-stop condition of 30 epochs. To increase the number of images artificially, the images are augmented with vertical flips and random crops. The weights of the network have been initialised using HeUniform [46] and cross-entropy is used as a loss function. Once the network is trained, test stage can be achieved using the trained model to segment the images in the test set.

**Refinement:** To convert the real values resulted from the final layers of fully convolutional DenseNet into a vector of probabilities (i.e., generating the probability maps for the image pixels), the Softmax function is used by squashing the outputs to be between 0 and 1. Here, the OD and OC segmentation problem are formulated as a three class classification task: class 0 as background, class 1 as OC, and class 2 as OD. Thus, the predicted class label of image pixels can be further refined by correcting the misclassified pixels in the background. This can be achieved by finding the area of all

connected objects in the predicted images. The object of maximum area is retained by considering it as the OD/OC region and classifying any other small objects as background class label ('G + C + PP').

### 3. Results

All experiments were run on an HP Z440 Workstation with Intel Xeon E5-1620, 16GB RAM and an NVidia Titan X GPU which was used to train the CNN. We split the Origa dataset into 70% for training (10% of training data is randomly utilised for validation) and 30% for independent test set. The resolution of images is resized into  $256 \times 256$ . The performance of the proposed method for segmenting the OD and OC when compared with the ground truth was evaluated using many evaluation metrics such as Dice coefficient (F-Measurement), Jaccard (overlapping), accuracy, sensitivity, and specificity which can be defined as follows:

$$Dice(DC) = \frac{2 \times tp}{2 \times tp + fp + fn} \quad (1)$$

$$Jaccard(Jc) = \frac{tp}{tp + fp + fn} \quad (2)$$

$$Accuracy(Acc) = \frac{tp + tn}{tp + tn + fp + fn} \quad (3)$$

$$Sensitivity(SEN) = \frac{tp}{tp + fn} \quad (4)$$

$$Specificity(SPC) = \frac{tn}{tn + fp} \quad (5)$$

where  $tp$ ,  $fp$ ,  $tn$  and  $fn$  refer to true positive, false positive, true negative, and false negative, respectively.

To assess the performance of proposed system, two evaluation scenarios are considered. First, study the performance of the system by training and testing the model on the same dataset (Origa). Second, study the performance of the system by training the model on a dataset (Origa) and testing it on other four independent datasets including DRIONS-DB, Drishti-GS, ONHSD, and RIM-ONE. Tables 1–4 show the performance of the model trained and tested on the Origa for the OD, OC, joint OD-OC segmentation, respectively. It achieves Dice score (F-measurement), Jaccard score (overlap), accuracy, sensitivity, and specificity of 0.8723, 0.7788, 0.9986, 0.8768, and 0.9994, respectively for the OC segmentation and 0.964, 0.9311, 0.9989, 0.9696, and 0.9994 for the OD segmentation. The performance of segmenting rim area located between the OD and OC contours is also calculated. It achieves Dice score (F-measurement), Jaccard score (overlap), accuracy, sensitivity, and specificity of 0.8764, 0.7849, 0.9975, 0.9028, and 0.9985 on the Origa.

For Glaucoma diagnosis, CDR is typically calculated along the vertical line passing through the optic cup centre (superior-inferior) and then a suitable ratio threshold may be defined. Varying the thresholds and comparing with the expert's glaucoma diagnosis, we achieve an area under the receiving operator curve (AUROC) of 0.7443 based on our segmentations which is very close to the 0.786 achieved using the ground truth segmentations. Since this limits us to considering only a few points on the optic disc, we extend this to incorporate the horizontal CDR (nasal-temporal). That is, we take the average CDR vertically and horizontally and consider thresholds. We thus achieve an AUROC of 0.7776 which is considerably higher than using only the vertical CDR and closer to the AUROC of 0.7717 achieved by using the expert's annotation.

