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A transcriptomic-based drug repositioning approach for the identification of novel muscle-specific therapies for spinal muscular atrophy.

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PhD Biomedical Science

March 2022

Keele University

Abstract

Spinal muscular atrophy (SMA) is an autosomal recessive neuromuscular disorder (NMD) caused by depleted survival of motor neuron (SMN) levels and characterised by neuronal degeneration and progressive muscle atrophy. Although three approved SMN-dependent treatments have significantly halted disease progression, they are unfortunately not cures. Thus, additional musclespecific therapies are most likely also required to synergistically ameliorate symptoms in SMA patients. One useful strategy for the discovery of novel SMA muscle-specific therapies is drug repositioning (or repurposing), as using existing approved pharmacological compounds allows for the development of more costeffective treatments compared to traditional drug discovery. We have previously investigated drug repositioning in SMA and demonstrated that prednisolone, a synthetic glucocorticoid (GC), improved muscle health and survival in SMA mice. However, the adverse effects associated with chronic GC use limit prednisolone's long-term therapeutic potential in SMA. We thus wanted to discover prednisolone-targeted genes and pathways in SMA skeletal muscle and identify commercially available drugs that similarly modulate these effectors.

We initially performed an RNA sequencing, bioinformatics and drug repositioning database pipeline on muscle from symptomatic post-natal day (P)7 prednisolone-treated and untreated *Smn*-/-;*SMN2* SMA mice. These revealed that genes associated with atrophy, metabolism and muscle function pathways were targeted and normalised by prednisolone in SMA skeletal muscle. Furthermore, a total of 223 commercially approved compounds were predicted to similarly target these genes and pathways. We thus selected metformin, a generic antihyperglycaemic biguanide and oxandrolone, an anabolic steroid, for further

investigation in SMA, based on their oral bioavailability, safety in infants and previously reported benefits in related conditions.

Metformin was predicted to emulate prednisolone's activity by upregulating Prkag3 and downregulating Forkhead box O (FoxO) expression. We indeed confirmed that *Prkag3* was significantly downregulated in muscle from *Smn*-/-;SMN2 and Smn^{2B/-}SMA mice. Furthermore, in vitro experiments in C2C12 myoblast-like cells suggest that the dysregulation of metformin's molecular targets are SMN-independent and linked to atrophy. However, metformin treatment in both C2C12 cells and Smn^{2B/-}SMA mice (200 mg/kg/day) did not improve disease progression. Furthermore, a higher dose of metformin (400 mg/kg/day) significantly exacerbated disease progression in Smn^{2B/-}SMA mice, which were most likely due to mitochondrial marker dysfunction in the spinal cord. On the other hand, oxandrolone was predicted to upregulate the expression of the androgen receptor (Ar) and its downstream components. However, analyses in both C2C12 cells and muscle from Smn^{-/-};SMN2 and Smn^{2B/-}SMA mice revealed that most of the predicted oxandrolone targets were in fact not dysregulated. Still, oxandrolone treatment rescued canonical atrophy in C2C12 myotubes and slightly improved survival in Smn^{2B/-}SMA mice (4 mg/kg/day).

Taken together, our *in vitro* and *in vivo* experiments revealed that metformin and oxandrolone did not successfully emulate prednisolone's activity in SMA, suggesting that our *in silico* approach requires refinement for a better prediction of valid drug candidates. Nevertheless, our discovery of prednisolone-targeted pathways and extensive list of drug candidates supports the usefulness of a transcriptomic-based drug repositioning strategy, and that with alterations, it can be quite beneficial for future therapeutic endeavours in SMA.

Acknowledgments

There are many people and organisations I would like to acknowledge, whose support, tutorage and contribution helped me throughout my PhD. Although I cannot name all, I still like to give my thanks to everyone.

First off, a great many of thanks goes towards my principal supervisor Dr Melissa Bowerman (School of Medicine, Keele University), who not only provided me this life changing opportunity, but throughout has been a pillar of guidance and pastoral support. She is an amazing role model for early career researchers, and I am grateful for all I have learned from her. Additional appreciation goes towards my co-supervisor Dr Daniel Tonge (School of Life Sciences, Keele University) for supervising and tutoring me in bioinformatics in the early phases of my project.

There are also many current and former colleagues in the Bowerman group who I would like to acknowledge. Firstly, Emma Sutton (Final year PhD Biomedical science student, Keele University) as she has been an amazing friend, scientist and supportive colleague both in lab and outside of it. Other colleagues I would like to thank are Magnus Okoh (Final year medical student, Keele University) and Özge Çetin (First year PhD Biomedical science student, Keele University) who have aided me at various stages of my project. In addition, I appreciate all the technical support from technicians across Huxley Life Science building, Keele Medical School and the Biomedical Sciences Unit (BSU).

Scientific research is a collaborative endeavour and there many researchers outside of Keele University who I would like to thank for their contribution towards my project. These include Professor Peter Claus, Dr Lisa Walter and the bioinformatics team at Hannover Medical School for their role in the RNA

sequencing prior to my project starting. Furthermore, many thank goes to Dr Stephanie Duguez at Ulster University for providing type 3 SMA and control patient myoblast samples.

Outside of colleague support, this project would not have been possible without the generous financial contributions made by Keele School of Medicine and SMA Angels charity, in which I am eternally grateful. Other organisations I give thanks to are CureSMA, SMA Europe and Biochemical Society UK for providing me the wonderful opportunities of presenting my research at national and international conferences.

On a personal note, I would like to give my appreciation to family and friends back home. Firstly, my parents Susan Lorraine and Martin Alfred Hoolachan who have been a constant pillar of support. Outside of my parents, I like to acknowledge my siblings Thomas Henry Hoolachan and Ashley Morgan Luter alongside her family of Adam Luter and their children Joshua, Esme and Jacob Luter. My pets throughout my life Sandy, Spud, Zeus, Fudge and Sooty. Also, to my friends Ryan Shreeve, Robert Perry, Paul Kinsella, Adam Filkins, Chris Barton, Tom Bulger, Jacob Harris and Joel and Zoe Walker, thank you for always being there. Finally, I would just like to add a blessing called a Shehecheyanu which can be recited for joyous occasions, such as this.

בָּרוּךְ אַתָּה יְיָ אֱלֹהֵינוּ מֶלֶךְ הָעוֹלָם, שֶׁהֶחֲיָנוּ וְקִיְּמְנוּ וְהִגִּיעָנוּ לַזּמַן הַזֵּה.

Baruch atah, Adonai Eloheinu, Melech haolam, shehecheyanu, v'kiy'manu, v'higiyanu laz'man hazeh.

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List of Abbreviations

3β-HSD 3β- hydroxysteroid dehydrogenase

ACh Acetylcholine

AChR Acetylcholine receptor

Act2R Activin receptor type 2

AD Alzheimer's disease

Akap6 A-kinase anchor protein 6

ALS Amyotrophic lateral sclerosis

AMPK AMP-activated protein kinase

Ar Androgen receptor

ARE Androgen response element

ARX-2 Actin-related protein 2

AS160 Akt substrate of 160 kDa

ASO Anti-sense oligonucleotide

BAM Binary alignment map

BAT Brown adipose tissue

BBB Blood-brain-barrier

BCAA Branched chain amino acid

BCL Binary base call

BED12 Browser extensible data 12

BMI Body mass index

BP Base pair

BPM Beats per minute

BrDU Bromodeoxyuridine

BRET Bioluminescence resonance energy transfer

BSU Biomedical Sciences Unit

CBS1 Cystathionine β-synthetase 1

CD36 Cluster of differentiation

CDS Coding domain sequences

cDNA Complementary DNA

CMC Carboxymethylcellulose

CMD Congenital myotonic dystrophy

CMT1A Charcot-Marie Tooth disease type 1A

CNS Central nervous system

CNV Copy number variation

Comt Catechol-O-methyltransferase

COX2 Cyclooxygenase-2

Cry Cryptochrome

D Differentiation day

DDIT4 DNA Damage Inducible Transcript 4

DEG Differentially expressed gene

DGE Differential gene expression

DGIdb Drug Gene Interaction database

dH₂O Distilled H₂O

DHT Dihydrotestosterone

DM Diabetes mellitus

DMD Duchenne muscular dystrophy

DMEM Dulbecco's Modified Eagle's Media

Dok5 Docking protein 5

FBS Foetal bovine serum

FoxO Forkhead box O

E Embryonic day

ECG Electrocardiogram

EDL Extensor digitorum longus

Ednrb Endothelin receptor type B

EGFR Epidermal growth factor receptor

EMA European Medicines Agency

Erα Oestrogen receptor alpha

Ers1 Oestrogen receptor 1

ESC Embryonic stem cells

ESRRA Oestrogen related receptor alpha

FA Fatty acid

FABP Fatty acid binding protein

FATP1 Fatty acid transport protein 1

FC Fold change

FDA Food and Drug Administration

FDR False discovery rate

FL- Full length-

FMI Fatty mass index

GC Glucocorticoid

GR Glucocorticoid receptor

GLM General linearized model

GO Gene Ontology

GSK-3β Glycogen synthase kinase 3 beta

GUI Graphical user interface

HDACi Histone deacetylase inhibitors

HFD High fat diet

HIV Human immunodeficiency virus

Hk2 Hexokinase-2

hnRNPs Heterogeneous nuclear ribonucleoproteins

HRP Horseradish peroxidase

HS Horse serum

IBM Inclusion body myositis

ICD International Classification of Diseases

IGF-1 Insulin-like growth factor 1

Igfals Insulin-like growth factor binding protein, acid labile subunit

lgfbp5 Insulin-like growth factor binding protein 5

Il6ra Interleukin-6 receptor, alpha

iPSC Induced pluripotent stem cells

IR Insulin receptor

IRS-1 Insulin receptor substrate 1

ISS-N1 Intronic splicing silencer N1

KD Knockdown

Klf15 Krüppel-like factor 15

KO Knockout

LC-FA Long chain fatty acid

LCHAD Long-chain 3-hydroxyacul-CoA dehydrogenase

LDH Lactate dehydrogenase assay

LGMD Limb girdle muscular dystrophy

IncRNA Long non-coding RNA

LOF Loss-of-function

Lrp4 Low density lipoprotein receptor related protein 4

MD1 Myotonic dystrophy type 1

MD2 Myotonic dystrophy type 2

MEP Maximum expiratory pressure

MG Myasthenia gravis

MHC Myosin heavy chain

MLCP Myosin light chain phosphatase

MoR Median of Ratios

MPB Muscle protein breakdown

MPS Muscle protein synthesis

MRF Myogenic regulatory factor

MtDNA Mitochondrial DNA

MTT 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyl-2H-tetrazolium bromide

MuRF1 Muscle RING-finger protein-1

MuSK Muscle specific kinase

MYBBP1A Myb-binding protein 1a

Myf5 Myogenic factor 5

Myf6 Myogenic factor 6

MyoD Myoblast determination protein 1

MyoG Myogenin

NAFLD Non-alcoholic fatty liver disease

Ndufs1 NADH-ubiquinone oxidoreductase 75 kDa subunit, mitochondrial

NGS Next generation sequencing

NMD Neuromuscular disorder

NMJ Neuromuscular junction

NRF Nuclear respiratory factor

ns-SARM Non-steroidal selective androgen receptor modulator

ORA Overrepresentation analysis

P(N) Post-natal day

PBS Phosphate buffered saline

PCR Polymerase chain reaction

PDK1 Phosphoinositide-dependent kinase 1

Per Period

PGC1-α Peroxisome proliferator-activated receptor gamma coactivator 1-α

PI3K Phosphoinositide 3-kinase

Pink1 PTEN-induced kinase 1

PIP₃ Phosphatidylinositol (3,4,5)-triphosphate

PPAR Peroxisome-activator receptor

Pre-RNA Pre-messenger RNA

PTM Post-translational modification

qPCR quantitative PCR

R&D Research and development

RNA-Seq RNA sequencing

RNP Ribonucleoprotein

ROCK Rho-associated kinase

RPKM Reads per kilobase per million mapped reads

rRNA Ribosomal RNA

RSEM RNA-Seq by Expectation Maximisation

RT Reverse transcription

Ryr1 Ryanodine receptor 1

SARM Selective androgen receptor modulator

SBMA Spinal and bulbar muscular atrophy

scAAV Self-complementary adeno-associated virus

SCHAD Short-chain 3-hydroxyacyl-CoA dehydrogenase

SCI Spinal cord injury

SF2/ASF Splicing factor 2/alternative splicing factor

siRNA Small interfering RNA

SMA Spinal muscular atrophy

snRNA Small nuclear RNA

snoRNP Small nucleolar RNP

SMN Survival of motor neuron

sncRNA Small non-coding RNA

T1DM Type 1 diabetes mellitus

T2DM Type 2 diabetes mellitus

TA Tibialis anterior

TES Transcriptional end site

TFAM Transcription factor A, mitochondrial

TMM Trimmed mean of M values

tRNA Transfer RNA

TSS Transcriptional start site

TTFLs Transcription-translation feedback loops

WAT White adipose tissue

UBA1 Ubiquitin-like-modifier-activating enzyme 1

UTR Untranslatable regions

List of Publications and Presentations

Publications

- Meijboom KE, Volpato V, Monzon-Sandoval J, Hoolachan JM, Hammond SM, Abdendroth F, de Jong OG, Hazell G, Ahlskog N, Wood MJA, Webber C, and Bowerman M. Combining multi-omics and drug perturbation profiles to identify muscle-specific treatments for spinal muscular atrophy.
 JCI Insight. DOI: 10.1172/jci.insight.149446. (2021).
- Hoolachan JM, Sutton ER, and Bowerman M. Teaching an old drug new tricks: repositioning strategies for spinal muscular atrophy. Future
 Neurology. DOI: 10.2217/fnl-2019-0006 (2019).

Conferences

- Hoolachan, JM. "A transcriptomic-based drug repurposing strategy for the identification of new SMN-independent skeletal muscle treatments for spinal muscular atrophy". 45th FEBS Congress: *Bioinformatics and* computational biology P-06.5-14, online event (2021). (POSTER)
- Hoolachan, JM. "A transcriptomic-based drug repurposing strategy for the identification of new SMN-independent skeletal muscle treatments for spinal muscular atrophy". 20th FEBS Young Scientists' Forum:
 Bioinformatics and computational biology P-06.5-14, online event (2021). (POSTER)
- 3. **Hoolachan, JM.** "Transcriptomics-based drug repositioning predicts metformin as a potential SMN-independent therapy for spinal muscular

- atrophy". CureSMA 25th SMA Researcher Meeting: *Drug*POC/Translational therapies, online event (2021). (POSTER)
- Hoolachan, JM. "Transcriptomics-based drug repositioning predicts metformin as a potential SMN-independent therapy for spinal muscular atrophy". UK Neuromuscular Translational Research Conference 2021: Category A. Motor nerve disorders, online event (2021). (POSTER)
- Hoolachan, JM. "Opening Presentation for the 2020 UK SMA Research Conference". 2020 UK SMA Research Conference, online event (2020).
- Hoolachan, JM. "A transcriptomic-based drug repurposing strategy for the identification of new SMN-independent skeletal muscle treatments for spinal muscular atrophy", SMA Europe 2nd International Scientific Congress on Spinal Muscular Atrophy: Session 1 Emerging treatments & novel approaches in therapy, poster session P6, Evry, France (2020).
 (POSTER)
- 7. Hoolachan, JM. "A transcriptomic-based drug repurposing strategy for the identification of new SMN-independent skeletal muscle treatments for spinal muscular atrophy", SMA Europe 2nd International Scientific Congress on Spinal Muscular Atrophy: Session 1 Emerging treatments & novel approaches in therapy, Evry, France (2020).
- Hoolachan, JM. "A transcriptomic-based drug repurposing strategy for the identification of new SMN-independent skeletal muscle treatments for spinal muscular atrophy", Drug Repurposing – Biochemical Society: *Drug* repurposing across organisms (OC002), IET Birmingham: Austin Court, Birmingham, UK (2019).

- Hoolachan, JM. "Identification of new muscle-specific targets in SMA",
 CureSMA 23rd SMA Researcher Meeting: *Identification of Candidate* Therapeutic Targets and Disease Modifiers, Disneyland, Anaheim,
 California (2019).
- 10. Hoolachan, JM, Sutton ER, Walter LM, Claus P, Tonge DP, Bowerman M. "The benefits of computer analysis to develop new treatments for neuromuscular disorders", ISTM Early Careers Symposium, North Staffordshire Medical Institute, Stoke-on-Trent, UK (2019). (POSTER)
- 11. **Hoolachan, JM**. "Defining the benefits of prednisolone in SMA muscle", UK SMA Research Day, Keele University, UK (2019).

Chapter 1.

Introduction

1.1. Spinal muscular atrophy (SMA)

1.1.1. Clinical features

Spinal muscular atrophy (SMA) is an autosomal recessive genetic neuromuscular disorder (NMD) with heterogeneous clinical manifestations characterised mainly by alpha motor neuron degeneration in the anterior horn of the lower spinal cord ¹. Consequently, the resulting denervation causes skeletal muscle atrophy and weakness in the proximal regions that progressively spreads to distal and torso regions ^{1–3}. Clinically, SMA can be separated into 5 different types that are defined by age of onset, lifespan and severity ^{4,5} (Table 1.1).

Table 1.1. Clinical classifications of spinal muscular atrophy (SMA).

Туре	Age of Onset	Cases	Life Expectancy	Main Clinical Features
0	Prenatal	< 5%	Stillborn to < 6	Widespread motor function
(Prenatal-onset			months	loss
SMA)				Hypotonia
1	< 6 months	50 –	< 2 years	Inability to sit upright
(Werdnig-Hoffman		60%		Respiratory muscle
disease)				weakness
2	6 – 18	20 –	Adulthood	Inability to walk
(Dubowitz disease)	months	30%		Progressive muscle
				weakness and motor
				dysfunction
3	> 18	10 –	Normal	Assisted walking
(Kugelberg-	months	20%		Tremors
Welander disease)				Progressive mild muscle
,				weakness
4	Adulthood	< 5%	Normal	Restricted motor abilities
(Adult-onset SMA)				Progressive mild muscle
				weakness

Type 0 (prenatal-onset SMA) is the rarest and most severe form occurring *in utero* and where onset of muscle weakness and hypotonia limits life expectancy to under 6 months ^{5,6} (Table 1.1). Type 1 SMA (Werdnig-Hoffman disease) is the most common form, which accounts for > 50% of cases ⁷. Disease onset usually arises within the first 6 months after birth, prior to the developmental stage of sitting upright ⁸. As a result patients usually display hypotonia, flaccid paralysis

and absence of head control 8 (Table 1.1). If left untreated, the life expectancy of type 1 patients is < 2 years, with mortality linked to severe respiratory complications from weakened diaphragm and intercostal muscles ^{8,9} (Table 1.1). The second most common form is type 2 SMA (Dubowitz disease), which manifests around 6-18 months of age, when an infant has reached the ability to sit upright unassisted but not walk independently ¹⁰ (Table 1.1). Although life expectancy for type 2 SMA patients is higher (around 40 – 60 years), if left untreated their symptoms worsen with mechanical ventilation required in later life ^{11,12}. Type 3 SMA (Kugelberg-Welander disease) accounts for 10-20% of cases and usually develops at > 18 months ¹³. Although type 3 SMA patients have a normal life expectancy and reach the independent walking stage, disease progression can hinder their motor abilities and muscle function in later life 11,14. Finally, type 4 SMA (adult-onset SMA) is a rare form that usually manifests in adulthood, leaving patients with a normal life expectancy albeit with mild neuromuscular pathologies, which has often led to this type being misdiagnosed ¹⁵ (Table 1.1).

1.1.2. Genetics

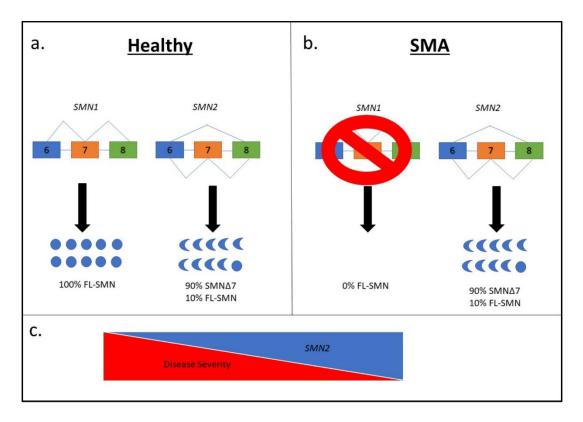
Traditionally SMA was thought to be the second most common autosomal recessive disorder that affects pan-ethnic populations at incidence rates of 1 in 6000 - 10,000, alongside carrier frequencies of 1 in $40 - 60^{-16-18}$. However, as of 2020, recent evidence suggests SMA might actually be less common with a lower incidence rate of 1 in 28,137, based on newborn screenings in New York, although this estimation remains to be similarly observed in different population groups ¹⁹.

The genetic cause for SMA in 96% of cases is the loss-of-function (LOF) in the survival of motor neuron 1 (SMN1) gene located in the telomeric 5q13 chromosome region ^{20,21}. Around 95% of *SMN1*-linked SMA patients possess a homozygous deletion of *SMN1* exon 7 or the whole gene ^{22,23}, whilst the remaining individuals are defined as compound heterozygotes as they carry a deletion in one SMN1 allele and a LOF intragenic mutations on the other ^{23,24}. Although 98% of *SMN1* mutations originate from autosomal inheritance from heterozygous carrier parents ^{25,26}, the remaining 2% of SMA cases can arise from de novo SMN1 mutations ^{25,27}. Although most species possess a single homolog of the SMN gene ²⁸, humans are interestingly unique as their genome possesses a 500 kb inverted duplication, leading to the generation of an SMN2 gene within the centromeric 5q13 locus ²⁹. Both *SMN1* and *SMN2* share a high homology, with only 5 single nucleotide point mutations distinguishing them ^{21,30,31}. The 840C>T point mutation in the heptameric splicing factor 2/alternative splicing factor (SF2/ASF) motif in exon 7 plays a detrimental role in SMN2 as it weakens the upstream 3' splice site and prevents SF2/ASF-mediated splicing ^{31–35}. This in

turn promotes a protein expression ratio of 90% truncated and non-functional SMN (SMN Δ 7): 10% functional full length-SMN (FL-SMN) ^{31–35} (Figure 1.1).

Although *SMN2* plays a redundant role in healthy individuals with a functional *SMN1* gene (Figure 1.1.a), it has nevertheless remained conserved in the human genome and is an important genetic modifier in SMA (Figure 1.1.b) ^{28,29}. Indeed, homozygous *Smn* knockout (KO) mice with a total absence of FL-Smn are embryonic lethal ^{36,37}. However, the transgenic expression of the human *SMN2* in the KO mice prevented *in utero* death ³⁸, highlighting that low levels of FL-SMN (around 10-20%) are sufficient to allow survival, albeit at the cost of developing neuromuscular pathologies. Interestingly, inclusion of additional *SMN2* transgenes into *Smn*-KO mice correlated with milder pathologies, with 8 *SMN2* copies rescuing the SMA phenotype ^{39,40}. These results suggest that a minimum threshold of FL-SMN expression is required for a healthy phenotype ^{39,40}. Similarly, this accounts for most of the clinical heterogeneity in SMA as *SMN2* copy number inversely correlates with disease severity (i.e., increased *SMN2* copy number confers milder SMA types) ^{41–43} (Table 1.1, Figure 1.1.c).

Although the aetiology of *SMN2* copy number variation (CNV) is still under investigation, it is thought that both gene duplication and conversion play important roles ^{44,45}. Certain examples include type 2 SMA patients possessing heterozygous *SMN1*-to-*SMN2* conversion (3 *SMN2* copies) and type 3 and 4 SMA patients harbouring homozygous *SMN1*-to-*SMN2* conversions (>4 *SMN2* copies) ^{44,45}.



- Figure 1.1. SMN1 and SMN2 expression in healthy and SMA patients.
 a. In healthy individuals, the survival of motor neuron 1 (SMN1) gene expresses 100% full length (FL) SMN protein, whilst each copy of the SMN2 gene only expresses 10% FL-SMN protein and 90% truncated non-functional SMN (SMNΔ7) that lacks exon 7 due to alternative splicing.

 b. In SMA patients, the LOF of SMN1 prevents expression of FL-SMN from the SMN1 gene, with patients
- compensated by the 10% of FL-SMN expressed by each SMN2 copy number their genome possesses.
- c. SMN2 copy number variation inversely correlates with disease severity.

1.1.3. SMA Mouse Models

The homology of the *SMN1* gene in various species has been useful for the development of pre-clinical models that characterise the molecular, cellular, physiological, and anatomical pathologies in SMA. Model organisms for SMA include zebrafish (*Danio rerio*) ⁴⁶, *Drosophila melanogaster* ⁴⁷, *Xenopus tropicalis* ⁴⁸ and *Caenorhabditis elegans* ⁴⁹. However, the most commonly used model are mice (*Mus musculus*).

As previously discussed in section 1.1.2, initial studies in mice revealed that complete *Smn* KO caused embryonic lethality due to their possession of only one *Smn* gene copy ³⁷. Nevertheless, over the last two decades, viable SMA mice have been developed that manifest a spectrum of mild to severe SMA pathologies (Table 1.2). Two well-used models for severe SMA, reminiscent of infantile-onset (type 0 and 1), are the Taiwanese *Smn*-/-;*SMN2(2Hung)*+/+ (or *Smn*-/-;*SMN2*) ³⁸, the *Smn*-/-;*SMN2*+/+ ⁴⁰ and the *Smn*-/-;*SMN2*+/+;*SMN*2/7 (or *SMN*2/7) models (Table 1.2).

Developed first, the Taiwanese *Smn*-/-;*SMN2* mouse model expresses two copies of human *SMN2* transgene in an endogenous *Smn* null background (by KO of exon 7), resulting in 15% FL-SMN expression ³⁸. Its life expectancy is around 10-14 days with symptomatic onset at post-natal day (P)5 ³⁸ (Table 1.2). Interestingly, the first study on Taiwanese *Smn*-/-;*SMN2* SMA mice presented limited data, which did not fully characterise the suitability of this model for SMA ³⁸. Alas, subsequent research has helped to reduce this limitation with emerging evidence of neuronal degeneration ⁵¹, neuromuscular junction (NMJ) denervation

⁵² and muscle pathologies ⁵³ being validated in Taiwanese *Smn*-/-;*SMN2* SMA mice.

On the other hand, the *SMN*△7 mice were generated by the addition of a human *SMN*△7 gene into the previously established severe *Smn*^{-/-};*SMN*2+/+ SMA mouse model, which differed from the severe Taiwanese *Smn*^{-/-};*SMN*2 SMA mice via a different endogenous *Smn* null genotype of exon 2 KO instead of exon 7 ^{38,40,50} (Table 1.2.). Additionally, both the *Smn*^{-/-};*SMN*2+/+ ⁴⁰ and *SMN*△7 ⁵⁰ SMA mice are useful severe SMA models in terms of disease characterisation, as more published data is available on its neuronal, NMJ and muscle pathologies ^{2,54–56} compared to Taiwanese *Smn*^{-/-};*SMN*2 SMA mice ³⁸. However, the severity of *Smn*^{-/-};*SMN*2+/+ SMA mice restricts its life expectancy to 5 days ⁴⁰, making the less severe *SMN*△7 model a useful alternative due to its higher natural life expectancy of around 2 weeks ⁵⁰ (Table 1.2.).

Although severe SMA mice have been useful in SMA research, they have certain limitations such as not modelling later onset type 2-4 SMA and preventing investigations into the long-term effects of SMA pathogenesis due to their shortened lifespan ^{38,40,50}. To overcome these limitations, SMA mice with milder pathologies were developed (Table 1.2). A commonly used mild SMA model is the intermediate *Smn*^{2B/-} mouse, which does not possess a human *SMN2* transgene ^{57,58} (Table 1.2.). Instead, one *Smn* allele (*Smn*^{2B}) is endogenously mutated to prevent exon 7 splicing ⁵⁸, whilst the other allele is KO (*Smn*⁻) ³⁷, which results in an endogenous 15% FL-Smn expression and milder disease manifestation ⁵⁷ (Table 1.2.). Furthermore, the Smn^{2B/-} SMA mice were characterised with neuromuscular pathologies of gradual axonal degeneration, NMJ and muscle pathologies that have also been observed in severe SMA mice

^{40,50,57}. The average lifespan of *Smn*^{2B/-} mice is around 21-30 days, although this variability is dependent on the genetic background of the mouse strain i.e. C57BL/6 or FVB ^{57,59} (Table 1.2.).

In recent years, additional mild SMA mouse models have been developed with greater lifespans than the previously mentioned SMA mice ^{60,61} (Table 1.2.). Importantly, these mild models are advantageous to establish clearer distinctions between pre-symptomatic and symptomatic stages during disease progression. Overall, the various SMA mouse models have been important in helping us gain a greater understanding of both disease pathology and treatment efficacy in SMA.

Table 1.2. Commonly used SMA mouse models.

Severity	Model	Lifespan	Main Clinical	Year	References
	(Genotype)		Features	Developed	
Lethal	Smn⁻/-	Embryonic lethal	Embryonic lethal.	1996	Schrank <i>et al</i> (1997) 37
Severe	Taiwanese Smn ^{-/-} ;SMN2	10 – 14 days	Severe neuromuscular defects with symptomatic onset at P5	2000	Hsieh-Li <i>et al</i> (2000) ³⁸
	Smn ^{./-} ;SMN2+/+	5 days	40% motor neuron loss at P5 with severe neuromuscular defects.	2000	Monani <i>et al</i> (2000) ⁴⁰
	SMNΔ7 Smn ^{-/-} ;SMN2+/+; SMNΔ7	14 days	Severe neuromuscular defects	2005	Lee <i>et al</i> (2005) ⁵⁰
Mild	Intermediate Smn ^{2B/-}	21 – 30 days	Mild neuromuscular defects, Progressive weight loss from P15, Metabolic defects	2012	Bowerman et al (2012) ⁵⁷
	Smn ^{c/c}	Near normal	Mild neuromuscular defects, Cardiac abnormalities, Tail necrosis	2012	Osborne et al (2012) ⁶⁰
	Smn ^{2B/-} ;SMN2+/-	1 – 2 years	Mild neuromuscular defects that are more prominent in males.	2020	Deguise <i>et al</i> (2020) ⁶¹

1.1.4. Ubiquitous SMN functions

The human FL-SMN protein is 38 kDa and composed of 294 amino acids with high sequence conservation across vertebrate species ^{62–65}. It is comprised of the N-terminal basic-lysine rich region, central Tudor domain and C-terminal prolinerich and YG domains 64-72. When expressed, the FL-SMN protein is known to self-oligomerise through interactions between the N-terminal (exon 2b) and Cterminal domains (exon 6), which is supported by evidence that SMA-causing point mutations in these sites can impair self-oligomerisation ^{73,74}. Furthermore, improper self-oligomerisation contributes to the instability of the truncated SMN∆7 protein ^{73,74}. Indeed, exon 7 loss in SMN results in a frameshift mutation that create a four amino acid length EMLA motif in exon 8, which exposes the SCFSImb degron ^{73–75}. This degron exposure leads to the recruitment of proteasomes such as lysosomal proteases and calpains that enhances protein degradation ^{73–75}. In mammals, SMN is ubiquitously expressed in the nucleus and cytosol of cells ^{76–80}. The SMN protein itself is associated with 8 additional proteins (Gemins 2-8) and Unrip) to form a large core SMN-complex that localises in the nucleus as Gemini of coiled bodies (Gems) and in the cytosol as U bodies ^{79,81–84} (Figure 1.2). The earliest functional studies discovered that the core SMN complex associates with exported small nuclear (sn)RNAs and Sm core proteins, required for the later stages of sn-ribonucleoprotein (snRNP) assembly ^{79,82,85–89}. Subsequent studies have since supported the importance of SMN's housekeeping role in snRNP biogenesis as well as major (U2-dependent) and minor (U-12 dependent) mRNA splicing 83,90-92 (Figure 1.2).

Indeed, transcriptome analyses of Smn-depleted murine motor neuron-like NSC-34 cells ⁹³ as well as neuronal and muscle tissue from the severe Taiwanese *Smn-/-;SMN2* SMA mice ⁹⁴ revealed a pattern of elevated U-12 dependent intron retention, suggesting that aberrant splicing plays a role in SMA pathogenesis.

Although SMN forms a core complex with the Gemins and Unrip proteins ^{79,81–84}, the SMN protein itself has a vast interactome of additional protein partners, which creates transient SMN complexes with ubiquitous and/or tissue-specific functions that differ to snRNP assembly and splicing regulation ^{95–97}. Although research is still active on discovering these novel protein partners, certain examples have been identified such as myb-binding protein 1a (MYBBP1A) ⁹⁶ and profilin-2 ⁹⁸ to name just a few.

In terms of SMN roles outside of snRNP biogenesis and pre-mRNA splicing, subsequent studies have identified further RNA housekeeping functions such as transcription ⁹⁹, small nucleolar RNPs (snoRNPs)-dependent post-transcriptional modification of non-coding RNAs ¹⁰⁰, telomerase activity ¹⁰¹, 3' end histone mRNA modification ¹⁰² and RNA trafficking ¹⁰³ (Figure 1.2).

In addition to RNA metabolism, novel ubiquitous SMN functions have also been associated with DNA recombination and repair ^{99,104–107} as well as protein homeostasis ¹⁰⁸ (translation ¹⁰⁹, autophagy ^{110,111} and ubiquitination ^{112–115}) (Figure 1.2). Furthermore, SMN harbours cell-specific roles with one example being its ability to govern signalling pathways linked to actin remodelling via Rho-associated kinase (ROCK) activity ^{69,116,117} in neuronal cells, thus regulating functions such as intracellular trafficking ¹¹⁸ and endocytosis ¹¹⁹.

Although these are only a few examples, research into SMN function(s) is still an ongoing endeavour. In SMA, this research is of vital importance for understanding the variable temporal- and tissue-specific effects of SMN depletion as well as for the development of therapies.

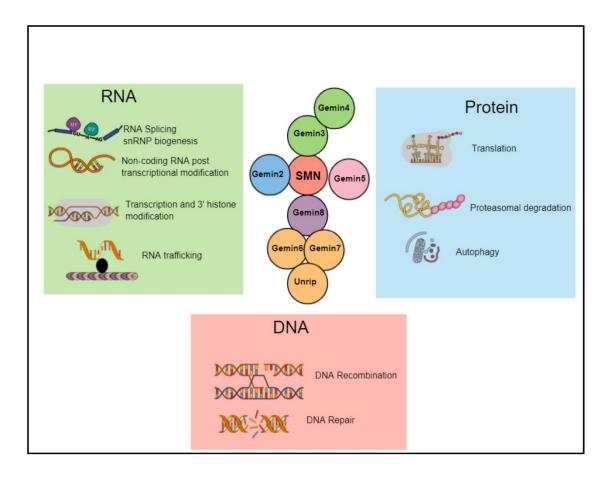


Figure 1.2. Ubiquitous housekeeping roles for SMN.

SMN complex is in the centre (SMN, Gemins2-8 and Unrip). RNA regulatory roles for SMN (green box) include snRNP biogenesis, RNA splicing, non-coding RNA post-transcriptional modification, transcription, 3' histone modification and RNA trafficking. Protein regulatory roles for SMN (blue box) include translation, proteasomal degradation and autophagy. DNA regulatory roles for SMN (orange/peach box) include DNA recombination and

1.2. Neuronal pathologies in SMA

Although SMN is ubiquitously expressed ⁷⁷, the motor neurons are particularly susceptible to SMN depletion, which results in alpha-motor neuron degeneration in the anterior horn of the spinal cord ¹. Expression studies aimed at understanding this susceptibility in neurons have uncovered a variety of key findings. One is that a high SMN threshold is required to govern normal neuronal development and function, as evidenced by the consistently high SMN levels in the central nervous system (CNS) and the presence of an axonal-specific isoform termed axonal-SMN ^{76,77,120}. Another key finding is that motor neurons are more sensitive to *SMN1* LOF due to the limited genetic modifier ability of *SMN2* in this tissue, as *SMN2* expression analyses in type 1 SMA foetuses revealed that FL-SMN protein levels expressed from this gene are greater in peripheral non-neuronal tissues compared to the spinal cord ¹²¹.

More in depth analyses have shown that SMN is abundantly localised within the axonal and growth cone regions of motor neurons, in association with Gemin proteins ^{122,123} (Figure 1.3). This led to subsequent investigations into the role of SMN in actin dynamics, since axons and growth cones are rich actin-cytoskeletal structures that have vital roles in functional processes such as axonal extension, neurite outgrowth, synaptic development and vesicle release ^{124–126} (Figure 1.3). In fact, these neuronal features have been demonstrated to be defective in patient-derived SMA spinal cord biopsies, induced pluripotent stem cell (iPSC)-derived neurons, *in vivo* models and SMN-depleted immortalised cell lines, suggesting that dysfunctional actin dynamics may play a contributory pathogenic role in SMA motor neuron degeneration ^{118,123,127–129}.

One specific role for SMN in actin dynamics is the trafficking of β -actin mRNA via heterogeneous nuclear ribonucleoproteins (hnRNPs) ^{118,130,131}, which is important for axon guidance, extension and synaptogenesis ^{124,132} (Figure 1.3). Interestingly, Smn deficiency in motor neurons of severe $Smn^{-/-};SMN2^{+/+}$ SMA mice and immortalised PC12 rat neuronal cells show reduced β -actin mRNA localisation in axonal and growth cone regions, resulting in impaired neurite outgrowth that is reversed upon Smn overexpression in differentiating PC12 cells ^{118,127}

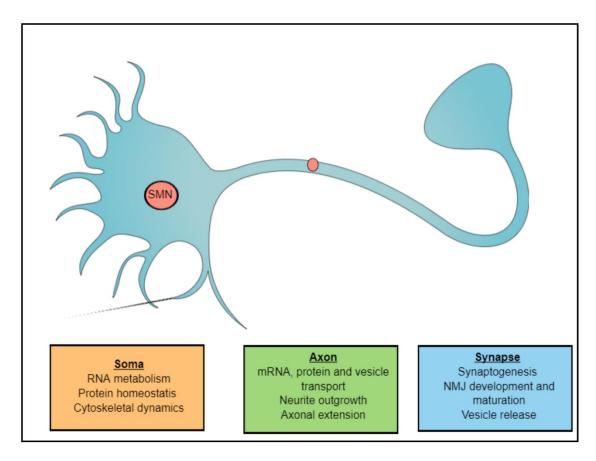


Figure 1.3. Functional roles of SMN in the motor neuron.

SMN protein (red circle) is localised in the soma and axonal regions of the motor neuron. SMN plays key functional roles in different motor neuron regions. In the soma, it is important for RNA and protein homeostasis and cytoskeletal dynamics. In the axon, it is transported along the actin cytoskeleton and regulates mRNA, protein and vesicle trafficking. SMN also plays an important role in neurite outgrowth. In the synapse, SMN plays an important role in neuromuscular junction (NMJ) development and function. The figure and textual references are adapted from Ojala *et al* (2021) In search of a cure: The development of therapeutics to alter the progression of spinal muscular atrophy. *Brain Sciences*, 11, 194. Figure was created on Mind the Graph.

Alongside actin transport, SMN is also thought to transduce actin signalling pathways ¹³³. One such example is the interactive binding of the SMN polyprotein motif to profilin-2a ^{69,116,134}. This in turn prevents ROCK-dependent profilin-2a phosphorylation, allowing for the phosphorylation of cofilin and myosin light chain phosphatase (MLCP) instead, which enables normal actin dynamics ^{116,134,135}. However, Smn depletion in PC12 cells suggests that the loss of SMN allows for ROCK to competitively hyper-phosphorylate and activate profilin-2a, which leaves cofilin and MLCP hypo-phosphorylated, leading to an imbalance in actin remodelling and to impaired neurite outgrowth ^{116,136}. Similarly, profilin-2a and RhoA/ROCK signalling have recently been validated as regulators of actin rod assembly, which is defective upon Smn depletion in the murine motor neuron-like NSC-34 cell line ¹³⁷. Furthermore, *in vivo* studies have also reported increased RhoA/ROCK activity in symptomatic intermediate Smn^{2B/-}SMA mice, which was attenuated independently of Smn restoration via treatment with ROCK inhibitors Y-27632 and fasudil, highlighting the importance of the pathway in SMA neuronal pathology 117,138.

Interestingly, genes involved in actin remodelling also act as genetic modifiers of SMA. Indeed, linkage analysis of an asymptomatic SMA patient with the same genotype as their affected sibling, identified that the asymptomatic individual had elevated mRNA and protein levels of plastin-3, an actin-binding protein ^{139,140}. The notion of plastin-3 being a positive genetic modifier of SMA is further supported by evidence in *Smn*^{2B/-}SMA mice that demonstrated a concomitant plastin-3 decrease and profilin-2a elevation in neuronal tissue prior to symptomatic onset ⁹⁸. Furthermore, plastin-3 overexpression improved the lifespan, motor function and NMJ activity of *SMN*Δ7 SMA mice ^{141,142}.

Although actin dysregulation is an interesting pathological mechanism, it is not solely responsible for motor neuron degeneration in SMA. Indeed, established, and ongoing research have identified a multitude of dysfunctional mechanisms and pathways that contribute to motor neuron degeneration upon SMN depletion. These include autophagy ¹¹¹, pro-apoptotic elevations of Caspase-3 ^{111,143,144}, alongside mitochondrial depletion, impaired mitochondrial biogenesis and oxidative phosphorylation ^{129,145,146}.

Alongside intrinsic motor neuron dysfunctions, effects on other CNS cells have also been reported in SMA models. One example is astrocytes, which serve as primary support cells for the CNS ¹⁴⁷. Indeed, both SMA patient iPSC-derived neurons and *SMN*⁄⁄⁄/7 SMA spinal cords display morphological and functional alterations in astrocytes, which in turn prevents stimulation of neurite outgrowth ^{148,149}

Collectively, the evidence provided thus far presents the neuronal pathologies in SMA as complex multi-factorial mechanisms that govern canonical roles in the CNS.

1.3. Peripheral pathologies in SMA

The notion of SMA being solely a motor neuron disease has been reconsidered in recent years, with emerging evidence from independent studies suggesting it may be a systemic multi-organ disease (Figure 1.4). Indeed, investigations reporting pathologies in peripheral tissues alongside established evidence of SMN being ubiquitously expressed have helped support this notion ^{77,150,151}.

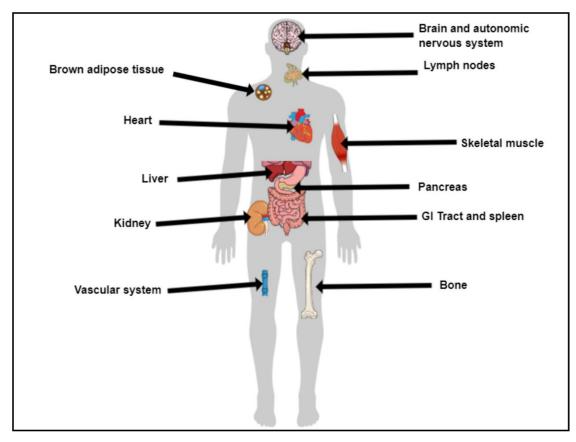


Figure 1.4. SMA is a systemic disease that affects multiple organs. Figure was created on Mind the Graph.

1.3.1 Developmental and functional dysfunction in SMA skeletal muscle.

Traditionally, it can be assumed that denervation-induced muscle atrophy via alpha-motor neuron degeneration and NMJ defects is the main contribution of muscle loss and weakness in SMA ^{2,152–154}. However, this idea had been challenged in recent years with emerging evidence that different SMA mouse models have naturally smaller body sizes and thus smaller muscles compared to healthy mice ^{38,40,50,57}. Furthermore, deletion of atrophy-promoting genes *atrogin-1* and *Muscle RING Finger 1* (*MuRF1*) in severe *SMN*△7 SMA mice failed to improve weight or survival phenotypes ¹⁵⁵. Thus, we need to evaluate and take into consideration the extent that innate muscle dysfunctions outside of denervation-induced atrophy contributes to SMA disease phenotype.

Although, one study argued that intrinsic SMN depletion does not impact skeletal muscle (e.g. muscle-specific *Smn*-KO *SMN*Δ7 mice do not display muscle defects) ¹⁵⁶, multiple studies across pre-symptomatic models, patient biopsies and muscle cell lines have presented contradictory evidence of pathologies in SMA skeletal muscle, which are independent of neuronal degeneration ^{54,55,157–160}. Indeed, muscle cells derived from human type 1 SMA embryonic stem cells (ESCs) demonstrated impaired myogenic development, function and metabolism, independent of motor neuron innervation ¹⁶¹. Furthermore, *MyoD-iCre SMN2+/-; SmnF7/-* (or *MyoD-iCre*) SMA mice, which only had 25% FL-Smn expression in skeletal muscle instead of systemic FL-Smn depletion presented pathological similarities akin to intermediate *Smn*^{2B/-} SMA mice such as survival, progressive weight loss, NMJ activity and muscle fibre size ¹⁶².

Thus, although SMN activity and levels have critical importance in motor neurons (see section 1.2), its intrinsic depletion can still have a direct impact on muscle development and function that contributes to the manifestation of SMA pathologies.

1.3.1.1. SMA and skeletal muscle development.

Myogenesis is the formation of skeletal muscle and is split into primary and secondary phases, which are regulated in a spatial and temporal manner by muscle specific master regulatory transcription factors called myogenic regulatory factors (MRFs) ^{163,164} (Figure 1.5). Of note, most myogenic developmental timelines have been based on mouse studies ^{165,166} (Figure 1.5)

The primary phase begins with the upregulation of the MRFs myogenic factor 5 (Myf5), myogenic factor 6 (Myf6 or Mrf4) and myoblast determination protein 1 (MyoD) in a temporal pattern that converts Pax3+ myogenic precursors into myoblasts ^{167–171} (Figure 1.5). These myoblasts then terminally differentiate into single mononucleated myocytes via Myogenin (MyoG) and fuse together to form multi-nucleated primary myofibers ^{172–175} (Figure 1.5). Eventually, the primary phase culminates with the generation of multinucleated type 1 slow myofibers that express slow- and embryonic-specific myosin heavy chains (MHCs) like Myh3 and Myh7 ^{176,177} (Figure 1.5).

The secondary phase involves the fusion of Pax7⁺ myogenic precursors to the primary myofiber scaffold via MyoD and Myogenin upregulation ^{170,178–180} (Figure 1.5). Throughout the secondary phase, type-2 fast myofibers begin to emerge, which is evidenced by the expression of the fast MHC isoforms Myh2, Myh4 and

Myh1 ^{163,181} (Figure 1.5). A subset of these Pax⁷⁺ cells then form a quiescent pool of satellite cells, which are important for muscle growth, regeneration and repair ^{182–186} (Figure 1.5). Briefly, MyoD upregulation and Pax7 downregulation converts most of these satellite cells into proliferating myoblasts, whilst a small pool undergo self-renewal for stock replenishment in the basal lamina ^{187–192}.

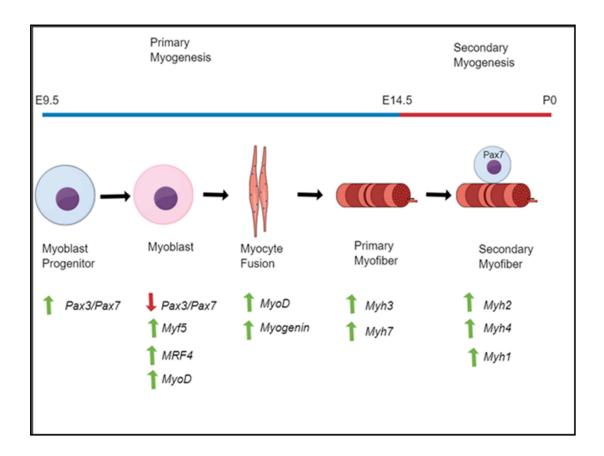


Figure 1.5. Timeline of murine skeletal myogenesis.

From left to right the developmental timeline for myogenesis encompasses primary phase from embryonic days (E) 9.5-14.5 (blue line) and secondary phase from E14.5 to post-natal day (P) 0 (red line). Primary phase begins with Pax3⁺ myoblast progenitors, which are converted into myoblasts through upregulation of myogenic regulatory factors (MRF) Myf5, MRF4 and MyoD alongside Pax3/7 downregulation. These mononucleated myoblasts are directed to terminally differentiate into myocytes through MyoD and Myogenin. Myogenin becomes important later on to mediate myocyte fusion to form multinucleated myofibers. At E14.5, primary phase ends with the formation of type-1 primary myofibers, which express embryonic and type 1 myosin heavy chain (MHC) isoforms: Myh3 and Myh7. Secondary myogenesis begins around E14.5 and lasts until birth through fusion of Pax7⁺ myogenic precursors onto a primary myofiber scaffold and each other through MRF direction. Throughout secondary myogenesis, type 2 skeletal myofibers form, which express fast MHC isoforms: Myh2, Myh4 and Myh1. Upregulated gene expression represented with green arrows. Downregulated gene expression represented with red arrows. Figure was created on Mind the Graph.

In SMA, one or more pathological features such as reduced myotube size, centralised nuclei, fusion defects and premature differentiation of satellite cells have been reported across pre-symptomatic SMA mice, patient and foetal muscle biopsies and Smn-depleted C2C12 myoblast-like cells, which could be attributed to delayed myogenesis ^{54,55,157–160}. One such intrinsic defect reported in early and later stages of SMA muscle development is delayed myogenesis. Indeed, myogenic cell lines generated from type 1 SMA human ESCs revealed a greater expression of pre-myogenic marker Pax3 and a lower expression of MyoD and Myogenin compared to healthy controls, reminiscent of myogenic precursors ¹⁶¹. Furthermore, these SMA myogenic precursor-like myoblasts were unable to properly differentiate in vitro, as evidenced by a consistent downregulation of Myogenin mRNA and MYH1/2/4/6 isoforms ¹⁶¹. Similarly, in vivo investigations of tibialis anterior (TA) and cranial muscle biopsies from pre-symptomatic neonatal severe (Smn^{-/-};SMN2^{+/+} and SMN₂7) and intermediate Smn^{2B/-} SMA mice also revealed reduced expression of Pax7, MyoD and Myogenin, suggesting that intrinsic SMN depletion delays early myogenesis in both pre- and neo-natal SMA muscle ⁵⁴. Interestingly, the longer life expectancy of Smn^{2B/-} SMA mice, compared to its severe counterparts (Smn^{-/-};SMN2+^{/+} and SMN∆7), was advantageous in revealing a significant increase of Pax7, MyoD and Myogenin levels in the older P21 muscle ⁵⁴, suggesting a delay in muscle development and maturation of SMA muscle. In addition, type 1-3 SMA muscle biopsies also presented similar features such as increased expression of MRFs (MyoD, Myogenin and MRF4), prenatal MHC isoforms and intermediate filaments that are commonly associated with immature muscle fibres (desmin and vimentin ¹⁹³) ^{157,158,194}, further supporting a delayed myogenesis in SMA muscle.

In addition to embryonic muscle development, features of impaired muscle differentiation are also observed in satellite cells ¹⁶⁰. While there was no significant difference in satellite cell pools between Extensor digitorum longus (EDL), TA and soleus muscles from P2 *Smn*^{-/-};*SMN*2+/+ SMA and control mice, myofiber analyses revealed a higher proportion of cells co-expressing Pax7 and MyoD in SMA muscles ¹⁶⁰. Furthermore, *in vitro* differentiation of satellite cells isolated from SMA mice resulted in an earlier expression of Myogenin and MHC isoforms in centrally-located nuclei of myotubes, suggesting that SMA satellite cells contribute to immature muscle fibres by premature differentiation ¹⁶⁰.

One proposed theory for how SMN impacts myogenesis is its regulation of cellular fusion processes like cell migration, alignment and cell-to-cell contact ¹⁹⁵. Indeed, slow migratory movements were observed in H2K-SMA myoblasts, as evidenced by disorganised actin cytoskeleton and persistent presence of talin and vinculin markers at the cell surface ^{195,196}, which are cytoskeletal proteins that typically undergo cycles of assembly and disassembly to promote cell migration ^{197–199}. Furthermore, restoration of Smn levels improved cell migration, supporting the functional role of SMN in this regulatory pathway ¹⁹⁵.

Based on the multitude of evidence highlighting SMN's intrinsic roles in myogenesis, multiple models reflecting its function have been proposed. The direct model suggests that SMN directly interacts and modulates the activity of molecular effectors of myogenesis. The indirect model proposes that reduced SMN levels contributes to increased alternative splicing and risk of DNA damage in genes critical for myogenesis, as DNA damage was evident in presymptomatic SMA skeletal muscle prior to damage in motor neurons ^{106,107}. Alternatively, both direct and indirect models could co-exist to explain SMN's

role(s) in muscle development. In spite of the remaining knowledge gaps, the evidence for impaired myogenesis as an early disease marker in SMA highlights the importance of directly treating skeletal muscle in this disease as early as possible.

1.3.1.2. SMA and skeletal muscle contraction.

Mature myofiber contraction is a tandem-controlled process where mechanical force is mediated by contractile myofibrils composed of sarcomere subunits 200 . Sarcomeres display a striped and organised pattern of sliding thin actin and thick myosin filaments with each individual subunit defined by the Z-disc borders 200,201 (Figure 1.6). Z-discs are cross-links predominantly composed of α -actinin, which interlock adjacent sarcomeres and anchor the actin filaments across the sarcomeres $^{201-204}$. In addition to stability and contraction, the Z-disc is also important for mechano-sensation and signalling linked to mechano-transduction and hypertrophy 203 .

In SMA, muscle fatigue is a pathological hallmark that can be associated with weakened contractile force ⁵⁵. Indeed, *ex vivo* contractility experiments with TA muscles from pre-symptomatic P2 *Smn*-/-; *SMN*2+/+ and P9 *Smn*^{2B/-} SMA mice revealed weakened twitch and maximum peak tectonic force at 200 Hz stimulation compared to healthy animals ⁵⁵. Furthermore, the increased unstimulated force generated by the *Smn*-/-; *SMN*2+/+ TA muscle indicated that these mice were unable to efficiently recover from muscle fatigue ⁵⁵. Additionally, evidence of weakened contractile force being linked to muscle-specific intrinsic

SMN depletion is observed from the reduced peak specific force in soleus muscle from *MyoD-iCre* SMA mice with muscle-specific FL-Smn depletion ¹⁶².

From an indirect perspective, one possibility for SMN depletions contribution to muscle fatigue and weakened contractility is that the increased alternative splicing and DNA damage in pre-symptomatic skeletal muscle could affect genes important for the sarcomere and contraction ^{106,107}. SMA muscle development studies indeed support this indirect model as the delayed MHC isoform expression observed in pre-symptomatic SMA mice and human ESC-derived type 1 SMA myoblasts creates an immature contractile apparatus that would consequently generate less force than in age-matched controls ^{55,161}. In addition, reduced expression of calcium regulatory proteins such as ryanodine receptor 1 (Ryr1) and SERCA1a, which are important for excitation-contraction coupling further supports SMN's indirect contribution to weaker contraction in SMA muscle ^{55,205,206}

However, evidence of a direct SMN model in muscle contraction first emerged when analysis of *Drosophila melanogaster* ²⁰⁷ and mouse myofibrils ^{207,208} revealed SMN co-localisation at the sarcomeric Z-disc through an α-actinin interaction. Interestingly, further analysis in the mouse myofibrils revealed the presence of core SMN complex partners (Gemins 2-8 and Unrip) ^{79,82,83} and the absence of spliceosomal Sm-class snRNP components ^{87,88,208}, suggesting that sarcomeric core SMN complex functions are unrelated to its ubiquitous spliceosomal counterpart ^{79,81,84,85,208}. Although these earlier studies did not conclude the exact functional role of SMN in the sarcomere ^{207,208}, a recent study comparing age-matched human control and type 1 SMA quadricep biopsies revealed that sarcomeric SMN associates with the Z-disc only in SMA muscle ²⁰⁹.

On the other hand, sarcomeric SMN in healthy muscle normally localises to the actin-positive I band (non-overlapping actin) ²⁰⁰ and titin-positive M band, which cross links titin and myosin via the myomesin complex for sarcomere stability ^{209,210}. Furthermore, the mislocalisation of sarcomeric SMN in type 1 SMA muscle was associated with dysregulation of the sarcomeres actin cytoskeleton and focal damage in co-existing hypertrophic and atrophic myofibers ²⁰⁹, suggesting that SMN plays an important role in the stability of the sarcomeres architecture and function.

With functional differences between spliceosomal ^{79,81,84,85} and sarcomeric SMN complexes ^{207–209}, protein partners of the latter were discovered that helps build a theoretical model for its unique and direct involvement in muscle contraction. Indeed, the control human muscle biopsies presented co-localisation of profilin-2 in spatial proximity of SMN in the sarcomere ²⁰⁹. Interestingly, prior studies that confirmed profilin-2 and SMN's direct interactive role in actin dynamics (see section 1.2) suggests the possibility that SMN depletion in SMA muscle results in the dysregulation of the sarcomeres actin cytoskeleton via hyperphosphorylation of profilin-2 by ROCK ^{116,136,137} (Figure 1.6). Although ROCK was not analysed in the control and type 1 SMA muscle biopsies ²⁰⁹, previous evidence that ROCK inhibition via Fasudil ¹³⁸ and Y-27632 ¹¹⁷ treatment improved skeletal muscle morphology in *Smn*^{2B/-}SMA mice, supports the possibility of its involvement in our model. However, further studies are needed to corroborate if this is the case.

Another interactive partner discovered for sarcomeric SMN was calpain, a Ca²⁺ signalling-dependent protease with around 17 different isoforms that are involved in a variety of processes in skeletal muscle including apoptosis, synaptic plasticity, cell motility and myoblast fusion ^{211–216}. Interestingly, in mouse

myofibrils both *in vivo* and *in vitro* experiments revealed that calpain cleaved sarcomeric SMN ²⁰⁸, supporting previous evidence of increased cleaved SMN C-terminal residues by calpain activity ²¹⁷. Although the authors could not conclude which calpain isoform interacted with sarcomeric SMN and its role in contraction ²⁰⁸, one theory could be that calpain cleaves SMN at the Z-disc as a counteractive feedback mechanism to minimise sarcomere instability (Figure 1.6). Although further studies are needed to investigate the role of calpains in the sarcomere of SMA muscle, parallel observations of sarcomere instability and weakened muscle contractions in diseases characterised by LOF mutations in the calpain-3 isoform such as limb-girdle muscular dystrophy type 2 A (LGMD2A) ^{218–220} and various titinopathies ^{221–223}, suggest this isoform could be a promising candidate.

Despite the gap in functional knowledge, the overwhelming evidence of reduced contractile force in SMA skeletal muscle, aberrant expression of MHC isoforms and intracellular Ca²⁺ activity showcase reduced muscle contraction as a contributory factor in SMA muscle fatigue. Furthermore, the impact of SMN depletion on expression of contractile components and the presence of a distinct sarcomere SMN complex linked to sarcomere stability suggests SMN plays both a direct and indirect role in muscle contraction.

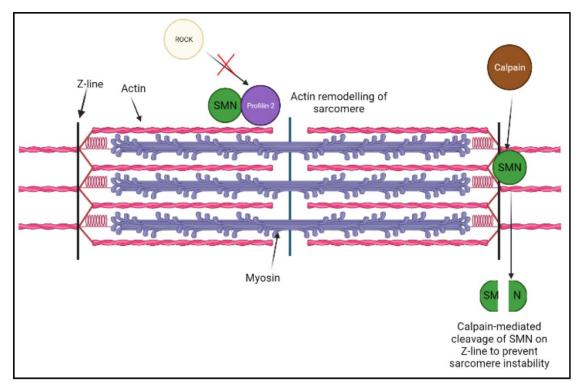


Figure 1.6. Proposed functional role of sarcomeric SMN complex in skeletal muscle
The sarcomere is composed of thick myosin (purple lines) and thin actin (red lines) filaments. The Z-disc (black line) is bound to actin on the peripheries of the sarcomere. Sarcomeric SMN (green circle) is present on thin actin filment interacting with Profilin-2 (purple circle) to competitively prevent ROCK (yellow circle) hyper-phosphorylation for proposed role in actin regulation of sarcomere. Calpain (brown circle) cleaves any sarcomeric SMN that has accumulated on the Z-line to prevent sarcomere instability. Figure was created on BioRender.com.

1.3.2. SMA and neuromuscular junction (NMJ) pathologies.

The NMJ is a specialised synaptic region comprised of the pre-synaptic motor neuron and post-synaptic motor endplates of myofibers that facilitates contraction via acetylcholine (ACh) and ACh receptor (AChR) interaction ²²⁴. In mice, the NMJ develops around embryonic day (E)12-13 through formation of plaque-like motor endplate structures that express slow conducting AChR y-isoforms that are imperfectly aligned with a myriad of motor neuron synaptic bulbs that extend axons to cover more regions than needed ^{225–231}. The critical step for NMJ development occurs during post-natal maturation in the first 3 weeks ²³⁰, where endplates undergo perforations and invaginations to form pretzel-like structures and the immature γ-isoforms are replaced with adult AChR ε-isoforms that align to the motor neuron synaptic bulbs ^{232–236}. In the pre-synaptic region, the motor neurons that are outcompeted in the polyneuronal cluster for motor unit innervation are progressively segregated and eventually pruned ^{231,237} by mechanisms of axonal degeneration ²³⁸, shredding ²³⁹ and/or retraction ^{240–242}. In the end, a mature motor unit is composed of muscle fibres governed by the axonal arbor of one motor neuron ²³⁰.

In terms of pre-synaptic NMJ pathologies in SMA, these can be associated with the previously described roles of SMN in the motor neuron (see section 1.2.)

Early pre-synaptic NMJ defects ^{243–245} that arise in SMA include Schwann cell dysregulation ^{246,247}, impaired voltage-gated ion channels ²⁴⁸ and polyneuronal innervation ²⁴⁹ (the NMJ before synaptic pruning ^{231,237}). However, one hallmark feature that progressively worsens is neurofilament accumulation ²⁵⁰. Normally, in a healthy motor neuron, 10 nm intermediate filaments abundant in the axon are transported along the cytoskeleton for various functions such as development,

maintenance and nerve activity 251,252 . However, in SMN-depleted motor neurons, neurofilaments instead accumulate around the neuronal endplate regions 250,253,254 , which may be potentially due to the reduced β -actin transport 118,127 , although this has yet to be proven. Throughout disease progression, this accumulation increases, resulting in further exacerbation of impaired NMJ maturation and function 2,250,253,255,255,256 .

In addition to the pre-synaptic region, post-synaptic NMJ abnormalities include aberrant AChR y-isoform expression, immature motor endplate morphology and impaired AChR clustering ^{255,257}. Motor endplate shrinkage has also been reported across several SMA mouse models 55,258, although this could be attributed to the smaller myofiber size brought about by defects in myogenesis and MRF regulation, as previously described in section 1.3.1.1. Although studies across mouse embryos with impaired neuronal development, symptomatic SMA mice and iPSC-derived SMA motor neurons co-cultured with C2C12 myotubes suggest that impaired AChR clustering is denervation dependent ^{255,259–262}, a case can also be made for intrinsic muscle involvement. Indeed, primary cultures from type 1 SMA embryonic skeletal muscle cells displayed reduced expression of nicotinic AChR, independent of interaction with motor neurons ²⁶³. Furthermore, neurofilament accumulation was increased in the NMJs of triceps, gastrocnemius and intercostal muscles from muscle-specific FL-Smn knockdown (KD) MyoD-iCre SMA mice, independent of motor neuron degeneration, suggesting that muscle-specific intrinsic SMN depletion can influence both preand post-synaptic NMJ pathologies in SMA ¹⁶².

One important contributor to NMJ formation is the agrin-muscle specific kinase-low density lipoprotein receptor related protein 4 (agrin-MuSK-Lrp4) pathway ^{264–}

²⁶⁷. Interestingly, agrin is mis-spliced and downregulated in both motor neurons and quadriceps of SMA mice ^{268–270}. In addition, exogenous agrin upregulation via NT-1654 (agrin agent) treatment in SMA mice improved myofiber size and NMJ activity, suggesting that agrin is a contributory effector to SMA NMJ pathologies ^{268–270}. Downstream of agrin, MuSK dysregulation has also been reported in SMA and MuSK activation via an agonist antibody significantly improved NMJ innervation and synaptic efficacy in the splenius muscle of *SMN*⊿7 mice ²⁷¹. MuSK dysregulation has also been linked to other muscle-wasting conditions such as amyotrophic lateral sclerosis (ALS) and MuSK-myasthenia gravis (MuSK-MG) ^{272–274}. These studies thus highlight SMN-independent pathways that can contribute to aberrant NMJ pathology in SMA.

Despite NMJ defects being observed in most SMA muscle, the vulnerability to synaptic loss and NMJ denervation is selective ^{2,256}. Although studies in both *Smn*-/-;*SMN*2+/+ ²⁵⁶ and *SMN*Δ7 SMA ^{2,256} mice have aimed to better understand this selectivity, they highlighted that no single neuronal (fast vs denervated synapse, neurofilament accumulation) or muscular (fast vs slow twitch) factor was the main cause ². Instead, they concluded that a combination of neuronal and muscular risk factors are possibly involved in the vulnerability of NMJ denervation ^{2,256}, supporting the idea of taking both pre- and post-synaptic NMJ defects into consideration.

Although NMJ defects are a pathological hallmark feature of SMA, how SMN deficiency directly impacts NMJ development and function remains unclear. Low SMN levels could contribute to mis-splicing of genes important for NMJ development and function as was demonstrated with agrin ^{93,270}. However, an important caveat of previous studies is that human and murine NMJs are

morphological distinct ^{275,276}. Nevertheless, the presence of NMJ defects still exists in pre-clinical models and patients, highlighting its importance in SMA pathology.

1.3.3. SMA and metabolic dysfunctions in skeletal muscle and nonneuromuscular tissue.

In a healthy individual, skeletal muscle accounts for 40% of human body mass and its functional requirements for movement, posture and organ system support are associated with a high metabolic demand ^{277–280}. In addition, the plasticity of skeletal muscle as it adapts rapidly to external factors such as diet, exercise and age requires rapid and efficient regulation of metabolic pathways to produce the required energy ^{281,282}. For example, resting tissue consumes up to 30% of energy (ATP), while exercised muscle consumes nearly 100% ^{281,282}. Three of the major macromolecular metabolic pathways important for skeletal muscle function regulate glucose, fatty acids (FAs) and proteins.

1.3.3.1. Glucose metabolism

Skeletal muscle is a primary site for whole-body glucose regulation ²⁸³. Normally, glucose uptake is pre-dominantly mediated by insulin stimulation in skeletal muscle via its binding to the sarcolemma expressed insulin receptor (IR)-α subunit, which induces a conformational change and auto-phosphorylation of the tyrosine residue in the IR-β subunit ^{284–286}. The following downstream signalling cascade of IR substrate 1 (IRS-1)/phosphoinositide 3-kinase (PI3K)/phosphatidylinositol (3,4,5)-triphosphate (PIP₃)/phosphoinositide-dependent kinase 1 (PDK1)/Akt/Akt substrate of 160 kDa (AS160) is then activated ^{287–294}. This results in the translocation of vesicles containing GLUT4 to the sarcolemma and insulin-stimulated exocytosis, which enables glucose influx into the myocyte ^{294–297}. In conditions of high energy requirements the intra-

myocellular glucose is then phosphorylated by hexokinase-2 (Hk2) for glycolysis

A common metabolic disease characterised by reduced glucose uptake and insulin resistance is diabetes mellitus (DM) ^{299,300}, however in recent years, studies have found similar glucose regulatory pathologies in SMA. Indeed, type 1-3 SMA patients display hypo- and hyper-glycaemia in fasted states ^{301–303}, glucose intolerance ³⁰¹, hyperleptinaemia ³⁰⁴, and insulin resistance ³⁰⁵. In addition, case studies have reported the co-existence of pre-diabetes ³⁰³, type 1 DM (T1DM) ³⁰⁶ and ketoacidosis ^{307–309} in SMA patients. Similarly, pre-clinical studies also characterised pathologies such as hyperglycaemia, hyperglucagonaemia and glucose intolerance in *Smn*^{2B/-} SMA mice, which suggests that dysfunctional glucose metabolism and insulin activity are features in SMA ^{301,310}.

Normally within DM skeletal muscle, these glucose metabolic aberrations are characterised by insulin resistance, diminished peripheral glucose uptake and reduced glycolysis ^{299,300}. Similarly, the mRNA levels for glucose uptake and metabolism markers *HK2* ³¹¹ and *GLUT4* ^{295,296} were also downregulated in type 1 SMA iliopsoas muscles and TA muscle from *Smn* ^{2B/-} SMA mice ³¹², highlighting a pathological overlap in skeletal muscle glucose defects between SMA and DM. Although insulin resistance and aberrant glucose metabolism can be secondary consequences of progressive muscle wasting ^{313,314}, one study suggested that intrinsic SMN depletion could also play an active role ¹⁶¹. Indeed, ESC-derived type 1 SMA myoblasts that were not subjected to denervation-induced muscle wasting displayed impairments in glycolysis and oxidative phosphorylation ¹⁶¹.

However, we cannot rule out exacerbation from progressive muscle wasting in SMA ^{315,316} since skeletal muscle insulin resistance and aberrant peripheral glucose uptake are also reported in related NMDs and myopathies like Duchenne muscular dystrophy (DMD) ^{317,318}, myotonic dystrophy type 1 and 2 (MD1 and MD2) ^{319–321} and ALS ^{322,323}.

Outside of skeletal muscle, another organ critical for whole body glucose metabolism is the pancreas ³²⁴. This importance is best characterised in T1DM patients who develop insulin resistance by insufficient insulin production from pancreatic β-islet cells ³²⁵. Interestingly, both SMA and T1DM share pathological pancreatic morphologies. Pancreatic biopsies from type 1 SMA patients and Smn^{2B/-} mice displayed reduced insulin-producing β-islet cells and increased glucagon-producing α-islet cells in pre-symptomatic stages, which is reminiscent of the pancreatic pathophysiology in T1DM ³⁰¹. Furthermore, non-SMA Smn^{+/-} mice (reminiscent of SMA carriers) with only 50% FL-Smn expression, were also found to have a pre-disposed risk of developing glucose metabolism and pancreatic defects in later life compared to wild type counterparts ³¹⁰, suggesting that the SMN protein plays a crucial role in pancreatic development and function. Altogether, these findings suggest a systemic dysfunction in glucose metabolism in SMA that shares similarities to other metabolic and muscle-wasting diseases.

1.3.3.2. Fatty acid (FA) metabolism

In a fasted state, FAs serve as another source for ATP production in skeletal muscle 326 . Normally, long-chain FAs(LC-FAs) are either taken up via passive diffusion across the sarcolemma or actively transported by FA binding protein (FABP), FA transport protein 1 (FATP1) and cluster of differentiation 36 (CD36) complex 327,328 . Once inside the myocyte, LC-FAs are converted into fatty acyl-CoA by Acyl-coenzyme A synthetase 329,330 , followed by the acyl-CoA either serving as a substrate for lipid synthesis 331 or being converted into acyl-carnitine and transported into the mitochondrial matrix for β -oxidation via carnitine palmitoyltransferase 1 (CPT1) 332,333 . Aberrations in skeletal muscle FA metabolism are commonly observed in metabolic disorders, including diminished FA oxidation 334,335 and intramyocellular lipid accumulation 336,337 , which ultimately can simultaneously exacerbate insulin resistance and impact glucose uptake (see section 1.3.3.1.).

Interestingly, case studies that span decades have reported FA metabolism aberrations across type 1-3 SMA patients that include elevated circulating short-and medium-chain FAs, carnitine deficiencies, dyslipidaemia, and dicarboxylic aciduria $^{303,338-340}$. Furthermore, rigorous body composition analyses via the fatty mass index (FMI) method reported elevated adipose to lean muscle ratio in type 1-3 SMA patients, despite them being normal or underweight on a body mass index (BMI) scale 341 . In depth analysis of skeletal muscle biopsies from 5 patients diagnosed with type 1-3 SMA further supported FA metabolic dysfunctions as they showed reduced activity of enzymes important for FA β -oxidation (long-chain 3-hydroxyacyl-CoA dehydrogenase (LCHAD), short-chain 3-hydroxyacyl-CoA dehydrogenase (SCHAD), 3-ketothiolase) 342 , whilst another

independent study reported reduced expression of acyl-CoA dehydrogenase ³³⁹. Similar findings were also reported in the skeletal muscle of *Smn*^{2B/-}SMA mice, where polymerase chain reaction (PCR) arrays revealed a dysregulation in FA metabolism genes ³⁴³. Interestingly, a comparison between age-matched patients with a non-SMA neurodegenerative condition and type 1-3 SMA patients in a fasted state showed elevated levels of dodecanoic acid, a saturated 12-carbon chain medium-chain FA, specifically in the latter group, suggesting that juvenile FA impairments may be SMA-specific ^{340,344,345}.

Outside of the muscular system, the liver plays a central role in FA metabolism and its dysfunction can lead to the development of non-alcoholic fatty liver disease (NAFLD) due to triglyceride over-accumulation ^{346,347}. Although NAFLD is commonly associated with obesity and metabolic disorders ³⁴⁷, hepatic fatty deposits reminiscent of the NAFLD phenotype have also been reported in both SMA patients and Smn^{2B/-} mice ³⁴³. Importantly, SMN restoration in Smn^{2B/-} mice rescued this pathology, highlighting the role of SMN in both the liver and FA metabolism ³⁴⁸. Additional studies provide support for the vital role of SMN in the liver. Indeed, one study specifically depleted FL-SMN levels in the liver of wild type C57BL/6J mice ³⁴⁹. Remarkably, the liver-specific FL-SMN depletion led to late embryonic lethality caused by hepatic atrophy, dysfunction, iron overload and impaired regeneration ³⁴⁹. In addition, the liver of pre-symptomatic *Smn*-/-;*SMN*2 SMA pups display reduced levels of *Insulin-like growth factor binding protein*, acid labile subunit (Igfals), which encodes a serum protein important for the stabilisation of insulin-like growth factor 1 (Igf-1) 350,351. With IGF-1 being vital for normal growth development and metabolism ^{352,353} and reports of reduced serum

IGF-1 levels in SMA patients ³⁵⁴, these studies support a crucial role for SMN in liver development and function ³⁵⁰.

Another non-neuromuscular site for FA metabolism is brown adipose tissue (BAT), which plays a functional thermogenesis role in infants to prevent hypothermia ³⁵⁵. Recent investigations have revealed that BAT also show SMA-related abnormalities ³⁵⁶, although research is still ongoing to better understand the molecular mechanisms behind these abnormalities (Bowerman *et al*, *unpublished*).

Altogether, these findings across body composition, serum, skeletal muscle, liver and BAT highlight aberrant FA metabolism as a key pathology in SMA.

1.3.3.3. Protein metabolism

Protein metabolism is essential for regulation of skeletal muscle size with approximately 80% of muscle's dry weight being protein based ³⁵⁷. Muscle size is modulated by anabolic pathways that promote protein synthesis and contribute to muscle hypertrophy and catabolic pathways that promote the breakdown of intramyocellular proteins that contribute to muscle atrophy ³⁵⁸. Given that progressive muscle atrophy is a pathological hallmark of SMA ^{2,152–154}, numerous studies have aimed to understand the pathways involved, not only to discover therapeutic targets, but also to explain the different severities across the SMA spectrum.

One study utilised a biased microarray approach to investigate if the canonical muscle growth IGF-1/PI3K/Akt regulatory pathway is dysfunctional in skeletal muscle biopsies of type 1 and 3 SMA patients ^{359,360}. Normally, IGF-1 signalling

leads to the activated mTOR kinase in the mTORC1 complex being phosphorylated and activated by Akt, which in turn activates via phosphorylation the downstream effectors S6K1 and 4E-BP1, ultimately promoting muscle growth \$360–362\$. Akt also represses Forkhead-box O (FOXO) transcription factors, which promote expression of auto-phagosomal and proteasomal degradation effectors that promote muscle atrophy \$360,363–365\$. In the above study, type 1 SMA muscle biopsies displayed consistent FOXO activation, indicative of progressive atrophy, whilst type 3 SMA muscle biopsies showed FOXO downregulation and mTOR upregulation, suggesting a co-existence of atrophic and hypertrophic muscle fibres that could account for the difference in muscle pathology between the different SMA types \$359\$.

A latter study on severe *Smn*^{-/-};*SMN2*^{+/+} and milder *Smn*^{2B/-}SMA mice further supported the idea of severity-dependent impairment of atrophy pathways ⁵⁶. Although atrophy in both models involved proteasomal degradation, as indicated by increased expression of E3-ubiquitin ligase atrogenes *atrogin-1* and *MuRF1* and increased presence of ubiquitinated proteins, the muscles from *Smn*^{2B/-}SMA mice also specifically displayed increased autophagosomal protein breakdown ⁵⁶. Electron microscopy analyses confirmed this by demonstrating a marked increase of autophagic vacuoles in the TA muscle from *Smn*^{2B/-}SMA mice ⁵⁶. Contrary to the previous study that presented *FOXO* overexpression in severe type 1 muscle only ³⁵⁹, this study reported it only in the milder *Smn*^{2B/-}SMA mice, which may be attributed to the dual conserved roles of these transcription factors in activating genes involved in both proteasomal and autophagosomal degradation pathways ^{56,363,366}. However, the authors noted that the lack of *FoxO*

overexpression in the severe *Smn*^{-/-};*SMN*2^{+/+} SMA mice could be due to their shorter lifespan and may in fact become elevated if they lived longer ⁵⁶.

With protein metabolism being an important factor in maintaining skeletal muscle health, further studies have investigated additional targets that regulate protein synthesis, proteasomal and auto-phagosomal pathways. One recent example is the glucocorticoid (GC)/ Krüppel-like factor 15 (Klf15)/branched chain amino acid (BCAA) pathway ⁵³. Klf15 is a Klf transcription factor involved in amino acid, lipid and glucose metabolism, that when activated can promote BCAA catabolism to decrease mTORC1 activity ^{367–370}. In addition, Klf15 can also transactivate atrogin-1 and MuRF1, creating a state of elevated atrophy ³⁷¹. In both *Smn*^{-/-}; *SMN*2 and *Smn*^{2B/-}SMA mice, a pattern of reduced *Klf15* expression in presymptomatic stages and elevated levels in post-symptomatic stages is observed, supporting this pathway's potential role in promoting muscle atrophy ⁵³. The evidence provided so far therefore highlights that protein metabolism plays a key role in regulating the severity of denervation-induced skeletal muscle atrophy across different SMA types.

1.3.3.4. Metabolism and circadian rhythms.

Despite the evidence of metabolic defects across muscular and nonneuromuscular tissues in SMA, the actual mechanism(s) of how intrinsic SMN depletion confers these dysfunctions remains ambiguous. One recent idea proposes that circadian pathways are a link between SMN depletion and metabolic defects in SMA. Normally, the circadian rhythm is an autonomous system that controls molecular and physiological functions including metabolism over a 24-hour period within cells and tissues ^{372,373}. A major factor in synchronising its oscillatory pattern is the environmental diurnal light and dark phases over 24 hours ³⁷⁴, which influences sleep patterns, although other factors can include diet and temperature to name a few ^{372,373}.

Circadian rhythmicity involves core transcription-translation feedback loops (TTFLs) composed of transcriptional activators CLOCK and BMAL1 ³⁷⁵, which promote the expression of repressor proteins period-1 (Per1), 2 and 3 and cryptochrome (Cry1) and 2, thus establishing a negative-feedback loop mechanism ^{376,377}. Importantly, intrinsic circadian oscillatory gene patterns govern metabolic and tissue-specific functions such as in skeletal muscle ³⁷⁸, adipose tissue ³⁷⁹, pancreas ³⁸⁰ and liver ³⁸¹. Furthermore, dysregulations within the reciprocal relationship between circadian rhythm and metabolism have been suggested to contribute to metabolic diseases ^{372,373}.

In the case of SMA, both metabolic (see section 1.3.3.1-3) and circadian defects (e.g. abnormal sleep pattern influenced by light-dark exposure ^{382,383}) have been reported. Thus, one study aimed to evaluate if circadian dysregulation could contribute to SMA pathology. Interestingly, they identified aberrant expression of circadian rhythm core clock (*Clock*, *Bmal1*, *Cry1*/2 and *Per1*/2) and clock output (*Nr1d1* and *Dbp*) genes across skeletal muscle (TA), spinal cord, liver, heart, white adipose tissue (WAT) and BAT in *Smn*^{-/-};*SMN2* SMA mice ^{356,384,385}. Furthermore, observations of the *Smn* gene revealed an age and tissuedependent diurnal expression pattern suggesting a possible relationship between SMN activity, circadian rhythm and metabolic homeostasis ³⁵⁶.

Although this study has set the groundwork for establishing a link between SMN depletion, metabolic defects, and circadian rhythm in SMA, future studies are needed to increase our understanding of this model.

1.3.3.5. Mitochondrial biogenesis and function.

Mitochondria are often referred to as the "cell powerhouse" as they utilise glucose and FA molecules as fuel sources for energy (ATP) production ³⁸⁶. In skeletal muscle, the abundance of mitochondria defines the fibre type and hence function, with oxidative slow-twitch type 1 and fast-twitch type 2a fibres containing more mitochondria than glycolytic fast-twitch type 2b muscle fibres ³⁸⁷.

Several studies in SMA have reported dysfunctional mitochondrial activity in neuronal and peripheral tissues ^{145,146,388–394}. In skeletal muscle, defects in mitochondrial biogenesis and functions have been reported in biopsies from both type 1-3 SMA patients and SMA mice ^{388–393}. These include aberrant morphology, increased mitochondrial swelling, reduced aerobic capacity, reduced dynamics, cytochrome-c oxidase deficiency, lower activity of electron transport chain complexes 1, 2 and 3 and reduced mitochondrial DNA (mtDNA) levels ^{129,388–393,395}. These pathologies can be attributed to the dysregulation of upstream molecular targets that normally govern mitochondrial biogenesis and function such as *peroxisome proliferator-activated receptor gamma coactivator 1-α* (*PGC1-α*), a master regulator of mitochondrial biogenesis ^{388,389,393}. Normally, PGC1-α targets downstream effectors *nuclear respiratory factor 1* (*NRF1*) and *NRF2*, which regulate the expression of genes that encode mitochondrial electron transport chain components and mtDNA transcription regulators ^{396–401}.

Interestingly, *PGC1-α*, *NRF1*, *NRF2*, and *transcription factor A*, *mitochondrial* (*TFAM*) are downregulated in skeletal muscle of *Smn*^{2B/-}SMA mice and muscle biopsies from SMA patients ^{388,389,393}.

Furthermore, exercise studies in *Smn*^{2B/-}SMA mice suggest that PGC1-α may also regulate SMN transcription via the AMP-activated protein kinase (AMPK)-p38- PGC1-α pathway, which may provide a link between intrinsic SMN depletion and mitochondrial activity ³⁸⁹. Additional studies have also postulated potential functional roles for the SMN protein in mitochondrial regulation, which include mitochondrial trafficking via colocalisation with actin-related protein 2 (ARX-2) ^{394,402}, mRNA trafficking of mitochondrial fusion proteins such as *Mitofusin2* via colocalisation with HuD ^{394,403,404} as well as regulating mitochondrial biogenesis and mitophagy via SMN-dependent Wnt/beta-catenin and UBA1-mediated pathways ^{114,394,394,405,406}.

Thus, through these mechanisms SMN depletion could contribute to the mitochondrial pathologies observed in SMA skeletal muscle ^{388–393}. Although further research is required to understand the role of SMN in mitochondrial activity and how its depletion leads to the reported defects.

1.3.4. Cardiovascular system

Outside of the neuromuscular system, emerging research suggests that low SMN levels can contribute to cardiac pathologies. A systematic review that searched literature related to cardiac pathologies or abnormalities in type 1-3 SMA patients. identified an association between structural cardiac defects and severe SMA 407. Indeed, type 1 SMA patients with a single SMN2 gene copy frequently showed structural cardiac defects in the atrial septum, cardiac outflow tract, patent ductus arteriosus and ventricular septum regions that aligned with electrocardiogram (ECG) abnormalities such as bradycardias (heart rate less than 40 beats per minute (BPM)) ⁴⁰⁷. Furthermore, congenital heart defects were also reported in type 0 SMA patients, which helped reinforce the link between structural cardiac abnormalities and severe SMA 408. Although, structural cardiac abnormalities are not commonly associated with milder forms of SMA (type 2 and 3), patients have reported ECG baseline tremors (type 2: 100% and type 3: 54%) 407. In addition, a minority of these patients also displayed cardiac defects such as impaired impulse initiation, and atrial and ventricular enlargement to name a few 407. Investigations in severe SMA mice (Smn^{-/-};SMN2^{+/+} and SMN∆7) have also reported structural and functional cardiac defects, including bradycardia, cardiac remodelling, ventricular and atrial defects, alongside reduced cardiac output, heart rate and stroke volume ^{409–413}. Interestingly, these were reported in both pre-symptomatic and symptomatic stages and improved after Smn restoration, indicating that SMN depletion plays an important role in cardiac development and function 412,413.

Although the role of SMN in cardiomyocytes is partially elusive, the SMN complex has been demonstrated to interact with α -actinin at the Z-disc in cardiac myofibrils ²⁰⁸, which are important for functional contraction, hypertrophy and mechanotransduction of the heart 414,415. Furthermore, SMN depletion could indirectly impact the function of cardiomyocytes through downstream targets such as ubiquitin-like-modifier-activating enzyme 1 (UBA1), a ubiquitin protein involved in proteasomal degradation that is possibly mechanistically linked to the Lamin A/C protein ^{114,416}. Encoded by the *LMNA* gene, Lamin A/C governs nuclear stability, chromatin structure and gene expression and when dysregulated contributes to certain cardiomyopathies ^{417,418}. In SMA, Lamin A/C elevation has been inversely linked with decreased UBA1 expression in cardiac tissue, which is thought to contribute to impaired cardiac function and fibrosis via cardiomyocyte remodelling and impaired cytoskeletal contractile apparatus ⁴¹⁶. In addition, one case study reported a case of SMA with cardiac disease caused by a novel nonsense mutation (1057C>T) in the LMNA gene, further supporting its role in SMA cardiac pathology ⁴¹⁹.

In addition to the cardiac muscle, vascular pathologies have also been reported in SMA such as vascular depletion ^{420,421}, hypo-vascularity ^{420,421}, poor perfusion ⁴²², and capillary density reduction ⁴²³, highlighting the cardiovascular system as an important target of SMN depletion.

1.3.5. Respiratory system

Respiratory complications and diminished oxygen uptake are usually the common form of mortality in untreated type 1-2 SMA, which can be attributed to the weakened diaphragm and intercostal skeletal muscles ^{8,9,395}. However, lung biopsies from *Smn*^{-/-};*SMN2* SMA mice showed dark red discolouration indicative of intrinsic pulmonary infarction due to a higher proportion of blood clots ⁴²⁴. Nevertheless, this was only one study in SMA mice and further research is therefore needed to better understand the role of SMN in the lungs.

1.3.6. Immune system

Intriguingly, discoveries in SMA mice have identified defects in lymphoid organs involved in immunity ^{425–428}. The spleen, which participates in blood filtration and the immune response ⁴²⁹, displays abnormalities across mild to severe SMA mice (*Smn*^{2B/-}, *SMN*²7 and *Smn*^{-/-};*SMN*²) ^{426–428}. Morphologically, these mice had a reduced spleen size and weight compared to their respective healthy counterparts, in both pre-symptomatic and symptomatic stages ⁴²⁶. Although decreased proliferation and elevated apoptosis in late symptomatic stages was thought as the main contributor to the reduced spleen size in *Smn*^{-/-};*SMN*² SMA mice ⁴²⁸, it is still unclear what is responsible for its reduced size in other models ⁴²⁵. In addition, the red pulp region, which is important for blood filtration, displayed decreased distribution and composition alongside increased megakaryocyte presence in the spleen of SMA mice ^{426,428,429}. On the other hand, the white pulp region, which is important for lymphocyte storage, was nearly absent, whilst B-lymphocyte concentration was increased, thus presenting

evidence for dysfunctional immunity ^{426–429}. Although these studies were only performed in pre-clinical models, preliminary analysis of biopsies from infantile type 1 SMA patients also confirmed the presence of spleen abnormalities ⁴²⁸.

Conversely, the thymus, a primary lymphoid organ important for adaptive immunity, did not display gross morphological abnormalities ^{427,430}. However, indepth histological investigation in the thymus from *Smn*^{-/-};*SMN*2 and *Smn*^{2B/-} SMA mice revealed cortex thinning, increased apoptotic activity and abnormal T-cell development at late symptomatic stages, which also can exacerbate immune dysfunction since the thymus is important for T-cell maturation ^{427,430}.

Despite the evidence of lymphatic organ abnormalities and immune dysfunction, as evidenced by heightened neuroinflammation and pathogenic pulmonary susceptibility in SMA patients, how SMN contributes to the immune system is still unclear ^{425,431}. However, higher expression of Smn in the spleen and thymus in comparison to the CNS in *Smn*^{2B/-} SMA mice suggests that this protein's role is vitally important in these tissues ⁴²⁷. As above, restoration of SMN expression in *Smn*^{-/-};*SMN*² and *Smn*^{2B/-} mice rescued spleen abnormalities ^{426,427}, suggesting that the SMN protein plays a crucial role in the immune system.

1.3.7. Other organs and tissues affected in SMA

Although only a few non-neuromuscular peripheral systems have been described herein, SMN depletion has also contributed to pathologies in other organs and systems. These include the skeletal system, with reported impaired bone development and low mineral density, which was recently associated with intrinsic abnormalities in cell division and cartilage remodelling ^{432,433}. Another affected organ is the kidney as renal pathologies have been reported in both *Smn*-/-;*SMN2* mice and type 1 SMA patients ^{434,435}. Furthermore, sex-specific Smn-dependent differences have also been identified, with impaired testis development and low fertility occurring with mild *Smn*^{C/C} SMA mice ¹⁰⁵.

Overall, the selected examples of non-neuronal pathologies (Figure 1.4) show the importance of viewing SMA as a systemic disease and highlight the ubiquitous role that SMN plays in development. Furthermore, it also supports the need to take non-neuromuscular organs into consideration when treating SMA.

1.4. Treatment strategies in SMA.

1.4.1. SMN-dependent therapies

Prior to 2016, the only available therapy options for SMA patients were palliative care for complications stemming from progressive muscle loss, motor dysfunction and weakened respiratory functions ^{436–438}. Being a monogenic disorder with an identified gene target, several investigations into SMA treatment options focused on restoring FL-SMN protein levels to combat progressive motor neuron degeneration and peripheral pathologies ^{439,440}. These therapies, which are classed as SMN-dependent have since marked a new era in the SMA therapeutic field, with three of these treatments now clinically approved by the Food and Drug Administration (FDA) and European Medicines Agency (EMA) (Figure 1.7).

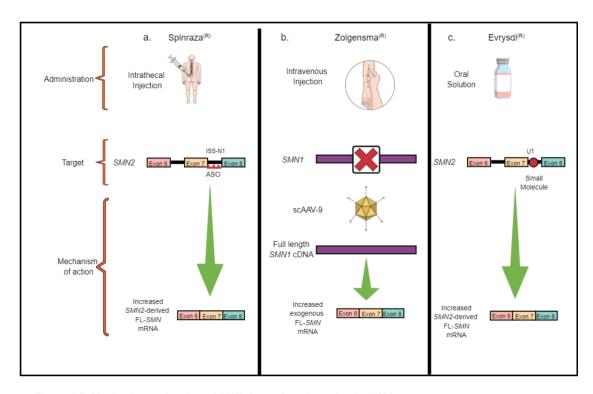


Figure 1.7. Mechanisms of action of SMN-dependent therapies for SMA.

- **a.** Spinraza^(R): A 22-nucleotide length antisense oligonucleotide (ASO) that is intrathecally injected into SMA patients and binds to the intronic splicing silencer N1 (ISS-N1) region between exon 7 and 8 in *SMN2* to promote exon 7 inclusion and increase expression of full length (FL)-*SMN* mRNA transcripts.
- **b.** Zolgensma^(R): A self-complementary adeno-associated virus 9 (sc-AAV9) that contains a FL-*SMN1* complementary DNA (cDNA) that is intravenously injected into SMA patients to exogenously restore the intrinsic *SMN1* LOF.
- **c.** Evrysdi^(R): A small molecule that is orally administered to SMA patients to enhance U1 pre-mRNA associated exon 7 inclusion in *SMN2*, which promotes expression of FL-*SMN* mRNA transcripts.

The figure and textual references are adapted from Ojala *et al* (2021) In search of a cure: The development of therapeutics to alter the progression of spinal muscular atrophy. *Brain Sciences*, 11, 194. Figure was created on Mind the Graph.

1.4.1.1. Spinraza®

As previously described in section 1.1.2., humans are fortunate that *SMN2* CNV enables a minor compensatory production of FL-SMN albeit with a reduced efficiency (10% FL-SMN: 90% SMNΔ7) ^{31,32,35}. This ability of the *SMN2* gene to modify SMA severity ⁴¹ (Table 1.1), laid the groundwork for an SMN-dependent treatment strategy aimed at modulating *SMN2* splicing to promote expression of endogenous FL-SMN levels, thus compensating for the absent production in LOF *SMN1* alleles ^{31,32,35,40,441}.

Initial preclinical screenings in SMA mice possessing transgenic SMN2 genes (Smn^{-/-}, hSMN2^{+/+}, SMNΔ7^{+/+} & Smn^{-/-};SMN2) supported a therapeutic SMN2 targeting mechanism by anti-sense oligonucleotides (ASOs) 350,441-445, which are synthetic single-stranded oligodeoxynucleotide sequences that hybridise to target RNA, affecting transcriptional and translational regulation 446. Indeed, the ASO that targeted SMN2 intronic splicing silencer N1 (ISS-N1) was eventually developed into Spinraza® (or Nusinersen) as it increased FL-SMN expression and improved survival and disease phenotype in SMA mice ^{350,441–445} (Figure 1.7.a). Designed as a 2'O-methoxyethyl backbone ASO with a complementary 22-nt ISS-N1 motif sequence 447,448, Spinraza's® > 400 Da size prevents its ability to pass through the blood-brain-barrier (BBB) 449,450. Thus, neuronal delivery of Spinraza® in SMA patients requires single intrathecal injections (12 mg/5 ml recommended dosage) 451-453 (Figure 1.7.a). Based on results from open-label phase 1 trials in type 2-3 SMA patients ⁴⁵² (ClinicalTrials.gov ID: NCT01494701) and phase 2 open-label, escalating dose trials in infantile-onset type 1 SMA patients ⁴⁵¹ (ClinicalTrials.gov ID: NCT01839656) the first three initial doses are

delivered at 14-day intervals, followed by a 4th 30 days after ⁴⁵³. Subsequent maintenance doses are then administered for every 4 months ⁴⁵³.