**Table 1.** Comparison with the existing methods in the literature for only OD segmentation on different datasets.

Author	Method	Optic Disc					Dataset
		DC(F)	JC(O)	Acc	SEN	SPC	
Wong et al. [20]	Support vector machine based classification mechanism	-	0.9398	0.99	-	-	SiMES
Yu et al. [14]	Directional matched filtering and level sets	-	0.844	-	-	-	Messidor
Mookiah et al. [47]	Attanassov intuitionistic fuzzy histon (A-IFSH) based method	0.92	-	0.934	0.91	-	Private
Giachetti et al. [6]	Iteratively refined model based on contour search constrained by vessel density	-	0.861	-	-	-	MESSIDOR
Dashtbozorg et al. [7]	Sliding band filter	-	0.8900, 0.8500	-	-	-	MESSIDOR, INSPIRE-AVR
Basit and Fraz [48]	Morphological operations, smoothing filters, and the marker controlled watershed transform	-	0.7096, 0.4561, 0.5469, 0.6188	-	-	-	Shifa, CHASE-DB1, DIARETDB1, DRIVE
Wang et al. [49]	Level set method	-	0.8817, 0.8816, 0.8906	-	0.9258, 0.9324, 0.9465	0.9926, 0.9894, 0.9889	DRIVE, DIARETDB1, DIARETDB0
Hamednejad et al. [50]	DBSCAN clustering algorithm	-	-	0.7818	0.74	0.84	DRIVE
Roychowdhury et al. [24]	Region-based features and supervised classification	-	0.8067, 0.8022, 0.7761, 0.8082, 0.8373, 0.7286	0.991, 0.9963, 0.9956, 0.9914, 0.9956, 0.9854	0.878, 0.8815, 0.8660, 0.8962, 0.9043, 0.8380	-	DRIVE, DIARETDB1, DIARETDB0, CHASE-DB1, MESSIDOR, STARE
Girard et al. [26]	Local K-means clustering	-	0.9	-	-	-	MESSIDOR
Akyol et al. [25]	Keypoint detection, texture analysis, and visual dictionary	-	-	0.9438, 0.9500, 0.9000	-	-	DIARETDB1, DRIVE, ROC
Abdullah et al. [51]	Circular Hough transform and grow-cut algorithm	-	0.7860, 0.8512, 0.8323, 0.8793, 0.8610	-	-	-	DRIVE, DIARETDB1, CHASE-DB1, MESSIDOR, Private
Hong Tan et al. [34]	7-Layer CNN	-	-	-	0.8790	0.9927	DRIVE
Zahoor et al. [52]	Polar transform	-	0.8740, 0.8440, 0.7560	-	-	-	DIARETDB1, MESSIDOR, DRIVE
Sigut et al. [9]	Contrast based circular approximation	-	0.8900	-	-	-	MESSIDOR
Proposed	Fully convolutional DenseNet	0.9653	0.9334	0.9989	0.9609	0.9995	<b>ORIGA</b>

**Table 2.** Comparison with the existing methods in the literature for only OC segmentation on different datasets.

.5 Author	.5 Method	Optic Cup					.5 Dataset
		DC(F)	JC(O)	Acc	SEN	SPC	
Hatanaka et al. [53]	Detection of blood vessel bends and features determined from the density gradient	-	-	-	0.6250	1	Private
Almazroa et al. [8]	Thresholding using type-II Fuzzy method	-	-	0.7610, 0.7240, 0.8150	-	-	Bin Rushed, Magrabi, MESSIDOR
Proposed	Fully convolutional DenseNet	0.8659	0.7688	0.9985	0.9195	0.9991	ORIGA

**Table 3.** Comparison with the existing methods in the literature for joint OC and OD segmentation on different datasets.

.5 Author	.5 Method	Optic Cup					Optic Disc					.5 Dataset
		DC(F)	JC(O)	Acc	SEN	SPC	DC(F)	JC(O)	Acc	SEN	SPC	
Noor et al. [54]	Colour multi-thresholding segmentation	0.51	-	0.6725	0.3455	0.9995	0.59	-	0.7090	0.4200	1	DRIVE
Khalid et al. [55]	Fuzzy c-Means (FCM) and morphological operations	-	-	0.9026	0.8063	0.9989	-	-	0.937	0.8764	0.9975	DRIVE
Proposed	Fully convolutional DenseNet	0.8659	0.7688	0.9985	0.9195	0.9991	0.9653	0.9334	0.9989	0.9609	0.9995	ORIGA

**Table 4.** Results of the proposed method for OD and OC segmentation on **Origa** dataset compared with the existing methods in the literature. DL refers to deep learning based approach.