Thus far, phase 3 clinical trials for Spinraza® have been undertaken in type 1 SMA patients (Age: <7 months, non-respiratory support, SMN2 CNV: 2, ENDEAR (ClinicalTrials.gov ID: NCT02193074) ⁴⁵⁴) and later onset type 2 and 3 SMA patients (Age: 2 – 14 years, SMN2 CNV: 3-5, and no history of serious hospitalisation, CHERISH (ClinicalTrials.gov ID: NCT02292537) 455). Although a 47% survival rate was reported in type 1 infants 454, both studies reported significant functional improvements with 51% of surviving type 1 patients achieving the developmental milestone of sitting upright 454 and 57% of type 2-3 patients improving their motor function score ⁴⁵⁵. Currently, two ongoing clinical trials are focusing on pre-symptomatic (NURTURE, ClinicalTrials.gov ID: NCT02386553) and adult SMA patients (SAS, ClinicalTrials.gov ID: NCT03709784), with NURTURE interim results reporting that developmental milestones have been reached by treated SMA patients ⁴⁵⁶. However, the SAS clinical trial has reported reductions in general strength and motor function in treated SMA adult patients, suggesting that optimised doses for different treatment durations and ages may be required ⁴⁵⁷.

Although Spinraza's® approval marked a new era in SMA, its use is not without challenges and limitations. Firstly, intrathecal administration is an invasive procedure that requires trained staff, equipment and anaesthetics, thus creating a burden for younger patients alongside access difficulties for those from lower socio-economic backgrounds ^{458,459}. In addition, intrathecal administration can be even more difficult in cases of scoliosis, which commonly occur in SMA patients ^{460–462}. Another issue is that intrathecal administration limits systemic distribution

to peripheral tissues, which impacts Spinraza's® efficacy via poor BBB penetrance as pre-clinical studies have shown that systemic SMN restoration greatly improved survival and disease phenotype in SMA mice compared to SMN restoration in the CNS alone ³⁵⁰. Finally, the annual treatment costs of around \$750,000 could potentially limit accessibility to patients from lower socioeconomic backgrounds and create approval restrictions across various countries

1.4.1.2. Zolgensma®

In 2018, the second SMN-dependent therapy approved was Zolgensma® (or Onasemnogene abeparvovec-xioi or AVXS-101), a one-time intravenously administered gene replacement therapy that consists of a self-complementary adeno-associated virus serotype 9 (scAAV-9) vector, which contains a human FL-SMN1 complementary DNA (cDNA) sequence expressed by a chicken β-actin promoter that exogenously restores FL-SMN levels ^{463–466} (Figure 1.7.b). A natural advantage of Zolgensma® is scAAV9's ability to cross the BBB, which allows for less invasive injection methods (intravenous) that can systemically reach neuronal and peripheral tissues ⁴⁶⁷. This was supported by pre-clinical studies reporting that SMA mice receiving intravenous injections of scAAV9-SMN1 displayed improved survival and motor function as well as attenuated neuromuscular pathologies, which was associated with the increased SMN levels in both neuronal and peripheral tissues ^{464,468,469} (Figure 1.7.b).

As a result of the pre-clinical success, an initial phase 1 clinical trial was conducted in 15 type 1 SMA patients (START, ClinicalTrials.gov ID:

NCT02122952) who received either low (6.7x10¹³ vg per kg of body weight) or high doses (2.0x10¹⁴ vg per kg of bodyweight) of Zolgensma[®] ⁴⁷⁰. Two years post-treatment, a 100% survival rate was reported, whereby 11 patients achieved the ability to sit unassisted and 2 patients walked independently ^{463,470,471}. Furthermore, a pattern emerged showing that earlier treatment (< 3 months old) was associated with a more rapid achievement of motor milestones ⁴⁷¹. START phase 1 patients have now been transferred to a follow up study aimed at evaluating the long-term efficacy of Zolgensma[®] (15 years post-treatment, ClinicalTrials.gov ID: NCT03421977). As of 2021, a published 5-year follow up study reported no regression in improved motor function abilities, no deaths and no requirements for permanent mechanical ventilation ⁴⁷².

Based on this initial success, further Zolgensma® clinical trials have now started, including an open-label, single-arm phase 3 trial (STR1VE) in both the US (ClinicalTrials.gov ID: NCT03306277) and Europe (ClinicalTrials.gov ID: NCT03461289), with interim results showing a 90.9% survival rate without permanent ventilation at 18 months of age and 59.1% of patients reaching independent milestones ^{473,474}. Furthermore, an open-label, single-arm phase 3 trial termed SPR1NT (ClinicalTrials.gov ID: NCT03505099) is focusing on presymptomatic SMA infants (< 6 weeks age and 2-4 *SMN2* CNV), with interim results similar to those reported in parallel studies (START and STR1VE) ⁴⁷⁵. With Zolgensma® being only clinically approved for type 1 SMA patients, a new study has begun in type 2 SMA patients (STRONG, ClinicalTrials.gov ID: NCT03381729), which uses intrathecal injections instead of intravenous. However, as of 2021, the STRONG clinical trial has been put on hold due to unpublished pre-clinical findings by Avexis that identified the development of

inflammation and sometimes neurodegeneration in the dorsal root ganglia of primate models intrathecally administered with Zolgensma ⁴⁷⁶.

Despite the preliminary success observed in type 1 SMA patients, limitations of Zolgensma® have also been identified. From an experimental design point of view, START, STR1VE and SPR1NT focused on a small sample size and reported Zolgensma® as only being effective in type 1 SMA patients who received treatment at earlier symptomatic timepoints 463,470,471,473-475. Thus, with a small cohort treated at earlier disease stages, it is too early to conclude Zolgensma's® efficacy at later time-point administration or in elder patients, such as those with type 2-4 SMA. Moreover, the short timeline of 5 years (so far) for the evaluation of Zolgensma® treatment in type 1 SMA patients creates uncertainty around this therapy's long-term effects. Already, short-term adverse effects have been reported such as serious liver complications that required GC treatment to attenuate elevated serum aminotransferase levels and thrombotic microangiopathy ^{470,477,477,478}. Furthermore, the 5 year follow up START study has reported long-term side effects such as acute respiratory failure independent of weakened diaphragmatic and intercostal muscle in 62% of treated patients ⁴⁷². In addition, a more recent pre-clinical study provides evidence suggesting that longterm scAAV9-mediated SMN overexpression counterintuitively exacerbates motor dysfunction via the formation of toxic gain-of-function (GOF) protein aggregates that promote neurodegeneration, neuroinflammation and widespread transcriptome splicing dysregulations ⁴⁷⁹. There is also potential uncertainty of long-term efficacy with the risks of anti-AAV antibodies rejecting the treatment ⁴⁸⁰. Finally, although Zolgensma® is a single-dose treatment, as of 2021 it is still the

world's most expensive drug, limiting its availability for patients from lower socioeconomic backgrounds and regulatory bodies in different countries ⁴⁸¹.

1.4.1.3. Evrysdi[®]

The most recently approved SMN-dependent therapy (2020) was Evrysdi® (Risdiplam), which belongs to a class of pyrido-pyrimidinone small molecules that modulate alternative splicing of *SMN2* to promote FL-SMN expression ^{482–484} (Figure 1.7.c). Compared to Spinraza® and Zolgensma®, Evrysdi® holds a dual advantage of oral bioavailability and BBB penetrability, providing a non-invasive treatment option that can be administered daily in patients and allows for systemic distribution to elevate FL-SMN levels in neuronal and peripheral tissues ^{482–484} (Figure 1.7.c).

The development of Evrysdi® began with the preliminary success of pyridopyrimidine compounds that promoted FL-*SMN2* expression in SMA fibroblasts and iPSCs and improved survival and disease phenotypes in *SMNΔ7* SMA mice ⁴⁸⁵. However, initial phase clinical 1 trials for the first pyridopyrimidine derivative RG7800 (MOONFISH, ClinicalTrials.gov ID: NCT02240355) was terminated due to pre-clinical reports of genotoxic adverse effects in cynomolgus monkeys and *SMNΔ7* SMA mice ^{485–488}. Instead, Roche developed Evrysdi®, which improved systemic FL-*SMN2* expression and reduced genotoxic risks in *SMNΔ7* SMA mice compared to its predecessor ^{484,488}.

Later on, phase 1 clinical trials (ClinicalTrials.gov ID: NCT02633709) in healthy males supported the evidence for increased FL-*SMN*2 expression and no adverse risks ⁴⁸⁹. Subsequent, phase 2/3 clinical trials in symptomatic type 1

(FIREFISH, ClinicalTrials.gov ID: NCT02913482) ^{490,491} and type 2-3 SMA patients (SUNFISH, ClinicalTrials.gov ID: NCT02908685) ^{492,493} have so far been promising. Indeed, type 1 patients that received doses of 0.2 mg/kg/day demonstrated improved motor function, the ability to independently sit upright and survival rates of 85% ^{490,491}.

Similarly, the type 2 and 3 patients displayed improved motor function 24 months after initial treatment ^{492,493}. In addition to these two studies, another ongoing clinical trial is JEWELFISH (ClinicalTrials.gov ID: NCT03032172), which aims to evaluate Evrysdi® in type 1-3 SMA patients previously enrolled in MOONFISH or previously treated with Spinraza® and Zolgensma®. Furthermore, a new study currently in recruitment (RAINBOWFISH, ClinicalTrials.gov ID: NCT03779334) aims to evaluate the efficacy of Evrysdi® in pre-symptomatic type 1 SMA patients aged up to 6 weeks.

Although, the therapeutic potential of Evrysdi® is promising, there are certain challenges that still need to be addressed. From a pharmacodynamics perspective, the exact mechanism of how Evrysdi® promotes *SMN2* exon 7 inclusion is still not yet fully understood ⁴⁸³. Mechanistic studies with the precursory SMN-C3 pyridopyrimidine analog have associated recruitment of splicing factors FUBP1 and KHSRP to the central AC-rich motif in exon 7 as a mechanism for exon 7 inclusion ⁴⁹⁴ (Figure 1.7.c). However, considering the structural modifications in Evrysdi®, the mechanism of action could be different ^{483,488}. Importantly, higher concentrations of the drug have produced off-target splicing events in the *STRN3*, *FOXM1*, *APLP2*, *MADD* and *SLC25A17* genes suggesting a common splicing factor ^{483,488}.

Another consideration with Evrysdi® is that long-term suitability has yet to be fully investigated and adverse effects have already been reported in patients, including fever, joint pain, urinary tract infections, upper respiratory tract infections and pneumonia ⁴⁸³. Finally, although Evrysdi® is marketed at \$340,000 per annum (based on 20 kg patients), making it a less expensive option than its competitors Spinraza® and Zolgensma®, it still comes at a high price that could limit its access ⁴⁹⁵.

1.4.2. Current skeletal muscle specific SMN-independent therapies for combinatorial treatments

1.4.2.1. Combinatorial therapies for SMA.

Despite the significant lifesaving effects of Spinraza®, Zolgensma® or Evrysdi®, (see section 1.4.1), these SMN-dependent therapies are unfortunately not a cure. Indeed, although pre-clinical and clinical studies have demonstrated significantly reduced disease severities and death rates, none have reported a 100% efficacy rate 441,451,454,456,463,464,470,475,488–490,493, with maximal therapeutic benefits associated with earlier intervention in pre-symptomatic and symptomatic onset stages 496,497. In the absence of new-born screening, this creates issues for older type 1 and 2 SMA patients who have surpassed these stages and for type 3 and 4 patients who usually receive a diagnosis after symptomatic onset 8,10,13–15,498–500

Furthermore, for treated SMA patients, these are unprecedented times as the delay in disease onset and/or progression has allowed them to surpass their natural disease progression and for type 1 patients, their life expectancy ^{8,14}, allowing for an uncharted era where novel or incompletely rescued pathologies may begin to manifest. Thus, a combinatorial therapeutic approach that uses established primary SMN-dependent therapies alongside secondary SMN-independent therapies that target tissue-specific pathologies could synergistically benefit SMA patients ⁵⁰¹.

Although motor neurons are a suitable target for both SMN-dependent and independent therapies, peripheral tissue targets are also required, as pre-clinical
studies have highlighted that systemic treatments had a greater therapeutic

impact than CNS treatments alone ^{77,350}. A major non-neuronal target for consideration is skeletal muscle as atrophy and muscle fatigability are hallmarks of SMA ^{1,3,55}. In addition, we have previously discussed the numerous skeletal muscle defects detected in SMA patients and pre-clinical models (*in vivo* and *in vitro*) that are attributed to both intrinsic SMN depletion and/or denervation and overlap with non-related NMDs and myopathic disorders such as ALS, MG and DMD (see section 1.3).

Thus, skeletal muscle-specific treatments would be a great addition to synergistically improve skeletal muscle pathologies in SMA. Indeed, there are ongoing clinical trials for evaluating the effectiveness of two novel skeletal muscle treatments in SMA (Figure 1.8).

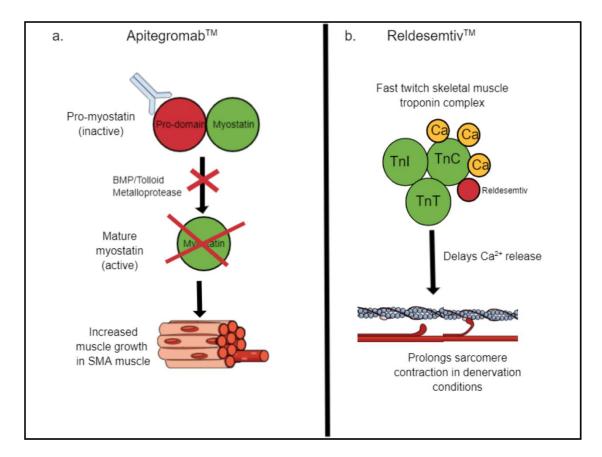


Figure 1.8. Mechanisms of action of ApitegromabTM and ReldesemtivTM in SMA skeletal muscle.

- **a.** ApitegromabTM is an anti-pro-myostatin antibody that prevents pro-domain cleavage by BMP/Tolloid metalloproteases in pro-myostatin to prevent activation of mature myostatin. The reduced myostatin activity in SMA skeletal muscle allows for increased muscle growth.
- **b.** ReldesemtivTM binds to troponin C (TnC) of the troponin complex (TnI, TnC, TnT) in fast-twitch skeletal muscle to delay the rate of Ca²⁺ release (yellow circles). This delay prolongs the troponin complexes' activity to increase sarcomere contraction in denervated skeletal muscle, which reduces muscle fatigue in SMA.

Figure was created on Mind the Graph.

1.4.2.2. ApitegromabTM (SRK-015)

Apitegromab[™] (SRK-015) is a novel monoclonal anti-myostatin antibody developed by Scholar Rock, which has been designed to improve muscle strength and growth in SMA patients as a complementary SMN-independent therapy ^{502–504} (Figure 1.8.a). Myostatin is a myokine member of the TGFβ superfamily, which plays a critical role in the negative regulation of skeletal muscle mass 504-506. Myostatin is initially secreted as a full length inactive promyostatin precursor that undergoes two subsequent proteolytic cleavage steps, first by a proprotein convertase, second by BMP/tolloid metalloprotease, producing the active mature myostatin ^{507–510}. The active myostatin binds to the activin receptor type 2 B (Act2RB) or (Act2RA) and activates ALK4 and 5, resulting in phosphorylation of cytosolic Smad2 and 3, which recruits Smad4 to form the Smad2/3/4 complex, a transcription factor that translocates to the nucleus and promotes expression of atrophy-related genes and downregulation of muscle maintenance components 507,511-517. Furthermore, active myostatin/activin dephosphorylates the Akt-FoxO pathway, promoting upregulation of ubiquitin-proteasome activity ^{514,515}.

The suitability of myostatin as a therapeutic target in muscle-wasting conditions is evidenced by its upregulation in skeletal muscle of individuals with cachexia ^{514,518}, sepsis ⁵¹⁹, DMD ⁵²⁰, LGMD ^{521,522}, ALS ^{523,524}, sarcopenia ^{525,526}, and DM ⁵²⁷. Furthermore, its complete inactivation seems to confer little adverse effects based on examples of animals and humans born with myostatin LOF mutations that displayed excessive hypertrophic growth with minimal medical complications ^{528–530}

Previous pre-clinical studies of myostatin inhibition in SMA 531-533 and other muscle-wasting conditions 534-536 have revealed limitations of non-negligible offtarget effects as the active myostatin and its downstream components share high homology with other TGFβ members ^{536–538}. ApitegromabTM was developed to overcome this limitation as it binds to the pro-domain of pro-myostatin and inhibits BMP/tolloid metalloprotease proteolysis ^{502,503} (Figure 1.8.a). The low homology of the pro-domain to other TGFβ proteins thus minimises off-target effects ^{502,539–541}. Indeed, the pre-clinical safety assessments reported that ApitegromabTM did not exhibit any adverse effects previously observed with other myostatin inhibitors ⁵⁴². However, in terms of treatment efficacy it was found that Apitegromab[™] alone did not improve muscle phenotype in *SMN*Δ7 SMA mice ⁵⁰². Instead, combination with SMN-dependent therapies was required to achieve improved muscle growth 502. This was later attributed to the discovery that endogenous myostatin levels were actually lower in untreated SMA patients and counterintuitively were only elevated after SMN replacement ^{502,543}. Thus, ApitegromabTM must rely on SMN replacement therapy for maximal efficacy (Figure 1.8.a).

Currently, Apitegromab[™] is being evaluated in a phase 2 clinical trial (TOPAZ, ClinicalTrials.gov ID: NCT03921528) in type 2 and 3 SMA patients, whereby it is delivered via intravenous injections every 4 weeks, following a successful phase 1 study in healthy young males receiving up to 30 mg/kg doses ⁵⁴⁴. Although finalised results will not be published until 2023, the interim TOPAZ results report no adverse effects in all patients and those in the higher dose tier display greater functional improvements after 6 months of treatment ⁵⁴⁵.

1.4.2.3. ReldesemtivTM (CK-2127107)

Another SMN-independent skeletal muscle therapy currently in clinical trials is Reldesemtiv[™] (CK-2127107), a fast-skeletal muscle troponin activator (FSTA) ^{546,547} (Figure 1.8.b). In striated muscle, the sarcomeric-associated troponin complex is necessary for sarcomere contraction ^{548–550}. However, in skeletal muscle, troponin complex isoforms are fibre type specific to cope with the different metabolic, energy and innervation requirements for contraction ^{551–556}. In fast-twitch skeletal muscle, troponin subunits C (TNNC2), T (TNNT3) and I (TNN/2) are bound to tropomyosin within the thin actin filaments of the sarcomere ^{553–559}. The troponin complex then regulates contraction through the binding of Ca²⁺ to the 4 Ca²⁺ binding sites of troponin C, which induces a conformational change that facilitates actin-myosin binding ^{550,556,560–567}. Normally, this activity is dependent upon motor neuron activity as contractile force is proportional to innervation intensity ⁵⁶⁷. However, in SMA, the denervation of muscle and reported reduced expression of Ca²⁺-releasing receptors limit troponin activity and as a result, weaken the contractile force and endurance of fast-twitch skeletal muscles 55,260,568.

To compensate for lower intracellular Ca²⁺ levels across various NMDs, FSTAs were designed to specifically delay the rate of Ca²⁺ release from troponin C and promote maximum sarcomere contractions, as evidenced by muscle strength improvements in ALS and MG murine models after treatment ^{569–571} (Figure 1.8.b). ReldesemtivTM on the other hand, was originally assessed in rat models for heart failure ⁵⁴⁶. However, reports of improved endurance and contraction in plantaris and soleus muscles supported its potential repositioning in NMDs like

SMA ⁵⁴⁶. When ReldesemtivTM was evaluated in phase 1 clinical trials, the safety, tolerability and increased contractile force in healthy young and elderly adult volunteers ⁵⁴⁷ led to approval for a phase 2 trial in SMA type 2-4 patients (ClinicalTrials.gov ID: NCT02644668). In 2021, the finalised results were published and demonstrated that both 150 and 450 mg doses improved maximum expiratory pressure (MEP) in SMA patients, suggesting improved respiratory function from the contractile diaphragm and intercostal muscles ⁵⁷². Furthermore, the 450 mg treatment alone significantly improved the 6-minute walking distance performance, supporting its promising potential for improving endurance and approval for a phase 3 trial ⁵⁷².

Although, both Apitegromab[™] and Reldesemtiv[™] are still in ongoing clinical trials, their preliminary results are promising and highlight the exciting endeavour of combining SMN-dependent therapies with skeletal muscle treatments.

However, the fact that there have been limited clinical trials for skeletal muscle treatments in SMA indicate that future directions should be focused on identifying additional muscle treatments and targets.

1.4.3. Drug repositioning for the development of combinatorial treatments for SMA.

1.4.3.1. Drug repositioning

The overt reliance of traditional drug discovery in rare diseases like SMA can often leave gaps in treatment availability. Typically, the traditional drug discovery timeline starts with compound identification, followed by pre-clinical studies and ends with clinical approval and marketing, which can last up to 20 years ^{573,574}. Although schemes like the Orphan Drug Act (USA) and Orphan Drug Designation Programme (Europe) have reduced the drug development timeline and costs for novel rare disease treatments ^{575–577}, there are still limitations to traditional drug discovery. The main one being that this approach comes with a high likelihood of failure, with the probability of success only being around 2-14% ^{578–580}. In the SMA field, such reported failures were R06885247 (ClinicalTrials.gov ID: NCT02240355), an oral small molecule SMN2 modulator, and Olesoxime (TRO19622), a cholesterol-oxime that preserves mitochondrial function to maintain motor neuron integrity ⁵⁸¹ ((ClinicalTrials.gov ID: NCT01302600) ⁵⁸² (ClinicalTrials.gov ID: NCT02628743) 583). Furthermore, the high research and development (R&D) costs of around \$12 billion and laborious work associated with traditional drug discovery can often lead to approved treatments being expensively marketed ^{573,584–586}. Indeed, the pricing of the approved SMNdependent treatments reflect this as the estimated costs are between \$340,000 to \$1.8 million depending on the drug type administered ⁴⁹⁵. Importantly, the development of novel non-regulated complementary SMN-independent treatments would elevate these costs, further exacerbating the accessibility issues discussed earlier (see section 1.4.1).

To overcome these limitations, an alternative approach is drug repositioning (or repurposing), a strategy aimed at finding new therapeutic roles for existing pharmacological compounds ⁵⁸⁷. Drug repositioning provides numerous advantages over traditional discovery that can be beneficial for novel SMA treatments ^{586,588,589}. A primary benefit is that repositioned pharmacological compounds often have readily available data obtained from pre-clinical and phase 1 studies that include pharmacological activity, toxicity, clinical efficacy, dosage requirements and adverse effects ^{586,590}. With 90% of traditional drug discovery failures being linked to phase 1 clinical trials, repositioned compounds can often be allowed to skip this stage and directly enter phase 2 trials instead ^{579,591,592}. Not only does this reduce the development timeline to 3-12 years, it also minimises the R&D and clinical trial costs by 50-60% ^{586,590,593}. Consequently, in SMA, this could open up opportunities for more cost-effective treatment options.

1.4.3.2. Drug repositioning for SMN-dependent therapies

The pan-ethnic incidence rates of SMA highlights the presence of this disorder across various countries of differing socio-economic background ^{16–18}. Consequently, this can limit the availability of Spinraza®, Zolgensma®, and Evrysdi® treatments to all patients. However, both, *in vitro* and *in vivo* chemical screens of generic compounds have serendipitously revealed repositioned candidates with the ability to modulate *SMN2* splicing to promote FL-SMN expression ⁵⁸⁸.

One class of drugs associated with elevated FL-SMN levels are histone deacetylase inhibitors (HDACi) ⁵⁹⁴. Compared to the splicing modulation observed with Spinraza® and Evrysdi®, HDACi promote enhanced *SMN2* transcription and exon 7 inclusion by relaxing and opening the euchromatin structure around acetylated histones that facilitates the recruitment of transcriptional machinery ^{595,596}. Indeed, *in vitro* studies of HDACi including sodium butyrate ⁵⁹⁷, sodium phenylbutyrate ⁵⁹⁸, valproic acid ^{599–601}, suberoylanilide hydroxamic acid ⁶⁰² and trichostatin A ⁶⁰³, confirmed increased FL-*SMN2* levels following exposure to the drugs. Furthermore, studies of HDACi in SMA mice showed improved neuromuscular phenotype and median survival ^{51,599,602–606}

Nevertheless, the clinical trial history of HDACi in SMA presents a different story. Both sodium phenylbutyrate ⁶⁰⁷ and valproic acid ^{608–612} reported no clinical benefits, no significant upregulation of FL-*SMN2* and adverse side-effects. As a result, planned phase 2/3 clinical trials NPTUNE01 (ClinicalTrials.gov ID: NCT00439569) and NPTUNE02 (ClinicalTrials.gov ID: NCT00439218) were eventually cancelled. Despite these failures, there are renewed interests in HDACi as complementary therapies alongside Spinraza®, since dual treatment synergistically enhanced transcription of *SMN2* exon 7 inclusion in SMA fibroblasts and improved disease phenotypes in SMA mice ^{501,613}.

Another clinically-approved drug linked with increased FL-*SMN2* expression is celecoxib, a non-steroidal, anti-inflammatory cyclooxygenase-2 (COX-2) inhibitor that is primarily used to treat rheumatoid- and osteo-arthritis ⁶¹⁴. Pre-clinical studies demonstrated that celecoxib increased and stabilised FL-*SMN2* mRNA levels via p38 pathway activation, which resulted in improved neuromuscular

phenotype and significantly extended the median survival in *SMN*⊿7 SMA mice ⁶¹⁵. Furthermore, the BBB penetrability and oral bioavailability of celecoxib allowed for systemic distribution ⁶¹⁵. Despite these pre-clinical benefits, an approved phase 2, open-label, dose-respondent (40, 80 and 160 mcg/kg) trial (ClinicalTrials.gov ID: NCT02876094) in type 2 and 3 SMA patients was eventually terminated as of 2020 for yet to be revealed reasons. Although these drug repositioning studies have so far proved unsuccessful on the SMN-dependent treatment front, further chemical screens have the potential to identify a myriad of different generic compounds that could help promote and stabilise FL-*SMN*2 levels.

1.4.3.3. Drug repositioning for SMN-independent skeletal muscle therapies

The absence of approved SMN-independent skeletal muscle therapies in SMA emphasises the need for their swift discovery and approval. Even though ApitegromabTM and ReldesemtivTM are showing progress in phase 2 clinical trials ^{545,572}, the high R&D costs and traditional drug discovery routes may lead to elevated prices ⁵⁸⁶, widening the accessibility gap for SMA patients. Although drug repositioning clinical trials aimed at targeting skeletal muscle pathologies specifically in SMA patients are scarce ^{588,589}, evidence of their use in NMDs and myopathies with related pathologies support their potential for SMA treatment ⁵⁸⁹. Unfortunately, most research supporting drug repositioning for novel SMA skeletal muscle treatments remains at the pre-clinical level ⁵⁸⁹.

One example is tideglusib, a small heterocyclic thiadiazolidine based non-ATP competitive inhibitor of glycogen synthase kinase 3 beta (GSK-3 β), which failed

to improve cognitive impairments in a phase 2 clinical trial for Alzheimer's disease (AD) patients (ClinicalTrials.gov ID: NCT01350362) ^{616–618}. Although initially investigated in neuronal tissue, the ubiquitous function of GSK-3β in cell proliferation and survival alongside its negative regulation of MRF activity and muscle hypertrophy, highlight its therapeutic potential in skeletal muscle ^{619–622}. Indeed, studies in murine C2C12 myoblasts and C57BL/6 muscle atrophy mouse models confirmed increased myotube formation and muscle growth following GSK-3β inhibition ^{621–623}. Furthermore, a phase 2 clinical trial in congenital MD (CMD) (ClinicalTrials.gov ID: NCT03692312) demonstrated neuromuscular improvements in patients, thus highlighting its potential for SMA ⁶²⁴.

In addition, observation of beneficial effects from drugs in other myopathic conditions could also provide ideal candidates for SMA ⁵⁸⁹. One such example is the synthetic GC prednisolone, which is usually used to treat the inflammatory pathologies in DMD ^{625–627}. Commonly, chronic or daily GC usage has been associated with increased risks of myopathic development as evidenced by its clinical history ^{628,629} and studies in muscle of the *Mdx* mouse model for DMD ^{630,631}. However, short term or intermittent GC treatment in DMD patients ^{629,632–634}, *Mdx* mice ^{630,635} and LGMD mice ⁶³⁶ had the opposite effect with reported benefits of improved muscle force, increased muscle endurance, sarcolemma repair and decreasing presence of centrally-nucleated myofibers. Furthermore, molecular analysis identified that intermittent GC treatment increased GC-Klf15 activity compared to chronic regimens, which resulted in the activation of ergogenic gene programs not associated with atrophy in muscle ^{630,635}. These benefits in muscle health from intermittent GC treatment led to prednisolone's investigation in SMA, where survival, weight and neuromuscular phenotypes

were improved in both severe *Smn*--;*SMN2* and milder *Smn*^{2B}- SMA mice ⁵³. Although the GC-Klf15-BCAA was a prime prednisolone target in SMA muscle, subsequent investigations with transgenic SMA mice that specifically over-expressed *Klf15* in skeletal muscle showed synergistic benefits, suggesting that non-*Klf15* pathways also contribute to prednisolone's efficacy ⁵³. With only 7% of GC-regulated genes linked to Klf15 ⁶³⁵, this leaves a large number of potential molecular effectors that were targeted by prednisolone to improve muscle health in SMA ⁵³. Although prednisolone has not been directly investigated in SMA clinical trials, it is interestingly being used to treat the hepatic side effects reported in SMA patients treated with Zolgensma[®] ⁴⁷⁰.

However, one limitation of prednisolone treatment in SMA is that the above studies are examples of short-term treatment and thus, the long-term effects are unknown ⁵³. We know from prednisolone's clinical history that long-term GC use is associated with adverse myopathic effects ^{628,629,637} and in the case of SMA, this would be detrimental for muscle improvements. Thus, we would need to consider investigating in further detail the molecular effects that prednisolone has on SMA skeletal muscle and the non-Klf15 pathways it targeted ⁵³. Not only would this help us develop a better understanding of prednisolone's activity in SMA muscle, but it could also lead to the discovery of repositioned drug candidates that could emulate or synergistically improve prednisolone's impact in SMA muscle, albeit with no long-term adverse risks.

1.5. Aim and objectives

Aim

To identify the genes and pathways targeted by prednisolone in SMA skeletal muscle and investigate if any orally-based clinical compounds can be repositioned to emulate prednisolone's benefits without the adverse effects of long-term GC use.

Objectives

- 1. Perform transcriptomic analyses on skeletal muscle from prednisolone-treated and untreated *Smn*-/-;*SMN2* SMA and *Smn*+/-;*SMN2* healthy mice to identify the differentially expressed genes (DEGs) targeted by prednisolone treatment.
- Identify specific upstream regulators and pathways (KEGG and Gene Ontology (GO)) linked to the DEGs targeted by prednisolone in SMA skeletal muscle.
- Identify orally bioavailable pharmacological compounds predicted to emulate prednisolone's activity in SMA skeletal muscle.
- 4. Validate predicted drug targets in SMA patient myoblasts and skeletal muscle from severe *Smn*^{-/-};*SMN*2 and milder *Smn*^{2B/-} SMA mice.
- Evaluate *in vitro* effects of *Smn* depletion, canonical atrophy and drug treatment on predicted molecular targets and morphology in murine C2C12 myoblasts and myotubes.
- 6. Evaluate *in vivo* effects of predicted drug treatments on survival, weight and motor function in *Smn*^{2B/-}SMA mice.

7. Investigate the effects of predicted drug treatments on molecular targets and pathological markers in the skeletal muscle, spinal cord and non-neuromuscular tissue of *Smn*^{2B/-}SMA mice.

Chapter 2.

General Methods.

2.1. Bioinformatics Experiments

2.1.1. Biological sample preparation

Total RNA was extracted from the triceps of symptomatic P7 untreated and prednisolone-treated (5 mg/kg every 2 days, starting at P0, gavage) Taiwanese Smn^{-/-};SMN2 (FVB/N background, FVB-Cg-Smn1tm1HungTg(SMN2)2Hung/J) SMA mice and Smn+/-;SMN2 healthy control littermates (n=3 for all except prednisolone-treated Smn+/-;SMN2 healthy mice, which is n=2) (Table 2.1) (Prednisolone treatment and dissection of the Taiwanese Smn-/-;SMN2 SMA and Smn^{+/-};SMN2 healthy control mice was performed by Dr Melissa Bowerman). For each sample, 500 ng of total RNA was inputted for mRNA enrichment through NEBNext® Poly(A) mRNA Magnetic Isolation Module' (E7490L: New England Biolabs) and converted into cDNA libraries using NEBNext® Ultra Directional RNA Library Prep Kit for Illumina (E7420L; New England Biolabs). These cDNA libraries underwent further purification using 1x Agencourt® AMPure® XP Beads (#A63881; Beckman Coulter, Inc.). The cDNA libraries obtained a single indexed bar code using 'NEBNext Multiplex Oligos for Illumina Set 1 (Index Primer 1-11), which were then amplified in 10 cycles of final PCR. Through library generation the fragment length distribution was monitored using Bioanalyzer high sensitivity DNA assay (5067-4626; Aligent Technologies) and quantified with Qubit® dsDNA HS Assay Kit (Q32854; ThermoFisher Scientific). The eleven individual barcoded library samples (Table 2.1) were pooled into equal molar amounts, denatured with NaOH and diluted to 1.5 pM concentration. The denatured library strands were then loaded onto a High Output Flowcell for 75 base pair (bp) single reads (#FC-404-2005; Illumina) and ran in a NextSeg

550 sequencer (Illumina). The raw binary base call (BCL) files generated by the NextSeg550 were converted to a FASTQ format using bcl2fastg conversion software v2.20.0.422 (Illumina) (All of the library sequence preparation and Illumina NextSeq 550 sequencing was performed at Hannover Medical School in collaboration with Professor Peter Claus and Dr Lisa Walter).

Table 2.1. Sample identification for each mouse that underwent RNA extractions of skeletal

muscle (Triceps).

Untreated Smn ^{+/-} ;SMN2 mice	Untreated Smn ^{-/-} ;SMN2 mice	Prednisolone- treated Smn ^{-/-} ;SMN2 mice	Prednisolone-treated <i>Smn</i> +/-; <i>SMN2</i> mice
N0597	N0600	N0603	N0605
N0598	N0601	N0604	N0607
N0599	N0602	N0606	

2.1.2. Single read quality processing

The 75 bp raw single read FastQ files for all 11 samples (Table 2.1) were uploaded onto Galaxy 638,639 (usegalaxy.org), a free web server workflow system that uses a graphical user interface (GUI) to perform computational biology and bioinformatics analyses on multi-omics and biological datasets ^{638,639}. In addition, Galaxy has built-in genomes and biological references for a variety of commonly used model organisms including Mus musculus 638,639. Initially, the FastQ files were assessed by FastQC 640 v0.72+galaxy1 to quality control check and summarise: basic statistics, per base sequence quality, per sequence quality scores, per base sequence content, per sequence GC content, per base N content, sequence length distribution, sequence duplication levels, overrepresented sequences and adapter content. Based on the report findings, the FastQ files underwent trimming of bases with < 20 Phred scores across an average of 4 bases using Trimmomatic 641 v0.36.5, which is specifically designed for Illumina generated reads. A further ILLUMINACLIP step was also performed on Trimmomatic ⁶⁴¹ v0.36.5 to remove any identifiable TruSeq2 single-ended adapters. Additionally, an in-built Galaxy FASTX-toolkit of Trim sequences ⁶⁴² v1.0.2 was used to trim base sequences where difference in ACTG nucleotide composition was > 10%. The results of these quality control operations were confirmed through FastQC ⁶⁴⁰ v0.72+galaxy1 again.

2.1.3. Single read mapping

The post-processed single reads were aligned to an in-built *Mus musculus* mm10 (UCSC) genome through HISAT2 643 v2.1.0, which uses whole genome index for read alignment and local FM index for alignment extension to accurately map sequences. Initially, HISAT2 643 v2.1.0 was performed at default parameters. This setting allowed the Infer Experiment v2.6.4.1 function of RSeQC 644 in Galaxy to determine whether the reads in binary alignment map (BAM) files correspond to forward transcripts or reverse complements. To do this a reference UCSC Mus musculus mm10 browser extensible data 12 (BED12) file was required as it defined sense (forward) or antisense (reverse) identities to aligned strands. Confirmation of strand identity was visualised through MultiQC ⁶⁴⁵ v1.6. We then performed HISAT2 643 v2.1.0 alignment again with the only change being the specify strand information set at -reverse. Distribution of the reads against the Mus musculus mm10 genome structure was constructed by the read_distribution.py function of RSeQC 644 through use of sample (Table 2.1) BAM files and the UCSC Mus musculus mm10 BED12 reference. Graphical confirmation of strand distribution was visualised through MultiQC 645 v1.6.

2.1.4. Differential gene expression analysis

We used FeatureCounts ⁶⁴⁶ v1.6.3+galaxy2 to quantify the number of reads in each sample's BAM file that uniquely aligned to known genes using a Galaxy built-in Entrez *Mus musculus* mm10 gene transfer format (GTF). In FeatureCounts ⁶⁴⁶ v1.6.3+galaxy2 strand specification was set at *-reverse*, and known gene identifier was set at *-exon level* to name genes based on Entrez ID. The proportion of raw counts assigned to known genes was visualised through MultiQC ⁶⁴⁵ v1.6.

The raw counts then underwent DGE analyses through DESeq2 647 v2.11.40.2 on Galaxy. The design formula used to model signs of variance was based on condition (SMA or healthy controls) and treatment (untreated or prednisolonetreated). DESeq2 647 internally normalised the raw count data through Median of Ratios (MoR) method. Firstly, a geometric mean for each gene is calculated across all the samples, which is then used to divide the raw count of their respective genes to create a ratio ⁶⁴⁷. The median of the ratios in a sample is classed as the size factor, this in turn normalises the genes raw counts for each sample ⁶⁴⁷. Shrinkage estimations of these normalised counts are then implemented to distinguish between biological and technical variations, which minimises dispersions and high variability amongst lowly expressed genes 647,648. These shrunken normalised counts are then fit into a negative-binomial general linearized model (GLM) to calculate the log2 transformation of DEG fold changes (FC) based on count distributions ⁶⁴⁷. These DEGs are then calculated for significance p < 0.05 using the Wald-test ⁶⁴⁷. Furthermore, post-hoc Benjamini-Hochberg test can minimise false positive DEGs through false discovery rate (FDR) (or p-adj) <0.05 647 . With this DESeq2 647 v2.11.40.2 platform, three

separate analyses were performed with log2(FC) measurements of DEGs based on the first group highlighted in bold:

- 1. **Untreated** *Smn*^{-/-};*SMN*2 **SMA mice** vs untreated *Smn*^{+/-};*SMN*2 healthy mice.
- 2. **Prednisolone-treated** *Smn*^{-/-};*SMN*2 **SMA** mice vs untreated *Smn*^{-/-};*SMN*2 SMA mice.
- 3. **Prednisolone-treated** *Smn*^{-/-};*SMN*2 **SMA** mice vs untreated *Smn*^{-/-};*SMN*2 SMA and *Smn*^{+/-};*SMN*2 healthy mice.

Graphical outputs were produced to show principal component analysis (PCA), sample-to-sample distance, dispersion estimates, histogram of p values, and MA plot. Statistical data outputs included the base mean, log2(FC), standard error, Wald-stats, p value and FDR (Benjamini-Hochberg). Furthermore, DESeq2 647 v2.11.40.2 produced a normalised counts file for each Entrez gene ID across the samples in each analysis. Although DESeq2 647 automatically uses Cooks distance to internally removes outliers, we still validated any additional outliers through a Grubbs test 649 using the normalised count data for a small number of genes within individual experimental cohorts. The final list of significant Entrez gene ID results were filtered based on log2(FC) >0.6 and FDR <0.05. The Entrez gene ID's were then translated to official Mus musculus gene names and symbols through AnnotatemyID 638,639,650 v3.7.0 on Galaxy. The log2(FC) values of significant DEGs were uploaded onto Volcano Plot v0.0.3 on Galaxy 638,639 for graphical visualisation of up- and down-regulated genes. In addition, the normalised counts file of prednisolone-treated Smn^{-/-};SMN2 SMA mice vs untreated *Smn*^{-/-};*SMN*2 SMA and *Smn*^{+/-};*SMN*2 healthy mice was ran in

Heatmap2 v2.2.1+galaxy1 with the data log2 transformed and scaled by *row* - scale genes.

2.1.5 Pathway analysis

Pathway analysis of the prednisolone-treated Smn^{-/-};SMN2 vs untreated Smn^{-/-} ;SMN2 mice was performed with iPathwayGuide 651-654 (Advaita) with default criteria of log2(FC) >0.6 and FDR <0.05 for DEGs. Designed as an online GUI software, iPathwayGuide calculated KEGG 655 pathways through impact analysis and Gene Ontology (GO) 656,657 through overrepresentation analysis (ORA) 658 (KEGG v1910 Release 90.0+/05-29, May 19; GODb v1910 2019-Apr 26). In addition, iPathwayGuide predicted upstream regulators (STRING v11.0, Jan 19th, 2019) and disease similarities (KEGG v1910 Release 90.0+/05-29, May 19). Identification of drug candidates that targeted the iPathwayGuide KEGG pathways was performed through an in-built KEGG drugs database. Identification of drug candidates that target predicted upstream regulators was done with the Drug Gene Interaction database (DGIdb 659) v3.0. Identified drugs were catalogued to determine efficacy, bioavailability, current use in diseases and conditions, molecular targets and regulatory status using various drug databases (KEGG 655, Inxight: Drugs 660, British National Formulary: National Institute for Health and Care Excellence (BNF: NICE 661), DrugBank 662,663, clue.io cMap L1000 platform ⁶⁶⁴).

2.2. *In vitro* experiments

2.2.1. Maintenance and differentiation of murine C2C12 myoblast-like cells.

All cell culture experiments were performed within a Class 2 Biological Safety Cabinet (NUAIRE™). The immortalised murine cell line used was the C2C12 myoblasts ^{665,666} (ATCC, USA). These C2C12 myoblasts were thawed out of liquid nitrogen (LN₂) storage and resurrected in a T-75 cell culture flask (Fisher Scientific) containing growth media, comprised of high glucose (4.5 g/L) and L-glutamine (0.6 g/L) Dulbecco's Modified Eagle's Media (DMEM) (Lonza), 10% foetal bovine serum (FBS) (Gibco) and 1% Penicillin-Streptomycin (10,000 U/ml) (Lonza). The resurrected C2C12 myoblasts were stored in an incubator with humid 37°C and 5% CO₂ conditions (Heracell 150i CO₂ incubator, ThermoScientific).

After initial resurrection, when C2C12 myoblasts were >60% confluent they were transferred into a T-175 cell culture flask (Fisher Scientific) with 20 ml of growth media. From passage number 2 till 20, the C2C12 myoblasts underwent subsequent passages when they reached >60% confluence. Cell passage involved the removal of growth media, followed by a phosphate buffered saline (PBS) (Lonza) wash and exposure to 5 – 7ml of 1X TrpLE Express Enzyme (Gibco) for 5 minutes in 37°C and 5% CO2 incubator for trypsin-mediated cell detachment. The detached C2C12 myoblasts were centrifuged at 500 RPM for 2 minutes and resuspended in 4 ml of growth media. A 1:4 cell suspension dilution at 1 ml volume was then aliquoted into a fresh T-175 flask with 20 ml growth media for storage in 37°C and 5% CO2 incubator. C2C12 myoblasts were checked for confluency everyday with a fresh media change every 48 hours until

>60% confluency was reached. For *in vitro* experiments, 30 µl of the 4 ml C2C12 myoblast cell suspension was seeded into each well of 6-well cell culture plates (Fisher Scientific) that contained 2 ml of growth media. For 12-well cell culture plates (Fisher Scientific) with 1 ml growth media per well, 20 µl of the 4 ml C2C12 myoblast cell suspension was seeded into each well. The *in vitro* treatments of C2C12 myoblasts did not begin until they reached 50-60% confluency.

For differentiation, C2C12 myoblasts at an approximate confluence of 50-60% in 6- and/or 12-well cell culture plates were exposed to differentiation media comprised of high glucose (4.5 g/L) and L-glutamine (0.6 g/L) DMEM, 2% horse serum (HS) (Gibco), 1% Penicillin-Streptomycin (10,000 U/ml) and 0.1% insulin (1 µg/ml) (Sigma) for 2-8 days with storage in humid 37°C and 5% CO₂ incubator and media replacement every 48 hours.

2.2.2. Primary type 3 SMA and age-matched control deltoid myoblasts

Age-matched control and type 3 SMA primary human myoblasts cultured from deltoid muscle biopsies were generously provided by Dr Stephanie Duguez (University of Ulster) (Table 2.2).

Table 2.2. Control and type 3 SMA myoblasts from deltoid muscle biopsies.

Sample	Condition	Skeletal muscle type	Age	Gender
SD1052C25D	Control	Deltoid	25	Male
SD1049C22D	Control	Deltoid	22	Male
GO729C52D	Control	Deltoid	52	Female
GO780C47D	Control	Deltoid	47	Female
GO837C59D	Control	Deltoid	59	Male
GO812SMA30D	Type 3 SMA	Deltoid	30	Male
G0878SMA27D	Type 3 SMA	Deltoid	27	Male
GO949SMA66D	Type 3 SMA	Deltoid	66	Male
GO750SMA53D	Type 3 SMA	Deltoid	53	Female
GO751SMA48D	Type 3 SMA	Deltoid	48	Male

2.2.3. Small interfering (si)RNA-mediated Smn knockdown

A *Smn* small interfering RNA (siRNA) (Duplex name: mm.RiSmn1.13.1) was used to induce *Smn* KD. In addition, a scrambled siRNA (scrambled DsiRNA, #51-01-19-08) (Integrated DNA technologies) was used as a negative control. The *Smn* and scrambled siRNAs were separately aliquoted into an siRNA-lipofectamine complex (Lipofectamine® RNAiMAX Reagent, Life Technologies), following manufacturer's procedure. Proliferating C2C12 myoblasts were transfected for 48 hours, whilst transfection reagents were replaced every 48 hours in each well containing differentiating C2C12 cells. *Smn* depletion was confirmed via quantitative polymerase chain reaction (qPCR) (See chapter 4, figures 4.7.a-b).

2.2.4. Serum-starvation-induced canonical muscle atrophy

Serum starvation is a validated method of inducing atrophy in immortalised C2C12 myotubes ^{667,668}. Differentiated C2C12 cells were incubated in serum-free high glucose (4.5 g/L) and L-glutamine (0.6 g/L) DMEM with 1% Penicillin-Streptomycin (10,000 U/ml) for 24 hours. The atrophied C2C12 cells were confirmed by atrogene upregulation (*Atrogin-1* and *MuRF-1*) via qPCR and muscle wasting morphology under 10x magnification (Motic AE31E).

2.3. In vivo experiments

2.3.1. Animals used

All breeding mice were from C57BL/6 background ⁶⁶⁹. The two initial breeding lines used were *Smn*^{2B/2B} ⁵⁷ (Professor Rashmi Kothary (University of Ottawa) to Dr Lyndsay Murray (University of Edinburgh) to Professor Matthew Wood (University of Oxford) to Charles River for rederivation) and *Smn*^{+/-} ⁶⁷⁰ (B6.Cg-Smn1/J, stock #007963, Jackson labs). *Smn*^{2B/-} SMA mice and healthy *Smn*^{2B/+} littermates were generated from breeding *Smn*^{2B/2B} and *Smn*^{+/-} parents. All live animal procedures were performed in the Biomedical Sciences Unit (BSU) after authorisation and approval by the Keele University ethics committee and UK Home Office (project licence: P99AB3B95, personal licence: IA54D3EC1) under the Animals Scientific Procedures Act (1986).

2.3.2. Genotyping

Genotyping involved initial DNA extraction from ear clips (*Smn*^{+/-} and *Smn*^{2B/2B}) or tail clips (*Smn*^{2B/-}) using PCRBIO Rapid Extract PCR kit (PCR Biosystems). The genotyping of *Smn*^{+/-} and *Smn*^{2B/-} involved master mix reagent of: 2x PCRBIO Taq mix (PCRBIO Taq DNA polymerase, 6 mM MgCl₂, 2 mM dNTPs and red dye) (PCR Biosystems), 400 nM *Smn* olMR7031-4 primers (Table 2.3) and PCR-Grade Water. The following programme used in ³Prime thermocycler (Techne) involved: an initial denaturation at 94°C for 5 minutes, followed by 10 cycles of 94°C for 20 seconds, 65°C for 15 seconds (0.5°C decrease every cycle) and 68°C for 10 seconds. This was later followed by 28 cycles of 94°C for 15

seconds, 60°C for 15 seconds, and 72°C for 10 seconds. The reaction ended with final stage of 72°C for 3 minutes.

The PCR for *Smn*^{2B/2B} mice used the same genotyping master mix with 400 nM *Smn*^{2B} primers instead (Table 2.3). Genotyping involved a ³Prime thermocycler (Techne) programme: initial denaturation at 94°C for 3 minutes, followed by 35 cycles of 94°C for 45 seconds, 58°C for 45 seconds and 72°C for 45 seconds. The reaction ended with a final stage at 72°C for 5 minutes.

Confirmation of genotypes in both methods was observed via 1.2% agarose gel electrophoresis in 10,000X Gel Red (BIOTIUM) for 70 minutes at 100 V (NanoPAC-300P, Clever Scientific) followed by band size UV visualisation using ChemiDoc MP Imaging System (BioRad) (Figure 2.1).

Table 2.3. Primers used for C57BL/6 mouse genotyping

Genes	Mouse Genotypes	Forward Primers	Reverse Primers
		(5' – 3')	(5' – 3')
Smn ⁻	WT, Smn ^{+/-} ,	GAA CTA GAA GAC AGG	N/A
(oIMR7031)	Smn ^{2B/-}	TGG AG	
Smn ⁻	WT, Smn ^{+/-} ,	N/A	GTC TGT CCT AGC TTC
(oIMR7032)	Smn ^{2B/-}		CTC ACT G
Smn+	WT, Smn+/-,	TGG GAG TCC ATC CAT	N/A
(oIMR7033)	Smn ^{2B/-}	CCT AAG TC	
Smn+	WT, Smn ^{+/-} ,	N/A	GCT AAG AAA ATG ACA
(oIMR7034)	Smn ^{2B/-}		ATT GCA CAT TTG
Smn2B	Smn ^{2B/2B}	AAC TCC GGG TCC TTC CT	TTT GGC AGA CTT TAG CAG GGC

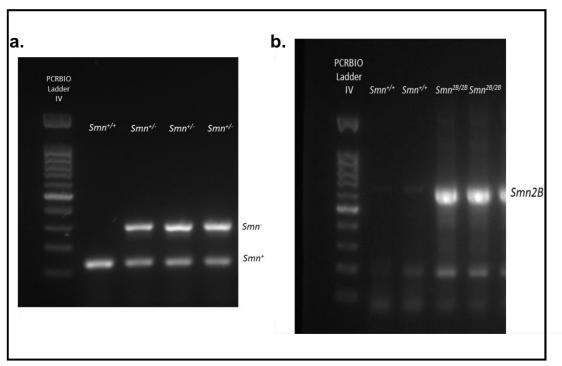


Figure 2.1. Genotyping of $Smn^{2B/2B}$ and $Smn^{+/-}$ mice.

a. Genotype screening of Smn^+ (oIMR7033 and oIMR7034) and Smn^- (oIMR7031 and oIMR7032) primer sets that was used in PCR amplification to generate amplicon products from $Smn^{+/+}$ and $Smn^{+/-}$ samples. Amplicon products were size separated by 65 minute electrophoresis on 1.2% agarose gel alongside PCRBIO Ladder IV reference. The amplicon products were size separated resulting in 308 bp size for Smn^- and 143 bp for Smn^+ primers. The $Smn^{+/-}$ genotype was confirmed by heterozygous band products.

b. Genotype screening of *Smn2B* primer set that was used in PCR amplification to generate amplicon products from *Smn*^{+/+} and *Smn*^{2B/2B} samples. Amplicon products were size separated by 60 minute electrophoresis on 1.2% agarose gel alongside PCRBIO Ladder IV reference. The *Smn2B* amplicon band size is 519 bp and the higher intensity is associated with the *Smn*^{2B/2B} genotype.

 $Images\ were\ developed\ under\ UV\ visualisation\ on\ ChemiDoc\ MP\ Imaging\ System\ (BioRad).$

2.3.3. Phenotypic analysis on live animals.

Phenotypic analyses included measurements of bodyweight and righting reflex (up to 30 seconds), which is the ability for a pup to independently reorientate itself back into the upright position ⁶⁷¹. These neuromuscular phenotypes were measured every day from P0 to respective humane endpoints. Day of death was determined when animals reached the humane endpoint as defined in our Home Office Project Licence (P99AB3B95): hindlimb paralysis or immobility or inability to right (greater than 30 seconds) or up to 20% body weight loss. They were culled via Schedule 1 or approved non-Schedule 1 methods.

2.3.4. Animal Tissue Harvest

Culling method was dependent on the age of the mice: decapitation for pups <
P10 and Schedule 1 methods for animals > P10. Harvested skeletal muscle (both *Triceps brachii* and TA), spinal cord and liver were placed in cryovials for immediate flash freezing in liquid nitrogen (LN₂), followed by long term storage in -80°C freezer. In addition, RNA samples from the triceps of P7 untreated *Smn*-/-; *SMN2* SMA and *Smn*+/-; *SMN2* healthy mice were generously provided by Professor Peter Claus and Dr Lisa Walter (Hannover Medical School).

2.4. Gene Expression Analysis

2.4.1. Tissue Lysis and RNA Extraction

C2C12 cells cultured in each well of 6- or 12-well cell culture plates were lysed in 350 μl of β-mercaptoethanol/RLT solution (1:100 dilution) (Bioline) for 5 minutes before RNA spin-column extraction was performed with ISOLATE II RNA Mini Kit following the manufacturers protocol. Age matched control and type 3 SMA primary human myoblasts were extracted using Trizol-chloroform combined with spin column (Qiagen) following a set published protocol ⁶⁷². Tissue samples required homogenisation in 350 μl of β-mercaptoethanol/RLT solution (1:100 dilution) in 2 ml Eppendorf tube with 7 mm stainless steel ball (Qiagen) in Tissue Lyser LT (Qiagen) set a 60 oscillations/second for 2 minutes followed by centrifugation at >10,000 RCF (MSE Sanyo Hawk 15/05) for 1 minute. RNA extractions from skeletal muscle tissues were then performed with RNeasy Fibrous Tissue Kit (Qiagen) and non-muscular tissue was extracted with ISOLATE II RNA Mini Kit (Bioline) as per manufacturers protocol. RNA concentrations (ng/µl) were measured with the Nanodrop 1000 spectrophotometer (ThermoScientific) against a reference RNase-free water control.

2.4.2. Reverse Transcription

Reverse transcription (RT) to generate cDNA required a final 15 µl volume of sample RNA and RNase-free water per 200 µl PCR tube to achieve total RNA concentrations ranging from 200 – 1000 ng. Additionally, a reagent mix comprised of 4 µl 5x cDNA synthesis (mix anchored oligo(dT), random hexamers,

15 mM MgCl2, 5 mM dNTPs) (PCR Biosystems), and 1 µl 20x RTase (PCR Biosystems) were used to finalise 20 µl volume per RT sample. The RT reactions then ran for 30 minutes at 42°C followed by a denaturation step of 85°C for 10 minutes in a ³Prime thermocycler (Techne). The cDNA samples were then diluted 1:5 with 80 µl PCR-grade water.

2.4.3. Quantitative PCR (qPCR)

A total of 2 μl diluted cDNA was aliquoted into each well of a 96-well qPCR plate composed of 10 μl 2x PCRBIO Sygreen Blue Mix Hi-ROX (PCR Biosystems), 0.8 μl 10 μM forward primer, 0.8 μl 10 μM reverse primer (Integrated DNA Technologies) (Tables 2.4-5) and 6.4 μl PCR-grade water for total 20 μl volume per well. The qPCR reactions were performed in the StepOnePlusTM Real-Time PCR System (ThermoFisher Scientific) with the following programme: initial denaturation at 95°C for 2 minutes followed by 40 cycles of 95°C for 5 seconds and 60°C for 30 seconds and ending with melt curve stage of 95°C for 15 seconds, 60°C for 1 minute and 95°C for 15 seconds. The qPCR data was analysed using the StepOne Software v2.3 (ThermoFisher Scientific) with relative gene expression quantified using the Pfaffl method ⁶⁷³ with *PolJ* (murine) and *POL2RA* (human) housekeeping genes (Table 2.4-5). Primer efficiency for the Pfaffl method was calculated using LinRegPCR V11.0.

Table 2.4. Murine primers used for qPCR.

Genes	Forward Primers	Reverse Primers
	(5' – 3')	(5' – 3')
PolJ	ACC ACA CTC TGG GGA ACA TC	CTC GCT GAT GAG GTC TGT GA
Smn	TGC TCC GTG GAC CTC ATT TCT T	TGG CTT TCC TGG TCC TAA TCC TGA
Atrogin- 1	TCA AAG GCC TCA CGA TCA CC	CCT CAA TGA CGT ATC CCC CG
MuRF-1	GAG AAC CTG GAG AAG CAG CT	CCG CGG TTG GTC CAG TAG
Prkag3	GGT CAT CTT TGA CAC GTT	AGA GGA GCT GCC CTC ACA C
FoxO1	CTA CGA GTG GAT GGT GAA GAG C	CCA GTT CCT TCA TTC TGC ACT CG
FoxO3	GGA AGG GAG GAG GAA TG	CTC GGC TCC TTC CCT TCA G
FoxO4	CAA GAA GAA GCC GTC TGT CC	CTG ACG GTG CTA GCA TTT GA
Fox06	AGA GCG CCC CGG ACA AGA GA	GCC GAA TGG AGT TCT TCC AGC C
Ar	TAC CAG CTC ACC AAG CTC CT	GAT GGG CTT GAC TTT CCC AG
MyoG	GTG TAA GAG GAA GTC TGT GTC GG	GCT CAA TGT ACT GGA TGG CG
Igfbp5	AAG AGC TAC GGC GAG CAA ACC A	GCT CGG AAA TGC GAG TGT GCT T
Akap6	AAG GAA CGA GCG CCG AGA AAC A	TGC TGG CAC AAC CTC AGA ATG G
Dok5	CGT TAT GGA CGA GAC ACC ACG T	GAG TGG ACC TTC TGG TAG ATG G
Ddit4	CCT GCG CGT TTG CTC ATG CC	GGC CGC ACG GCT CAC TGT AT
Hk2	GAA GGG GCT AGG AGC TAC CA	CTC GGA GCA CAC GGA AGT T
Glut4	GAC GGA CAC TCC ATC TGT TG	CAT AGC TCA TGG CTG GAA CC
Pgc1-a	TGG AGT GAC ATA GAG TGT GCT GC	CTC AAA TAT GTT CGC AGG CTC A
Nrf1	CAG CAC CTT TGG AGA ATG TG	CCT GGG TCA TTT TGT CCA CA
Tfam	CAA GTC AGC TGA TGG GTA TGG	TTT CCC TGA GCC GAA TCA TCC
Nfdus1	GTG GAT GCT GAA GCC TTA GTA GC	GGA ACG TAA GTC TGT ACC AGC TC

Table 2.5. Human primers used for qPCR

Genes	Forward Primers	Reverse Primers
	(5' – 3')	(5' – 3')
POL2RA	CAA CGC ACA CAT CCA GAA CG	TCC TTG ACT CCC TCC ACC AC
PRKAG3	TGC TTC AAG CCT CTG GTC TCC A	GGA ACT TGA GCA GGC GTT TGT G
FOXO1	AAG AGC GTG CCC TAC TTC AA	CTG TTG TTG TCC ATG GAT GC
FOXO3	GAA CGT GGG GAA CTT CAC TGG TGC TA	GGT CTG CTT TGC CCA CTT CCC CTT
AR	CCT GGC TTC CGC AAC TTA CAC	GGA CTT GTG CAT GCG GTA CTCA
MYOG	CGC AGT GCC ATC CAG TAC A	CGT GAG CAG ATG ATC CCC T
DOK5	GAG CAG ACG CCT CGG GAT TTA TCA G	CCTC CCT GCC TCA AAA GTG AAC CAC
DDIT4	GGA TGG GGT GTC GTT GCC CG	GGC AGC TCT TGC CCT GCT CC

2.5. Statistical Analyses

Statistical analyses were carried out using the most up-to-date GraphPad PRISM software. Prior to any analyses, outliers were identified with the Grubb's test (GraphPad) and subsequently removed. Appropriate statistical tests used include unpaired t-test, one-way analysis of variance (ANOVA), and two-way ANOVA. Each post-hoc analyses used is noted in the respective figure legend. Kaplan-Meier survival curves required a log-rank test. Statistical significance was considered at p < 0.05, described in graphs as p < 0.05, p < 0.01, p < 0.001.

Chapter 3.

In silico drug repositioning approach to identify commercially available compounds that mimic prednisolone activity in SMA skeletal muscle as new potential SMN-independent therapies.

3.1. Introduction

In chapter 1, we discussed the published findings that prednisolone treatment (5 mg/kg every 2 days, starting at P0, gavage) in both severe *Smn*-/-;*SMN2* and milder *Smn*^{2B/-} SMA mice improved survival and muscle health ⁵³. Although, prednisolone's activity in SMA muscle was linked to the activation of the GC-Klf15-BCAA pathway, it's treatment in SMA mice with skeletal muscle-specific *Klf15* overexpression revealed synergistic benefits ⁵³. Interestingly, our evidence of these synergistic benefits in SMA muscle alongside independent reports of Klf15 contributing to only a small number of GC-regulated genes, suggests that a large number of prednisolone molecular targets that improve SMA muscle health are unknown ^{53,635}.

To identify molecular targets based on gene expression profiles, low throughput analysis techniques such as qPCR and northern blot would not be extensive enough to cover the range of potential candidates ⁶⁷⁴. Instead, high throughput methods such as microarray-hybridisation ⁶⁷⁵ or RNA sequencing ^{676,677} (RNA-Seq) are preferable. Although both techniques can quantify the expression profiles of many genes simultaneously, RNA-Seq has several advantages over microarray-hybridisation that make it a more suitable method. Firstly, RNA-Seq can cover the entire transcriptome in a single run ⁶⁷⁶, whilst microarray requires multiple runs, making it a more laborious process ^{675,678}. Secondly, microarray-hybridisation is biased in its approach as probes for known genes are required ^{675,679}. On the other hand, RNA-Seq does not require specific gene probes, making it less biased and allowing for in depth discovery and expression quantification for known and novel transcripts ^{680,681}. Thirdly, RNA-Seq is better for analysing both coding ⁶⁸² (pre-messenger RNA (pre-RNA) and mRNA) and

non-coding transcripts ⁶⁸³ (ribosomal RNA (rRNA), transfer RNA (tRNA), small non-coding RNA (sncRNA) and long non-coding RNA (lncRNA)).

RNA-Seq is a multi-tier pipeline ^{681,684} (Figure 3.1) that begins with the specific preparation and sequencing of cDNA libraries from RNA extracts, dependent on factors such as rRNA removal or polyA+ selection to increase sequence coverage and on the next generation sequencing (NGS) platform used ^{685,686}, which in most cases is Illumina 686-688. Once sequenced, these RNA-Seq reads are initially quality assessed through various tools such as FastQC 640 and HTQC 689 and modified to minimise any anomalies that can arise from the multiple stages of cDNA library preparation ^{681,684}. The reads that have passed the quality check are then mapped to their genomic locations in their appropriate model organism through specialised alignment tools 690,691 such as TopHat 692, HISAT2 ⁶⁴³ and STAR ⁶⁹³ that can locate exon-intron junctions and polyA tails to take into consideration spliced transcripts ^{681,691,694}. After alignment, the reads can either be constructed into a *de novo* transcriptome through Cufflinks ⁶⁹⁵ and StringTie ⁶⁹⁶ or can bypass this stage to count the read number aligned to known genes through HTSeq-counts ⁶⁹⁷ or FeatureCounts ⁶⁴⁶. However, both processes ^{646,697} require specific model organisms GTF or general feature format (GFF) 698 that are accessible from various genomic databases such as UCSC 699, Entrez 700, and Ensembl 701 for gene identity.

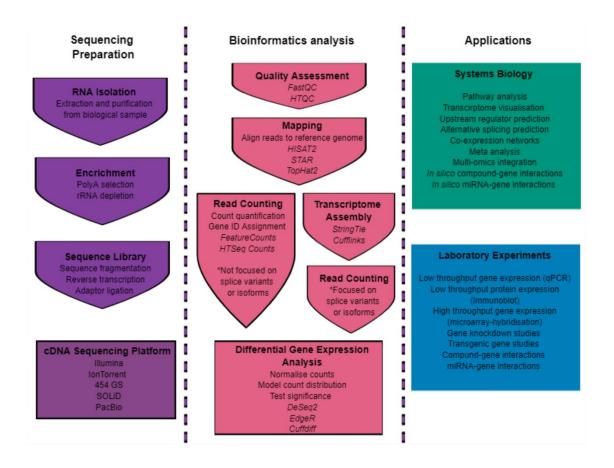


Figure 3.1. RNA sequencing (RNA-Seq) pipeline.

The sequencing preparation (purple) and bioinformatics analysis (pink) columns cover the linear workflow from RNA extraction to differential gene expression analysis. The applications column covers the applications of RNA-Seq data, which includes systems biology (green) and laboratory experiments (blue) to address biological questions.

Figure was created on Mind the Graph.

An important quality step prior to differential gene expression (DGE) analysis is normalisation of the raw count reads by factors like sequencing depth for gene expression comparisons between samples, gene length for gene expression comparisons within the same sample and RNA composition for improved accuracy ⁷⁰². These steps improve DGE analysis by minimising the influence of individual differences within each cohort. Certain methods include reads per kilobase per million mapped reads (RPKM) ⁷⁰³, RNA-Seq by Expectation Maximisation (RSEM) ⁷⁰⁴, Trimmed mean of M values (TMM) ^{705,706}, and MoR ^{647,707}. These normalised counts are then analysed through various DGE tools

such as CuffDiff ⁶⁹⁵, DESeq2 ⁶⁴⁷ and EdgeR ⁷⁰⁵ that use an algorithm of probability-based count distributions ⁷⁰⁸ (Poisson ⁷⁰⁹ or negative binomial ⁷¹⁰) and statistical significance calculations ⁷¹¹ to predict DEGs. To narrow down the biological patterns hidden within the high volume of DEGs identified by RNA-Seq, the data is usually grouped and categorised into pathways using various tools and databases ⁷¹², the most common example being ORA ⁶⁵⁸ for GO database ^{656,657,713}

The combination of transcriptomics followed by pathway analysis has been previously successful in SMA studies involving patients 714,715, animal models 94,716,717 and specific tissue types 94,714,718, where it has proven useful for the discovery of dysregulated pathways 718 and identifying potential biomarkers 714. Another benefit of transcriptomics in biomedical research is its application to drug repositioning, a strategy, as discussed above (see section 1.4.3.1.), aimed at finding new therapeutic roles for existing pharmacological compounds ⁵⁸⁷. Traditional clinical or *in vivo* and *in vitro* wet lab methods of drug repositioning are sometimes limiting as they usually rely on compound availability 719 and observing phenotypic attenuation before determining suitability ⁷²⁰. However, *in* silico techniques counteract these limitations as the incorporation of computationally predicted targets with publicly available drug databases significantly expands the list of potential available candidates ⁷²¹. Furthermore, selection of pharmacological compounds from a list of predicted drug candidates helps to narrow down the drugs to be further evaluated to a select few, which can prove to be cost-effective in validation experiments in cellular and animal models 721. We recently demonstrated the validity of this in silico approach in SMA in a study where we predicted that harmine, a naturally occurring alkaloid from the

Peganum harmala plant, could target muscle pathologies in SMA, which we validated in relevant cellular and animal models ⁷¹⁶.

In this chapter, we used an RNA-Seq approach to investigate the effect of prednisolone on the transcriptomic profile of skeletal muscle of SMA mice. This strategy revealed that prednisolone normalised the expression of a large number of genes in SMA muscle towards healthy levels. These genes were associated with pathways involved in skeletal muscle metabolism, regulation, and function. Furthermore, the use of various drug databases allowed the discovery of available compounds that are predicted to emulate these effects. This chapter highlights the advantages of *in silico* drug repositioning for identifying novel SMN-independent therapies with the potential of improving muscle health in SMA.

3.2. Results

3.2.1. Quality control analysis, alignment and read count estimation minimises risks of skewed data sets for differential gene expression analysis.

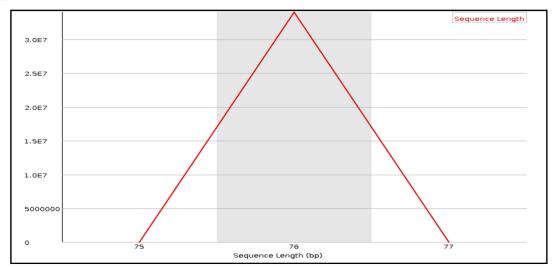
To investigate the gene transcripts modulated by prednisolone in skeletal muscle of P7 *Smn*^{-/-}; *SMN2* SMA mice, we first had to evaluate for potential data anomalies and genomic alignment accuracy. Initial quality assessment of raw reads is a vital step before genomic alignment as the multi-stage process of RNA-Seq from RNA extraction to NGS presents potential risks during sample preparation ^{681,684}. These include contamination, low RNA-yields, RNA degradation, PCR artefacts, presence of non-coding RNA (for polyA+ selection experiments), adapter retention and sequencing error ^{681,684,722–724}. If kept, these factors can skew DGE analysis and produce false results ⁷²³. We thus used FastQC ⁶⁴⁰ to initially quality assess the data for such anomalies and identified that the raw 75 bp single reads (Figure 3.2.a) across the 11 samples (Table 2.1) contained an aberrant nucleotide composition > 10% in the first 12 bp positions (Figure 3.2.b), and Illumina adapter overrepresentation (Table 3.1).

Table 3.1. Presence of TruSeg2 single-ended adapters in raw 75 bp RNA-Seg reads.

Adapter	Samples
TruSeq Adapter, Index 1 (100% over 50bp)	N0597
TruSeq Adapter, Index 2 (100% over 50bp)	N0598
TruSeq Adapter, Index 3 (100% over 50bp)	N0599
TruSeq Adapter, Index 4 (100% over 50bp)	N0601
TruSeq Adapter, Index 5 (100% over 50bp)	N0600
TruSeq Adapter, Index 6 (100% over 50bp)	N0602
TruSeq Adapter, Index 7 (100% over 50bp)	N0603
TruSeq Adapter, Index 8 (100% over 50bp)	N0604
TruSeq Adapter, Index 9 (100% over 50bp)	N0606
TruSeq Adapter, Index 10 (100% over 50bp)	N0605
TruSeq Adapter, Index 11 (100% over 50bp)	N0607

Although anomalies such as aberrant nucleotide profiles (Figure 3.2.b) at the start of reads are not unexpected ⁷²⁴, they are still worthy of correction to improve alignment reliability ⁶⁴⁴. Thus, these aberrations were corrected by Trimmomatic ⁶⁴¹ and FAST-X Trim sequences ⁶⁴², as evidenced across the modified 63 bp single strand reads (Figure 3.3.a), which displayed a stabilised nucleotide composition < 10% (Figure 3.3.b) and absence of Illumina adapters. Although the modified single reads were 12 bp shorter, previous literature has shown that sizes > 50 bp can still produce reliable alignments ⁷²⁵. Furthermore, these modifications had little effect on the overall sequence quality, with a mean Phred score of 35 consistent in pre- and post-processed reads (Figure 3.4). In addition, duplicated reads (Figure 3.5) were not modified in this case as computationally it is difficult to distinguish PCR duplicates from natural duplicates and thus removal would have risked worsening the FDR in DGE analysis ^{726,727}.

a.



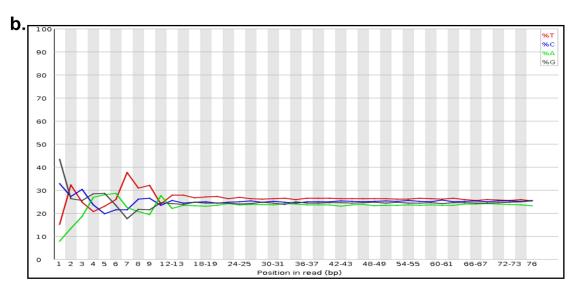
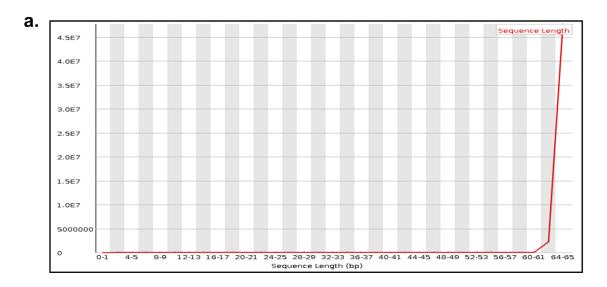


Figure 3.2. Sequence length and base content of raw single-end RNA-Seq reads.

a. Sequence length distribution report calculates the number of reads (y axis) that fall within a certain sequence length (bp) (x axis)

b. Per base sequence content reports plots the percentage of nucleotide guanine (red), adenine (blue), thymine (green) and cytosine (black) within each base position (x axis) of the sequence files. Graphs were generated by FastQC v0.72+galaxy 1.



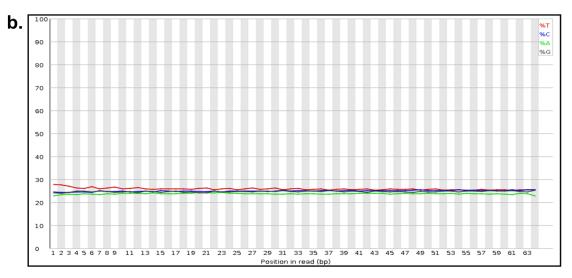
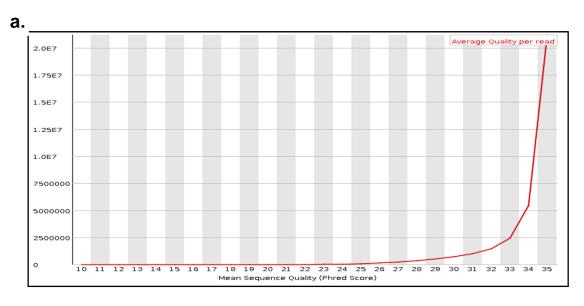


Figure 3.3. Sequence length and base content of Trimmomatic and FAST-X processed single-end RNA-Seq reads.

a. Sequence length distribution report calculates the number of reads (y axis) that fall within a certain sequence length (bp) (x axis).

b. Per base sequence content reports plots the percentage of nucleotide guanine (red), adenine (blue), thymine (green) and cytosine (black) within each base position (x axis) of the sequence files. Graphs were generated by FastQC v0.72+galaxy 1.



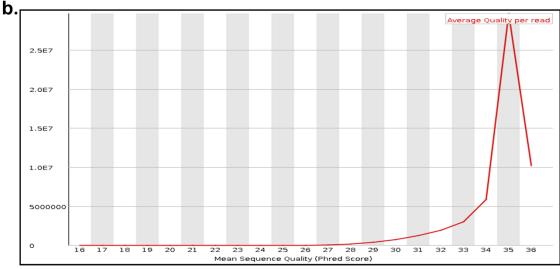
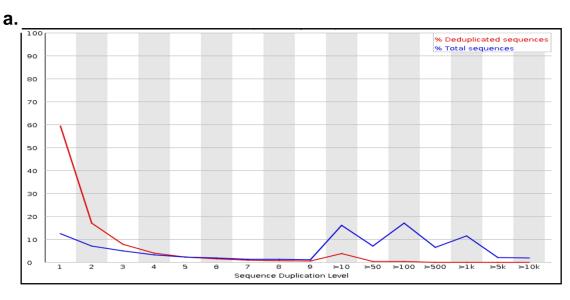


Figure 3.4. Sequence quality of single-end RNA-Seq reads.

Comparison of sequence quality between a. raw 75 bp and b. processed 63 bp single-end reads.

Per sequence quality score report compares the phred score (x axis) against the number of reads (y axis). Average quality per read box positions the average phred score. Graphs were generated by FastQC v0.72+galaxy 1.



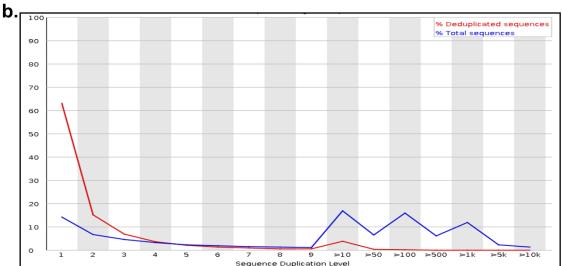
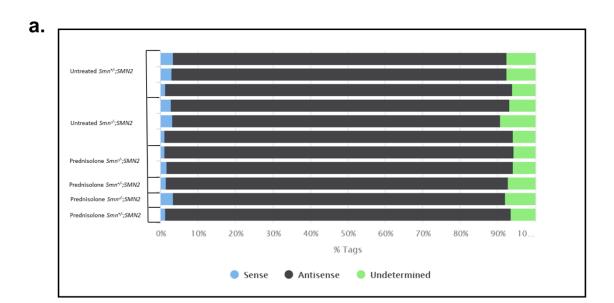


Figure 3.5. Duplication levels of single-end RNA-Seq reads.

Comparison of sequence duplication between a. raw 75 bp and b. processed 63 bp single-end reads. Sequence duplication levels report from FastQC calculates sequence duplication levels (x axis) for a percentage of reads (y axis) of the total sequences (blue) and deduplicated sequences (red). Graphs were generated by FastQC v0.72+galaxy 1.

The confirmation of read correction from the quality assessments allowed for alignment against the UCSC Mus musculus mm10 reference genome through HISAT2 643 v2.1.0. This tool uses a whole genome index for read alignment and local FM index for alignment extension to accurately map sequences with a reduced run time and memory requirement compared to other programs ^{643,728} such as TopHat2 692 and STAR 693. With the wet-lab sequencing performed at a separate facility (in collaboration with Professor Peter Claus and Dr Lisa Walter, Hannover Medical School), we first had to determine if the reads were aligned to the genomic forward or reverse strand. Preliminary alignment through the unstranded read setting was initially used to decipher if the sequence reads were in the forward or reverse direction. Analysis of these preliminary alignments through RSeQC ⁶⁴⁴ determined a dominant reverse strand pattern of up to 90% (Figure 3.6.a). With a reverse strand alignment pattern known, the single reads for each sample were again mapped using HISAT2 643 v2.1.0 with alignment set at *-reverse* strand. Through these altered parameters, we identified an average of 80.8% single-end reads that were uniquely mapped to the UCSC *Mus musculus* mm10 genome across the 11 samples (Figure 3.6.b).



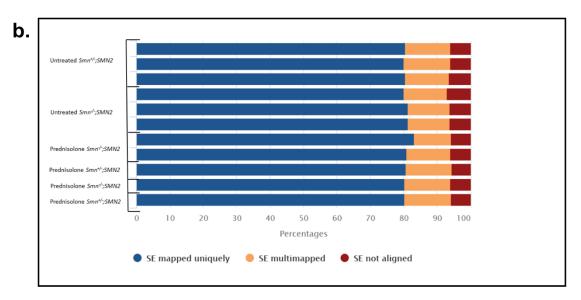


Figure 3.6. Single-end read alignments of sample groups to mm10 *Mus musculus* genome.

Alignment software used for the single-end reads was HISAT2 v2.1.0. a. Percentage of forward (sense, blue), reverse (antisense, black) and undetermined (green) single-end strands aligned to the UCSC mm10 *Mus musculus* genome. Strands measured through RSeQC function of Infer experiment v2.6.4.1.

b. Percentage alignment score for the anti-sense single strand reads against UCSC mm10 *Mus musculus* genome. Alignment scores were separated into three categories: mapped uniquely (blue), multi mapped (orange), and not aligned (red). Graphs were generated by MultiQC v1.6.

We next wanted to determine the read distribution of the alignments across the mm10 genome structure to identify the proportion that fall within post-spliced mRNA transcript regions (exons, 5' untranslatable regions (UTR) and 3' UTR). To do this, the *read_distribution.py* function in RSeQC ⁶⁴⁴ assigned the HISAT2 aligned BAM files to an mm10 BED12 reference file. On average, 90.37% of the aligned reads were matched to a post-spliced mRNA structure with 66.35% of these in coding domain regions, 9.45% in 5' UTR and 24.20% in 3' UTR (Figure 3.7.a). Furthermore, we identified that 2.97% of total read distributions aligned to intronic regions, which could be associated with pre-spliced mRNA samples and alternatively spliced mRNA with intron retention (Figure 3.7.a).

As we wanted to focus on the identification of known genes instead of novel transcripts, we omitted the use of StringTie ⁶⁹⁶ for transcript construction and instead used FeatureCounts ⁶⁴⁶ v1.6.3+galaxy2 to summarise the genes aligned with our single reads. Using the exons of Entrez *Mus musculus* mm10 GTF as reference, we identified that 60.55% of reads were aligned to known genes (Figure 3.7.b), which was similar to the 66.35% of coding domains in the HISAT2 read distribution (Figure 3.7.a). Furthermore, FeatureCounts quantified the total counts of known gene reads, which averaged around 32 million across the 11 samples (Table 3.2). Overall, the quality assessments, read alignments and count distributions conducted allowed for quantifiable data that minimises the risks of false results and that are appropriate for further DGE analysis to address our experimental question.

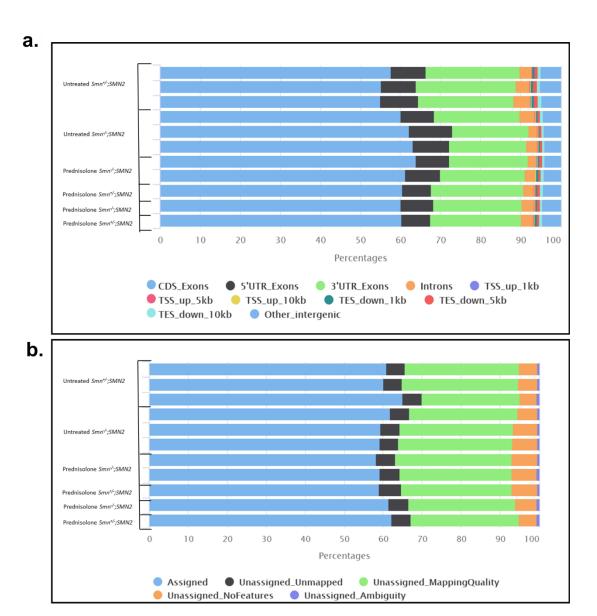


Figure 3.7. Genomic features and read count distribution of aligned single-end reads to mm10 *Mus musculus* genome.

Alignment software used for the single-end reads was HISAT2 v2.1.0.

a. Distribution of single strand mapped reads across UCSC mm10 Mus musculus genome. Genomic features include coding sequence (CDS)_exons (blue), 5'untranslatable region (UTR)_exons (black), 3'UTR_exons (green), introns (orange), transcriptional start site (TSS)_up_1kb (purple), TSS_up_5kb (pink), TSS_up_10kb (yellow), transcriptional end site (TES)_down_1kb (jade), TES_down_5kb (red), TES_down_10kb (light blue) and other intergenic regions (blue). Read distribution was measured by read_distribution.py function of RSeQC.

b. Count distribution was analysed by FeatureCounts v1.6.3+galaxy2 using a built-in mm10 *Mus musculus* Entrez gene annotation file (GTF). Read counts were summarised by percentages of assigned (blue), Unassigned_Unmapped (black), Unassigned_Mapping Quality (green), Unassigned_NoFeatures (orange) and Unassigned_Ambiguity (purple). Graphs were generated by MultiQC v1.6.

Table 3.2. Proportion of reads assigned to known genes in the Entrez Mus musculus mm10 genome through FeatureCounts.

Sample name	Percentage reads assigned (%)	Number of reads assigned (Million)
N0597	60.90%	20.8
N0598	60.20%	24.7
N0599	65.00%	30.6
N0600	61.80%	29
N0601	59.30%	36.6
N0602	59.10%	38.9
N0603	58.20%	36.3
N0604	59.10%	25.7
N0605	58.90%	37.6
N0606	61.50%	42.4
N0607	62.10%	28.9
Average	60.55%	31.95

3.2.2. Differential gene expression analysis

3.2.2.1 Genes related to atrophy, metabolism and function are dysregulated in untreated SMA triceps muscle.

We first set out to compare the transcriptomic patterns between SMA and healthy triceps in order to identify tissue-specific genes that are affected by the disease. For DGE analysis, we used a negative-binomial GLM, due to the data being count based and the sample mean and variance not being equal ⁷¹⁰. DESeq2 ⁶⁴⁷ v2.11.40.2 was selected as the DGE tool as its internal MoR normalisation method corrects for RNA composition and library size biases between samples (Table 3.2) and its focus on sequencing depth and RNA composition makes it suitable for gene count comparison between samples ⁵⁰. Furthermore, DESeq2 ⁶⁴⁷ v2.11.40.2 performs two separate shrinkage-dispersion stages to filter low counts and higher dispersions and is able to analyse study designs with multiple biological factors ⁶⁴⁷, which in our case was condition (healthy or SMA) and treatment (prednisolone or untreated).

Initially, we quality assessed the data to determine the degree of influence that "condition" had between untreated *Smn*--; *SMN*2 SMA and *Smn*+--; *SMN*2 healthy mice. Through PCA, we identified stronger variation (PC1: 82%) between *Smn*+--; *SMN*2 healthy (red) and *Smn*--; *SMN*2 SMA (blue) mice, which suggests that the "condition" i.e., healthy and SMA skeletal muscle highly influenced DGE variation (Figure 3.8.a). Another quality control measure that we analysed was dispersion estimations. Dispersions play an important role in RNA-Seq data as they can over- and under-estimate read count distribution, which can result in false positives or undetected known genes ⁷²⁹. Within these conditions, the estimated

genes followed a similar dispersion shrinkage pattern to the fitted line from 1e+00 to 1e-02 when the mean of normalised counts increased (Figure 3.8.b), which indicated that a high proportion of known genes will have their FDR <0.05.

Furthermore, the MA scatter plots displayed a higher proportion of DEGs (red) compared to non-DEGs (black) in untreated \$Smn^-/-;\$SMN2 SMA mice (Figure 3.8.c). However, MA plots do not take significant (\$p < 0.05\$) DEGs into account. Instead, a histogram of \$p\$-values from 0 to 1 showed a higher frequency of DEGs around \$p < 0.05\$ (Figure 3.8.d) suggesting that most of the genes identified in the MA plot (Figure 3.8.c) were significant. Nevertheless, we wanted to filter significance based on FDR <0.05 and Log2(FC) >0.6 to minimise false results and low expressed genes. Through these filters, a total of 4144 DEGs were identified, with 2102 upregulated and 2042 downregulated in untreated \$Smn^-/-;\$SMN2 SMA mice relative to untreated \$Smn^+/-;\$SMN2 healthy mice (Figure 3.8.e).

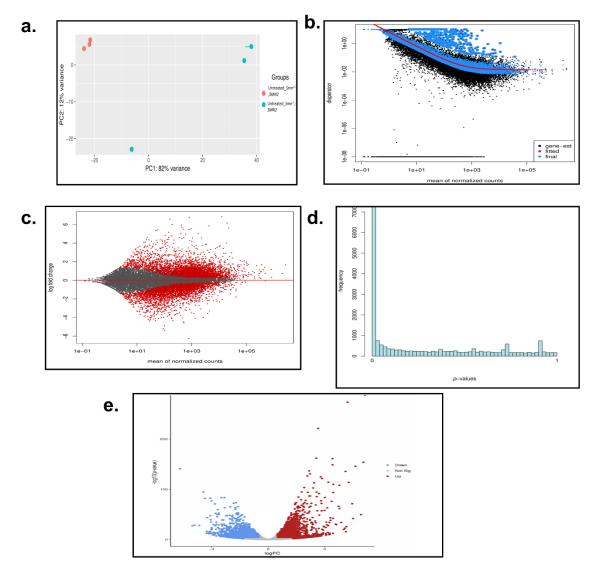


Figure 3.8. Differential gene expression analysis in skeletal muscle (Triceps) between untreated $Smn^{+/-};SMN2$ healthy and $Smn^{-/-};SMN2$ SMA mice.

DGE analysis was performed through DESeq2 v2.11.40.2 with the study design set to "condition".

a. PCA between untreated $Smn^{+/-};SMN2$ healthy (orange, n=3) and $Smn^{-/-};SMN2$ SMA mice (turquoise, n=3). b. Shrinkage estimation of dispersion. Maximum likelihood estimates (MLE) for the dispersion (y-axis) and mean expression level (x-axis) plotted for each gene (black). These genes are further plotted against a fitted MLE model (red), which predicts the final dispersion estimates (blue). c. MA plot for visual representation of the average normalised counts across all samples (x-axis) against the log2 fold change of normalised counts (y-axis) for each gene (black). Genes with adjusted p value below the default threshold of 0.1 are shown in red. d. Histogram plot for the frequency of genes (y-axis) that fall within a certain p value threshold for significance between 0 and 1 (x-axis). e. Volcano plot for the visualisation of significant upregulated (red) and downregulated (blue) genes based on parameters of p <0.05 (y-axis) and log fold change >0.6 (x-axis). Non-significant genes are highlighted in grey. Graph was generated by DeEeq2 v2.11.40.2.

Focusing on the top 20 up- and down-regulated DEGs in untreated *Smn*^{-/-};*SMN2* SMA mice (Table 3.3), we observed that a certain number of those upregulated are well described pro-markers of muscle atrophy associated with metabolic, starvation or induced muscle-wasting (*Mt1* ^{730,731} and *Mt2* ^{730,731}), chronic-GC induced atrophy (*Fkbp5* ³⁶⁸ and *Serpina3n* ⁷³²) as well as denervation (e.g. spinal cord injury (SCI), immobilisation and NMD) (*Fbxo32* ^{359,731,733–735} and *Arrdc2* ^{736,737}).

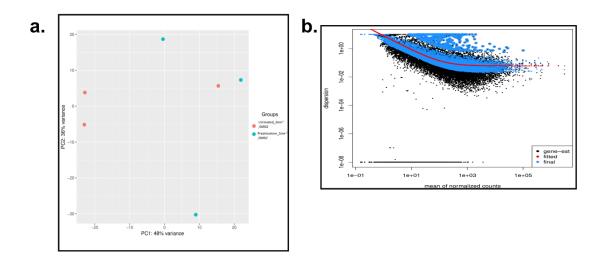
Furthermore, *Grem2* ⁷³⁸ was downregulated, which could potentially impact SMA muscle size as one previously described role for this gene is the antagonistic modulation of myostatin, a negative regulator of skeletal muscle growth ^{505,738} (Table 3.3). In addition to atrophy, certain DEGs displayed similar patterns to those observed in metabolic diseases, especially in cases of impaired glucose metabolism and insulin resistance (e.g. *Fkbp5* ⁷³⁹). Genes linked to regulatory skeletal muscle functions and processes such as myoblast proliferation (e.g. *Aldh1a1* ⁷⁴⁰), fast MHC protein synthesis (e.g. *Peg10* ⁷⁴¹) and mitochondrial regulation (e.g. *Apln* ⁷⁴²) were also dysregulated (Table 3.3). Although these are only a few examples from the 4144 identified DEGs, they strongly indicate that genes associated with muscle size, metabolism, and function are dysregulated in SMA muscle.

Table 3.3. Top 20 significant up- and down-regulated genes in the skeletal muscle (Triceps) from untreated Smn $^+$;SMN2 SMA mice vs untreated Smn $^+$;SMN2 healthy mice

Upreg	ulated	Downre	gulated
Gene	Log2(FC)	Gene	Log2(FC)
Mt2	6.849	Prnd	-6.244
Fam46b	6.728	Tmem72	-5.362
Gm11827	6.555	Pimreg	-5.197
Mt1	6.154	Pbk	-4.873
E230001N04Rik	6.000	ApIn	-4.579
Ankrd55	5.929	Peg10	-4.463
Fkbp5	5.842	Bard1	-4.362
Angptl7	5.685	Melk	-4.335
Fbxo32	5.600	Pclaf	-4.312
Klk1b26	5.537	Neil3	-4.239
Krtap16-3	5.492	Ska3	-4.200
Arrdc2	5.465	Cenph	-4.160
Gck	5.417	Bub1	-4.114
LOC100862287	5.337	Cenpf	-4.100
Nell2	5.159	Btbd17	-4.099
Aldh1a1	5.039	A930003A15Rik	-4.081
1700011103Rik	5.038	Chrna4	-4.072
Serpina3n	4.968	Grem2	-4.068
Prima1	4.822	Slc17a7	-4.044
E030003E18Rik	4.774	Sapcd2	-4.027

3.2.2.2 Prednisolone decreases the expression of genes associated with atrophy and pathology and increases the expression of ergogenic genes in SMA triceps muscle

We next wanted to determine the impact of prednisolone on the dysregulated genes in muscle of $Smn^{-/-};SMN2$ SMA mice. In this case, the variable factor was "treatment" (prednisolone or untreated) with SMA pathology as the fixed factor. Initial quality assessment identified anomalies with the dataset. Firstly, the PCA displayed similar variances between groups (PC1: 48%) and within groups (PC2: 36%) alongside a skewed cluster pattern, suggesting that intragroup variances influenced the transcriptomic pattern (Figure 3.9.a). Secondly, there was a reduced dispersion shrinkage slightly above 1e-02 (Figure 3.9.b), implying an increased risk of false results. Thirdly, the MA and histogram plots displayed both a lower proportion of DEGs and significant (p <0.05) frequencies (Figures 3.9.c-d), which altogether indicates the presence of an outlier. A Grubbs test was performed across the normalised read counts, which identified prednisolone-treated $Smn^{-/-};SMN2$ SMA mouse sample N0603 as the outlier.