Author	Method	Optic Cup		Optic Disc	
		DC(F)	JC(O)	DC(F)	JC(O)
Yin et al. [56]	Sector based and intensity with shape constraints	0.83	-	-	-
Yin et al. [57]	Statistical model	0.81	-	-	0.92
Xu et al. [22]	Low-rank superpixel representation	-	0.744	-	-
Tan et al. [23]	multi-scale superpixel classification	-	0.752	-	-
Fu et al. [35]	multi-label deep learning and Polar transformation (DL)	-	0.77	-	0.929
Proposed	Fully convolutional DenseNet	0.8659	0.7688	0.9653	0.9334

Tables 5 and 6 present the results of proposed system which is trained on the Origa dataset and assessed on the DRIONS-DB and ONHSD datasets, respectively. In these two datasets, only the optic disc segmentation performance are reported because the ground truth of the OC is not provided. The best results have been obtained by considering the cropped green channel along with refinement (G+C+PP) achieving Dice score (F-measurement), Jaccard score (overlap), accuracy, sensitivity, and specificity of 0.9415, 0.8912, 0.9966, 0.9232, and 0.999, respectively, on the DRIONS-DB dataset and 0.9556, 0.9155, 0.999, 0.9376, and, 0.9997 respectively on the ONHSD dataset. Further, the network trained on the Origa is tested on the Drishti-GS and RIM-ONE datasets achieved the results reported in Tables 7 and 8, respectively. Also, the best obtained results on these datasets are achieved using the cropped green channel images (G+C+PP). Figure 3 shows examples of the OD and OC segmentation results on fundus image from the five datasets.



**Table 5.** The optic disc segmentation performance on the **DRIONS-DB** dataset considering different data processing schemes. The network is trained on the Origa dataset only.

Model	Optic Disc				
	DC(F)	JC(O)	Acc	SEN	SPC
Without	0.62855	0.47715	0.98415	0.4843	0.99955
G	0.8131	0.69825	0.99055	0.73355	0.99845
G+C	0.9091	0.8403	0.99425	0.9232	0.9965
G+C+PP	0.9415	0.8912	0.9966	0.9232	0.999

**Table 6.** The optic disc segmentation performance on the **ONHSD** dataset considering different data processing schemes. The network is trained on the Origa dataset only.

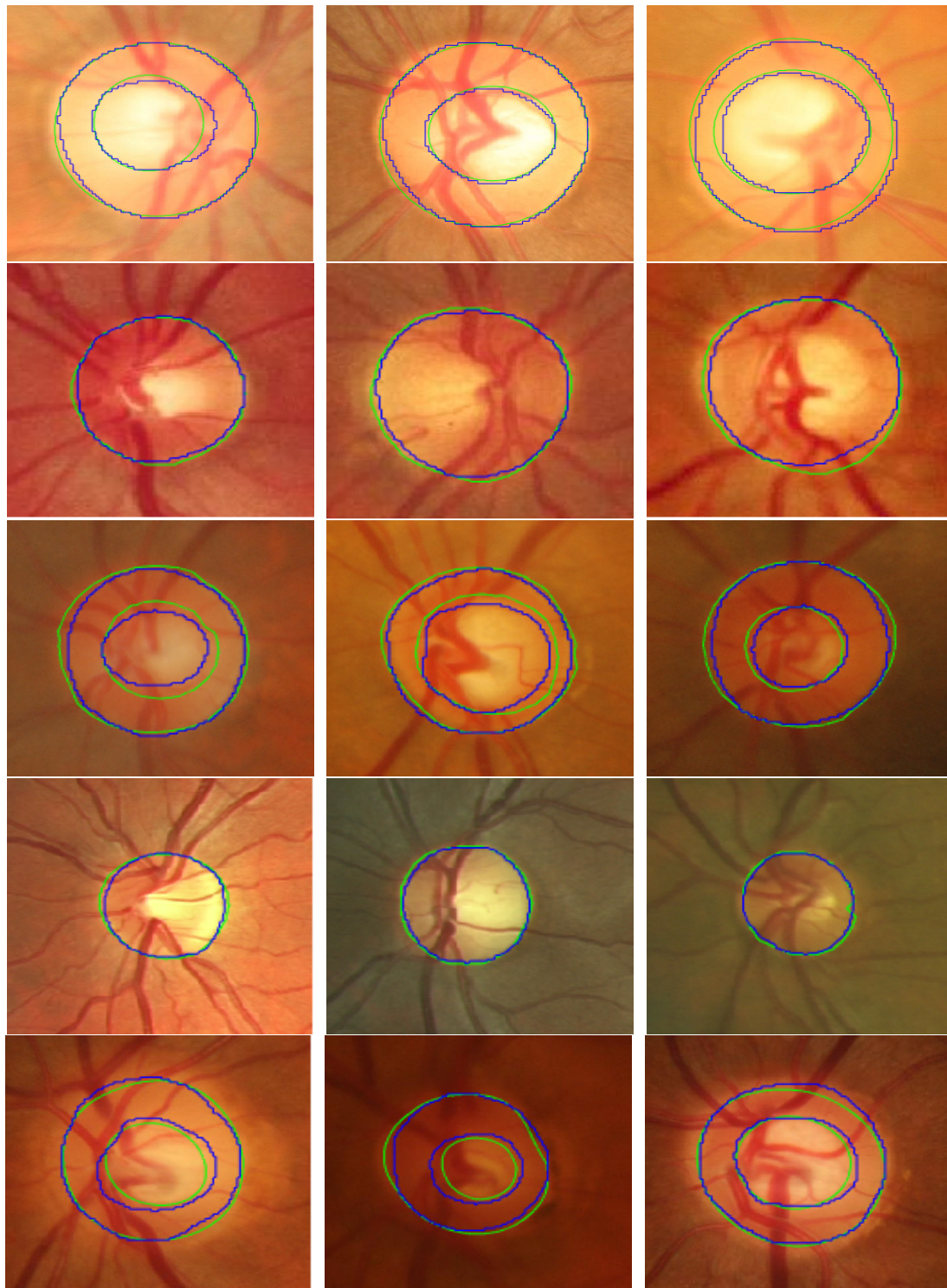
Model	Optic Disc				
	DC(F)	JC(O)	Acc	SEN	SPC
Without	0.6671	0.5204	0.9935	0.5646	0.9988
G	0.878	0.7924	0.9969	0.9428	0.9975
G+C	0.9392	0.8877	0.9986	0.9376	0.9993
G+C+PP	0.9556	0.9155	0.999	0.9376	0.9997