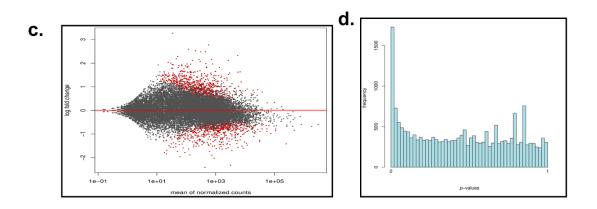


Figure 3.9. Outlier presence in skeletal muscle (Triceps) from prednisolone-treated $Smn^{-/-};SMN2$ SMA mice when compared against untreated $Smn^{-/-};SMN2$ SMA mice in differential gene expression analysis.

DGE analysis was performed through DESeq2 v2.11.40.2 with the study design set to "treatment". a. PCA between prednisolone-treated $Smn^{-/-};SMN2$ SMA mice (turquoise, n=3) and untreated $Smn^{-/-};SMN2$ SMA mice (orange, n=3). b. Shrinkage estimation of dispersion. Maximum likelihood estimates (MLE) for the dispersion (y-axis) and mean expression level (x-axis) plotted for each gene (black). These genes are further plotted against a fitted MLE model (red), which predicts the final dispersion estimates (blue). c. MA plot for visual representation of the average normalised counts across all samples (x-axis) against the log2 fold change of normalised counts (y-axis) for each gene (black). Genes with adjusted p values below the default threshold of 0.1 are shown in red. d. Histogram plot for the frequency of genes (y-axis) that fall within a certain p value threshold for significance between 0 and 1 (x-axis). Graph was generated by DESeq2 v2.11.40.2.

Although removal of N0603 in the prednisolone-treated *Smn*-/-;*SMN*2 SMA group leaves a smaller sample size (N=2) and reduces replicability, the removal was necessary as inclusion of the outlier produced 250 DEGs (Log2(FC) >0.6, FDR <0.05). A risk of using 250 DEGs for subsequent pathway analysis is that it would result in a low output of significant pathways (p < 0.05) and drug candidates. Despite the N=2 sample size for the prednisolone-treated Smn^{-/-};SMN2 SMA mice, previous evidence has shown DESeq2 is a useful tool for low replicate RNA-Seg ⁷⁴³ and thus this group can still be compared against untreated Smn^{-/-} ;SMN2 SMA mice (N=3). This modified experimental design produced higher intergroup variances (PC1: 68%) compared to sample group (PC2: 20%) with distinct clusters between prednisolone-treated and untreated Smn^{-/-};SMN2 SMA mice (Figure 3.10.a). Furthermore, quality assessments identified increased dispersion shrinkages (Figure 3.10.b) and a higher proportion of DEGs alongside higher significant (p < 0.05) frequencies (Figures 3.10.c-d). These led to a total of 3056 DEGs (Log2(FC) >0.6, FDR<0.05) with 1486 upregulated and 1570 downregulated in prednisolone-treated Smn^{-/-};SMN2 SMA mice relative to their untreated counterpart (Figure 3.10.e), whereby the top 20 up- and downregulated genes are listed in Table 3.4.

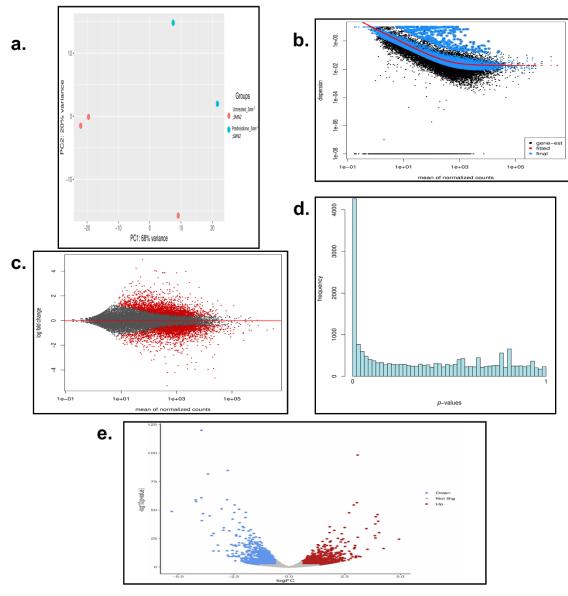


Figure 3.10. Differential gene expression analysis in skeletal muscle (Triceps) between prednisolone-treated and untreated *Smn*^{-/-};*SMN2* SMA mice.

DGE analysis was performed through DESeq2 v2.11.40.2 with the study design set to "treatment". **a.** PCA between prednisolone-treated $Smn^{-/-};SMN2$ SMA (turquoise, n=2) and untreated $Smn^{-/-};SMN2$ SMA mice (orange, n=3). **b.** Shrinkage estimation of dispersion. Maximum likelihood estimates (MLE) for the dispersion (y-axis) and mean expression level (x-axis) plotted for each gene (black). These genes are further plotted against a fitted MLE model (red), which predicts the final dispersion estimates (blue). **c.** MA plot for visual representation of the average normalised counts across all samples (x-axis) against the log2 fold change of normalised counts (y-axis) for each gene (black). Genes with adjusted p values below the default threshold of 0.1 are shown in red. **d.** Histogram plot for the frequency of genes (y-axis) that fall within a certain p value threshold for significance between 0 and 1 (x-axis). **e.** Volcano plot for the visualisation of significant upregulated (red) and downregulated (blue) genes based on parameters of p <0.05 (y-axis) and log fold change >0.6 (x-axis). Non-significant genes are highlighted in grey. Graph was generated by DESeq2 v2.11.40.2.

Table 3.4. Top 20 significant up- and down-regulated genes in the skeletal muscle (Triceps) from prednisolone-treated Smn^{-/-};SMN2 SMA mice vs untreated Smn^{-/-};SMN2 SMA mice.

Upregu	ulated	Downregulated		
Gene	Log2(FC)	Gene	Log2(FC)	
Orm3	4.935	Ankrd55	-5.281	
Igha	4.243	Angptl7	-4.203	
SIc17a7	4.046	Arrdc2	-4.185	
Kcng4	4.004	Mt2	-3.946	
Ку	3.970	Fkbp5	-3.939	
Hdhd3	3.893	8430408G22Rik	-3.920	
Grem2	3.881	Mt1	-3.875	
A930003A15Rik	3.871	Il6ra	-3.648	
Orm1	3.853	Acot1	-3.569	
F830016B08Rik	3.524	BB123696	-3.491	
Peg10	3.470	Ddit4	-3.402	
Lep	3.207	lgfn1	-3.396	
Best3	3.136	Tmem252	-3.205	
Tnfaip2	3.085	Slc10a6	-3.166	
Tfrc	3.075	Cebpd	-3.039	
Map2k6	3.042	Trim63	-2.960	
Ttbk1	3.010	Hif3a	-2.938	
Orm2	2.999	Hmgcs2	-2.798	
Tmem72	2.998	Arhgap26	-2.789	
Fam212b	2.969	Pdk4	-2.765	

^{*}Genes highlighted in bold represent targets that were previously regulated in opposite pattern in Table 3.3 for untreated $Smn^{-/-};SMN2$ SMA mice.

Interestingly, prednisolone-treatment significantly downregulated the expression of atrogenes found to be upregulated in untreated SMA muscle (e.g. *Mt1* ⁷³⁰, *Mt2* ⁷³⁰, *Arrdc2* ^{736,737}, *Fkbp5* ³⁶⁸) (Tables 3.3-4). Additionally, genes related to atrophy were also identified as being significantly decreased by prednisolone (e.g. *Pdk4* ⁷⁴⁴, *Il6ra* ^{745–747}, *Ddit4* ^{748,749}, *Trim63* ^{734,735,750,751}) (Table 2.5). Conversely, *Grem2* ⁷³⁸, the antagonistic modulator of myostatin was significantly upregulated in prednisolone-treated muscle, supporting muscle health improvements at the transcriptional level (Table 3.4).

Prednisolone treatment also resulted in the upregulation of genes important for skeletal muscle contraction (e.g. Peg10⁷⁴¹) and endurance (e.g. Orm1⁷⁵²) and the downregulation of negative regulators such as *Igfn1* ⁷⁵³, which impedes myosin-binding protein C synthesis in denervated fast- and slow-type skeletal muscle ⁷⁵³ (Table 3.4). Furthermore, prednisolone-treated SMA muscle displayed opposite expression patterns for genes that are dysregulated in muscle-wasting conditions such as myofibrillar myopathy (e.g. Ky 754,755) and MG (e.g. Cebpd 756), further supporting muscle health improvements (Table 3.4). Similarly, prednisolone-treatment in SMA muscle also restored the expression patterns of protein (e.g. *Ddit4* ^{757–759}), glucose (e.g. *Fkbp5* ⁷³⁹, *Hif3a* ⁷⁶⁰, *Pdk4* ^{761–763}) and FA (e.g. Acot1 764,765) metabolism genes to levels previously observed in healthy muscle, suggesting an improvement in muscle metabolism. Genes whose activity are linked to inflammation and immune response (e.g. Orm3 766,767, II6ra 768-770) were also found to be downregulated upon prednisolone treatment, which could be attributed to the anti-inflammatory properties of GC drugs 625,626 (Table 3.4). Although we observed that prednisolone inversed the expression pattern of a subset of the top 40 (20 upregulated and 20 downregulated) DEGs in the muscle

of untreated *Smn*^{-/-};*SMN2* SMA mice (Tables 3.3-4), the extent to which prednisolone normalised the transcriptomic profile in SMA muscle was still unclear. A further DESeq2 analysis was thus conducted that implemented both "condition" and "treatment" as variable factors. Higher intergroup variability (PC1: 72%) was observed, with prednisolone-treated *Smn*^{-/-};*SMN2* SMA, untreated *Smn*^{-/-};*SMN2* SMA and untreated *Smn*^{-/-};*SMN2* healthy mice separating into distinct clusters (Figure 3.11.a). Furthermore, the prednisolone-treated *Smn*^{-/-};*SMN2* SMA mice were clustered in the middle of the other two groups (Figure 3.11.a). Based on the total of 1361 genes that overlapped between untreated *Smn*^{-/-};*SMN2* SMA mice, untreated *Smn*^{-/-};*SMN2* healthy mice and prednisolone-treated *Smn*^{-/-};*SMN2* SMA mice (Figure 3.11.b), heatmap analysis showed that prednisolone treatment normalised the expression of a subset of genes in SMA skeletal muscle to levels similarly observed in untreated *Smn*^{+/-};*SMN2* healthy mice (Figure 3.11.c).

Overall, we have shown that there is a predicted dysregulation in the transcriptomic pattern of genes linked to size, metabolism, and function in SMA skeletal muscle that is attenuated upon prednisolone treatment. Furthermore, we identified perturbations in transcripts linked to the anti-inflammatory and immune response of prednisolone treatment. Importantly, we have observed that prednisolone normalises the transcriptomic profile of a subset of genes in SMA skeletal muscle towards that of healthy controls.

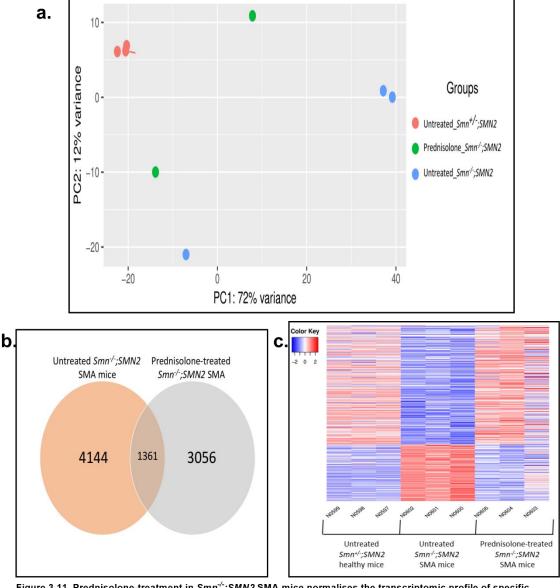


Figure 3.11. Prednisolone-treatment in Smn^{-/-};SMN2 SMA mice normalises the transcriptomic profile of specific genes in skeletal muscle (Triceps) to levels observed in untreated Smn^{+/-};SMN2 healthy mice.

DGE analysis was performed through DESeq2 v2.11.40.2 with the study design set to "condition" and "treatment". a. PCA between untreated Smn^{+/-};SMN2 healthy mice (red, n=3), untreated Smn^{-/-};SMN2 (blue, n=3) and prednisolone-treated Smn^{-/-};SMN2 SMA mice (green, n=2). PCA graph was generated by DESeq2 v2.11.40.2. b. Venn diagram for the total number of significantly regulated genes (Log2FC >0.6 or -0.6; FDR <0.05) in untreated Smn^{-/-};SMN2SMA mice (orange circle), prednisolone-treated Smn^{-/-};SMN2 SMA mice (grey circle) and the overlap of specific genes significantly regulated in untreated Smn^{-/-};SMN2 SMA mice that is targeted by prednisolone. c. Heatmap visualisation for significant differentially expressed specific genes (Log2FC >0.6; FDR <0.05) between untreated Smn^{-/-};SMN2 healthy mice (left), untreated Smn^{-/-};SMN2 SMA mice (centre), and prednisolone-treated Smn^{-/-};SMN2 SMA mice (right). Upregulated genes highlighted in red and downregulated genes highlighted in blue. Heatmap was generated by Heatmap2 v2.2.1+galaxy1.

3.2.3. Pathway analysis

3.2.3.1. Impact analysis predicts that prednisolone treatment in *Smn*-/-;*SMN*2 SMA mice targets skeletal muscle size, metabolic and regulatory pathways.

We next wanted to identify the biological pathways associated with the DEGs in prednisolone treated SMA muscle. Pathway analysis was performed with iPathwayGuide 651-654, a GUI software, which implements a novel technique called impact analysis. A third-generation tool, impact analysis utilises a combination of enrichment (over-representation of DEGs and their perturbations) and topology (gene position and pathway structure) to identify significant perturbed pathways catalogued in the KEGG database 651-653. Based on the 3056 significant DEGs (Log2(FC) >0.6, FDR: <0.05) between prednisolone-treated Smn-/-;SMN2 vs untreated Smn-/-;SMN2 SMA mice, a total of 28 significant KEGG pathways (p < 0.05) were identified, 23 of which were calculated by impact analysis (Table 3.5). Similar to the top DEG lists (Tables 3.3-4), the pathways influenced by prednisolone were associated with skeletal muscle metabolism (e.g. HIF-1 signalling ⁷⁷¹, Pentose and glucuronate interconversions ⁷⁷², 2-Oxocarboxylic acid metabolism ⁷⁷³, Apelin signalling ⁷⁴², Mannose type O-glycan biosynthesis 774 and Peroxisome-activator receptor (PPAR) signalling 775) and regulatory processes (e.g. FoxO signalling ³⁶³ and circadian rhythm ^{776–778}) (Table 3.5). Furthermore, some of these pathways have previously been reported as being dysregulated in various SMA models and patients (e.g. FoxO signalling ⁵⁶, longevity regulating pathway ^{53,56,389,767,779–783}, p53 signalling ^{779,780}, AMPK signalling ^{389,781,782}, mitophagy ^{388,784}, circadian rhythm ³⁵⁶, PPAR signalling ³⁸⁹, autophagy – animal ^{56,389}) (Table 3.5). On the other hand, we also identified pathways associated with non-NMDs such as AD and various cancers (Table

3.5). Although in these examples, the DEGs overlap with conserved pathways such as mitochondrial dysregulation in AD ⁷⁸⁵ and mTOR signalling in cancer ⁷⁸⁶. Overall, impact analysis has predicted that prednisolone treatment attenuates SMA skeletal muscle pathology by targeting pathways linked to size, metabolism, and regulatory function. Importantly, some of these key pathways targeted are also reported as dysregulated in SMA.

Table 3.5. Top significant KEGG pathways identified through impact analysis that are targeted by prednisolone in the skeletal muscle (Triceps) from prednisolone-treated Smn^{-/-};SMN2 SMA mice.

KEGG ID	Pathway name	#Genes (DE/AII)	p value
04066	HIF-1 signalling pathway	30/91	0.001
05214	Glioma	25/71	0.002
04213	Longevity regulating pathway - multiple species	24/57	0.003
04068	FoxO signalling pathway	41/116	0.003
04713	Circadian entrainment	23/73	0.004
04211	Longevity regulating pathway	30/83	0.004
04115	p53 signalling pathway	25/67	0.006
00040	Pentose and glucuronate interconversions*	8/15	0.007
05010	Alzheimer disease	19/158	0.008
04152	AMPK signalling pathway	37/110	0.008
04080	Neuroactive ligand-receptor interaction	23/118	0.011
05418	Fluid shear stress and atherosclerosis	38/125	0.013
05223	Non-small cell lung cancer	22/64	0.014
05034	Alcoholism	25/104	0.014
04744	Phototransduction	4/9	0.015
05215	Prostate cancer*	28/88	0.016
04137	Mitophagy - animal	22/61	0.018
04659	Th17 cell differentiation	18/72	0.024
03030	DNA replication*	13/35	0.026
05031	Amphetamine addiction	13/49	0.028
05200	Pathways in cancer*	110/434	0.031
04710	Circadian rhythm	12/29	0.033
01210	2-Oxocarboxylic acid metabolism*	7/16	0.039
04140	Autophagy - animal	36/123	0.041
04372	Apelin signalling pathway	34/121	0.045
05226	Gastric cancer	36/123	0.048
00515	Mannose type O-glycan biosynthesis*	8/20	0.048
03320	PPAR signalling pathway	20/56	0.048
*Over rea	procentation only		

^{*}Over-representation only

3.2.3.2. Overrepresentation analysis predicts that prednisolone treatment in *Smn*/-;SMN2 SMA mice targets skeletal muscle mass, metabolic and regulatory
pathways.

We decided to validate the prediction by impact analysis that prednisolone targets skeletal muscle mass, metabolic and regulatory function pathways in Smn^{-/-};SMN2 SMA mice with the commonly used ORA ⁶⁵⁸, which predicts pathways in the GO database 656,657,713 based on the significant enrichment of DEGs through a hypergeometric model (Fishers exact test) ^{658,787}. Although ORA lacks the detailed pathway topology of impact analysis 651-653, its ability to categorise enriched DEG groups in certain pathways still makes it a useful validation tool ⁶⁵⁸. To overcome the limitation in classical ORA of assumptions that genes annotated to certain pathways will also be also associated with their upstream counterparts, we implemented weight pruning, which assigned a weight score to each gene in a GO term based on their scores in neighbouring GO terms ^{788–790}. The main advantage of this is that it minimised the risk of false positives ^{788–790}. For ORA of the 3056 DEGs significantly modulated by prednisolone in SMA muscle, we focused on the enrichment of >5 genes in pathways related to the three GO term tiers of: biological processes, molecular functions, and cell components. In biological processes, 131 significant GO term pathways (>5 DEGs, p < 0.05) were identified that overlapped with those previously observed in impact analysis such as circadian rhythm, autophagy, longevity (starvation response) and PPAR signalling (Tables 3.5-6). Furthermore, metabolic pathways related to glucose and FA metabolism were also identified (Table 3.6), alongside skeletal muscle processes related to myotube differentiation and sarcomere thin filament assembly (Table 3.6). The lower GO term tiers of molecular function and

cell components also identified pathways related to glucose (e.g. glucose transport ⁷⁹¹ and insulin receptor binding ⁷⁹²) and FA (e.g. cholesterol transport ^{793,794}) metabolism (Table 3.7). In addition, processes related to skeletal muscle mass (e.g. MAP kinase phosphatase activity ⁷⁹⁵), regulation (e.g. Protein tyrosine/serine/threonine phosphatase activity ⁷⁹⁶) and contraction ⁷⁹⁷ (e.g. M band ²¹⁰ and Z disc ²⁰³) were also identified (Tables 3.7-8). Across these three tiers, we see that similar to impact analysis, ORA predicts that prednisolone treatment in *Smn*^{-/-};*SMN*2 SMA mice targets pathways related to skeletal muscle mass, metabolism, and regulation.

Table 3.6. Top 25 significant GO biological process terms with weight pruning and >5 DGE components that are targeted by prednisolone in the skeletal muscle (Triceps) from prednisolone-treated Smn^{-/-};SMN2 SMA mice.

GO ID	GO Name	#Genes (DE/AII)	p value (Weight)
GO:0000055	ribosomal large subunit export from nucleus	8/8	0.00000 54
GO:0010830	regulation of myotube differentiation	25/53	0.00008 8
GO:0034504	protein localization to nucleus	81/247	0.00011
GO:0046320	regulation of fatty acid oxidation	17/32	0.00028
GO:0048662	negative regulation of smooth muscle cell proliferation	20/44	0.00045
GO:0031062	positive regulation of histone methylation	17/35	0.00046
GO:0046854	phosphatidylinositol phosphorylation	17/36	0.0007
GO:0016239	positive regulation of macroautophagy	26/64	0.00113
GO:0000083	regulation of transcription involved in G1/S transition of mitotic cell cycle	8/12	0.00113
GO:0042594	response to starvation	49/148	0.00114
GO:0031398	positive regulation of protein ubiquitination	36/101	0.00115
GO:0090073	positive regulation of protein homodimerization activity	7/10	0.00157
GO:0048671	negative regulation of collateral sprouting	6/8	0.00208
GO:0007050	cell cycle arrest	40/121	0.00319
GO:1990830	cellular response to leukaemia inhibitory factor	37/110	0.00321
GO:1901215	negative regulation of neuron death	60/198	0.00378
GO:0007623	circadian rhythm	50/160	0.00378
GO:0061635	regulation of protein complex stability	6/9	0.00508
GO:0033137	negative regulation of peptidyl-serine phosphorylation	12/26	0.00533
GO:0032088	negative regulation of NF-kappaB transcription factor activity	22/59	0.00537
GO:0006094	gluconeogenesis	23/63	0.00604
GO:0001937	negative regulation of endothelial cell proliferation	15/36	0.00629
GO:0010715	regulation of extracellular matrix disassembly	7/12	0.00681
GO:0030240	skeletal muscle thin filament assembly	7/12	0.00681
GO:0035358	regulation of peroxisome proliferator activated receptor signalling pathway	7/12	0.00681

Table 3.7. Top 25 significant GO molecular function terms with weight pruning and >5 DGE components that are targeted by prednisolone in the skeletal muscle (Triceps) from prednisolone-treated Smn^{-/-};SMN2 SMA mice.

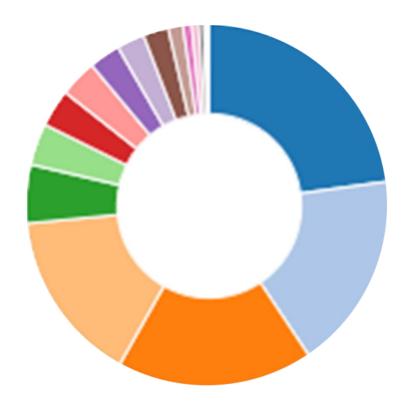
GO ID	GO Name	#Genes (DE/AII)	p value (Weight)
GO:0042802	identical protein binding	388/149	0.000004
GO:0042826	histone deacetylase binding	8 37/107	0.000091
GO:0002151	G-quadruplex RNA binding	6/8	0.0013
GO:0034046	poly(G) binding	6/8	0.0021
GO:0008138	protein tyrosine/serine/threonine phosphatase activity	16/36	0.0023
GO:0016279	protein-lysine N-methyltransferase activity	21/53	0.0029
GO:0005355	glucose transmembrane transporter activity	7/11	0.0035
GO:0005179	hormone activity	16/38	0.0044
GO:0033549	MAP kinase phosphatase activity	7/12	0.0069
GO:1904047	S-adenosyl-L-methionine binding	5/7	0.0073
GO:0005251	delayed rectifier potassium channel activity	9/18	0.0083
GO:0019900	kinase binding	180/693	0.0084
GO:0035064	methylated histone binding	20/54	0.0086
GO:0043560	insulin receptor substrate binding	6/10	0.0105
GO:0043995	histone acetyltransferase activity (H4-K5 specific)	6/10	0.0105
GO:0043996	histone acetyltransferase activity (H4-K8 specific)	6/10	0.0105
GO:0046972	histone acetyltransferase activity (H4-K16 specific)	6/10	0.0105
GO:0008179	adenylate cyclase binding	7/13	0.0121
GO:0017127	cholesterol transporter activity	7/13	0.0121
GO:0005158	insulin receptor binding	10/22	0.0124
GO:0008270	zinc ion binding	141/540	0.0124
GO:0001968	fibronectin binding	12/29	0.0151
GO:0004303	estradiol 17-beta-dehydrogenase activity	5/8	0.0159
GO:0030235	nitric-oxide synthase regulator activity	5/8	0.0159
GO:0000980	RNA polymerase II distal enhancer sequence-specific DNA binding	25/75	0.0159

Table 3.8. Top 17 significant GO cell components terms with weight pruning and >5 DGE components that are targeted by prednisolone in the skeletal muscle (Triceps) from prednisolone-treated Smn--;SMN2 SMA mice.

GO ID	GO Name	#Genes (DE/AII)	p value (Weight)
GO:0031430	M band	13/21	0.000083
GO:0016363	nuclear matrix	29/82	0.0033
GO:0030018	Z disc	38/117	0.0047
GO:0008076	voltage-gated potassium channel complex	18/46	0.0058
GO:0005852	eukaryotic translation initiation factor 3 complex	8/15	0.0073
GO:0005730	nucleolus	191/748	0.0079
GO:0005801	cis-Golgi network	17/45	0.0107
GO:0005776	autophagosome	22/64	0.0139
GO:0043204	perikaryon	29/91	0.0164
GO:0044305	calyx of Held	9/20	0.0174
GO:0005615	extracellular space	223/881	0.0175
GO:0031932	TORC2 complex	6/12	0.0291
GO:0034045	phagophore assembly site membrane	6/12	0.0291
GO:0098982	GABA-ergic synapse	19/57	0.0295
GO:0101031	chaperone complex	8/19	0.0375
GO:0005783	endoplasmic reticulum	323/1343	0.0447
GO:0099524	postsynaptic cytosol	9/23	0.0453

3.2.3.3. DEGs targeted by prednisolone in SMA skeletal muscle are predicted to be associated with myopathies, neuromuscular and metabolic disorders.

An alternative dataset to gain further insight into the DEGs and pathways targeted by prednisolone in the skeletal muscle of Smn^{-/-}:SMN2 SMA mice is the International Classification of Diseases (ICD) database. The iPathwayGuide used ORA 658 to annotate the 3056 DEGs significantly upregulated and downregulated in prednisolone-treated SMA muscle to diseases in the KEGG 655 ICD database, 10th revision (ICD-10) ⁷⁹⁸. The DEGs were linked to 39 diseases (p < 0.05), the majority of which are categorised into the following disease groups: "Congenital malformations, deformations, and chromosomal abnormalities" (dark blue), "diseases of the nervous system" (light blue), "Endocrine, nutritional, and metabolic diseases" (dark orange) and "neoplasms" (light orange) (Figure 3.12). Furthermore, most of the significant "diseases of the nervous system" terms are related to NMD and myopathies (Table 3.9). Interestingly, the muscular, metabolic and neuromuscular ICD conditions share similar pathways and patterns to those identified by impact (Table 3.5) and ORA (Tables 3.6-8) such as skeletal muscle metabolism, growth and regulation. Thus, this analysis provides evidence of an overlap between dysregulated pathways in SMA and additional muscle wasting, metabolic and neurodegenerative disorders, and conditions.



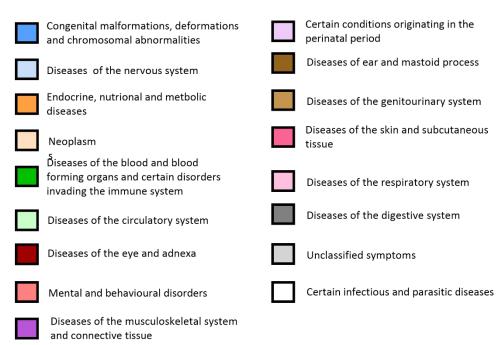


Figure 3.12. Predicted target genes of prednisolone in *Smn*^{-/-};*SMN2* SMA mice are linked to related myopathies, neuromuscular and metabolic diseases.

A pie chart representation of diseases that are linked to the differentially expressed genes (Log2(FC) >0.6, FDR <0.05) targeted by prednisolone in prednisolone-treated Smn^{-/-};SMN2 SMA mice. Each coloured region of the pie chart represents a general disease category that falls under the International Classification of Diseases, 10th revision (ICD-10), which are highlighted in coloured keys.

Graph is an edited version of interactive figure generated in iPathwayGuide.

Table 3.9. Top 25 significant identified diseases from the KEGG database that the DEG identified in the skeletal muscle (Triceps) from prednisolone-treated Smn^{-/-};SMN2 SMA mice are annotated towards.

KEGG ID	Disease Name	ICD-10 Disease Category	#Genes (DE/AII)	<i>p</i> value
H00698	Nemaline myopathy	Diseases of the nervous system	8/14	0.004
H00720	Long QT syndrome	Diseases of the circulatory system	9/17	0.004
H00030	Cervical cancer	Neoplasms	5/7	0.007
H01225	D-2-hydroxyglutaric aciduria	Endocrine, nutritional, and metabolic diseases	3/3	0.010
H01370	SHORT syndrome	Congenital malformations, deformations, and chromosomal abnormalities	3/3	0.010
H01592	Medullary thyroid cancer	Neoplasms	3/3	0.010
H01193	Familial tumoral calcinosis	Diseases of the musculoskeletal system and connective tissue	7/13	0.011
H00058	Amyotrophic lateral sclerosis (ALS); Lou Gehrig disease	Diseases of the nervous system	12/29	0.013
H00055	Laryngeal cancer	Neoplasms	4/6	0.022
H00593	Limb-girdle muscular dystrophy	Diseases of the nervous system	13/35	0.026
H00120	Muscular dystrophy- dystroglycanopathy type A	Diseases of the nervous system	7/15	0.027
H02307	Muscular dystrophy- dystroglycanopathy	Diseases of the nervous system	7/15	0.027
H00529	Cranioectodermal dysplasia	Congenital malformations, deformations, and chromosomal abnormalities	3/4	0.034
H00910	Hirschsprung disease	Congenital malformations, deformations, and chromosomal abnormalities	3/4	0.034
H00916	Congenital central hypoventilation syndrome	Diseases of the nervous system	3/4	0.034
H02031	Inclusion body myopathy with Paget disease of bone and frontotemporal dementia	Diseases of the nervous system	3/4	0.034
H00020	Colorectal cancer	Neoplasms	11/30	0.042
H00014	Non-small cell lung cancer	Neoplasms	4/7	0.043
H00016	Oral cancer	Neoplasms	4/7	0.043
H00017	Esophageal cancer	Neoplasms	4/7	0.043
H01019	Catecholaminergic polymorphic ventricular tachycardia	Diseases of the circulatory system	4/7	0.043
H01613	Follicular lymphoma	Neoplasms	4/7	0.043

H00022	Bladder cancer	Neoplasms	5/10	0.044
H01959	Muscular dystrophy- dystroglycanopathy type C	Diseases of the nervous system	5/10	0.044
H00425	Lysosomal cysteine protease deficiencies	Congenital malformations, deformations, and chromosomal abnormalities	2/2	0.046

^{*}International Classification of Diseases, 10th Edition (ICD-10)

3.2.4. Clinically approved drugs primarily used to treat muscle wasting, metabolic and inflammatory disorders are predicted to mimic the pharmacological activity of prednisolone in SMA muscle.

Our bioinformatics analyses suggest that the benefits of prednisolone in the skeletal muscle of *Smn*^{-/-};*SMN*2 SMA mice ⁵³ are due to modulation of metabolic, regulatory and growth pathways (Tables 3.5-8). However, chronic administration of prednisolone compared to intermittent use risks the activation of GC-associated atrophy pathways which promote muscle wasting ^{628,630,637,749}, indicating that this is not suitable as a long-term therapy in SMA ^{628,799–801}. We therefore set out to identify approved non-GC pharmacological compounds currently used to treat other disorders as a way to potentially target the same genes and pathways as prednisolone in SMA muscle, without increasing the risk of GC-associated muscle atrophy ^{628,630,637,749}. Predictively, this would allow for repositioned compounds that mimic the positive benefits of short-term prednisolone treatment in SMA muscle, whilst avoiding the adverse long-term GC-associated muscle wasting side effects ^{628,799–801}.

The first approach relied on the integration of the KEGG pathway and drug databases in iPathwayGuide that annotates certain compounds to their respective protein targets in each pathway ⁶⁵⁴. We thus analysed the KEGG drug candidates listed in iPathwayGuide that targeted the significant pathways (Table 3.5), which revealed a total of 6320 compounds, which we filtered further to generate a useable and valuable list of potential drugs. Firstly, we focused on compounds that targeted >4 significant pathways (Table 3.5), which left 2210 potential candidates. Secondly, we catalogued each drug in terms of efficacy, target(s), bioavailability, primary disease usage and regulatory status based on

up-to-date information from various databases (KEGG ⁶⁵⁵, Inxight: Drugs ⁶⁶⁰, BNF: NICE ⁶⁶¹, DrugBank ^{662,663}, clue.io cMap L1000 platform ⁶⁶⁴). This allowed us to omit drugs primarily designed for cancer treatment as these often promote muscle wasting ⁸⁰² and thus left us with 136 candidates, 58 of which targeted proteins encoded by the DEGs modulated by prednisolone (Table 3.10). The majority of these drugs were linked to metabolic (55), neuromuscular and neurodegenerative (17) and autoimmune and inflammatory (12) disorders (Table 3.10), supporting our bioinformatic analyses that predicted that prednisolone acts on pathways associated with inflammatory and metabolic pathways (Tables 3.5-8) as well as neuromuscular and metabolic disorders (Table 3.9). Notably, 71 of these compounds are already clinically approved such as Mecasermin, metformin and various forms of insulin (Table 3.10).

However, a caveat with only using the first approach is that the drugs were assigned based on their protein targets, not the modulation of gene expression patterns ⁶⁵⁴. In addition, the pathways did not represent the entire 3056 DEGs identified in prednisolone-treated *Smn*^{-/-};*SMN2* SMA mice. To ensure a complete and exhaustive list of potential drug candidates, we used the iPathwayGuide predicted upstream regulators derived from the list of DEGs following prednisolone treatment. We selected 308 upstream regulators that had >2 downstream targets and analysed them through DGIdb v3.0 ⁶⁵⁹, which predicts drug-gene interactions based on algorithms encompassing information from journals, databases, and online resources ⁶⁵⁹. We therefore generated a list of drugs predicted to target and mimic the expression of the DEGs affected by prednisolone ⁶⁵⁹ (i.e. agonists for upregulated genes and antagonists for downregulated genes). We initially identified 125 agonist and 320 antagonist

drugs and again catalogued the compounds using the various databases and criteria described above. This generated a final list of 82 agonists (59 of these approved, 4 of these elicit) and 51 antagonists (28 of these approved) drugs, all of which targeted the DEGs (Tables 3.11-12). The majority of the agonists targeted androgynous genes such as androgen receptor (Ar) and oestrogen receptor 1 (Esr1) (Table 3.11). Despite their gender-specific functions, both genes also play roles in skeletal muscle regulation (*Ers1* in metabolism ^{183–185} and Ar in anabolic growth and metabolism 803-805). Indeed, anabolic steroids 806 and selective androgen receptor modulators (SARMs) 807 have been associated with approved drugs and clinical trials for NMD, myopathies and muscle-wastingassociated conditions (Table 3.11). On the other hand, the majority of the antagonistic drugs targeted Endothelin receptor type B (Ednrb), Catechol-Omethyltransferase (Comt) and Interleukin-6 receptor, alpha (II6ra) (Table 3.12). Although Ednrb drugs are used to treat vascular diseases, Comt and Il6ra have been associated with skeletal muscle mass regulation (Table 3.12). Indeed, COMT LOF polymorphisms are associated with greater skeletal muscle mass in elderly females 808 and *Il6ra* inhibition ameliorates muscle atrophy in murine models ⁷⁴⁵. Similar to the iPathwayGuide approach, we found that the drugs identified with DGIdb v3.0 are primarily used to treat myopathies and muscle wasting (10), inflammatory disorders (24), metabolic diseases (8) and neuromuscular and neurodegenerative diseases (6) (Tables 3.11-12).

Finally, both approaches revealed drug candidates that have been previously investigated in SMA such as colforsin ⁸⁰⁹ and celecoxib ⁶¹⁵ (ClinicalTrials ID: NCT02876094), further supporting the strength of our computational predictions (Tables 3.10-12). Although it should be noted that both colforsin and celecoxib's

potential usage in SMA therapeutics was as SMN-dependent drug candidates ^{615,809} rather than a muscle-specific SMN-independent treatment.

Overall, we have shown that drugs primarily used to treat metabolic, inflammatory, and muscle-wasting disorders are predicted to have similar pharmacological activities as prednisolone and have the potential to improve muscle pathologies in SMA.

Table 3.10. KEGG database drugs predicted to target components within the predicted iPathwayGuide KEGG pathways in skeletal muscle of prednisolone-treated Smn^{-/-};SMN2 SMA mice.

Pathways	Drug	KEGG ID	Activity	Bioavailability	Disease(s)	Target(s)	Status
	Leniolisib (USAN/INN)	D11158	Immunomodulator, PI3K Inhibitor	Oral	APDS, Lymphadenopathy, Immunodeficiency	Pik3r1-3, Pik3cb	Phase 3
12	Leniolisib phosphate (USAN)	D11159	Immunomodulator, PI3K Inhibitor	Oral	APDS, Lymphadenopathy, Immunodeficiency	Pik3r1-3, Pik3cb	Phase 3
	Nemiralisib (USAN/INN)	D11267	Anti-asthmatic, Anti-inflammatory, Phosphatidylinositol 3-kinase inhibitor	Oral	COPD, Asthma	Pik3r1-3, Pik3cb	Phase 2
	Nemiralisib succinate (USAN)	D11354	Anti-asthmatic, Anti-inflammatory, Phosphatidylinositol 3-kinase inhibitor	Oral	COPD, Asthma	Pik3r1-3, Pik3cb	Phase 2
	Ibutamoren mesylate (USAN)	D04491	Growth hormone releasing hormone receptor agonist	Oral	Fibromyalgia, Growth hormone deficiencies	lgf1r, Egfr, Insr	Phase 2
10	Mecasermin (genetical recombination) (JAN)	D03297	Recombinant IGF-1	Subcutaneous	ALS, Type 1 and 2 diabetes mellitus	lgf1r	Approved
	Mecasermin rinfabate (USAN/INN)	D04870	Recombinant IGF-1	Intravenous	Chronic lung disease, Retinopathy	lgf1r	Phase 2
9	Lubeluzole (USAN/INN); Prosynap (TN)	D04789	Calmodulin inhibitor	Intravenous	Stroke	Calm1-4	Preclinical
•	Teprotumumab (USAN/INN)	D09680	IGF-1R monoclonal antibody inhibitor	Intravenous	Graves diseases, Inflammatory disorders	lgf1r	Phase 3

	Buformin (USAN/INN)	D00595	Biguanide hypoglycaemic agent, AMPK Agonist	Oral	Type 2 diabetes mellitus	Prkag3	Withdrawn
	Buformin hydrochloride (JP16)	D02206	Biguanide hypoglycaemic agent, AMPK Agonist	Oral	Type 2 diabetes mellitus	Prkag3	Withdrawn
8	Metformin (USAN/INN)	D04966	Biguanide hypoglycaemic agent, AMPK Agonist	Oral	Type 2 diabetes mellitus	Prkag3	Approved
	Metformin hydrochloride (JP16/USP)	D00944	Biguanide hypoglycaemic agent, AMPK Agonist	Oral	Type 2 diabetes mellitus	Prkag3	Approved
	Phenformin hydrochloride	D08352	Biguanide hypoglycaemic agent, AMPK Agonist	Oral	Type 2 diabetes mellitus	Prkag3	Withdrawn
_	Colforsin (USAN/INN)	D03584	Adenylyl cyclase activator	Oral	Asthma	Adcy1, Adcy6- 7	Phase 2
7	Colforsin daropate hydrochloride (JAN)	D01697	Adenylyl cyclase activator	Oral	Acute heart failure	Adcy1, Adcy6- 7	Approved
	Iguratimod (JAN/INN)	D01146	Cyclooxygenase inhibitor	Oral	Rheumatoid arthritis	Nfkb1	Phase 4
6	Pimagedine hydrochloride (USAN)*	D05479	Diamine oxidase, nitric oxide synthase inhibitor	Intravitreal, oral	Diabetic retinopathy and nephropathy	Nos1-3	Phase 1
	Tanzisertib (USAN)*	D10168	JNK Inhibitor	Oral	Idiopathic pulmonary fibrosis	Mapk8-10	Phase 2
	Tilarginine acetate (USAN)*	D09018	Nitric oxide synthase inhibitor	Intravenous	Obesity, Type 2 diabetes mellitus, Septic shock	Nos1-3	Phase 4

	Acamprosate (INN) *	D07058	NMDA Receptor antagonist	Oral	Alcoholism, Addictive disorders	Grin1, Grin2a- d	Approved
	Acamprosate calcium (JAN/USAN) *	D02780	NMDA Receptor antagonist	Oral	Alcoholism, Addictive disorders	Grin1, Grin2a- d	Approved
	Acitretin (USP/INN); Soriatane (TN)	D02754	Retinoic acid receptor agonist	Oral	Psoriasis	Rarb, Rxrb, Rxrg	Approved
	Adapalene (JAN/USAN/INN); Differin (TN)	D01112	Retinoic acid receptor agonist	Topical	Acne	Rarb, Rxrb, Rxrg	Approved
	Amantadine (INN)	D07441	Glutamate antagonist	Oral	Influenza A, Parkinson's	Grin1, Grin2a- d	Approved
	Amantadine hydrochloride (JP16/USP) *	D00777	Glutamate antagonist	Oral	Influenza A, Parkinson's	Grin1, Grin2a- d	Approved
	Apimostinel (USAN) *	D11299	NMDA receptor agonist	Oral	Depression	Grin1, Grin2a- d	Phase 3
5	Aptiganel hydrochloride (USAN) *	D02973	NMDA receptor antagonist	Intravenous	Stroke	Grin1, Grin2a- d	Withdraw
	Besonprodil (USAN) *	D03100	NMDA receptor antagonist	Oral	Parkinson's	Grin2b	Preclinica
	Bevantolol hydrochloride (JAN/USAN) *	D01369	Beta-1 adrenoreceptor antagonists	Oral	Hypertension, Angina pectoris	Cacna1c-d, Cacna1f, Cacna1s, Arda1a-b, Arda1d, Ardb1	Withdrawr
	Budipine (INN) *	D07306	NMDA receptor antagonist	Oral	Parkinson's	Grin1, Grin2a- d	Approved
	Budipine hydrochloride; Parkinsan (TN) *	D07589	NMDA receptor antagonist	Oral	Parkinson's	Grin1, Grin2a- d	Approved
	Delucemine hydrochloride (USAN) *	D03679	NMDA receptor antagonist	Intravenous	Stroke, Depression	Grin1, Grin2a- d	Phase 1

	Dextromethorphan (USP) *	D03742	NMDA receptor antagonist	Oral	Cough, Cold virus	Grin1, Grin2a- d	Approved
	Dextromethorphan hydrobromide hydrate (JP16) *	D00848	NMDA receptor antagonist	Oral	Cough, Cold virus	Grin1, Grin2a- d	Approved
	Dextromethorphan polistirex (USAN)	D03744	NMDA receptor antagonist	Oral	Cough, Cold virus	Grin1, Grin2a- d	Approved
	Dextrorphan hydrochloride (USAN) *	D03746	NMDA receptor antagonist	Oral	Cough, Cold virus	Grin1, Grin2a- d	Approved
	Dizocilpine maleate (USAN) *	D03878	NMDA receptor antagonist	Oral	Neurodegenerative disorders	Grin1, Grin2a- d	Preclinical
_	Dronedarone (INN) *	D02537	Adrenergic receptor antagonist	Oral	Arrhythmia	Cacna1c-d, Cacna1f, Cacna1s, Arda1a-b, Arda1d, Ardb1	Approved
5	Dronedarone hydrochloride (USAN) *	D03914	Adrenergic receptor antagonist	Oral	Arrhythmia	Cacna1c-d, Cacna1f, Cacna1s, Arda1a-b, Arda1d, Ardb1	Approved
	Ertiprotafib (USAN/INN) *	D04050	PTP1B inhibitor, PPAR agonist	Oral	Type 2 diabetes mellitus	lkbkb	Preclinical
	Esketamine (INN)	D07283	NMDA receptor antagonist	Nasal	Depression, Anaesthetic	Grin1, Grin2a- d	Approved
	Esketamine hydrochloride (USAN) *	D10627	NMDA receptor antagonist	Nasal	Depression, Anaesthetic	Grin1, Grin2a- d	Approved
	Etretinate (JAN/USAN/INN); Tegison (TN)	D00316	Retinoic acid receptor agonist	Oral	Psoriasis	Rarb, Rxrb, Rxrg	Withdrawn
	Felbamate (USAN/INN); Felbatol (TN) *	D00536	GABA receptor inhibitor	Oral	Epilepsy	Grin1, Grin2a- d, Grin3a-b	Approved

	Gavestinel (USAN/INN) *	D04308	NMDA receptor antagonist	Oral	Stroke	Grin1, Grin2a- d	Phase 3
	Insulin (JAN/USP)	D00085	Recombinant insulin protein	Intravenous, subcutaneous, intramuscular	Type 1 and 2 Diabetes mellitus	Insr	Approved
	Insulin aspart (genetical recombination) (JAN)	D04475	Recombinant insulin protein	Intravenous, subcutaneous	Type 1 and 2 Diabetes mellitus	Insr	Approved
	Insulin degludec (genetical recombination) (JAN)	D09727	Recombinant insulin protein	Subcutaneous	Type 1 and 2 Diabetes mellitus	Insr	Approved
	Insulin detemir (genetical recombination) (JAN)	D04539	Recombinant insulin protein	Subcutaneous	Type 1 and 2 Diabetes mellitus	Insr	Approved
	Insulin glargine (genetical recombination) (JAN)	D03250	Recombinant insulin protein	Subcutaneous	Type 1 and 2 Diabetes mellitus	Insr	Approved
5	Insulin glulisine (genetical recombination) (JAN)	D04540	Recombinant insulin protein	Intravenous, subcutaneous	Type 1 and 2 Diabetes mellitus	Insr	Approved
	Insulin human (genetical recombination) (JP16)	D03230	Recombinant insulin protein	Intravenous, subcutaneous, intramuscular, inhalation	Type 1 and 2 Diabetes mellitus	Insr	Approved
	Insulin human zinc (USP)	D04542	Recombinant insulin protein	Intravenous, subcutaneous, intramuscular, inhalation	Type 1 and 2 Diabetes mellitus	Insr	Approved
	Insulin human zinc, extended (USP)	D04543	Recombinant insulin protein	Intravenous, subcutaneous, intramuscular, inhalation	Type 1 and 2 Diabetes mellitus	Insr	Approved

	Insulin human, isophane (USP)	D04541	Recombinant insulin protein	Subcutaneous	Type 1 and 2 Diabetes mellitus	Insr	Approved
	Insulin I 125 (USAN)	D04544	Recombinant insulin protein	Intravenous, Subcutaneous	Type 1 and 2 Diabetes mellitus	Insr	Labelling, not treatment
	Insulin I 131 (USAN)	D04545	Recombinant insulin protein	Intravenous, Subcutaneous	Type 1 and 2 Diabetes mellitus	Insr	Labelling, not treatment
	Insulin injection, biphasic isophane (BAN)	D08080	Recombinant insulin protein	Subcutaneous	Type 1 and 2 Diabetes mellitus	Insr	Approved
	Insulin lispro (genetical recombination) (JAN)	D04477	Recombinant insulin protein	Intravenous, subcutaneous, intramuscular	Type 1 and 2 Diabetes mellitus	Insr	Approved
	Insulin peglispro (USAN/INN)	D10473	Recombinant insulin protein	Intravenous, subcutaneous, intramuscular	Type 1 and 2 Diabetes mellitus	Insr	Approved
	Insulin zinc (USP)	D04551	Recombinant insulin protein	Intravenous, subcutaneous, intramuscular	Type 1 and 2 Diabetes mellitus	Insr	Approved
5	Insulin zinc, extended (USP)	D04549	Recombinant insulin protein	Intravenous, subcutaneous, intramuscular	Type 1 and 2 Diabetes mellitus	Insr	Approved
	Insulin zinc, prompt (USP)	D04550	Recombinant insulin protein	Intravenous, subcutaneous, intramuscular	Type 1 and 2 Diabetes mellitus	Insr	Approved
	Insulin, dalanated (USAN)	D04546	Recombinant insulin protein	N/A	Type 1 and 2 Diabetes mellitus	Insr	Preclinical
	Insulin, neutral (USAN)	D04548	Recombinant insulin protein	Intravenous, subcutaneous, intramuscular	Type 1 and 2 Diabetes mellitus	Insr	Approved
	Isophane insulin injection (aqueous suspension) (JAN)	D04547	Recombinant insulin protein	Subcutaneous	Type 1 and 2 Diabetes mellitus	Insr	Approved
	Ketamine (INN) *	D08098	NMDA receptor antagonist	Intravenous, Intramuscular	Anaesthesia	Grin1, Grin2a- d	Approved

	Ketamine hydrochloride (JP16/USP) *	D00711	NMDA receptor antagonist	Intravenous, Intramuscular	Anaesthesia	Grin1, Grin2a- d	Approved
	Latrepirdine (USAN/INN) *	D09917	Non-selective antihistamine	Oral	Alzheimer's, Huntington's	Grin1, Grin2a- d	Phase 3
	Latrepirdine dihydrochloride (USAN) *	D09918	Non-selective antihistamine	Oral	Alzheimer's, Huntington's	Grin1, Grin2a- d	Phase 3
	Levomethadone (INN) *	D08121	Opioid receptor agonist	Oral	Opioid dependency, Pain management	Grin1, Grin2a- d, Oprm1	Approved
	Levomethadone hydrochloride *	D08122	Opioid receptor agonist	Oral	Opioid dependency, Pain management	Grin1, Grin2a- d, Oprm1	Approved
	Licostinel (USAN/INN) *	D04728	NMDA receptor antagonist	Intravenous	Stroke	Grin1, Grin2a- d	Phase 1
	Memantine (INN) *	D08174	NMDA receptor antagonist	Oral	Alzheimer's	Grin1, Grin2a- d	Approved
	Memantine hydrochloride (JAN/USAN) *	D04905	NMDA receptor antagonist	Oral	Alzheimer's	Grin1, Grin2a- d	Approved
5	Methadone (BAN) *	D08195	Opioid receptor agonist	Oral	Opioid dependency, Pain management	Grin1, Grin2a- d, Oprm1	Approved
	Methadone hydrochloride (JAN/USP) *	D02102	Opioid receptor agonist	Oral	Opioid dependency, Pain management	Grin1, Grin2a- d, Oprm1	Approved
	Monatepil maleate (JAN/USAN) *	D01171	Calcium antagonist	Oral	Angina, Hypertension	Cacna1c-d, Cacna1f, Cacna1s	Preclinical
	Neramexane mesylate (USAN)	D05145	NMDA receptor antagonist	Oral	Alzheimer's	Grin1, Grin2a- d	Phase 3
	Orphenadrine (INN) *	D08305	Anticholinergic, Histamine H1 receptor, NMDA receptor inhibitor	Oral, intramuscular, intravenous	Parkinson's, Skeletal muscle relaxant	Chrm1-5, Grin1, Grin2a- d, Grin3a-b, Hrh1	Approved

	Orphenadrine	D00774	Anticholinergic,	Oral,	Parkinson's,	Chrm1-5,	Approved
	citrate (USP) *		Histamine H1 receptor, NMDA receptor inhibitor	intramuscular, intravenous	Skeletal muscle relaxant	Grin1, Grin2a- d, Grin3a-b, Hrh1	
	Orphenadrine hydrochloride *	D02599	Anticholinergic, Histamine H1 receptor, NMDA receptor inhibitor	Oral, intramuscular, intravenous	Parkinson's, Skeletal muscle relaxant	Chrm1-5, Grin1, Grin2a- d, Grin3a-b, Hrh1	Approved
	Perhexiline (INN) *	D08340	Vasodilator, Calcium channel blocker	Oral	Angina, Hypertrophic cardiomyopathy	Cacna1c-d, Cacna1f, Cacna1s	Phase 3
	Perhexiline maleate (USAN) *	D05442	Vasodilator, Calcium channel blocker	Oral	Angina, Hypertrophic cardiomyopathy	Cacna1c-d, Cacna1f, Cacna1s	Phase 3
	Perzinfotel (USAN/INN) *	D05447	NMDA receptor antagonist	Oral	Diabetic mellitus and neuropathy	Grin1 Grin2a-d	Phase 2
	Phencyclidine hydrochloride (USAN) *	D05453	NMDA receptor antagonist	Oral	Anaesthesia	Grin1 Grin2a-d	Withdrawr
	Proinsulin human (USAN)	D05622	Recombinant proinsulin protein	Intravenous, subcutaneous	Type 1 diabetes mellitus	Insr	Phase 2
5	Remacemide hydrochloride (USAN) *	D05714	NMDA receptor antagonist	Oral , intravenous	Stroke, Neurodegenerative diseases	Grin1 Grin2a-d	Phase 3
	Rislenemdaz (USAN) *	D11340	NMDA receptor antagonist	Oral	Parkinson's, Depression	Grin2b	Phase 2
	Selfotel (USAN/INN) *	D02410	NMDA receptor antagonist	Intravenous	Stroke	Grin1 Grin2a-d	Withdrawr
	Tazarotene (JAN/USAN/INN); Avage (TN); Fabior (TN); Tazorac (TN)	D01132	Retinoic acid receptor agonist	Topical	Acne	Rarb, Rxrb, Rxrg	Approved
	Tiletamine (INN) *	D08596	NMDA receptor antagonist	Intramuscular	Anaesthesia	Grin1 Grin2a-d	Approved

	Tiletamine hydrochloride (USP) *	D06146	NMDA receptor antagonist	Intramuscular	Anaesthesia	Grin1 Grin2a-d	Approved
5	Traxoprodil mesylate (USAN)	D06204	NMDA receptor antagonist	Intravenous	Parkinson's	Grin2b	Phase 2
3	Troriluzole (USAN) *	D11414	Glutamate modulator	Oral, intravenous	Spinocerebellar ataxia, Alzheimer's	Gria1-4, Grik1- 5, Grin1, Grin2a-d	Phase 3
	Aleglitazar (USAN)	D08845	PPAR agonist	Oral	Type 2 diabetes mellitus	Ppara, Pparg	Phase 3
	Arhalofenate (USAN/INN) *	D09579	PPAR agonist	Oral	Type 2 diabetes mellitus	Pparg	Phase 3
	Ciglitazone (USAN/INN) *	D03493	PPAR agonist	Oral	Type 2 diabetes mellitus	Pparg	Phase 2
	Cipemastat (USAN/INN); Trocade (TN)	D03517	Matrix metalloproteinase inhibitor	Oral	Rheumatoid arthritis	Mmp2, Mmp9	Withdrawn
	Darvadstrocel (INN); Alofisel (TN) *	D11397	Stem cell therapy	Fistula injections	Crohn's disease	lfng	Approved
	Edaglitazone sodium (USAN) *	D03941	PPAR gamma agonist	Oral	Type 2 diabetes mellitus	Pparg	Phase 2
4	Efungumab (USAN/INN)	D09711	Antifungal monoclonal antibody	Intravenous	C. albicans infection	Hsp90ab1, Hsp90aa1	Phase 2
-	Englitazone sodium (USAN) *	D03996	PPAR gamma agonist	Oral	Type 2 diabetes mellitus	Pparg	Preclinical
	Farglitazar (USAN/INN) *	D04132	PPAR gamma agonist	Oral	Type 2 diabetes mellitus	Pparg	Withdrawn
	Fontolizumab (USAN/INN) *	D04242	Anti-IFN-gamma monoclonal antibody	Intravenous	Crohn's disease, Rheumatoid arthritis	lfng	Phase 2
	Halofenate (USAN/INN) *	D04411	PPAR gamma modulator	Oral	Type 2 diabetes mellitus, Dyslipidaemia	Pparg	Preclinica

	Indeglitazar (USAN)	D09350	PPAR agonist	Oral	Type 2 diabetes mellitus	Ppara , Ppard, Pprarg	Phase 2
	Isometheptene mucate (USP) *	D07097	Alpha-1a adrenergic receptor agonist	Oral	Migraines	Ardra1a, Slc18a1-2	Approved
	Isotretinoin (USP); Absorica (TN); Accutane (TN); Sotret (TN)	D00348	Retinoic acid receptor agonist	Oral, topical	Acne	Rarb, Rxrb, Rxrg	Approved
	Isotretinoin anisatil (USAN)	D04636	Retinoic acid receptor agonist	Oral, topical	Acne	Rarb, Rxrb, Rxrg	Approved
	Mifobate (USAN/INN) *	D05030	PPAR antagonist	Oral	Type 2 diabetes mellitus	Pparg	Phase 2
	Muraglitazar (USAN/INN)	D05091	PPAR agonist	Oral	Type 2 diabetes mellitus	Ppara, Pparg	Phase 3
	Netoglitazone (USAN/INN) *	D05150	PPAR agonist	Oral	Type 2 diabetes mellitus	Pparg	Phase 2
4	Peliglitazar (USAN)	D05397	PPAR agonist	Oral	Type 2 diabetes mellitus	Ppara, Pparg	Phase 2
	Pioglitazone (INN) *	D08378	PPAR agonist	Oral	Type 2 diabetes mellitus	Pparg	Approved
	Pioglitazone hydrochloride (JP16/USP) *	D00945	PPAR agonist	Oral	Type 2 diabetes mellitus	Pparg	Approved
	Propiverine (INN) *	D08441	Muscarinic acetylcholine receptor inhibitor	Oral	Overactive urinary bladder	Chrm1-5	Approved
	Propiverine hydrochloride (JP16) *	D01007	Muscarinic acetylcholine receptor inhibitor	Oral	Overactive urinary bladder	Chrm1-5	Approved
	Reglitazar (JAN/INN) *	D01971	PPAR agonist	Oral	Type 2 diabetes mellitus	Pparg	Phase 2
	Retinol acetate (JP16)	D01621	Retinoic acid receptor agonist	Oral, topical	Acne, Vitamin A deficiency	Rarb, Rxrb, Rxrg	Approved

	Retinol palmitate (JP16); Aquasol A (TN)	D00164	Retinoic acid receptor agonist	Oral, topical	Acne, Vitamin A deficiency	Rarb, Rxrb, Rxrg	Approved
	Retinol propionate; Microvit a dlc [veterinary] (TN)	D08477	Retinoic acid receptor agonist	Oral, topical	Acne, Vitamin A deficiency	Rarb, Rxrb, Rxrg	Approved
	Rivoglitazone (USAN/INN) *	D05739	PPAR gamma agonist	Oral	Type 2 diabetes mellitus	Pparg	Phase 3
	Rosiglitazone (INN) *	D08491	PPAR gamma agonist	Oral	Type 2 diabetes mellitus	Pparg	Approved
	Rosiglitazone maleate (JAN/USAN) *	D00596	PPAR gamma agonist	Oral	Type 2 diabetes mellitus	Pparg	Approved
4	Seladelpar (USAN/INN) *	D11256	PPAR delta agonist	Oral	Dyslipidaemia, Metabolic syndrome, Type 2 diabetes mellitus	Pparg	Phase 3
	Seladelpar lysine (USAN); Seladelpar lysine dihydrate *	D11257	PPAR delta agonist	Oral	Dyslipidaemia, Metabolic syndrome, Type 2 diabetes mellitus	Pparg	Phase 3
	Siltuximab (USAN/INN) *	D09669	Anti-IL6 monoclonal antibody	Intravenous	Castleman's disease	II-6	Phase 3
	Sirukumab (USAN) *	D10080	Anti-IL6 monoclonal antibody	Intravenous	Rheumatoid arthritis	II-6	Phase 3
	Sodelglitazar (USAN)	D06647	PPAR agonist	Oral	Type 2 diabetes mellitus	Ppara , Ppard, Pprarg	Phase 2
	Tesaglitazar (JAN/USAN/INN)	D01274	PPAR agonist	Oral	Type 2 diabetes mellitus	Ppara, Pparg	Phase 3
	Topiramate (JAN/USAN/INN) *	D00537	AMPA receptor antagonist	Oral	Epilepsy	Cacna1c-d, Gria1-4	Approved
	Troglitazone (JAN/USAN/INN) *	D00395	PPAR gamma agonist	Oral	Type 2 diabetes mellitus	Pparg	Withdrawn
	Vitamin A (USP); Retinol; Vitamin	D06543	Retinoic acid receptor agonist	Oral	Vitamin A deficiency	Rarb, Rxrb, Rxrg	Approved

A1; Aquasol A (TN)

Activated PI3K delta syndrome (APDS); Chronic obstructive pulmonary disease (COPD); Amyotrophic lateral sclerosis (ALS) International Non-proprietary Names (INN); Japanese Approved Name (JAN); United States Adopted Name (USAN); United States Pharmacopeia (USP); Japanese Pharmacopeia, 16th edition (JP16); British Approved Name (BAN).

^{*} Represents drugs that target components within the iPathwayGuide predicted KEGG pathways that are not encoded by the DEGs listed in the prednisolone treated *Smn*^{-/-};*SMN*2 mice vs untreated *Smn*^{-/-};*SMN*2 mice.

Table 3.11. DGIdb list of drugs predicted to mimic the upregulation of upregulated upstream regulators in skeletal muscle of prednisolone-treated Smn^{-/-};SMN2 SMA mice.

Gene	Drug	Interaction	Activity	Bioavailability	Disease	Regulatory status
Adk	Ribavirin	Activator	Antiviral nucleoside analogue	Oral, inhalation	Hepatitis C infection	Approved
Adk	Adenosine phosphate *	Product of	Nucleotide	Oral, nasal, intraarterial	Supplementation, asthma	Approved
Amhr2	Tranexamic acid	Agonist	Antifibrinolytic	Oral, topical, intravenous	Haemophilia, Excessive haemorrhaging	Approved
Ar	Levonorgestrel	Agonist	Progesterone receptor agonist	Oral	Contraceptive	Approved
Ar	Oxandrolone	Agonist	Androgen receptor agonist, anabolic steroid	Oral	Turner syndrome, muscle wasting, osteoporosis	Approved
Ar	Nandrolone phenpropionate	Agonist	Androgen receptor agonist, anabolic steroid	Oral	Anaemia, cachexia, osteoporosis, muscle wasting	Approved (Illicit)
Ar	Fluoxymesterone	Agonist	Androgen receptor agonist, anabolic steroid	Oral	Hypogonadism, male puberty delay	Approved (Illicit)
Ar	Danazol	Agonist	Androgen receptor agonist, progesterone receptor agonist	Oral	Endometriosis, fibrocystic breast disease	Approved
Ar	Testosterone propionate	Agonist	Androgen receptor agonist, anabolic steroid	Intramuscular	Hypogonadism, male puberty delay	Approved
Ar	Boldenone undecylenate	Agonist	Androgen receptor agonist, anabolic steroid	Intramuscular	N/A	Illicit
Ar	Prasterone	Agonist	Androgen receptor agonist, anabolic steroid	Vaginal	Dyspareunia	Approved

Ar	Methyltestosterone	Agonist	Androgen receptor agonist, anabolic steroid	Oral	Hypogonadism, male puberty delay	Approved
Ar	Nandrolone decanoate	Agonist	Androgen receptor agonist, anabolic steroid	Intramuscular	Anaemia, cachexia, osteoporosis, muscle wasting	Approved (Illicit)
Ar	Norgestrel	Agonist	Progesterone receptor agonist	Oral	Contraceptive	Approved
Ar	Esculin	Agonist	Coumarin glucoside	Transdermal	Venous insufficiency, Inflammatory disorders	Approved
Ar	Nandrolone	Agonist	Androgen receptor agonist, anabolic steroid	Intramuscular	Anaemia, cachexia, osteoporosis, muscle wasting	Approved
Ar	Testosterone	Agonist	Androgen receptor agonist, anabolic steroid	Buccal, nasal, oral, topical, transdermal, subcutaneous	Hypogonadism, male puberty delay	Approved
Ar	Ethylestrenol	Agonist	Androgen receptor agonist, anabolic steroid	Oral	Sexual development disorders	Withdrawn
Ar	Mibolerone	Agonist	Androgen and progesterone receptor agonists, anabolic steroid	Oral	N/A	Only veterinary approved
Ar	Testosterone cypionate	Agonist	Androgen receptor agonist, anabolic steroid	Topical	Hypogonadism	Approved
Ar	Testosterone undecanoate	Agonist	Androgen receptor agonist, anabolic steroid	Oral, intramuscular	Hypogonadism	Approved
Ar	Stanozolol	Agonist	Androgen receptor agonist, anabolic steroid	Oral	Hereditary angioedema	Approved

Ar	Testosterone enanthate	Agonist	Androgen receptor agonist, anabolic steroid	Intramuscular, subcutaneous	Hypogonadism	Approved
Ar	Oxymetholone	Agonist, activator	Androgen receptor agonist, anabolic steroid	Oral	Anaemia, myelofibrosis	Approved (Illicit)
Ar	Ketoconazole	Binder	CP450 inhibitor, androgen receptor binding agent	Oral, topical	Fungal infections	Approved
Ar	Enobosarm	Modulator	Selective androgen receptor modulator	Oral	Muscular atrophy, cachexia	Phase 3
Ar	GSK2849466	Modulator	Selective androgen receptor modulator	Oral	Cachexia	Phase 1
Ar	VK5211	Modulator	Selective androgen receptor modulator	Oral	Hip fracture	Phase 2
Ar	GLPG0492	Modulator	Selective androgen receptor modulator	Oral	Sarcopenia, Duchenne muscular dystrophy	Phase 1
Ar	LGD-2941	Modulator	Selective androgen receptor modulator	Oral	Hypogonadism	Phase 1
Ar	GSK2881078	Modulator	Selective androgen receptor modulator	Oral	Chronic disease associated muscle wasting	Phase 1
Ar	MK-0773	Modulator	Selective androgen receptor modulator	Oral	Sarcopenia	Phase 2
Cnr1	Dronabinol	Agonist	Synthetic THC	Oral	Anorexia, nausea, vomiting	Approved
Cnr1	Protriptyline	Agonist	Tricyclic norepinephrine transporter inhibitor	Oral	Depression	Approved
Cnr1	CP-55940	Agonist	Cannabinoid receptor agonist	Oral	N/A	Preclinical (illicit
Cnr1	WIN-552122	Agonist	Cannabinoid receptor agonist	Oral	N/A	Preclinical (illicit

Cnr1	Anandamide	Agonist	Endocannabinoid neurotransmitter	Oral	N/A	Preclinical
Cnr1	Cannabinol	Agonist	Cannabinoid receptor agonist	Oral	N/A	Preclinica
Cnr1	Nonabine	Agonist	Cannabinoid receptor agonist	Oral	N/A	Preclinica
Cnr1	SAD448	Agonist	Cannabinoid receptor agonist	Topical	Ocular hypertension	Phase 1
Cnr1	Nabilone	Agonist, partial agonist	Cannabinoid receptor agonist	Oral	Nausea, pain, multiple sclerosis	Approved
Cnr1	Cannabidiol	Allosteric modulator	Cannabinoid receptor agonist	Oral	Nausea, pain, multiple sclerosis	Approved
Cnr1	Droperidol	Allosteric modulator	Dopamine antagonist	Intravenous, intramuscular	Chemotherapy induced nausea	Approved
Creb1	Adenosine phosphate *	Activator	Nucleotide	Oral, nasal, intraarterial	Supplementation, asthma	Approved
Crhr2	Sauvagine	Agonist	Neuropeptide	N/A	N/A	Preclinica
Cxcl12	Tinzaparin sodium	Binder	Antithrombin 3 activator	Subcutaneous	Clotting, deep vein thrombosis	Approved
Dnmt1	Flucytosine	Other	Antifungal nucleic acid biosynthesis inhibitor	Oral	Fungal infections	Approved
Egf	Sucralfate	Inducer	Protectant/anti- ulcer	Oral	Stomach ulcers	Approved
Esr1	Oestrogens, conjugated	Agonist	Oestrogen receptor agonist, oestrogen	Oral, vaginal, topical, intramuscular, intravenous	Menopause	Approved
Esr1	Etonogestrel	Agonist	Progesterone receptor agonist	Transdermal	Contraceptive	Approved
Esr1	Desogestrel	Agonist	Progesterone receptor agonist	Oral	Contraceptive	Approved
Esr1	Progesterone	Agonist	Progesterone receptor agonist	Oral, vaginal, intramuscular	Amenorrhea, uterine haemorrhage, female infertility	Approved

Esr1	Estrone	Agonist	Oestrogen receptor agonist, oestrogen	Vaginal	Menopause	Approved
Esr1	Dienestrol	Agonist	Oestrogen receptor agonist, oestrogen	Vaginal	Atrophic vaginitis, kraurosis vulvae	Approved
Esr1	Norgestimate	Agonist	Progesterone receptor agonist	Oral	Menopause	Approved
Esr1	Estriol	Agonist	Oestrogen receptor agonist	Vaginal	Menopause	Approved
Esr1	Prinaberel	Agonist	Oestrogen receptor beta agonist	Oral	Rheumatoid arthritis	Phase 2
Esr1	Propylpyrazoletriol	Agonist	Oestrogen receptor alpha agonist	N/A	N/A	Preclinical
Esr1	Mestranol	Agonist	Oestrogen receptor agonist, oestrogen	Oral	Contraceptive	Approved
Esr1	Allylestrenol	Agonist	Progesterone receptor agonist	Oral	Miscarriages	Approved
Esr1	Estropipate	Agonist	Oestrogen receptor agonist, oestrogen	Oral, vaginal	Menopause	Approved
Esr1	Tibolone	Agonist	Oestrogen receptor agonist, oestrogen	Oral	Menopause	Approved
Esr1	Estradiol	Agonist	Oestrogen receptor agonist, oestrogen	Oral, topical, vaginal, transdermal, subcutaneous	Atrophic vaginitis, primary ovarian insufficiency	Approved
Esr1	Estradiol cypionate	Agonist	Oestrogen receptor agonist, oestrogen	Intramuscular	Atrophic vaginitis, primary ovarian insufficiency	Approved
Esr1	Oestrogens, esterified	Agonist	Oestrogen receptor agonist, oestrogen	Oral	Atrophic vaginitis, primary ovarian insufficiency	Approved
Esr1	Estradiol acetate (CHEMBL1200430)	Agonist	Oestrogen receptor agonist, oestrogen	Oral, vaginal	Atrophic vaginitis, primary ovarian insufficiency	Approved
Esr1	MK-6913	Agonist	Oestrogen receptor agonist	Oral	Menopause	Phase 2

Esr1	Raloxifene	Agonist, modulator	Selective oestrogen receptor modulator	Oral	Osteoporosis	Approved
Esr1	Ospemifene	Agonist, modulator	Selective oestrogen receptor modulator	Oral	Dyspareunia	Approved
Esr1	Chlorotrianisene	Agonist, binder	Oestrogen receptor agonist, oestrogen	Oral	Menopause, infertility	Withdrawn
Esr1	Lasofoxifene	Agonist, modulator	Selective oestrogen receptor modulator	Oral	Osteoporosis, vaginal atrophy	Approved
Esr1	Quinestrol	Agonist, modulator	Oestrogen receptor agonist, oestrogen	Oral	Menopause	Approved
Esr1	Bazedoxifene	Agonist, antagonist	Selective oestrogen receptor modulator	Oral	Osteoporosis, menopause	Approved
Esr1	Prasterone	Binder	Oestrogen receptor agonist	Vaginal	Dyspareunia	Approved
Esr1	Oestrogens, conjugated synthetic A	Ligand, agonist	Oestrogen receptor agonist, oestrogen	Oral	Menopause	Approved
Esr1	Synthetic conjugated Oestrogens, B	Ligand, agonist	Oestrogen receptor agonist, oestrogen	Oral	Menopause, dyspareunia	Approved
Esr1	Clomiphene citrate	Modulator	Oestrogen receptor agonist/antagonist (tissue dependent)	Oral	Infertility	Approved
Esr1	Bazedoxifene acetate	Modulator	Oestrogen receptor modulator	Oral	Osteoporosis, menopause	Approved
Esr1	CHF4227	Modulator	Oestrogen receptor modulator	Oral	Osteoporosis, menopause	Phase 1
Esr1	Levonorgestrel	Other	Progesterone receptor agonist	Oral, intrauterine, subcutaneous	Contraceptive	Approved
Kdr	Telbermin	Agonist	Recombinant vascular endothelial growth factor	Topical	Diabetic foot ulcers	Phase 2

Scly	Pyridoxal	Cofactor	Vitamin B6	Oral	Supplementation	Approved
	phosphate					

^{*} Drug has >1 DEG target

Table 3.12. DGIdb list of drugs predicted to mimic the downregulation of downregulated upstream regulators in skeletal muscle of prednisolone-treated Smn^{-/-};SMN2 SMA mice.

Gene	Drug	Interaction	Activity	Bioavailability	Disease	Regulatory status
Bcl2I1	Isosorbide	Inhibitor	Hyperosmotic agent	Oral	Ocular hypertension, glaucoma	Approved
Brd2	Apabetalone *	Antagonist	BRD4 inhibitor	Oral	Type 2 diabetes mellitus, atherosclerosis, coronary heart disease	Phase 3
Brd4	Apabetalone *	Antagonist	BRD4 inhibitor	Oral	Type 2 diabetes mellitus, atherosclerosis, coronary heart disease	Phase 3
Brd4	Chlormezanone	Inhibitor	Benzodiazepine receptor binding agent	Oral	Muscle spasms	Withdrawn
Brd4	Stavudine	Inhibitor	Nucleoside reverse transcriptase inhibitor	Oral	HIV-1 Infections	Approved
C1qb	Ropinirole	Inhibitor	Dopamine receptor agonist	Oral	Parkinson's, Restless leg syndrome	Approved
C1qb	Fenclonine	Inhibitor	Tryptophan 5- hydroxylase inhibitor	Oral	Carcinoid syndrome	Withdrawn
C1qb	Fenfluramine	Inhibitor	Serotonin secretion activator	Oral	Obesity	Withdrawn
Carm1	Pregnenolone	Inhibitor	Neurosteroid	Oral	Depression, OCD, bipolar	Phase 4
Comt	S-Adenosyl-l- Methionine	Cofactor	S-Adenosyl-l- Methionine synthase binding agent	Oral, intravenous, intramuscular	Osteoarthritis, fibromyalgia	Approved
Comt	Tolcapone	Inhibitor	Catechol O- methyltransferase inhibitor	Oral	Parkinson's	Approved

Comt	Entacapone	Inhibitor	Catechol O- methyltransferase inhibitor	Oral	Parkinson's	Approved
Comt	D-proline	Inhibitor	Amino acid isomer	N/A	N/A	Preclinical
Ccn2	FG-3019 (Pamrevlumab)	Inhibitor	CTGF monoclonal antibody inhibitor	Intravenous	Type 2 diabetes mellitus, kidney disease, fibrotic disorders, DMD	Phase 2
Ednrb	Ambrisentan	Antagonist	Endothelin receptor antagonist	Oral	Pulmonary arterial hypertension	Approved
Ednrb	Bosentan	Antagonist	Endothelin receptor antagonist	Oral	Pulmonary arterial hypertension	Approved
Ednrb	A-192621	Antagonist	Endothelin B receptor antagonist	Oral	N/A	Preclinical
Ednrb	Galantamine	Antagonist	Acetylcholinesterase inhibitor	Oral	Alzheimer's	Approved
Ednrb	Macitentan	Antagonist	Endothelin receptor antagonist	Oral	Pulmonary arterial hypertension	Approved
Ednrb	Sitaxentan	Antagonist	Endothelin A receptor antagonist	Oral	Pulmonary arterial hypertension	Withdrawn
Ednrb	Enrasentan	Antagonist	Endothelin receptor antagonist	Oral	Heart failure	Phase 2
Ednrb	Tezosentan	Antagonist	Endothelin receptor antagonist	Intravenous	Heart failure	Phase 3
Ednrb	Darusentan	Antagonist	Endothelin receptor antagonist	Oral	Hypertension	Phase 3
Fos	Nadroparin calcium	Inhibitor	Platelet aggregation inhibitor (low molecular weight heparin)	Subcutaneous	Thrombosis	Approved
lgf1r	Mecasermin	Agonist, inhibitor	Recombinant IGF-1	Subcutaneous	ALS, Type 1 and 2 diabetes mellitus	Approved
lgf1r	Teprotumumab	Antagonist, inhibitor	IGF-1 receptor monoclonal antibody inhibitor	Intravenous	Thyroid eye disease	Approved

lgf1r	Dimethisterone	Inhibitor	IGF-1 receptor inhibitor	Oral	Pregnancy	Withdrawn
II-6ra	SA237 (Satralizumab)	Antagonist	Anti-IL-6 receptor antibody inhibitor	Subcutaneous	Neuromyelitis Optica	Phase 3
II-6ra	Sarilumab	Antagonist	Anti-IL-6 receptor antibody inhibitor	Subcutaneous	Rheumatoid arthritis	Approved
II-6ra	Vobarilizumab	Antibody inhibitor	Anti-IL-6 receptor antibody inhibitor	Subcutaneous	Rheumatoid arthritis, lupus	Phase 2
II-6ra	Tocilizumab	Antibody inhibitor	Anti-IL-6 receptor antibody inhibitor	Intravenous, subcutaneous	Rheumatoid arthritis	Approved
Insr	Insulin, neutral	Binder	Recombinant insulin protein	Intravenous, intramuscular, subcutaneous	Type 1 and 2 diabetes mellitus	Approved
Kdm1a	Diphenhydramine hydrochloride	Inhibitor	Histamine receptor antagonist	Oral	Allergic reactions	Approved
Kdm1a	Tranylcypromine	Inhibitor	Monoamine oxidase inhibitor	Oral	Depression	Approved
Mt2	Luzindole	Antagonist	Selective melatonin receptor antagonist	Oral	N/A	Preclinical
Pdgfra	Phenobarbital	Inhibitor	GABA-A receptor positive allosteric modulator	Oral, intravenous, intramuscular	Insomnia, epilepsy, anxiety, seizures	Approved
Pdpk1	Celecoxib	Inhibitor	Selective COX2 inhibitor	Oral	Rheumatoid arthritis	Approved
Pdpk1	BX-795	Inhibitor	PDK1 inhibitor	N/A	N/A	Preclinical
Pgf	Conbercept	Inhibitor	Vascular endothelial growth factor inhibitor	Intravitreal	Age related macular degeneration	Phase 3
Pkn1	Tofacitinib	Inhibitor	JAK inhibitor	Oral	Rheumatoid arthritis	Approved
Pkn1	Quercetin	Inhibitor	Bioflavonoid	Oral	Thromboembolism	Phase 3
Ptpn1	Tiludronic acid	Inhibitor	ATP inhibitor bisphosphate	Oral	Paget's disease of bone	Approved
Sik1	Pseudoephedrine hydrochloride	Inhibitor	Alpha- and beta- adrenergic receptor agonist	Oral	Coughing	Approved

Sirt1	Sodium lauryl sulfate	Inhibitor	Surfactant	Oral, topical, dental	N/A	Approved
Sirt1	Splitomicin	Inhibitor	NAD-dependent histone deacetylase SIR2 inhibitor	N/A	N/A	Preclinical
Stat3	Acitretin	Inhibitor	Retinoid X and retinoic acid receptor agonist	Oral	Psoriasis	Approved
Stat3	Bardoxolone methyl	Inhibitor	NF-kappa-B inhibitor	Oral	Alport syndrome, pulmonary hypertension, diabetic nephropathy	Phase 3
Tgfbr1	Trihexyphenidyl	Inhibitor	Muscarinic acetylcholine receptor antagonist	Oral	Parkinson's	Approved
Tgfbr1	Neostigmine bromide	Inhibitor	Acetylcholinesterase inhibitor	Oral, intravenous, subcutaneous, intramuscular	Myasthenia gravis	Approved
Thra	Liothyronine	Antagonist, agonist	Thyroid hormone receptor alpha and beta agonist	Oral, intravenous	Hyper- and hypo-thyroidism	Approved

^{*} Drug has >1 DEG target

3.3. Discussion

Although short term prednisolone treatment ameliorated skeletal muscle pathologies and improved survival in SMA mice ⁵³, the consequence of muscle-wasting associated with chronic GC use ⁶²⁸ emphasises the need to develop alternative approaches to minimise these risks. Here, we used transcriptomics, ingenuity, and ORA analyses alongside drug-gene interaction databases to identify existing pharmacological compounds that have similar activities to prednisolone without GC-associated adverse risks in SMA skeletal muscle and could thus be repositioned as novel SMN-independent therapies.

In the first instance, we identified prednisolone-targeted genes and pathways and observed that many were involved in skeletal muscle size, metabolism, and regulatory function. Notably, a number of the pathways listed in the KEGG database have previously been reported as dysregulated in SMA patients and models. These include FoxO signalling ⁵⁶, longevity regulating pathway ^{53,56,389,767,779–783}, p53 signalling ^{779,780}, AMPK signalling ^{389,781,782}, mitophagy ^{388,784}, circadian rhythm ³⁵⁶, PPAR signalling ³⁸⁹, and autophagy – animal ^{56,389}, providing further support for prednisolone's efficacy as a beneficial treatment in SMA skeletal muscle.

However, it is unclear whether the restoration of these pathways was due to them being directly targeted by prednisolone or merely a consequence of improved muscle health in SMA mice. A prime example of the latter was the downregulation of *PTEN-induced kinase 1* (*Pink1*), which encodes a mitochondrial serine/threonine-protein kinase, that along with Parkin, acts as a damaged mitochondria sensor and primes them for autophagosomal-induced

degradation for mitophagy ^{810,811}. With mitochondrial dysfunction and damage being a hallmark feature in SMA skeletal muscle ^{388,784}, the reduced expression of *Pink1* following prednisolone treatment suggests a lower proportion of damaged mitochondria, which could be attributed to overall improved muscle health.

Conversely, an important pathway that may be directly targeted by prednisolone is FoxO signalling. The FoxO genes (FoxO1, FoxO3, FoxO4, and FoxO6) encode four conserved transcription factors that modulate gene expression through a conserved Forkhead box structure DNA binding domain ^{364,365,812,813}. FoxO genes regulate auto-phagosomal and proteasomal pathways through atrogin-1 and *MuRF-1* ³⁶³, atrogenes that contribute to muscle atrophy in a variety of different muscle-wasting conditions (e.g. disuse 814, cachexia 815, organ injury 816, denervation ⁶³⁷, metabolic dysregulation ⁸¹⁷, starvation ³⁶⁶) including SMA ⁵⁶. Our analysis predicted that short-term prednisolone treatment downregulated FoxO1, FoxO3, and FoxO4 expression, whilst the computational perturbation calculations further predicted these would downregulate atrogin-1 (Fbxo32 and Fbxo35). FoxO isoforms in skeletal muscle are also involved in muscle differentiation 818-⁸²² and glucose and FA metabolism ^{823–826}, processes that have been reported as dysregulated in SMA ^{157–159,195,301,310,342,827,828} and also listed as restored in our GO pathways. Thus, our transcriptomic and pathway analyses were able to identify potential mechanisms by which prednisolone ameliorated skeletal muscle pathologies in the *Smn*^{-/-};*SMN2* SMA mouse model.

Although we identified a number of potential pathways worth further mechanistic investigations, we instead used the targeted pathways and predicted upstream regulators as templates for finding predicted drugs that emulate similar effects as prednisolone. To do so, we used drug-gene interaction tools within

iPathwayGuide ^{651–654} and DGIdb ⁶⁵⁹ to provide suitable drug candidates, once those listed as withdrawn or linked to muscle-wasting had been removed.

Furthermore, we chose to focus on drugs that have not been investigated in SMA, that can be administered orally and that are clinically approved as they provide opportunities for cheaper and non-invasive novel SMN-independent therapies. In addition, they potentially can complement both invasive (Spinraza® and Zolgensma®) and non-invasive (Evrysdi®) SMN-dependent therapies. Based on these parameters, we chose to not further investigate promising candidates such as celecoxib ⁶¹⁵ (investigated in SMA), tocilizumab ^{745,747} (non-oral) and GLPG0492 ⁸²⁹ (not clinically approved). Although celecoxib's progress onto the clinical trial stage in SMA patients (Clinical Trial ID: NCT02876094) supports the validity of our approach and the potential therapeutic usefulness of the drugs on our list.

Following this elimination process, a few leading candidates remain for further investigation, with two that are particularly and equally interesting. The first one is metformin, a biguanide AMPK agonist used as an anti-hyperglycaemic agent in type 2 DM (T2DM) ⁸³⁰. Interestingly, metformin was predicted to downregulate the *FoxO* genes through its upregulation of *Prkag3*, which encodes for the AMPK-γ3 isoform in the α2/β2/γ3 AMPK complex that is highly expressed in normal skeletal muscle ^{831,832}. The second drug candidate is oxandrolone, a synthetic anabolic steroid used for growth disorders ⁸³³, myopathies ⁸³⁴, NMD ⁸³⁵ and musclewasting ⁸³⁶ that has a higher ratio of anabolic: androgynous effects ^{837,838}. Unsurprisingly, oxandrolone was predicted to upregulate the *Ar*, which in turn was further predicted to upregulate genes important for skeletal muscle cell differentiation (*Myogenin* (*MyoG*) ¹⁷⁵, *Insulin-like growth factor binding protein* 5

(*Igfbp5*) ⁸³⁹ and *A-kinase anchor protein 6* (*Akap6*) ⁸⁴⁰) and glucose metabolism (*Docking protein 5*(*Dok5*) ⁸⁴¹). However, all of these findings are based on computational predictions and thus, their suitability for SMA treatment would require experimental validation in appropriate cellular and animal models.

Although using *in silico* methods for drug repositioning provides many advantages, there are limitations to using transcriptomics data to generate pharmacological candidates. For our own data, one limitation was the low replicate numbers of N=3 for untreated Smn+/-; SMN2 healthy and untreated and prednisolone-treated Smn^{-/-};SMN2 SMA mice and N=2 for prednisolone-treated Smn+/-;SMN2 SMA mice. Ideally, replicate sizes of N=6 or more are suggested for enhanced detection of significant DEGs and improved reliability ^{743,842}. However, in our case a high N number and replicability was not feasible, because of cost, personnel, time and accessibility issues. Another limitation of transcriptomics is that it only defines gene expression based on mRNA levels and a high transcription rate does not always correspond to high protein levels or activity (and vice versa) due to factors such as mRNA degradation, mRNA instability and inefficient translation 843-847. Furthermore, protein activity is not only measured by abundance, but also by post-translational modifications (PTMs) such as phosphorylation, methylation, acetylation and glycosylation as well as protein complex formation 848-850. These are parameters that cannot be detected by transcriptomics, which can sometimes be problematic for pathway analysis as they are mostly built from protein-protein interaction data 655. Thus, the transcriptomic profiles may not be a true reflection of the activity of prednisolone in skeletal muscle of SMA mice for certain molecular effectors. An example being mTOR, whose activity in the mTORC1 complex has been extensively associated

with muscle hypertrophy ^{362,851,852}, yet in our study the prednisolone-treated SMA mice showed reduced mTOR transcript levels despite the attenuation of muscle atrophy ⁵³. Furthermore, the expression levels of negative regulators of mTORC1 (e.g. Klf15 368, Ddit4 368, Eif4a 853) were also decreased in prednisolone-treated SMA mice, which suggests enhanced mTORC1 activity. One explanation is that mTOR in the mTORC1 complex is usually activated by phosphorylation in Serine 2448 position (p-mTOR S2448) that leads to its binding of RAPTOR and RICTOR 854, which certain studies have measured as a marker of mTORC1 activity 855, a read-out that our transcriptomics analysis could not detect. A way to overcome this limitation that would more accurately define the perturbed pathways and component interactions in prednisolone-treated SMA skeletal muscle would be to combine proteomics with our transcriptomics data. The advantages of a proteomic approach is that it can strengthen the identification of bona fide molecular targets for which the expression patterns at both the transcript and protein level are similar and it can also detect PTMs, thus better defining a protein's activity status 856,857. We have indeed previously demonstrated the success of this approach in SMA, where a multi-omics strategy predicted harmine as a potential SMN-independent therapy, which was supported by validation experiments in cellular and animal models ⁷¹⁶.

Combined, our previous and current results demonstrate the effectiveness of *in silico* drug repositioning methods for the identification of novel SMN-independent therapies. These have allowed us herein to uncover the pathways targeted by prednisolone in skeletal muscle of SMA mice and to generate a list of non-invasive oral drug candidates suitable for testing in SMA cellular and animal models.

Chapter 4.

Therapeutic potential of metformin to treat muscle pathologies in SMA.

4.1. Introduction

In chapter 2, we used bioinformatics to identify orally bioavailable pharmacological compounds that could emulate the ergogenic benefits of prednisolone in SMA skeletal muscle without the adverse myopathy effects of chronic use ^{53,628}. A leading candidate was metformin, a synthetic asymmetric dimethyl-biguanide compound that is based on natural phytochemicals from the *Galega officinalis* plant ^{858,859} (Figure 4.1). First synthesised in 1922, metformin is a cost-effective generic compound that has been used as an oral hypo-glycaemic agent in T2DM for over 60 years with a well-known safety profile ^{830,859–861}.

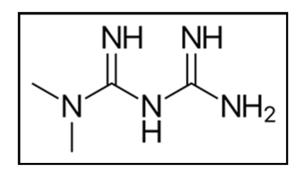


Figure 4.1. Metformin chemical structure (skeletal formula).

Metformin is classed as an AMPK agonist, which most research suggests arises indirectly via a specific and mild inhibition of the mitochondrial electron transport complex 1 (NADH: ubiquinone reductase) that subsequently activates AMPK by an increase in AMP: ATP and ADP: ATP ratios $^{862-867}$. However, it has also been recently demonstrated that metformin can directly activate AMPK by strongly binding to cystathionine β -synthetase 1 (CBS1) and CBS4 sites in the regulatory AMPK- γ subunit $^{868-870}$.

AMPK is a conserved heterotrimeric serine/threonine protein kinase $^{871-874}$ that serves as a master regulator of cellular ATP metabolism by inhibiting anabolic processes (e.g. protein synthesis 875 , lipogenesis 876 , gluconeogenesis 877 and glycogenesis 878) and promoting catabolic pathways (e.g. fatty acid oxidation 879 , glycolysis 880 , glucose uptake 881 , and autophagy 882). Composed of three subunits 873 (catalytic α $^{883-885}$, scaffolding β $^{878,886-888}$ and regulatory γ $^{889-891}$), AMPK is expressed as cell type-dependent isoforms. In human skeletal muscle, 3 different AMPK isoform complexes have been identified (α 1 β 2 γ 1, α 2 β 2 γ 1, and α 2 β 2 γ 3) $^{832,892-897}$.

With skeletal muscle being a highly metabolic organ that accounts for 40% of human body mass in a healthy individuals ²⁸⁰, metformin could potentially ameliorate muscle and metabolic dysfunctions that have been reported in SMA pathology (Figure 4.2). Indeed, metformin is well-known to promote glucose uptake and insulin sensitivity in skeletal muscle of T2DM patients ^{300,898,899} and, as mentioned previously (see section 1.3.3.1), there are several reports of insulin and glucose metabolism defects in both SMA patients and pre-clinical models ^{301–309} (Figure 4.2).

Another key target for metformin in SMA skeletal muscle is FA oxidation (Figure 4.2). Indeed, *in vivo* experiments have shown that metformin reduced intramyocellular accumulation in obese adult mice ⁹⁰⁰, high fat diet (HFD)-insulin resistant Wistar rats ⁹⁰¹. Although, *in vivo* experiments highlight the systemic effects of metformin treatment, *in vitro* studies on C2C12 myotubes have shown that direct metformin treatment in muscle upregulated FA oxidation genes for direct promotion of FA oxidation and decreased intramyocellular lipid content in muscle ⁹⁰⁰ (Figure 4.2). Importantly, these studies highlight metformin's potential

of promoting FA oxidation in SMA muscle, since type 1-3 SMA skeletal muscle biopsies displayed diminished β -oxidation enzyme activity 339,342 .

Metformin could also ameliorate the mitochondrial dysfunctions observed in SMA muscle $^{129,388-393,395}$. Indeed, AMPK plays an important regulatory role in mitochondrial biogenesis and function by activating PGC-1 α 902,903 (Figure 4.2). In addition, PGC-1 α governs skeletal muscle regulatory processes like glucose and lipid metabolism 904,905 , NMJ regulation 906,907 , inflammatory response 908 and hypertrophy-atrophy balance 909,910 , which all have reported defects in SMA.

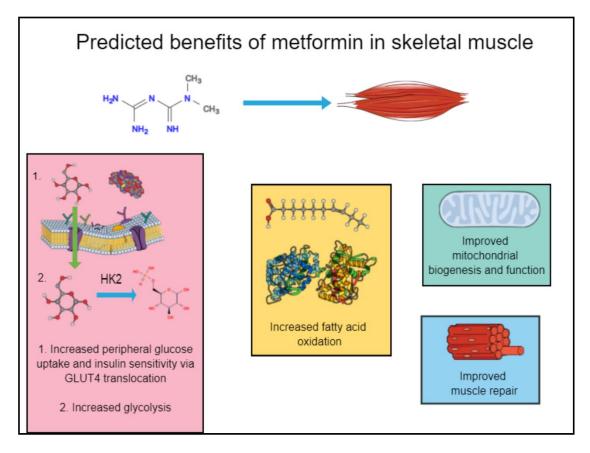


Figure 4.2. Benefits of metformin for skeletal muscle health.

Metformin modulates many skeletal muscle functions and processes that have been reported as dysfunctional in SMA. These include glucose metabolism, fatty acid metabolism, mitochondrial biogenesis and function and muscle repair. Figure was created on Mind the Graph.