**Table 7.** The optic disc, cup, and rim segmentation performance on the **Drishti-GS** dataset considering different data processing schemes. The network is trained on the Origa dataset only.

Model	Optic Cup					Optic Disc					Rim				
	DC(F)	JC(O)	Acc	SEN	SPC	DC(F)	JC(O)	Acc	SEN	SPC	DC(F)	JC(O)	Acc	SEN	SPC
Without	0.6765	0.5338	0.991	0.5887	0.9989	0.719	0.577	0.986	0.5818	0.9997	0.3583	0.2309	0.9864	0.2841	0.9965
G	0.7646	0.6259	0.9933	0.6676	0.9994	0.851	0.7487	0.9916	0.7695	0.999	0.509	0.3557	0.987	0.5095	0.9939
G+C	0.8045	0.6793	0.9939	0.7413	0.9986	0.9291	0.871	0.9954	0.9268	0.9976	0.7033	0.5601	0.9912	0.7996	0.9938
G+C+PP	0.8282	0.7113	0.9948	0.7413	0.9995	0.949	0.9042	0.9969	0.9268	0.9992	0.7156	0.5743	0.9918	0.7996	0.9945

**Table 8.** The optic disc, cup, and rim segmentation performance on the **RIM-ONE** dataset considering different data processing schemes. The network is trained on the Origa dataset only.

Model	Optic Cup					Optic Disc					Rim				
	DC(F)	JC(O)	Acc	SEN	SPC	DC(F)	JC(O)	Acc	SEN	SPC	DC(F)	JC(O)	Acc	SEN	SPC
Without	0.2584	0.1657	0.98	0.2688	0.9886	0.4204	0.2833	0.9629	0.2984	0.9924	0.1869	0.1088	0.9715	0.1166	0.9983
G	0.5011	0.3627	0.9872	0.6635	0.9911	0.6799	0.5364	0.978	0.5979	0.9946	0.3969	0.2578	0.9741	0.3041	0.9951
G+C	0.6096	0.4709	0.9888	0.9052	0.9904	0.8455	0.7423	0.9864	0.874	0.9915	0.7108	0.5666	0.9844	0.6591	0.9946
G+C+PP	0.6903	0.5567	0.9928	0.9052	0.9944	0.9036	0.8289	0.9922	0.8737	0.9976	0.7341	0.5942	0.9863	0.6585	0.9966



**Figure 3.** Examples of joint OD-OC segmentation results. From the first row to the fifth row, the examples are from the Origa, DRIONS-DB, Drishti-GS, ONHSD, and RIM-ONE respectively. The green contour refers to the ground truth provided with the images while the blue one indicates the results of our proposed method. The DRIONS-DB and ONHSD show the contour of OD only because the ground truth for OC is not provided.