An additional benefit of metformin in SMA could be the possible ergogenic effects it could bestow on the muscle wasting pathology. Indeed, metformin alleviated muscle wasting in pre-clinical models of cachexia, cardiotoxin and burn-injury via improved muscle regeneration, elevated Pax7+ muscle progenitor cells, and increased net muscle protein synthesis (MPS) ^{911–913}. Furthermore, metformin treatment has improved neuromuscular and myopathic defects in disorders such as DMD ⁹¹⁴ and congenital muscular dystrophy type 1 A (CMDT1A) ⁹¹⁵. Overall, the metabolic and muscle-specific improvements conferred by metformin in other conditions warrant its investigation in SMA.

Thus, the aim of this chapter is to evaluate the suitability of metformin as a skeletal muscle treatment for SMA. We will address this aim by the following objectives.

- Validate the expression of predicted metformin targets genes in human and murine SMA cellular and animal models.
- 2. Investigate the effects of metformin, *Smn* depletion and canonical atrophy on the predicted metformin target genes in C2C12 myoblast-like cells.
- 3. Evaluate the therapeutic potential of metformin in *Smn*^{2B/-} SMA mice.

4.2. Methods

4.2.1. In vitro metformin treatment.

Proliferating C2C12 myoblasts were seeded in 6-well plates (x4 wells per group). At 50-60% confluency for C2C12 myoblasts and D7 stage for differentiated C2C12 myotubes, they were treated with metformin (Sigma-Aldrich) dissolved in sterile PBS at concentrations of 0.3, 0.6, 1 and 2 mM for 24 hours against a PBS control (0.1% v/v).

4.2.2. In vivo metformin treatment.

Litters containing *Smn*^{2B/-} SMA and *Smn*^{2B/+} healthy mice were phenotypically analysed daily from P0. At P5, mice were either untreated, vehicle-treated (0.9% physiological saline) or received 200 or 400 mg/kg/day metformin hydrochloride (Sigma-Aldrich), dissolved in 0.9% physiological saline by gavage using a 25 µl syringe (Hamilton) and 1.25 mm gavage needle (Cadence Science), daily and up to humane endpoint (N>10). Skeletal muscle (*Triceps brachii* and TA), liver and spinal cord (N >4) were harvested from P14 untreated, and metformin hydrochloride-treated *Smn*^{2B/-} SMA and *Smn*^{2B/+} healthy mice 2 hours after final treatment. Furthermore, blood glucose levels (mmol/L) were measured immediately after euthanasia in non-fasted pups via True Metrix Go blood glucose monitoring system (Trividia Health).

4.3. Results

4.3.1. Metformin is predicted to emulate prednisolone's targeting of *Prkag3* and *FoxO* isoforms in SMA skeletal muscle.

In chapter 2, our bioinformatics and pathway analyses predicted metformin as a suitable candidate for emulating prednisolone's activity in skeletal muscle of Smn⁻ /;SMN2 SMA mice. Indeed, both prednisolone and metformin were predicted to upregulate *Prkag3*, which encodes the AMPK-y3 subunit of the predominant skeletal muscle AMPK α2β2γ3 complex isoform ⁸³² (Figure 4.3.a-b). Furthermore, the upregulated *Prkag3* was predicted to coherently downregulate the expression of FoxO1, FoxO3 and FoxO4 isoforms, whilst upregulating FoxO6, based on impact analysis calculations (Figure 4.3.a-b). Interestingly, previous studies have associated the activation of FoxO1, FoxO3 and FoxO4 isoforms to atrophy pathways in SMA skeletal muscle 56 , whilst decreased expression of FoxO6 has been linked with canonical muscle atrophy 916. Importantly, the expression levels of Prkag3 and the FoxO isoforms were normalised in skeletal muscle of prednisolone-treated Smn^{-/-};SMN2 SMA mice (Figure 4.3.c), validating the usefulness of restoring the expression pattern of these predicted metformin target genes in SMA skeletal muscle. Thus, we decided to investigate if metformin's targeting of *Prkag3* and *FoxO* isoforms could similarly ameliorate skeletal muscle pathologies in SMA cellular and animal models.

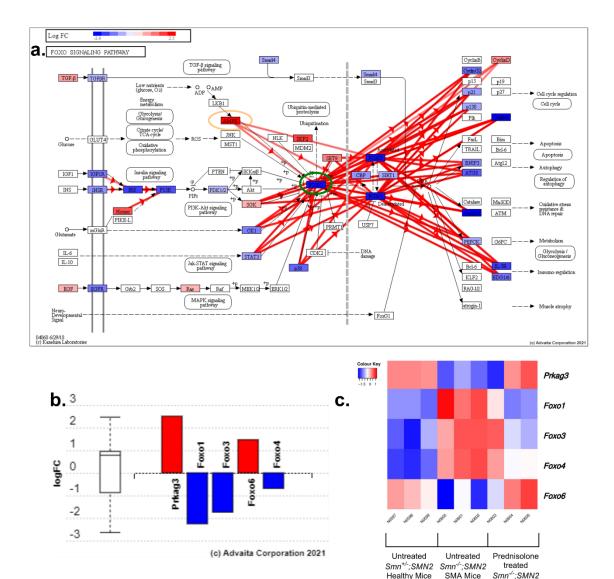


Figure 4.3. Bioinformatic identification of shared target genes between metformin and prednisolone in SMA skeletal

a. The pathway diagram contains proteins within the FOXO signalling pathway (KEGG: 04068) encoded by the predicted differentially expressed genes from prednisolone vs untreated Smn^{-/-};SMN2 SMA skeletal muscle. The AMPK protein (yellow circle) represents the AMPK-γ3 isoform gene *Prkag3*. Its activity on the iPathwayGuide interactive server highlights that it can be targeted by metformin via a built-in KEGG Drugs database. The red lines represent coherent cascades, which supports the consistency of the transcriptomic data and published pathway activity. In the FOXO signalling pathway activation of AMPK represented by Prkag3 coherently downregulates the FOXO protein (green circle), which represents FoxO1, FoxO3, FoxO4 and FoxO6 isoforms. The highest logFC patterns are shown in dark red and lowest in dark blue as indicated in the legend value box. Graph generated in iPathwayGuide (Advaita). b. Differential gene expression pattern by LogFC (Y-axis) of predicted metformin targets Prkag3, FoxO1, FoxO3, FoxO4, and FoxO6 based on transcriptomic data from prednisolone vs untreated Smn^{-/-};SMN2 SMA skeletal muscle. Upregulated genes above X-axis are highlighted in red and downregulated genes below X-axis highlighted in blue. The box and whisker plot on Y axis represents 1st quartile, median and 3rd quartile. Graph generated in iPathwayGuide (Advaita). c. Heatmap visualisation for predicted metformin targets Prkag3, FoxO1, FoxO3, FoxO4, and FoxO6 (Log2FC >0.6; FDR <0.05) between untreated Smn^{+/-};SMN2 healthy mice (left), untreated Smn^{-/-};SMN2 SMA mice (centre), and prednisolone-treated Smn^{-/-};SMN2 SMA mice (right). Colour key represents the Log2FC for upregulated (red) and downregulated (blue) genes. Heatmap was generated by Heatmap2 v2.2.1+galaxy1.

Smn^{-/-}:SMN2

4.3.2. *Prkag3* is downregulated in skeletal muscle of both severe *Smn*^{-/-}: *SMN2* and intermediate *Smn*^{2B/-}SMA mice.

We firstly wanted to validate our bioinformatic predictions on whether the metformin target genes were indeed dysregulated in SMA mice. For that purpose, we decided to measure the gene expression levels of the metformin target genes in the triceps of P7 symptomatic, untreated severe Smn^{-/-};SMN2 SMA and Smn^{+/-} ;SMN2 healthy mice by qPCR (Figure 4.4). For the main metformin target Prkag3, we found a significant downregulation of Prkag3 in symptomatic severe $Smn^{-/-}$; SMN2 SMA mice (-0.7 FC, p = 0.0359) (Figure 4.4.a), supporting the predicted bioinformatics data and suggesting it may play a role in muscle pathologies. However, for the FoxO isoforms (FoxO1 (1.1 FC, p = 0.8161), FoxO3 (1.4 FC, p = 0.5441), FoxO4 (-0.3 FC, p = 0.3684), and FoxO6 (-0.3 FC, p)=0.3447) we found no significant difference in their expression levels between severe Smn^{-/-};SMN2 SMA and Smn^{+/-};SMN2 healthy mice (Figures 4.4.b-e). Although a previous study similarly found no significant difference in the qPCR expression of *FoxO* isoforms in symptomatic severe *Smn*^{-/-};*SMN2* SMA mice ⁵⁶, the distinction to our previous RNA-Seq data (Figure 4.3) suggests the possibility that our sequencing depth coverage was not conservative enough, which allowed for detection of low expressed genes ⁹¹⁷, highlighting the importance of wet lab validation.

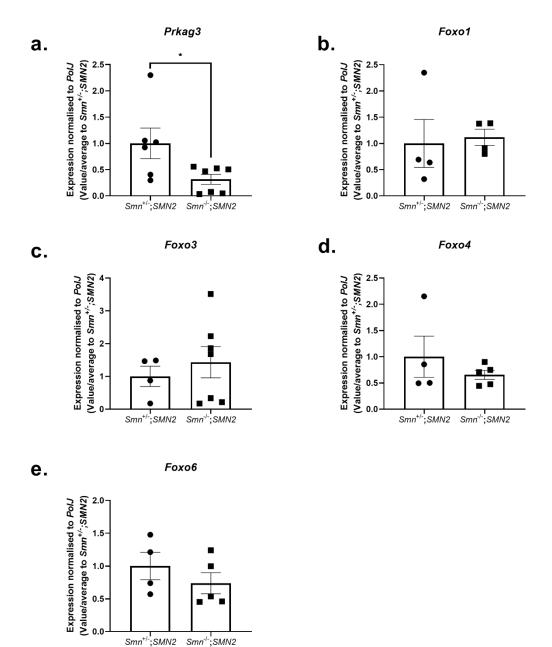


Figure 4.4. The metformin target gene Prkag3 is downregulated in the skeletal muscle of symptomatic Smn^{-} ; SMN2 SMA mice.

The mRNA expression by qPCR of predicted metformin targets **a**. *Prkag3*, **b**. *Foxo1*, **c**. *Foxo3*, **d**. *Foxo4* and **e**. *Foxo6* in symptomatic P7 skeletal muscle (triceps) of *Smn*^{+/-};*SMN2* healthy (N= 4 - 6) and *Smn*^{-/-};*SMN2* SMA (N= 5 - 7) mice. Error bars represents +/- SEM with individual value points as black dot; unpaired t-test, *p <0.05.

Although our bioinformatic analyses were performed on muscles from severe *Smn--;SMN2* SMA mice, we also decided to validate the metformin target genes in the milder *Smn*^{2B/-} SMA mice, as we have previously shown that both models share pathological pathways targeted by prednisolone ⁵³. Thus, we measured the gene expression levels of the metformin target genes in the triceps of untreated WT (C57BL/6J background) and intermediate *Smn*^{2B/-} SMA mice at presymptomatic (P10) and symptomatic (P19) stages ^{38,57} (Figure 4.5).

Interestingly, we found that Prkag3 was significantly downregulated in both presymptomatic (-0.5 FC, p =0.0262) and symptomatic $Smn^{2B/-}$ SMA mice (-0.8FC, p =0.0200) (Figures 4.5.a-b). For the downstream FoxO isoforms (FoxO1 (1.5 FC, p =0.5520), FoxO3 (1.4 FC, p =0.6803), FoxO4 (5.0 FC, p= 0.0966), and FoxO6 (1.1 FC, p =0.8460)), we found no significant difference in the triceps between WT and $Smn^{2B/-}$ SMA mice in pre-symptomatic stages (Figures 4.5.c, e, g, i), coinciding with an absence of overt atrophy at that time-point 56 . However, we also did not observe any significant differences in symptomatic stages either (FoxO1 (36.6 FC, p =0.3929), FoxO3 (1.6 FC, p =0.2721), FoxO4 (1.5 FC, p= 0.4819), and FoxO6 (-0.3 FC, p =0.3335)) (Figures 4.5.d, f, h, j), which could be attributed to the wide variation in individual $Smn^{2B/-}$ SMA samples.

Although the *FoxO* isoforms did not significantly reflect their transcriptomic predictions, we did find that *Prkag3* is downregulated in both severe *Smn*-/-;*SMN2* and intermediate *Smn*^{2B/-}SMA mice, suggesting that this gene may be involved in both severe and milder SMA muscle pathologies. Furthermore, the significant downregulation of *Prkag3* in pre-symptomatic *Smn*^{2B/-}SMA mice suggests that this gene may impact muscle health in early disease stages, prior to symptomatic onset.

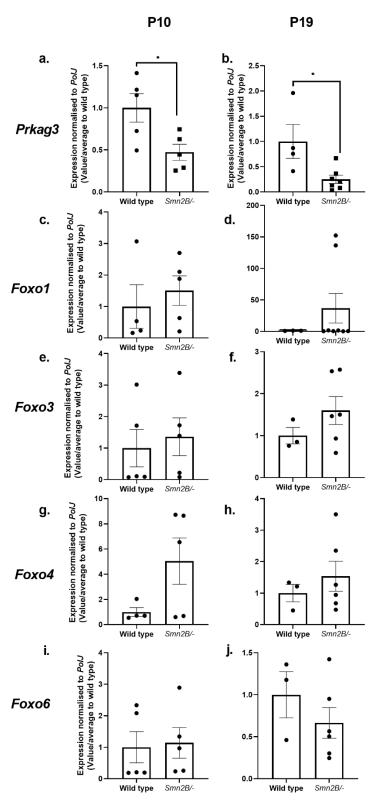


Figure 4.5. The metformin target gene Prkag3 is downregulated in the skeletal muscle of both pre-symptomatic and symptomatic $Smn^{2B/-}$ SMA mice.

The mRNA expression by qPCR of predicted metformin targets Prkag3, Foxo1, Foxo3, Foxo4 and Foxo6 in pre-symptomatic stages (left) at post-natal day (P)10 (**a**, **c**, **e**, **g** and **i**) and at symptomatic stages (right) at P19 (**b**, **d**, **f**, **h**, and **j**) in skeletal muscle (triceps) of wild type (N= 4-6) and $Smn^{2B/-}$ SMA (N=6-8) mice. Error bars represents +/- SEM with individual value points as black dot; unpaired t-test, *p <0.05.

4.3.3. Main metformin target *PRKAG3* is upregulated in type 3 SMA deltoid myoblasts.

We next wanted to determine if the predicted metformin target genes were also dysregulated in SMA patients. For that purpose, we used primary myoblasts from deltoid biopsies of healthy control and type 3 SMA patients ¹³ (in collaboration with Dr Stephanie Duguez; Chapter 2, Table 2.2). Interestingly, we found that the expression of *PRKAG3* was significantly upregulated (4.5 FC, p = 0.0037) in type 3 SMA myoblasts compared to healthy controls (Figure 4.6.a), contradicting the inverse patterns observed in Smn^{-/-};SMN2 and Smn^{2B/-} SMA mice (Figures 4.4-5). Although we cannot rule out species (human vs mouse) and muscle type (deltoid vs triceps) differences, we also must take into consideration that these human myoblasts are no longer in vivo samples 918, and thus there could be an experimental (in vitro vs in vivo) difference. However, another explanation could be that *Smn*^{-/-};*SMN*2 and *Smn*^{2B/-} SMA mice present severe phenotypes compared to type 3 SMA patients ^{13,38,57}. Thus, one explanation for the observed inverse expression is the greater ambulatory ability of type 3 SMA patients, which could lead to greater requirements of the AMPK α2β2γ3 isoform that is predominantly associated with intense exercises ^{13,832}.

On the other hand, the expression levels of FOXO1 (1.5 FC, p =0.4881) and FOXO3 (1.7 FC, p =0.1731) isoforms were not significantly different between controls and type 3 SMA myoblasts (Figures 4.6.b-c), aligning with previous reports of normal FOXO signalling in similar cells 359 . In summary, we find that PRKAG3 expression is potentially influenced by several factors including experimental status, species, severity, and/or muscle type. Nevertheless, PRKAG3 expression is dysregulated in muscle from both SMA mice and patients.

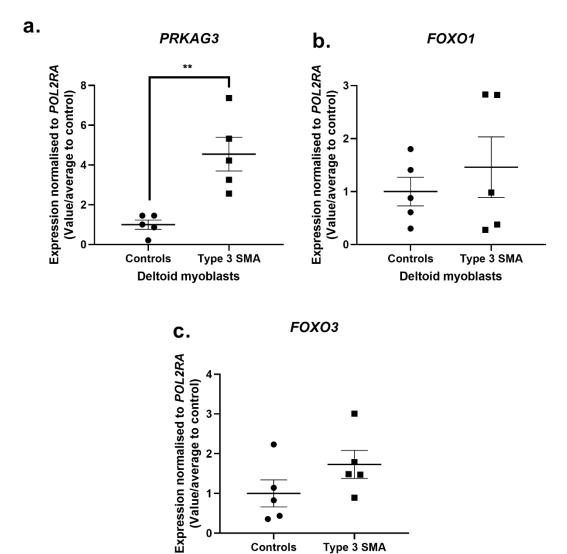


Figure 4.6. The metformin target gene PRKAG3 is significantly upregulated in type 3 SMA deltoid myoblasts.

Deltoid myoblasts

Type 3 SMA

Controls

The mRNA expression by qPCR of metformin target genes a. PRKAG3, b. FOXO1 and c. FOXO3 in primary myoblasts from deltoid muscle biopsies from healthy controls and type 3 SMA patients (N=5). Individual samples are plotted as black dots, centre line represents mean and error bars represent +/- SEM; unpaired t-test, **p <0.01.

4.3.4. Dysregulation of predicted metformin target genes in C2C12 myoblasts and myotubes is mostly SMN-independent.

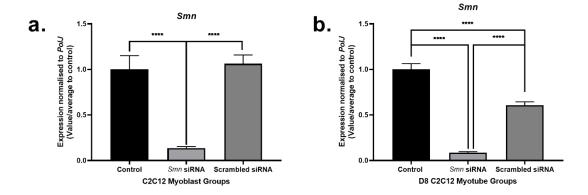
In the symptomatic muscle samples from SMA pre-clinical models and patients, the presence of both denervation and intrinsic SMN depletion can make it difficult to distinguish which factor had the greater influence on the metformin target genes. Thus, we wanted to investigate if intrinsic SMN depletion in skeletal muscle had a direct effect on the expression of metformin's target genes. In order to address this, we used an siRNA-mediated Smn depletion approach in immortalised murine C2C12 myoblast-like cells, making it a useful in vitro model of intrinsic SMA muscle defects independent of motor neuron denervation ¹⁵⁹. In our study, we transfected C2C12 myoblasts for 48 hours and differentiation day (D)8 myotubes for 192 hours with Smn siRNA to investigate its effects on the metformin target genes in different stages of muscle development. In addition, we also used a scrambled siRNA control to rule out any gene perturbations influenced by the lipofectamine transfection or presence of random genetic material. We initially determined by qPCR that siRNA-mediated *Smn* KD in both C2C12 myoblasts (-0.9 FC, p < 0.0001) and myotubes (-0.9 FC, p < 0.0001) decreased Smn mRNA levels by 90% (Figures 4.7.a-b), which is similar to the FL-SMN levels observed in type 1 SMA with one or two SMN2 gene copies 38,41,161

Next, we investigated the effect of Smn depletion on the predicted metformin target genes. In C21C2 myoblasts, we only found a significant upregulation of the FoxO3 gene (1.7 FC, p =0.0020) when Smn was depleted, whilst the other metformin targets remained unchanged (Prkag3 (1.1 FC, p =0.2760), FoxO1 (1.4

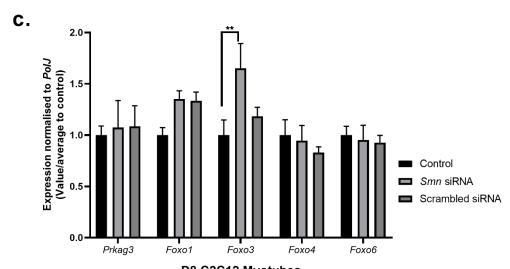
FC, p = 0.0882), FoxO4 (not significant (ns), p = 0.7900), and FoxO6 (ns, p = 0.8265) (Figure 4.7.c). Interestingly, previous microarray analyses in isolated iPSC-derived type 1 SMA myoblasts also revealed a selective upregulation of certain FOXO isoforms 359 .

We also show that the expression of the predicted metformin target genes was not significantly different between siRNA-mediated Smn KD and control D8 C2C12 myotubes (Prkag3 (ns, p =0.9561), FoxO1 (-0.2 FC, p =0.1383), FoxO3 (1.1 FC, p =0.7966), FoxO4 (ns, p =0.8550), and FoxO6 (-0.3 FC, p =0.0742) (Figure 4.7.d), suggesting that intrinsic Smn depletion has no effect on the expression of these genes in in mature muscle fibres.

In summary, our results indicate that although *FoxO3* is perturbed by intrinsic siRNA-mediated *Smn* KD in C2C12 myoblasts, overall, *in vitro Smn* depletion does not have a significant impact on the expression of metformin target genes in proliferating and differentiated C2C12 cells.



C2C12 Myoblasts



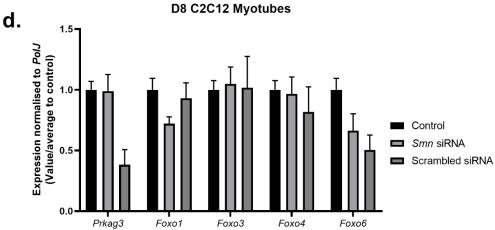


Figure 4.7. Majority of the metformin target genes are not affected by ${\it Smn}$ depletion in C2C12 myoblasts and myotubes.

The mRNA expression by qPCR of *Smn* and predicted metformin target genes *Prkag3*, *Foxo1*, *Foxo3*, *Foxo4* and *Foxo6* in control, *Smn* siRNA and scrambled siRNA groups in C2C12 myoblasts (**a** and **c**) and D8 myotubes (**b** and **d**). Data represents N= 3-4 samples per group across two independent experiments; error bars represent +/- SEM; Two-way ANOVA with post-hoc uncorrected Fishers LSD test, **p* <0.05, ***p* <0.01.

4.3.5. Administration of both physiological and supraphysiological metformin concentrations did not emulate the predicted expression patterns of target genes in C2C12 myoblasts and myotubes.

Next, we wanted to evaluate metformin's predicted ability to influence the expression of *Prkag3* and *FoxO* genes as observed in our transcriptomic data (Figure 4.3). In our investigation, we used the C2C12 myoblast-like cell line as they are a frequently used *in vitro* model for skeletal muscle studies ^{665,666} and would allow us to evaluate the direct effect of metformin on the target genes in muscle. Based on previous research in C2C12 cells, we used "physiological" metformin concentrations were in a range of 30 – 60 μM as these are representative of skeletal muscle concentrations in diabetic patients who receive 1g of metformin twice a day ^{919,920}. We also investigated "supraphysiological" concentrations of around 1 – 2 mM as these have been key for *in vitro* metformin pharmacodynamics studies ^{865,920,921}.

Importantly, prior investigations of both physiological and supraphysiological metformin concentrations in C2C12 cells ruled out any signs of toxicity ⁹¹². Furthermore, we selected a treatment duration of 24 hours based on findings that 3 days of > 2 mM metformin treatment negatively impacted C2C12 myoblast proliferation via cell cycle arrest and impaired myotube fusion during myogenic differentiation ⁹²².

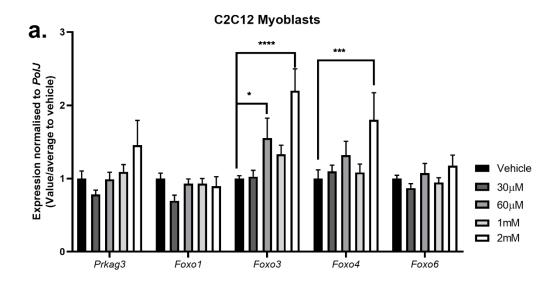
When we compared 24 hours of physiological and supraphysiological metformin treatment in proliferating C2C12 myoblasts, we identified neither concentration had a significant effect on the expression of the main metformin target, *Prkag3* (Figure 4.8.a). However, for *FoxO* targets, only *FoxO3* was significantly

upregulated by the physiological 60 μ M concentration (1.6 FC, p =0.0263), whilst both FoxO3 (2.2 FC, p <0.0001) and FoxO4 (1.8 FC, p =0.0009) were significantly upregulated by the supraphysiological 2 mM concentration (Figure 4.8.a). Thus, our C2C12 myoblasts revealed that metformin did not significantly upregulate Prkag3 and FoxO6 or downregulate FoxO1, FoxO3 and FoxO4 in accordance with our bioinformatic predictions (Figure 4.3).

Next, we evaluated metformin's effects on the predicted target genes in differentiated D8 C2C12 myotubes. Interestingly, both physiological ($60 \mu M$) (- $0.5 \mu = 0.0218$) and supraphysiological ($1.5 \mu = 0.0049$) and 2 mM (- $0.6 \mu = 0.0068$)) metformin concentrations significantly reduced *Prkag3* expression in D8 C2C12 myotubes (Figure 4.8.b). In addition, the physiological $0.5 \mu = 0.0276$ 0 myotubes (Figure 4.8.b). In addition, the physiological $0.5 \mu = 0.0276$ 1 myotubes (Figure 4.8.b), revealing in the myotube stage, metformin acts opposingly to the predicted bioinformatic expression patterns (Figure 4.3).

It was unclear why the main metformin target Prkag3 was not significantly upregulated in C2C12 myoblasts and myotubes, as previous research showed that AMPK $\alpha2\beta2\gamma3$ can be upregulated by metformin treatment 300 . However, in patients and mice, metformin is primarily metabolised in the liver to inhibit gluconeogenesis 923 . Thus, one possibility could be that direct metformin treatment inhibits gluconeogenesis in the C2C12 myotubes resulting in a breakdown of glycogen stores for glucose production. If this occurred, then Prkag3 activity in theory would reduce as it plays important roles for glycogen storage 831,924 .

Nevertheless, our *in vitro* metformin results revealed that neither physiological nor supraphysiological metformin concentrations emulated the predicted perturbation pattern of our target genes in C2C12 myoblasts and myotubes.



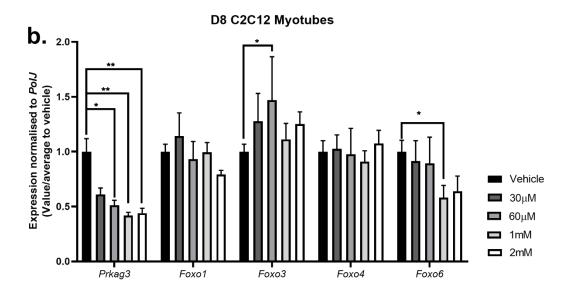


Figure 4.8. *In vitro* physiological and supraphysiological metformin treatments do not emulate the transcriptomic predicted expression of metformin target genes in C2C12 myoblasts and myotubes.

The mRNA expression by qPCR of predicted metformin targets Prkag3, Foxo1, Foxo3, Foxo4 and Foxo6 across C2C12 **a.** myoblasts and **b.** myotubes treated for 24 hours with PBS vehicle (black), 30 μ M (dark grey), 60 μ M (grey), 1 mM (light grey) and 2 mM (white) metformin concentrations. Data represents N=4 samples per group across two independent experiments; error bars represent +/- SEM; Two-way ANOVA with post-hoc uncorrected Fishers LSD test, *p <0.05, **p <0.01, ***p <0.001, ****p <0.0001.

4.3.6. Metformin treatment does not attenuate canonical atrophy in C2C12 myotubes.

In our final *in vitro* investigation, we wanted to determine if metformin could attenuate muscle atrophy. Here, we used a validated method of serumstarvation-induced atrophy in differentiated C2C12 cells 667,668 . After 24 hours of starvation in serum-free high glucose DMEM, we observed a significant upregulation of *atrogin-1* mRNA (2.3 FC, p =0.0006) alongside myotube loss, confirming our *in vitro* atrophy model (Figures 4.9.a-c). Evaluation of the metformin target genes in the serum-deprived C2C12 myotubes revealed a significant upregulation of the *FoxO3* (1.9 FC, p =0.0096) and *FoxO4* (2.2 FC, p =0.0004) isoforms (Figure 4.9.d), which reflects the role these *FoxO* isoforms play in atrophy-dependent ubiquitin-proteasome pathways 363 , further validating our model.

Next, we investigated whether metformin could modulate the serum-starvation-induced atrophy and dysregulated expression of FoxO3 and FoxO4 (Figure 4.9.d). Based on metformin's activity in control D8 C2C12 myotubes (Figure 4.8.b), we treated the serum-starved C2C12 myotubes with 2 mM metformin for 24 hours. Interestingly, we found that 2 mM metformin enhanced the significant upregulation of atrogin-1 mRNA levels (2.7 FC, p=0.0137) (Figure 4.9.e), suggesting that supraphysiological concentrations of metformin may exacerbate muscle atrophy in our $in\ vitro$ model (Figure 4.9.f). However, analysis of the FoxO genes revealed only a significant upregulation of FoxO6 (2.1 FC, p=0.0233) (Figure 4.9.g), an isoform that is normally downregulated in atrophy 916 , suggesting that the exacerbation of atrophy in our model by metformin could be through effectors outside of our predicted target genes.

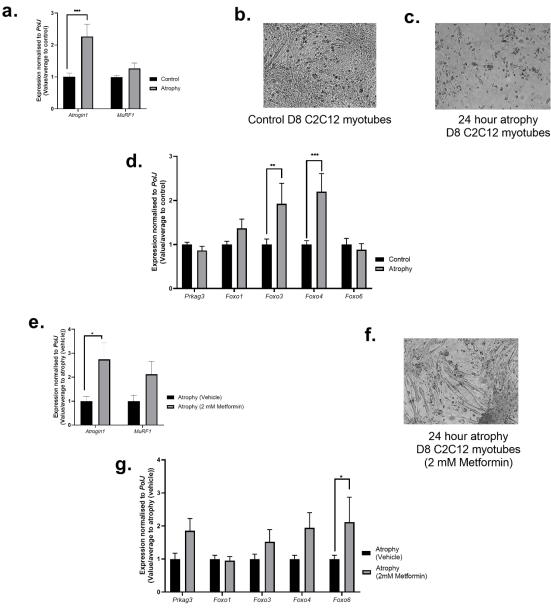


Figure 4.9. Supraphysiological metformin treatment exacerbates canonical atrophy in serum-starved D8 C2C12 myotubes.

a. Atrogene (atrogin-1 and MuRF1) mRNA expression by qPCR between healthy control and 24-hour serum-deprived D8 C2C12 myotubes. 10x magnification of **b**. healthy control and **c**. 24-hour serum-deprived atrophy in D8 C2C12 myotubes. **d**. mRNA expression by qPCR of predicted target genes between healthy control and 24-hour serum-deprived D8 C2C12 myotubes. **e**. Atrogene (atrogin-1 and MuRF1) mRNA expression by qPCR between vehicle control and 2 mM metformin treated 24-hour serum-deprived D8 C2C12 myotubes. **f**. 10 x magnification of 2 mM metformin treated 24-hour serum-deprived D8 C2C12 myotubes. **g**. mRNA expression by qPCR of predicted target genes between vehicle control and 2 mM metformin treated 24-hour serum-deprived D8 C2C12 myotubes. Data represents N=4 samples per group across two independent experiments; error bars represent +/- SEM; Two-way ANOVA with post-hoc uncorrected Fishers LSD test, *p <0.05, ***p <0.01, ***p <0.001.

Seeing as supraphysiological levels of metformin appeared to exacerbate atrophy in our differentiated C2C12 myotubes, we next investigated if a lower physiological metformin concentration could have an inverse effect on canonical atrophy. However, we found that treating cells with 30 μ M metformin for 24 hours did not significantly downregulate *atrogin-1* expression (ns, p =0.4230), suggesting that it does not attenuate atrophy (Figure 4.10). Interestingly, the 30 μ M concentration did not exacerbate atrophy either, highlighting a relationship between metformin concentration and perturbation of atrophy signalling.

In summary, our findings revealed that metformin treatment did not attenuate canonical atrophy in C2C12 myotubes and higher concentrations could promote further muscle wasting. However, it is important to consider that although distinct atrophic stimuli may share similar signalling pathways ⁹²⁵, there are still differences between denervation- and starvation-induced muscle wasting and metformin may possibly have a different effect in denervated skeletal muscle.

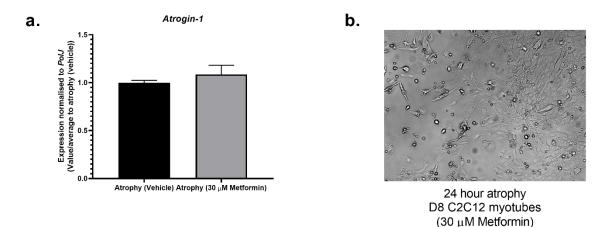


Figure 4.10. Physiological metformin treatment does not attenuate canonical atrophy in serum-starved D8 C2C12 myotubes.

a. Atrogene (atrogin-1) mRNA expression by qPCR between vehicle control and 30 μM metformin treated 24-hour serum-deprived D8 C2C12 myotubes. b. 10 x magnification of 30 μM metformin treated 24-hour serum-deprived D8 C2C12 myotubes. Data represents N=4 samples per group; error bars represent +/- SEM; unpaired t-test.

4.3.7. Metformin treatment does not improve survival, weight, or motor function in *Smn*^{2B/-}SMA mice.

The purpose of our study was to evaluate the effects of metformin on muscle pathologies in SMA. Although our in vitro work delivered interesting results, the C2C12 cell lines alone are not a true representation of SMA skeletal muscle. A primary limitation is that they are isolated cells that do not experience the reciprocal cross-talk regulation with interacting tissues compared to primary muscle biopsies ^{926–928}. Being that SMA is a NMD ¹, we also need to take into consideration the systemic effects of metformin treatment on skeletal muscle. Although our prediction for metformin was based on prednisolone treatment in Smn-/-;SMN2 SMA mice, we performed our *in vivo* experiments in the intermediate *Smn*^{2B/-} SMA mice. Not only do *Smn*^{2B/-} SMA mice share overlap for prednisolone-targeted pathways 53, but muscle from both Smn-/-;SMN2 and Smn^{2B/-}SMA mice reported a significant downregulation of *Prkag3* (Figures 4.4-5). Furthermore, the extended lifespan and later symptomatic onset in Smn^{2B/-} SMA mice allows for clearer distinctions of pre-symptomatic and symptomatic stages and also enables longer durations of metformin treatment ^{57,138,605}. Thus, from P0 we monitored the survival, weight and motor function (righting reflex) of untreated, vehicle-treated and metformin-treated Smn^{2B/-}SMA and Smn^{2B/+} healthy mice to evaluate the potential effect of metformin on life expectancy and disease progression.

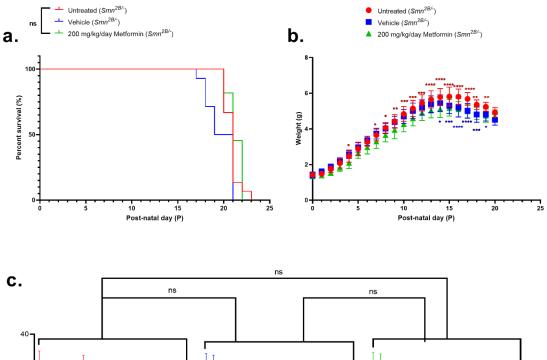
Based on a previous study in CMDT1A ⁹¹⁵, we began metformin treatment doses at 200 mg/kg/day in pups from P5 up until their humane endpoint. We observed that both untreated and metformin-treated *Smn*^{2B/-} SMA mice had a median

survival of 21 days, showing that metformin did not significantly improve life expectancy in SMA mice (Figure 4.11.a).

Next, we assessed body weight, since factors of muscle-wasting, delayed development and metabolic defects can possibly influence weight loss in *Smn*^{2B/-} SMA mice ⁵⁷. As previously reported, we observed progressive weight loss starting at around P15 in untreated *Smn*^{2B/-} SMA mice ⁵⁷ (Figure 4.11.b). Although metformin treatment did not delay progressive weight loss in these mice, on average they remained at a significantly lower body weight from P7 (-0.3 kg average), just two days after initial treatment (Figure 4.11.b). However, the significantly smaller weights of metformin-treated *Smn*^{2B/-} SMA mice at P4 (one day before starting treatment) (-0.4 kg average) suggests that the animals in those litters may have been naturally smaller (Figure 4.11.b).

Another important assessment for therapeutic intervention in SMA is motor function ⁹²⁹. We observed no significant difference in the righting reflex time in 200 mg/kg/day metformin-treated *Smn*^{2B/-} SMA mice, suggesting that metformin treatment did not improve motor function (Figure 4.11.c).

Importantly, our assessment of 200 mg/kg/day treatment in *Smn*^{2B/+} healthy mice revealed no adverse effects in survival, weight, or motor function, compared to their untreated peers (Figure 4.12). Furthermore, this lack of adverse effects in *Smn*^{2B/+} healthy mice suggests that the bodyweight-lowering effects specifically impacted *Smn*^{2B/-} SMA mice across pre-symptomatic and symptomatic stages.



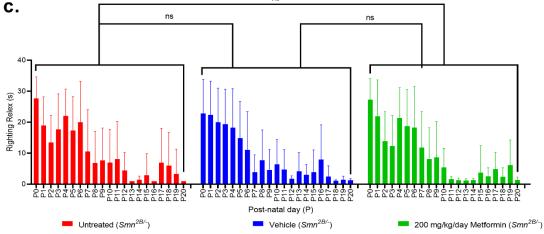


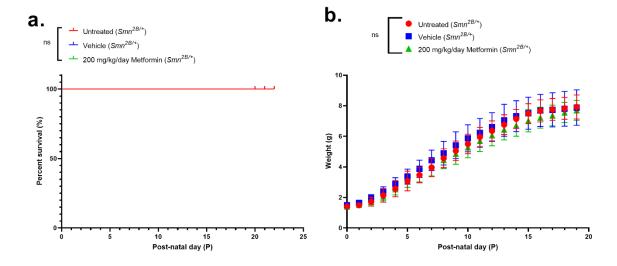
Figure 4.11. 200 mg/kg/day oral metformin treatment did not improve survival, weight or motor function in $Smn^{2B/-}$ SMA mice.

All treated animals received a daily dose of metformin (200 mg/kg/day, diluted in 0.9% saline) or vehicle by gavage starting at P5.

a. Kaplan-Meier survival curves for n= 13 untreated (red, median survival: 21 days), n= 14 vehicle-treated (blue, median survival: 20 days) and n= 11 200 mg/kg/day metformin-treated (green, median survival: 21 days) Smn^{2B/-} SMA mice. Long-rank (Mantel-Cox) test ns= not significant.

b. Daily weights of untreated (red, n= 13), vehicle-treated (blue, n= 14) and 200 mg/kg/day metformin-treated (green n= 11) $Smn^{2B/-}$ SMA mice. Data represents mean and +- SD error bars, Two-way ANOVA with post-hoc Sidak's multiple comparisons test between untreated and 200 mg/kg/day metformin (red star) and untreated vs vehicle-treated (blue star), *p <0.05, **p <0.01, ***p <0.001, ****p <0.001.

c. Daily righting reflex test up to a 30 second max time point of untreated (red, n= 13), vehicle-treated (blue, n= 14) and 200 mg/kg/day metformin-treated (green n= 11) Smn^{2B/-} SMA mice. Data represents mean and +- SD error bars, One-way ANOVA with post-hoc Tukey's multiple comparisons test, ns = not significant.



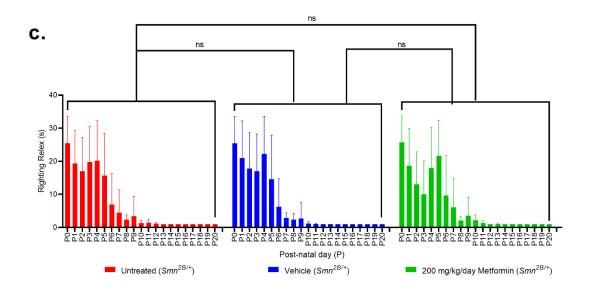
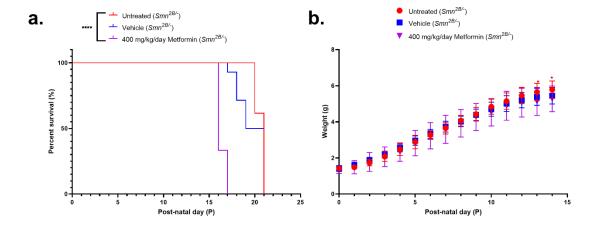


Figure 4.12. 200 mg/kg/day oral metformin treatment had no adverse effects in $Smn^{2B/4}$ healthy mice. All treated animals received a daily dose of metformin (200 mg/kg/day, diluted in 0.9% saline) or vehicle by gavage starting at P5.

- a. Kaplan-Meier survival curves for n= 16 untreated (red, median survival: 21 days), n= 22 vehicle-treated (blue, median survival: 20 days) and n= 20 200 mg/kg/day metformin-treated (green, median survival: 21 days) $Smn^{2B/+}$ healthy mice. Long-rank (Mantel-Cox) test ns= not significant.
- b. Daily weights of untreated (red, n= 16), vehicle-treated (blue, n= 22) and 200 mg/kg/day metformin-treated (green n= 20) $Smn^{2B/+}$ healthy mice. Data represents mean and +- SD error bars, Two-way ANOVA with post-hoc Sidak's multiple comparisons test between untreated and 200 mg/kg/day metformin and untreated vs vehicle-treated, ns= not significant. c. Daily righting reflex test up to a 30 second max time point of untreated (red, n= 16), vehicle-treated (blue, n= 22) and 200 mg/kg/day metformin-treated (green n= 20) $Smn^{2B/-}$ SMA mice. Data represents mean and +- SD error bars, One-way ANOVA with post-hoc Tukey's multiple comparisons test, ns = not significant.

Since 200 mg/kg/day metformin treatment did not improve disease onset or progression in *Smn*^{2B/-}SMA mice (Figure 4.11), we instead ran pilot studies at later time points (P8) and lower doses (100 mg/kg/day) that resulted in similar effects as those reported for 200 mg/kg/day at P5 (*data not shown*). We therefore tried a higher dose of 400 mg/kg/day metformin, starting at P5. Astonishingly, we observed that 400 mg/kg/day metformin significantly lowered the median survival of *Smn*^{2B/-}SMA pups by 5 days to P16 (Figure 4.13.a). Furthermore, the 400 mg/kg/day metformin-treated *Smn*^{2B/-}SMA pups began to significantly lose weight at P13 (-0.5 kg average) compared to their untreated counterpart, suggesting the higher dose promoted weight loss (Figure 4.13.b). However, motor function was not significantly affected (Figure 4.13.c). On the other hand, 400 mg/kg/day metformin treatment had no adverse effects in healthy *Smn*^{2B/+} mice (Figure 4.14), suggesting that higher metformin doses specifically reduced life expectancy in *Smn*^{2B/-}SMA mice

Overall, our results demonstrated that 200 mg/kg/day metformin treatment did not improve survival or delay disease progression in intermediate *Smn*^{2B/-}SMA mice. On the other hand, the higher 400 mg/kg/day dose reduced life expectancy specifically in SMA mice, suggesting dose- and disease-dependent adverse effects.



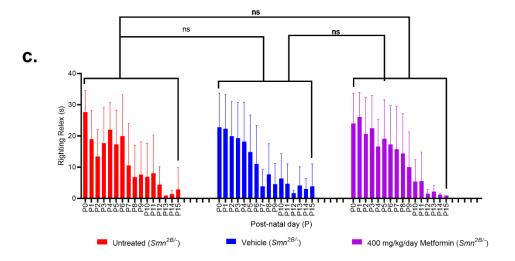
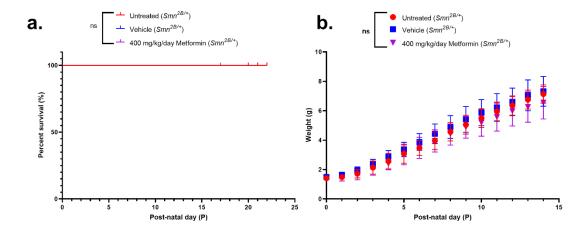


Figure 4.13. 400 mg/kg/day oral metformin treatment reduced life expectancy in $Smn^{2B/2}$ SMA mice. All treated animals received a daily dose of metformin (400 mg/kg/day, diluted in 0.9% saline) or vehicle by gavage starting at P5.

- a. Kaplan-Meier survival curves for n= 13 untreated (red, median survival: 21 days), n= 14 vehicle-treated (blue, median survival: 20 days) and n= 4 400 mg/kg/day metformin-treated (purple, median survival: 21 days) Smn^{2B/-} SMA mice. Long-rank (Mantel-Cox) test, ****p <0.0001.</p>
- b. Daily weights of untreated (red, n= 13), vehicle-treated (blue, n= 14) and 400 mg/kg/day metformin-treated (purple, n= 9) Smn^{2B/-} SMA mice. Data represents mean and +- SD error bars, Two-way ANOVA with post-hoc Sidak's multiple comparisons test between untreated and 200 mg/kg/day metformin (red star) and untreated vs vehicle-treated (blue star), *p <0.05.
- c. Daily righting reflex test up to a 30 second max time point of untreated (red, n= 13), vehicle-treated (blue, n= 14) and 400 mg/kg/day metformin-treated (purple, n= 9) Smn^{2B/-} SMA mice. Data represents mean and +- SD error bars, One-way ANOVA with post-hoc Tukey's multiple comparisons test, ns = not significant.



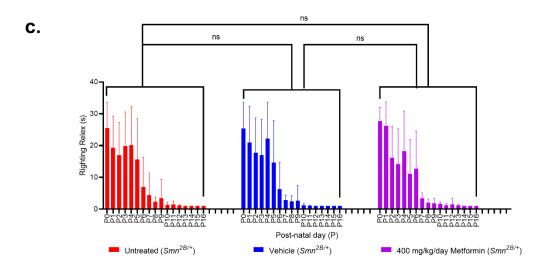


Figure 4.14. 400 mg/kg/day oral metformin treatment had no adverse effects in Smn^{2B/+} healthy mice
All treated animals received a daily dose of metformin (400 mg/kg/day, diluted in 0.9% saline) or vehicle by gavage starting at P5.

- a. Kaplan-Meier survival curves for n= 16 untreated (red, median survival: 21 days), n= 22 vehicle-treated (blue, median survival: 20 days) and n= 3 400 mg/kg/day metformin-treated (purple, median survival: 21 days) $Smn^{2B/+}$ healthy mice. Long-rank (Mantel-Cox) test, ns = not significant.
- **b.** Daily weights of untreated (red, n= 16), vehicle-treated (blue, n= 22) and 400 mg/kg/day metformin-treated (purple, n= 15) $Smn^{2B/+}$ healthy mice. Data represents mean and +- SD error bars, Two-way ANOVA with post-hoc Sidak's multiple comparisons test between untreated and 200 mg/kg/day metformin (red star) and untreated vs vehicle-treated (blue star), ns= not significant.
- c. Daily righting reflex test up to a 30 second max time point of untreated (red, n= 16), vehicle-treated (blue, n= 22) and 400 mg/kg/day metformin-treated (purple, n= 15) $Smn^{2B/+}$ healthy mice. Data represents mean and +- SD error bars, One-way ANOVA with post-hoc Tukey's multiple comparisons test, ns = not significant.