#### 4. Discussion

A novel approach based on a fully convolutional Dense network has been proposed for the joint simultaneous segmentation of the OD and OC in colour fundus images. The proposed method achieves the segmentation by extracting complex data representations from retinal images without the need of human intervention. It has been demonstrated that the performance of our proposed generalised system can outperform or achieve comparable results with competing approaches. These findings also reflect the efficiency and usefulness of FC-DenseNet for the OD and OC segmentation.

Moreover, we have demonstrated that the performance appears to be invariant to variations such as population, camera, operators, disease, and image. This is achieved by the following strategies. First, the pre-processing step was used to reduce variations such as population, camera, operators, disease, and image. Second, the DenseNet approach adopted appears to have excellent generalisation capability due to its ability to learn deeper features. Third, we have performed comprehensive evaluations by subjecting the model to five different datasets that gives reasonable diversity. In particular, we only trained the model on one dataset and applied to the other 4 datasets without further training for refinement, the results showed that our approach convincingly perform robust and accurate segmentation on 'unseen' images from other datasets.

In terms of comparing our proposed method to the existing methods in the literature, Tables 1–4, 9–12 present the comparison in terms of Dice score (F-measurement), Jaccard score (overlap), accuracy, sensitivity, and specificity measurements. Table 1 presents the comparison of the model trained and tested on the Origa with the existing methods proposed for the OD segmentation. The comparison with 15 methods shows that our method outperforms almost all of them. Wong et al. [20] reported segmentation overlap of 0.9398 which is slightly better than 0.9334 obtained by our proposed system. However, their method only segments the OD region and they used features extracted manually which might be applicable to the dataset they have used but not to other datasets. For the OC region, our proposed method achieves the best results comparing to other existing methods as shown in Table 2. For joint OD and OC segmentation results shown in Table 3, our method also outperforms the proposed methods in the literature.

Tables 9 and 10 present the comparison of our system trained on Origa and tested on the Drishti-GS and RIM-ONE datasets respectively with the methods were trained and tested on those datasets. The results of our method on Drishti-GS outperform the results reported by Nawaldgi [58] and Oktoeberza et al. [59]. Sedai et al. [27] and Zilly et al. [33] report Dice and overlap scores slightly better than ours in segmenting the OC and OD regions. However, they used the same dataset (Drishti-GS) for training and testing their system while our system is trained on the Origa images only and tested on the Drishti-GS which make it more generalisable. Furthermore, Guo et al. [30] and Sevastopolsky [31] used the same dataset (Drishti-GS) for training and testing, and segmented the OD region only. For the RIM-ONE dataset, we compared our method with three methods as shown in Table 10. Similarly, these methods were tested on the same dataset used in the learning process which makes the efficacy of their system performance doubtful on other datasets. Our performance with RIM-ONE is lower than that of Drishti-GS and competing methods which suggests that more adaptive generalisation technique may be needed for this one set. However, we have achieved better than the state-of-the-art and all competing methods on the remaining datasets. Tables 4, 11 and 12 show that our system trained only on Origa gives the best results compared to others on Origa, DRIONS-DB, and ONHSD datasets, respectively.

For the rim region segmentation, our system achieved an overlap of 0.7708 and balanced accuracy; which can be obtained by calculating the mean of achieved sensitivity and specificity; of 0.93 on Origa dataset. The most recent published paper for the OD and OC segmentation [35] reported rim segmentation overlap of 0.767 and balanced accuracy of 0.941 on the Origa. Their reported results are very close to ours although they've used a different scheme of data splitting for training and testing. Other existing methods in the literature have not reported rim region segmentation performance.

Furthermore, AUROC curve performance shows excellent agreement between grading done by ophthalmologist and the proposed system for glaucoma diagnosis. Combining the vertical cup to

disc ratio with horizontal cup to disc ratio significantly improves the automated grading results and suggests that these diagnosis results could be further improved by using complete profile of the OD.

On the other hand, it should be mentioned here that the proposed method still has some limitations including: (i) the use of the OD centre in the preprocessing stage is based on calculating it from the ground truth data; (ii) despite of the short testing time (<0.5 s), the training time has been a relatively long ( $\approx 15$  h) and; (iii) the size of training set used in this work is limited to 455 images only which is a relatively small. However, these limitations can be overcome through (i) using an automated localisation of the OD centre location as suggested by the authors in [60]; (ii) using a more efficient computing resources than that used in this study; and (iii) using a larger-size training set as more annotated data becomes available.

**Table 9.** Results of the proposed method for OD and OC segmentation on **Drishti-GS** dataset compared with the existing methods in the literature. DL refers to deep learning based approach.

.5 Author	.5 Method	Optic Cup			Optic Disc		
		DC(F)	JC(O)	Acc	DC(F)	JC(O)	Acc
Sedai et al. [27]	Coupled shape regression model	0.86	-	-	0.95	-	-
Sevastopolsky [31]	Modified U-Net CNN (DL)	0.85	0.75	-	-	-	-
Guo et al. [30]	Large pixel patch based CNN (DL)	0.9373	0.8775	-	-	-	-
Nawaldgi et al. [58]	Colour channel and ISNT rule	-	-	0.97	-	-	0.99
Zilly et al. [33]	Ensemble learning based CNN (DL)	0.871	0.85	-	0.973	0.914	-
Oktoeberza et al. [59]	Red channel information	-	-	-	-	-	0.9454
Proposed	Fully convolutional DenseNet	0.8282	0.7113	0.9948	0.949	0.9042	0.9969

**Table 10.** Results of the proposed method for OD and OC segmentation on **RIM-ONE** dataset compared with the existing methods in the literature. DL refers to deep learning based approach.

.5 Method	.5 Method	Optic Cup		Optic Disc	
		DC(F)	JC(O)	DC(F)	JC(O)
Sevastopolsky [31]	Modified U-Net CNN (DL)	0.82	0.69	0.94	0.89
Shankaranarayana et al. [32]	Fully convolutional and adversarial network (DL)	0.94	0.768	0.977	0.897
Arnay et al. [18]	Ant colony optimisation	-	0.757	-	-
Proposed	Fully convolutional DenseNet	0.6903	0.5567	0.9036	0.8289

**Table 11.** Results of the proposed method for OD segmentation on **DRIONS-DB** dataset compared with the existing methods in the literature. DL refers to deep learning based approach.

.5 Author	.5 Method	Optic Disc	
		DC(F)	JC(O)
Sevastopolsky [31]	Modified U-Net CNN (DL)	0.94	0.89
Abdullah et al. [51]	Circular Hough transform and grow-cut	-	0.851
Zahoor et al. [52]	Polar transform	-	0.886
Proposed	Fully convolutional DenseNet	0.9415	0.8912

**Table 12.** Results of the proposed method for OD segmentation on ONHSD dataset compared with the existing methods in the literature.

.5 Author	.5 Method	Optic Disc		
		DC(F)	JC(O)	Acc
Dashtbozorg et al. [7]	Sliding band filter	0.9173	0.8341	0.9968
Girard et al. [26]	K-means clustering	-	0.84	-
Abdullah et al. [51]	Circular Hough transform and grow-cut	-	0.801	-
Sigut et al. [9]	Contrast based circular approximation	-	0.865	-
Proposed	Fully convolutional DenseNet	0.9556	0.9155	0.999

## 5. Conclusions

A new deep learning based approach to segment the OD and OC in retinal fundus images by leveraging the combination of fully convolutional network and DenseNet has been presented. The FC-DenseNet was trained only on one dataset and evaluated on images provided from four other datasets which were captured by different imaging modalities and under various circumstances. It was shown by extensive experimental evaluations as well as comparisons with the existing methods that our proposed segmentation method outperforms most of state of the art methods for the simultaneous segmentation of the OC and OD. The obtained results show high quality segmentation results, demonstrating the effectiveness of the FC-DenseNet in optic disc/cup segmentation and consequently in glaucoma diagnosis. That suggests its application to various problems in medical images like vessel and lesion segmentation.

**Acknowledgments:** Baidaa Al-Bander was financially supported by the Higher Committee for Education Development in Iraq (Grant No. 182).

**Author Contributions:** Baidaa Al-Bander and Bryan M. Williams conceived, designed, performed the experiments, and wrote the paper; Yalin Zheng and Waleed Al-Nuaimy ran the project; Majid A. Al-Tae and Harry Pratt contributed to the paper writing/editing.

**Conflicts of Interest:** The authors declare no conflict of interest.

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