4.3.8. Daily 200 and 400 mg/kg/day metformin treatment in non-fasted Smn^{2B/-}SMA mice induces hypoglycaemia.

The reduced life expectancy observed only in 400 mg/kg/day metformin-treated $Smn^{2B/-}$ SMA mice (Figure 4.13-14) led us to investigate why this dose had adverse effects. We firstly assessed blood glucose levels, since metformin is a blood glucose lowering agent ⁸⁶³ and could therefore exacerbate the hypoglycaemia reported in both pre-clinical SMA models and patients ^{301–303}.

To address this, we first selected P14 as the experimental time-point, to compensate for the lower median survival of P16 in 400 mg/kg/day metformintreated *Smn*^{2B/-} SMA mice. We then measured the blood glucose levels in nonfasted untreated, 200 and 400 mg/kg/day metformin-treated *Smn*^{2B/-} healthy and *Smn*^{2B/-} SMA mice 2 hours after metformin treatment ⁸⁶³. Initially, we observed no significant difference in glucose levels between untreated *Smn*^{2B/-} SMA and *Smn*^{2B/-} healthy mice (Figure 4.15). Furthermore, we observed no significant difference between untreated and metformin-treated *Smn*^{2B/-} healthy mice (Figure 4.15.a). However, we interestingly found that both metformin concentrations significantly reduced the blood glucose levels in *Smn*^{2B/-} SMA mice (Figure 4.15.b). While hypoglycaemia could be a possible factor in metformin's inability to ameliorate disease progression in SMA mice, the similar effects of the 200 and 400 mg/kg/day doses in *Smn*^{2B/-} SMA mice (Figure 4.15.b) suggests that it was probably not the main cause behind the earlier death in the 400 mg/kg/day higher dose cohort.

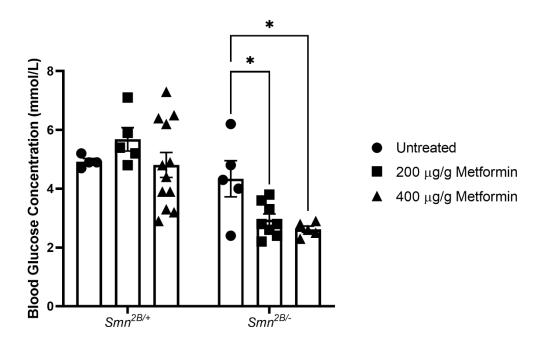


Figure 4.15. Administration of both 200 and 400 mg/kg/day metformin to $Smn^{2B/-}$ SMA mice significantly reduces blood glucose levels.

All treated animals received a daily dose of either 200 or 400 mg/kg/day metformin (diluted in 0.9% saline) by gavage starting at P5. At P14, blood glucose concentrations (mmol/L) were measured in untreated and metformin-treated, non-fasted $Smn^{2B/+}$ healthy and $Smn^{2B/-}$ SMA mice, 2 hours after final metformin treatment. Data represents mean and +- SEM error bars of N = 5-12 animals per group, Two-way ANOVA with post-hoc uncorrected Fishers LSD test, *p <0.05.

4.3.9. 400 mg/kg/day metformin did not impact skeletal muscle targets associated with glucose metabolism, atrophy and mitochondrial function

Given that the rationale for selecting metformin was to evaluate its effectiveness in treating muscle pathologies in SMA, we next investigated if metformin had any adverse effects in muscle that could contribute to its inability to improve disease progression. As above, we used P14 untreated, 200 and 400 mg/kg/day metformin-treated *Smn*^{2B/+} healthy and *Smn*^{2B/-} SMA mice, 2 hours post final administration.

Initially, we wanted to investigate if metformin impacted the expression of the target genes previously predicted by our bioinformatics analyses (Figure 4.3). As aforementioned, our qPCR validation revealed that only Prkag3 was significantly downregulated in untreated $Smn^{2B/-}$ SMA mice at both pre-symptomatic and symptomatic stages (Figure 4.5). Similarly, we only observed a significant downregulation of Prkag3 (-0.4 FC, p =0.0086) and no change in the FoxO isoforms in the muscle between untreated $Smn^{2B/-}$ SMA and $Smn^{2B/+}$ healthy mice (Figure 4.16). Contrary to our iPathwayGuide predictions (Figure 4.3), both 200-(-0.3 FC, p =0.0188) and 400 mg/kg/day (-0.3 FC, p =0.0141) metformin doses actually exacerbated Prkag3 downregulation in muscle of $Smn^{2B/-}$ SMA mice (Figure 4.16.a). Furthermore, the similar effect of both doses on Prkag3 expression in $Smn^{2B/-}$ SMA mice alongside the significant reduction of Prkag3 levels in muscle of $Smn^{2B/-}$ healthy mice after 200 mg/kg/day metformin (Figure 4.16.a), suggests this gene was probably not involved with the adverse effects of 400 mg/kg/day metformin treatment in $Smn^{2B/-}$ SMA mice (Figure 4.13).

However, these finding combined with our previous observations of hypoglycaemia in metformin-treated $Smn^{2B'}$ -SMA mice (Figure 4.15) and Prkag3 downregulation in metformin-treated C2C12 myotubes (Figure 4.8), support our proposal that muscle-specific metformin-induced Prkag3 downregulation may be due to compensatory glycogen depletion in response to hepatic gluconeogenesis inhibition 923 (see section 4.3.5). On the other hand, across all FoxO isoforms in both metformin-treated $Smn^{2B'}$ healthy and $Smn^{2B'}$ -SMA mice, we only observed a significant downregulation of FoxO6 at 200 mg/kg/day metformin in the latter group (-0.5 FC, p =0.0150) (Figures 4.16.b-e), suggesting that metformin does not impact the overall expression of FoxO isoforms in SMA skeletal muscle.

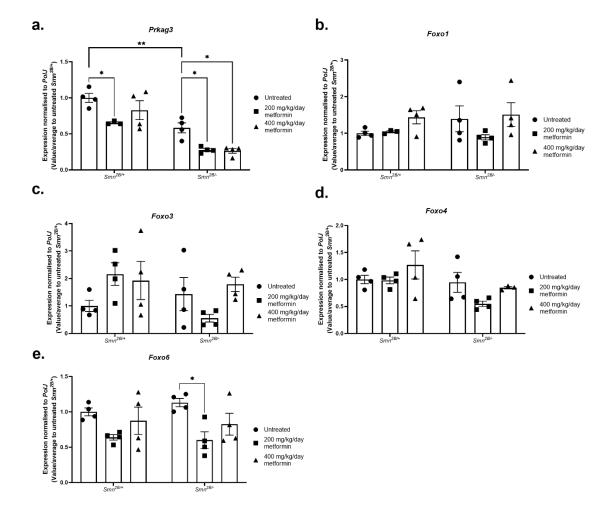


Figure 4.16. Administration of both 200 and 400 mg/kg/day metformin to $Smn^{2B/-}$ SMA mice significantly reduced Prkag3 in muscle. All treated animals received a daily dose of either 200 or 400 mg/kg/day metformin (diluted in 0.9% saline) by gavage starting at P5. At P14, TA muscle was harvested from untreated and metformin-treated, non-fasted $Smn^{2B/+}$ healthy and $Smn^{2B/-}$ SMA mice, 2 hours after final metformin treatment. The mRNA expression of metformin target genes **a.** Prkag3, **b.** Foxo1 **c.** Foxo3, **d.** Foxo4, and **e.** Foxo6 was measured by qPCR. Data represents mean and +- SEM error bars of N = 4 animals per group, Two-way ANOVA with post-hoc Sidak's multiple comparison test, *p <0.05, **p <0.01.

As progressive muscle atrophy is a hallmark feature in SMA ^{1–3}, we wanted to evaluate if metformin affected this pathology, as previous studies have reported mixed results regarding metformin-associated attenuation of muscle-wasting ^{911–913,930}. We therefore investigated the atrogenes *atrogin-1* and *MurF1*, since they have previously been associated with muscle atrophy in symptomatic *Smn*^{2B/-} SMA mice ⁵⁶. Initially, our comparisons between untreated *Smn*^{2B/-} SMA mice and *Smn*^{2B/+} healthy mice revealed no significant difference between *atrogin-1* (-0.2

FC, p =0.9998) and MuRF1 (-0.2 FC, p =0.9703) (Figure 4.17), which could be attributed to the P14 timepoint before weight loss in these SMA mice. Interestingly, we found no significant difference in atrogin-1 or MuRF1 expression between untreated and metformin-treated $Smn^{2B/+}$ healthy and $Smn^{2B/-}$ SMA mice either (Figure 4.17), suggesting that neither metformin dose exacerbated muscle atrophy in the $Smn^{2B/-}$ SMA mice.

Next, we assessed metformin's effects on glucose metabolism as metformin's primary action in skeletal muscle is the improvement of insulin sensitivity and glucose uptake 300,931. Furthermore, both 200 and 400 mg/kg/day metformintreated Smn^{2B/-}SMA mice developed hypoglycaemia (Figure 4.15), suggesting that metformin impacts glucose metabolism in these mice. Two features associated with metformin and glucose metabolism in skeletal muscle are increased glucose uptake and glycolysis 300,898,899. Hence, we investigated Glut4 ^{295,296} and *Hk*2 ³¹¹ expression, as these are important markers for the aforementioned functions and dysregulated in type 1 SMA iliopsoas and Smn^{2B/-} TA muscle ³¹². Although we found no significant difference for *Glut4* in the Smn^{2B/+} healthy and Smn^{2B/-} SMA groups (Figure 4.18.a), we surprisingly found that Hk2 expression was only significantly reduced in 200 mg/kg/day metformintreated $Smn^{2B/+}$ healthy mice (-0.4 FC, p = 0.0029), with no significant difference between the Smn^{2B/-}SMA groups (Figure 4.18.b) Given that metformin primarily lowers blood glucose levels via inhibition of hepatic gluconeogenesis 923, one possibility is that metformin's effects on systemic glucose metabolism are associated with non-muscular tissue in SMA.

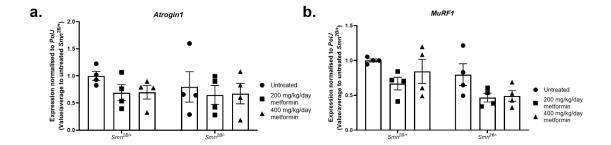


Figure 4.17. Administration of both 200 and 400 mg/kg/day metformin had no impact on atrogenes in the muscle of $Smn^{2B/-}$ SMA mice.

All treated animals received a daily dose of either 200 or 400 mg/kg/day metformin (diluted in 0.9% saline) by gavage starting at P5. At P14, TA muscle was harvested from untreated and metformin-treated, non-fasted $Smn^{2B/+}$ healthy and $Smn^{2B/-}$ SMA mice, 2 hours after final metformin treatment. The mRNA expression of atrogenes **a**. atrogin-1, and **b**. *MuRF1* was measured by qPCR. Data represents mean and +- SEM error bars of N = 4 animals per group, Two-way ANOVA with post-hoc Sidak's multiple comparison test.

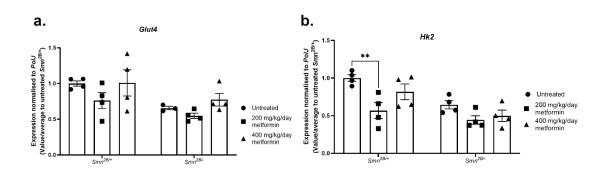


Figure 4.18. Administration of both 200 and 400 mg/kg/day metformin had no impact on glucose uptake and metabolism genes in the muscle of $Smn^{2B/-}$ SMA mice.

All treated animals received a daily dose of either 200 or 400 mg/kg/day metformin (diluted in 0.9% saline) by gavage starting at P5. At P14, TA muscle was harvested from untreated and metformin-treated, non-fasted $Smn^{2B/+}$ healthy and $Smn^{2B/-}$ SMA mice, 2 hours after final metformin treatment. The mRNA expression of glucose uptake and metabolism genes **a.** Glut4, and **b.** Hk2 was measured by qPCR. Data represents mean and +- SEM error bars of N = 4 animals per group, Two-way ANOVA with post-hoc Sidak's multiple comparison test, **p <0.01.

Having ruled out most of our predicted target genes as well as atrophy and glucose metabolism effectors as factors behind the adverse effects of the 400 mg/kg/day metformin treatment in *Smn*^{2B/-} SMA mice (Figure 4.13), we next evaluated metformin's effects on mitochondrial biogenesis and function, since it is a pathology in SMA muscle ^{129,388–393,395}. Furthermore, previous research has established that metformin can indirectly activate AMPK by mild inhibition of

mitochondrial electron transport complex 1 (or NADH:ubiquinone oxidoreductase) ^{862–867}, so we wanted to observe if this had any impact in SMA skeletal muscle. We specifically focused on the expression of *Pgc1-α*, *Tfam* and *Nrf1* due to their importance in governing general mitochondrial biogenesis and function ^{396–401} and of *NADH-ubiquinone oxidoreductase 75 kDa subunit, mitochondrial (Ndufs1)*, as this encodes the largest subunit of the mitochondrial electron transport complex 1 ^{932,933}.

Our initial comparisons between untreated $Smn^{2B'}$ SMA and $Smn^{2B'+}$ healthy mice revealed that Pgc1- α levels were significantly reduced (-0.4 FC, p =0.0072) in SMA muscle (Figure 4.19.a), supporting previous studies of Pgc1- α expression in the $Smn^{2B'}$ SMA mouse model 389 and the role its reduction can have on mitochondrial dysfunction in SMA muscle 388 . Although 200 mg/kg/day metformin treatment significantly reduced Pgc1- α (-0.3 FC, p =0.0100), Tfam (-0.3 FC, p =0.0242), and Ndufs1 (-0.4 FC, p =0.0053) levels in $Smn^{2B'+}$ healthy mice, none of the mitochondrial targets were significantly dysregulated by metformin in the muscle of $Smn^{2B'-}$ SMA mice (Figure 4.19). One possibility for the downregulation of mitochondrial targets in healthy muscle could be that since mitochondrial biogenesis and function are hallmarks in SMA skeletal muscle $^{129,388-393,395}$, they may be too dysregulated for metformin to have any significant mild inhibitory impact as observed with Pgc1- α (Figure 4.19.a)

Overall, the collective data in skeletal muscle suggests that metformin treatment did not have a direct impact on the predicted targets, glucose metabolism, atrophy, or mitochondrial function in *Smn*^{2B/-} SMA skeletal muscle, suggesting that some of the adverse effects could occur in additional non-muscle tissues.

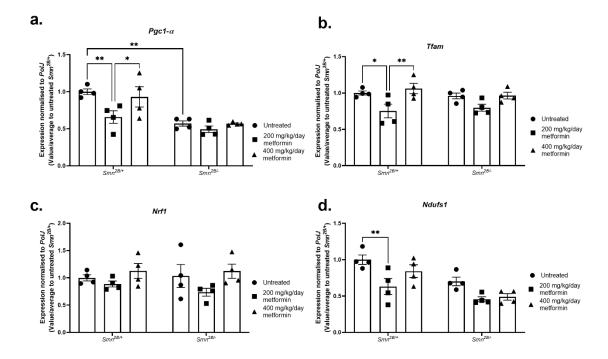


Figure 4.19. Administration of both 200 and 400 mg/kg/day metformin does not impact mitochondrial function and biogenesis genes in the muscle of $Smn^{2B/\cdot}$ SMA mice.

All treated animals received a daily dose of either 200 or 400 mg/kg/day metformin (diluted in 0.9% saline) by gavage starting at P5. At P14, TA muscle was harvested from untreated and metformin-treated, non-fasted $Smn^{2B/+}$ healthy and $Smn^{2B/-}$ SMA mice, 2 hours after final metformin treatment. The mRNA expression of mitochondrial function and biogenesis genes **a.** $Pgc1-\alpha$, **b.** Tfam **c.** Nrf1, and **d.** Ndufs1 was measured by qPCR. Data represents mean and +- SEM error bars of N = 4 animals per group, Two-way ANOVA with post-hoc Sidak's multiple comparison test, *p <0.05, **p <0.01.

4.3.10. 400 mg/kg/day metformin treatment impacts genes important for mitochondrial function and biogenesis in the spinal cord of *Smn*^{2B/-}SMA mice.

Although we primarily focused on muscular pathologies, SMA is still a NMD ¹, with systemic pathologies in multiple tissue types ^{77,150,151} (see section 1.3). Given that metformin is systemically distributed across different tissues ⁹³⁴, it led us to investigate whether metformin's inability to improve disease progression in Smn^{2B/-} SMA mice was a result of adverse effects in non-muscular tissues. One tissue relevant to SMA that is targeted by metformin is the spinal cord, since this drug has the ability to cross the BBB ⁹³⁵. In particular, metformin has been discovered to impact the mitochondria in the spinal cord ⁹³⁶. Thus, with neuronal mitochondrial dysfunctions naturally occurring in both *in vitro* and *in vivo* SMA models ^{129,145,146}, we decided to investigate whether metformin had any impact on mitochondrial genes in the SMA spinal cord.

As above, we investigated the mitochondrial targets $Pgc1-\alpha$, Tfam, Nrf1, and Ndufs1 in the spinal cord of P14 untreated, 200 and 400 mg/kg/day metformintreated $Smn^{2B/+}$ healthy and $Smn^{2B/-}$ SMA mice, 2 hours-post final administration. Our initial comparisons revealed that none of the mitochondrial targets were dysregulated between $Smn^{2B/-}$ SMA and $Smn^{2B/+}$ healthy mice (Figure 4.20). Interestingly, we found a significant downregulation of both $Pgc1-\alpha$ (-0.3 FC, p=0.0055) and Ndufs1 (-0.2 FC, p=0.0298) in the 400 mg/kg/day metformintreated $Smn^{2B/-}$ SMA mice only (Figure 4.20.a and .d). Furthermore, we found that 200 mg/kg/day metformin treatment in $Smn^{2B/+}$ healthy mice significantly downregulated $Pgc1-\alpha$ (-0.3 FC, p=0.0012) and Nrf1 (-0.3 FC, p=0.0155) (Figure 4.20.a and c), whilst 400 mg/kg/day also downregulated both $Pgc1-\alpha$ (-

0.3 FC, *p* =0.0014) and *Nrf1* (-0.3 FC, *p* =0.0066) alongside *Ndufs1* (-0.2 FC, *p* =0.0298) (Figure 4.20.a and c-d).

Intriguingly, the comparative evidence between disease state and metformin treatment highlights that the Pgc1- α and Ndufs1 mitochondrial target genes only became significantly downregulated in the spinal cord of $Smn^{2B/-}$ SMA mice after 400 mg/kg/day metformin treatment and not due to the disease itself (Figure 4.20.a and .d).

In the wider context, our evidence of selective exacerbation of mitochondrial target genes only in the spinal cord of *Smn*^{2B/-} SMA mice (Figure 4.19-20) following metformin treatment is supported by evidence of tissue-dependent differences in conserved cellular processes between SMA motor neurons and skeletal muscle ⁹³⁷ alongside reports that the mitochondrial electron transport complex 1 is naturally dysfunctional in SMA motor neurons ¹⁴⁶.

Thus, our results suggest that these particular mitochondrial genes are metformin targets in the spinal cord, highlighting the possibility that their downregulation in *Smn*^{2B/-} SMA mice exacerbated neuronal mitochondrial dysfunction and contributed to the adverse effects of the high metformin dose.

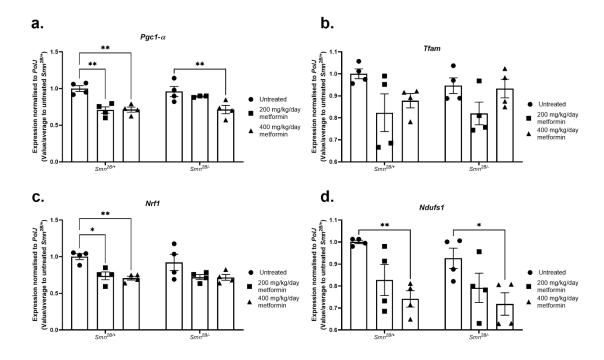


Figure 4.20. Administration of 400 mg/kg/day metformin significantly downregulates mitochondrial function and biogenesis genes Pgc1- α and Ndufs1 in the spinal cord of $Smn^{2B/-}$ SMA mice. All treated animals received a daily dose of either 200 or 400 mg/kg/day metformin (diluted in 0.9% saline) by gavage starting at P5. At P14, spinal cord was harvested from untreated and metformin-treated, non-fasted $Smn^{2B/+}$ healthy and $Smn^{2B/-}$ SMA mice, 2 hours after final metformin treatment. The mRNA expression of mitochondrial function and biogenesis genes **a.** Pgc1- α , **b.** Tfam **c.** Nrf1, and **d.** Ndufs1 was measured by qPCR. Data represents mean and +-SEM error bars of N = 4 animals per group, Two-way ANOVA with post-hoc Sidak's multiple comparison test, *p <0.05, *p <0.01.

4.4. Discussion

In our study, metformin was evaluated for its suitability as a second-generation skeletal muscle therapy in SMA based on its predictive emulation of prednisolone activity on *Prkag3* and *FoxO* isoforms. However, our investigations of metformin treatment in C2C12 myoblast-like cells and *Smn*^{2B/-}SMA mice revealed no improvements in disease phenotypes.

Interestingly, we validated that the metformin target *Prkag3*, which encodes for the AMPK-γ3 subunit was significantly downregulated in the muscle of severe Smn-/-; SMN2 and milder Smn^{2B/-} SMA mice compared to their healthy Smn^{+/-} ;SMN2 and WT controls. Furthermore, *Prkag3* was also downregulated in the pre-symptomatic Smn^{2B/-}SMA mice suggesting that its dysregulation is an early event in SMA muscle pathogenesis. Normally, the AMPK-y3 isoform that is encoded by *Prkag3* is predominantly expressed in skeletal muscle after intense exercise and is more associated with glucose and FA metabolism rather than muscle size regulation 831,938,939. Incidentally, these roles of *Prkag3* makes it an interesting target for metabolic dysfunctions in SMA. Indeed, Prkag3-/- null mice 831,938,939 share certain pathological similarities with SMA mice such as increased fat mass ^{344,827,828}, lower glycogen content ⁹⁴⁰, as well as dysregulations in mitochondrial function ^{388,784} and glucose and FA metabolism ^{301–303,338–343}. Furthermore, the upregulation of *Prkag3* expression in SMA could be beneficial, since the over-expressing transgenic Tg-Prkag3^{225Q} mutant mice displayed the opposite phenotypes than the *Prkaq3*-/- null counterparts 831,938,939.

Although there are no pre-clinical AMPK-γ3 -specific agonists currently available to test Prkag3 modulation ^{831,938,939}, we could in a future study ectopically

overexpress *Prkag3* in SMA skeletal muscle via intravenous scAAV2/8 vector injections, as this method has previously been useful in SMA pre-clinical studies ⁹⁴¹. However, before we consider these future studies, we need to first address the inverse upregulation of *PRKAG3* in type 3 SMA deltoid myoblasts and evaluate the factor(s) such as experimental status, species, severity and/or muscle type.

Interestingly, we found that both 200 and 400 mg/kg/day metformin doses in Smn^{2B/-}SMA mice resulted in a significant downregulation of *Prkag3* in muscle. Furthermore, we observed the same pattern in physiological and supraphysiological metformin-treated C2C12 myotubes, indicating that metformin does not have the *Prkag3* agonist ability that our bioinformatics software predicted. Even though it is unclear why metformin significantly downregulated *Prkag3* levels, one theory is that the treatment negatively affected glycogen synthesis (Figure 4.22.a). Indeed, metformin is known to inhibit gluconeogenesis ⁹²³ and we theorised that this inhibition occurred directly in C2C12 myotubes, while in Smn^{2B/-}SMA mice, we think this occurred indirectly via the liver ⁹²³ (Figure 4.22.a). Given that metformin primarily lowers blood glucose levels via inhibition of hepatic gluconeogenesis 923, it could be possible that the hypoglycaemia in the Smn^{2B/-}SMA mice triggered glycogen depletion in skeletal muscle 942 (Figure 4.22.a). In turn, the increased glycogen breakdown would account for the reduced Prkag3 activity, since it is involved in glycogen storage 831,924 (Figure 4.22.a).

This indirect model could also provide an explanation for the atrogene-independent body weight reduction in 200 mg/kg/day metformin-treated *Smn*^{2B/-}SMA mice as glycogen depletion would reduce water retention ⁹⁴². However,

corroboration of this model would require glycogen measurements in untreated and metformin-treated *Smn*^{2B/-} SMA muscle. Regardless, our hypoglycaemia model was probably not the main contributor to the adverse effects observed in SMA mice, since both metformin doses impacted glucose levels.

Contrary to what was expected, metformin's negative effects on SMA pathogenesis is different to previous studies of AMPK activity in SMA, as these found that AMPK activation via exercise and AICAR treatment actually improved the muscle phenotype ^{389,782}. Despite both being AMPK agonists, there are differences between AICAR ⁹⁴³ and metformin ^{862,944} that could explain the negative effects of the latter in SMA. The key one being that only metformin can pass the BBB ^{935,945}, suggesting that AICAR would have no direct impact on neuronal tissue as evident in the AICAR-treated *SMN*Δ7 SMA mice ⁷⁸². This is important as direct AMPK activation in neuronal tissue actually has negative consequences in related-NMDs like ALS ^{946,947}, whereby metformin was unable to delay disease progression in *SOD1*^{G93A} ALS mice ⁹⁴⁸. Thus, metformin's adverse effects in *Smn*^{2B/-} SMA mice could be due to exacerbation of neuronal dysfunction.

Indeed, we observed that the higher and toxic 400 mg/kg/day metformin dose specifically impacted the mitochondrial targets *Pgc1-α* and *Ndufs1* in the spinal cord of *Smn*^{2B/-} SMA mice. Previous studies have in fact established endogenous mitochondrial dysfunction in SMA neurons, including the downregulation of the metformin target mitochondrial respiratory complex 1 ^{146,862 146}. Furthermore, the tissue-dependent differences in conserved cellular processes between SMA motor neurons and skeletal muscle ⁹³⁷ could explain the differential impact of metformin on spinal cord and muscle in SMA mice. We thus theorise that 400

mg/kg/day metformin reduced the life expectancy of our *Smn*^{2B/-} SMA mice via exacerbation of neuronal mitochondrial dysfunction (Figure 4.21.b), as higher metformin doses have indeed previously been linked to reduced mitochondrial oxidative activity in pre-clinical *in vivo* models ⁹⁴⁹. However, we would need to strengthen this model with experiments that measured mitochondrial respiratory complex 1 activity and oxygen consumption rates directly in SMA spinal cords before we can draw any firm conclusions.

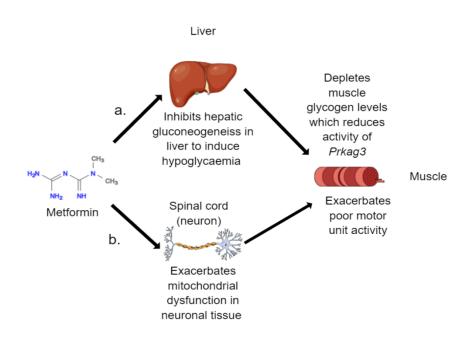


Figure 4.21. Predicted systemic actions of metformin in SMA. Theorised model summarising metformin's systemic effects on $Smn^{2B/-}$ SMA mice regarding **a**. hypoglycaemia and Prkag3 downregulation and **b**. dysregulation of mitochondrial genes in the spinal cord. Figure was created with Mind the Graph.

Despite the lack of phenotypic improvement in metformin-treated SMA mice, our data could provide significant information in a different clinical context of drug safety in SMA patients. Indeed, pre-clinical models and patient case studies have established aberrant glucose metabolism as a feature of SMA ^{301–303} and that diabetes is a co-morbidity in certain patients ^{306–310}. Traditionally, metformin is a generic pharmacological intervention for disease management of T2DM patients ⁸³⁰. However, in the context of SMA, careful consideration should be taken by clinicians before using metformin, as the reduced quality of life observed in the 400 mg/kg/day metformin-treated *Smn*^{2B/-} SMA mice suggests that SMA patients may benefit from either lower metformin doses or non-biguanide diabetes drugs ⁹⁵⁰ for successful diabetes management.

In conclusion, our pre-clinical data suggests that metformin is not a suitable repositioning candidate for SMA. However, further investigations could be useful to establish its suitability for treating diabetes-like co-morbidities in SMA patients safely.

Chapter 5.

Therapeutic potential of oxandrolone to treat skeletal muscle pathologies in SMA.

5.1. Introduction

In chapter 4, we evaluated the efficacy of metformin as a potential skeletal muscle treatment for SMA based on our *in silico* drug repositioning predictions in chapter 2. In this chapter, we investigate another oral-based pharmacological candidate, oxandrolone, a synthetic anabolic steroid 837,838 . First synthesised in 1962, oxandrolone shares a similar chemical structure to testosterone, albeit with the absence of a Δ^4 -3-oxo-group that is replaced by an oxygen atom in the carbon 2 position and alkylation in the C17- α position that enables oral bioavailability 837,838 (Figure 5.1).

Figure 5.1. Oxandrolone chemical structure (skeletal formula).

As an oral anabolic steroid, oxandrolone directly targets the cytosolic AR across various tissues ^{837,838} (Figure 5.2.a), as opposed to endogenous testosterone, which is initially converted into dihydrotestosterone (DHT) by the 5α-reductase prior to AR activation (Figure 5.2.b) ^{951,952}. However, in both cases, the oxandrolone- or DHT-activated AR translocates to the nucleus and binds to the

palindromic, dihexameric androgen response element (ARE) motif in the promoter or enhancer regions of AR-responsive genes ^{952,953} (Figure 5.2). Interestingly, the 5α-reduced structure of oxandrolone is an important feature, as bypassing DHT formation promotes a higher ratio of anabolism to androgyny by 10:1 ^{837,952} (Figure 5.2.a). In the context of SMA, this makes oxandrolone a treatment suitable for all patients. Indeed, a meta-analysis of 27 studies of oxandrolone treatment in mixed gender patients reported minimal androgynous side effects ^{837,954,955}.

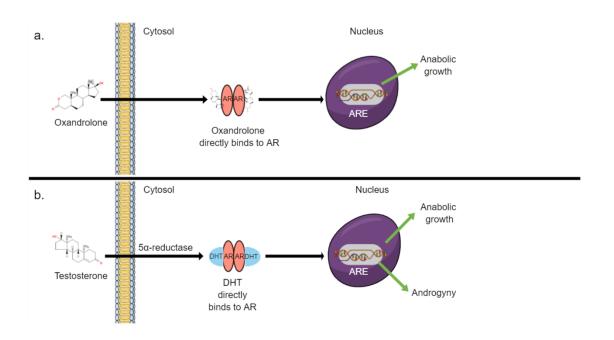


Figure 5.2. Pharmacodynamics of oxandrolone activity.

a. Oxandrolone directly activates androgen receptor (AR) in the cytosol due to its 5α -reduced structure not requiring the catalytic step of 5α -reductase interaction. The active AR homodimer translocates the nucleus where it recognises the androgen response element (ARE) in promoter/enhancer regions of androgen-targeted genes. For these genes, oxandrolone has a higher ratio of activating anabolic growth genes compared to androgynous.

b. Testosterone is reduced to dihydrotestosterone (DHT) by 5α-reductase in the cytosol, which makes it active to directly binding to AR homodimer. The active AR homodimer translocates the nucleus where it recognises the ARE in promoter/enhancer regions of androgen-targeted genes. Testosterone being an androgen is able to activate anabolic growth and androgyny genes. Figure was created on Mind the Graph.

As a skeletal muscle therapy, oxandrolone and anabolic steroids are useful as the androgen-AR signalling pathways govern skeletal muscle development, growth, repair and metabolism $^{804,805,956-959}$ (Figure 5.3). Although the AR plays a greater role in male skeletal muscle, oxandrolone is popular with both male and female bodybuilders and athletes due to its ability to increase muscle growth and limit fatigue $^{954,956,960-962}$. Furthermore, the anabolic benefits of oxandrolone are prolonged, compared to other anabolic steroids, since the absence of a Δ^4 -3-oxogroup prevents its breakdown by 3 β -hydroxysteroid dehydrogenase (3 β -HSD) 954,963

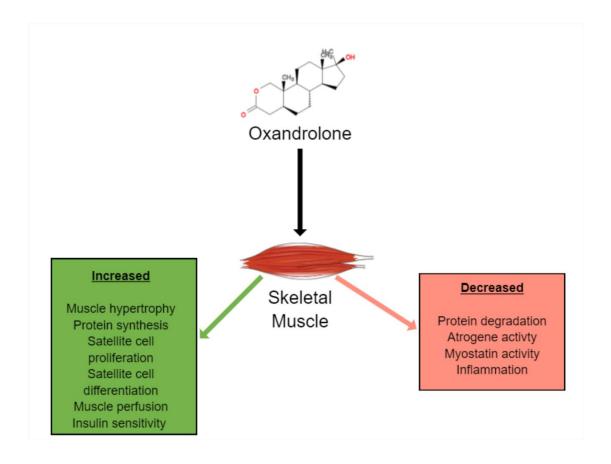


Figure 5.3. Benefits of oxandrolone and anabolic steroids in skeletal muscle. Schematic representation of oxandrolone targeting skeletal muscle to increase (green box) pathways associated with skeletal muscle growth and decrease (red box) pathways associated with skeletal muscle atrophy.

Figure was created on Mind the Graph.

In addition to athletic performance, the ergogenic benefits of oxandrolone have also been reported in various diseases, which include NMD and myopathies such as DMD ⁹⁶⁴, SCI ⁹⁶⁵, ALS ⁹⁶⁶, Charcot-Marie Tooth disease type 1 A (CMT1A) ⁸³⁵, Inclusion body myositis (IBM) ⁹⁶⁷, Human immunodeficiency virus (HIV) ⁹⁶⁸, cachexia ⁹⁶⁹ and burn injuries ⁸³⁶, to name a few ⁸³⁷. Importantly for SMA, clinical trials in juvenile DMD ⁹⁶⁴ and mixed gender burn injury patients ⁸³⁶ have reported increased lean body mass growth, elevated MPS and minimal androgynous side effects suggesting that oxandrolone confers anabolic growth with minimal androgynous risks in pre-pubescent patients ⁸³⁷.

Thus, the aim of this chapter is to evaluate the suitability of oxandrolone as a skeletal muscle treatment for SMA. We will address this aim with the following objectives.

- Validate the expression of predicted oxandrolone target genes in human and murine SMA cellular and animal models.
- Investigate the effects of oxandrolone, Smn depletion and canonical atrophy on the predicted oxandrolone target genes in C2C12 myoblast-like cells.
- 3. Evaluate the therapeutic potential of oxandrolone in *Smn*^{2B/-}SMA mice.

5.2. Methods

5.2.1. *In vitro* drug treatment.

Proliferating C2C12 myoblasts were seeded in 6-well plates (x4 wells per group). At 60% confluency for C2C12 myoblasts and D2, D4 and D7 stages for differentiated C2C12 myotubes, they were treated with oxandrolone (Sigma-Aldrich) dissolved in absolute ethanol (Fisher Scientific) at 1, 10 and 100 μ M concentrations for 24 hours against an absolute ethanol vehicle control (0.1% ν/ν).

5.2.2. Lactate dehydrogenase (LDH) assay for cytotoxicity.

Drug cytotoxicity was measured by the lactate dehydrogenase (LDH)-GloTM Cytotoxicity assay kit (Promega). In principle the LDH-GloTM assay involves a two-stage process, the first stage utilises the release of LDH enzyme into the cell culture media from damaged and/or dead cells, which oxidises lactate via reduction of NAD+ into NADH ^{970,971}. The second stage involves the NADH catalysing the reduction of a reductase substrate into luciferin, which then generates a bioluminescent signal proportional to the LDH levels released into the cell culture medium via an Ultra-GloTM rluciferase ^{970,971}.

Culture supernatant from cells exposed to either absolute ethanol vehicle or oxandrolone for 24 and 72 hours alongside a blank media control and a maximum LDH release control (1% Triton-X incubation for 15 minutes) (N=6) were diluted in storage buffer (200 mM Tris-HCL (pH 7.3), 10% glycerol, 1% BSA, and PBS) in ratios of 1:300 for myoblasts and 1:100 for myotubes. In 96-well plates, 50 µl aliquots of these solutions alongside 50 µl of LDH detection solution (1:200 concentration of LDH detection enzyme mix and reductase)

(Promega) were incubated for 30-60 minutes in the dark. For the final 10 minutes, these plates underwent luminescence detections at 400 nm within the GloMax Explorer (Promega).

5.2.3. Bromodeoxyuridine (BrDU) cell proliferation assay

Cell proliferation was measured by the bromodeoxyuridine (BrDU) cell proliferation assay kit (Merck). C2C12 myoblasts that were untreated, absolute ethanol vehicle- and oxandrolone-treated (N=6) for 24- or 72-hours alongside a blank control (no cells) were labelled with a 1:2000 non-isotopic BrDU solution for 2 hours. We also used an unlabelled and untreated C2C12 myoblast group as a control. After 2 hours, the labelled media was removed, and each well was incubated for 30 minutes with a fixative/denaturing solution. These samples were then incubated with a 100X anti-BrDU antibody (1:100 dilution buffer) for 1 hour at room temperature. Afterwards, the samples were washed with a 1X wash buffer and then incubated with a secondary goat anti-mouse IgG horseradish peroxidase (HRP) conjugate for 30 minutes at room temperature. Following consecutive washes with 1X wash buffer and distilled (d)H₂O, the samples were then treated with a substrate solution and incubated in the dark at room temperature for 15 minutes. Finally, these samples were treated with a stop solution for 30 minutes before their absorbance readings were taken with a GloMax Explorer (Promega) at 450-540 nm.

5.2.4. Animal Treatment.

Litters containing *Smn*^{2B/-} SMA and *Smn*^{2B/+} healthy mice were phenotypically analysed by weight and righting reflex daily from P0. At P5, mice were either untreated or vehicle-treated (0.5% carboxymethylcellulose (CMC) (Sigma-Aldrich)). For drug treatment groups, these mice received 4 mg/kg/day oxandrolone (Sigma-Aldrich) ultrasonicated in 0.5% CMC from P8. Both vehicle-and oxandrolone-treatments were delivered by gavage using a 25 µl syringe (Hamilton) and 1.25 mm animal feeding needle (Cadence Science), daily from their respective start points and up to humane endpoint (N>10).

5.3. Results

5.3.1. Oxandrolone is predicted to emulate prednisolone's activity on *Ar* and its downstream effectors.

The potential of oxandrolone to emulate the beneficial effects of prednisolone treatment in SMA skeletal muscle was based on the upregulation of the *Ar* gene in prednisolone-treated *Smn*-/-;*SMN2* SMA mice compared to untreated *Smn*-/-;*SMN2* SMA mice and the DGIdb database selection of oxandrolone as an Ar agonist (See Chapter 3, Table 3.11). Furthermore, we identified downstream effectors in iPathwayGuide that prednisolone-targeted *Ar* was predicted to coherently modulate (Figures 5.4.a-b). In this case, the two upregulated downstream effectors directly targeted by *Ar* were *Igfbp5* and *Myogenin* (Figures 5.4.a-b), which both regulate muscle differentiation, regeneration and myofiber growth ^{839,972,973}.

Subsequently, bioinformatic analyses revealed that *Igfbp5* and *Myogenin* also had predicted downstream targeted genes (Figures 5.4.a-b). Indeed, *Igfbp5* was predicted to upregulate *Dok5* a signalling protein linked to insulin and IGF-1 activity ⁸⁴¹ (Figure 5.4.a-b). On the other hand, *Myogenin* upregulation was predicted to upregulate *Akap6*, which is involved in modulation of muscle differentiation and regeneration ⁸⁴⁰ (Figures 5.4.a-b). In addition to these downstream effectors, we also selected *DNA damage inducible transcript 4* (*Ddit4*) as a predicted oxandrolone target gene based on its direct relationship with Ar ⁷⁴⁹ and it being one of the top 20 downregulated targets of prednisolone in the skeletal muscle of *Smn*^{-/-};*SMN2* SMA mice (See Chapter 3, Table 3.4) (Figures 5.4.a-b).

Importantly, the expression of all the predicted downstream *Ar* target genes in the skeletal muscle of prednisolone-treated *Smn*-/-;*SMN2* SMA mice was normalised to the log2FC patterns observed in untreated *Smn*+/-;*SMN2* healthy mice instead of untreated *Smn*+/-;*SMN2* SMA mice (Figure 5.4.c). However, there was no significant difference in the expression of the *Ar* gene itself between untreated *Smn*+/-;*SMN2* SMA and *Smn*+/-;*SMN2* healthy mice (Figure 5.4.c). Although it is unclear why only prednisolone and not disease phenotype affected *Ar* expression, one possibility is that ectopic expression of *Ar* could be beneficial to skeletal muscle health in SMA. Regardless, we decided to investigate if oxandrolone could indeed ameliorate skeletal muscle pathologies in SMA cellular and animal models.

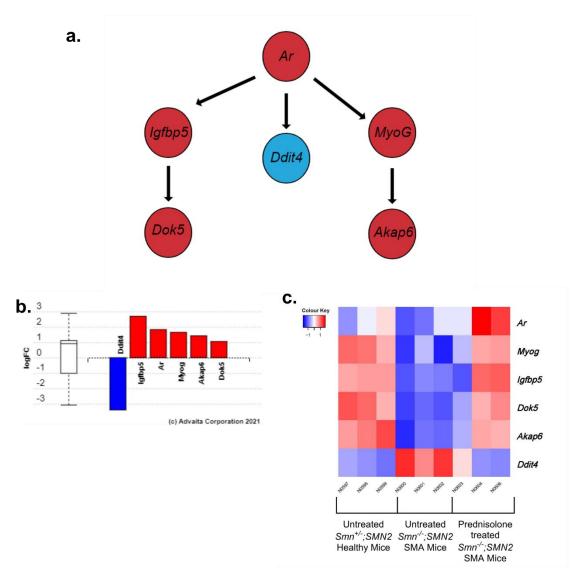


Figure 5.4. Oxandrolone is predicted to emulate the target patterns of prednisolone treatment in the skeletal muscle (Triceps) of Smn^{-/-};SMN2 SMA mice.

a. The pathway diagram is based on upstream regulator pattern predicted by iPathwayGuide in prednisolone vs untreated $Smn^{-/-};SMN2$ SMA skeletal muscle. Ar upregulates downstream targets Igfbp5 and MyoG, whilst it downregulates Ddit4. Igfbp5 upregulates Dok5 and MyoG upregulates Akap6. Upregulated genes shaded in red, downregulated genes shaded in blue. b. Differential gene expression pattern by LogFC (Y-axis) of predicted oxandrolone targets Ddit4, Igfbp5, Ar, MyoG, Akap6 and Dok5 based on transcriptomic data from prednisolone vs untreated $Smn^{-/-};SMN2$ SMA skeletal muscle. Upregulated genes above X-axis are highlighted in red and downregulated genes below X-axis highlighted in blue. The box and whisker plot on Y axis represents 1st quartile, median and 3rd quartile. Graph generated in iPathwayGuide (Advaita). c. Heatmap visualisation for predicted oxandrolone targets Ar, MyoG, Igfbp5, Dok5, Akap6, and Ddit4 (Log2FC >0.6; FDR <0.05) between untreated $Smn^{-/-};SMN2$ healthy mice (left), untreated $Smn^{-/-};SMN2$ SMA mice (centre), and prednisolone-treated $Smn^{-/-};SMN2$ SMA mice (right). Colour key represents the Log2FC for upregulated (red) and downregulated (blue) genes. Heatmap was generated by Heatmap2 v2.2.1+galaxy1.

5.3.2. Predicted oxandrolone target gene *Ddit4* is upregulated in skeletal muscle of severe *Smn*^{-/-};*SMN*2 SMA mice.

Similar to chapter 4, we wanted to validate whether our predicted oxandrolone target genes were indeed dysregulated in the skeletal muscle of SMA mice. Thus, we measured the gene expression levels of the oxandrolone target genes in the triceps of P7 symptomatic, untreated severe $Smn^{-/-}$;SMN2 SMA and $Smn^{+/-}$;SMN2 healthy mice (Figure 5.5). Interestingly, only Ddit4 (6.7 FC, p =0.0196) was significantly upregulated in symptomatic severe $Smn^{-/-}$;SMN2 SMA mice (Figure 5.5.f), supporting both our bioinformatics data and the known pro-atrophic role of Ddit4 748,749,758 , thus indicating that it may play a role in SMA muscle pathologies.

In addition, we compared the expression of our predicted oxandrolone target genes in both pre-symptomatic (P10) and symptomatic (P19) stages of intermediate *Smn*^{2B/-}SMA mice to evaluate if their dysregulation is associated with or precedes muscle atrophy (Figure 5.6). Overall, we found no significant difference before and after symptomatic onset for any of the predicted oxandrolone targets (Figure 5.6). However, it should be noted that we observed variation of target gene expression in *Smn*^{2B/-}SMA mice (Figure 5.6), suggesting possibility of technical variations. One reason could be that retention of ECM proteins after fibrous RNA extraction ⁹⁷⁴ or a difference in muscle fibre composition between biological samples ⁹⁷⁵ fluctuated the total purified RNA content.

In summary, the majority of the predicted oxandrolone target genes did not significantly reflect their transcriptomic predictions.

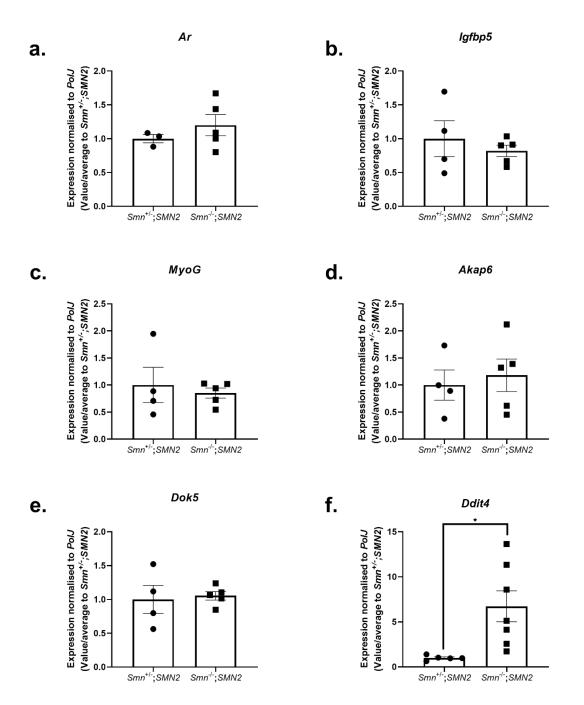


Figure 5.5. The oxandrolone target gene *Ddit4* is upregulated in the skeletal muscle of symptomatic *Smn*^{-/-};*SMN2* SMA mice.

The mRNA expression by qPCR of predicted metformin targets **a.** Ar, **b.** lgfbp5, **c.** MyoG, **d.** Akap6, **e.** Dok5 and **f.** Ddit4 in symptomatic post-natal day (P)7 skeletal muscle (triceps) of $Smn^{+/-}$;SMN2 healthy (N= 4 - 6) and $Smn^{-/-}$;SMN2 SMA (N= 5 - 7) mice. Error bars represents +/- SEM with individual value points as black dot; unpaired t-test, *p <0.05.

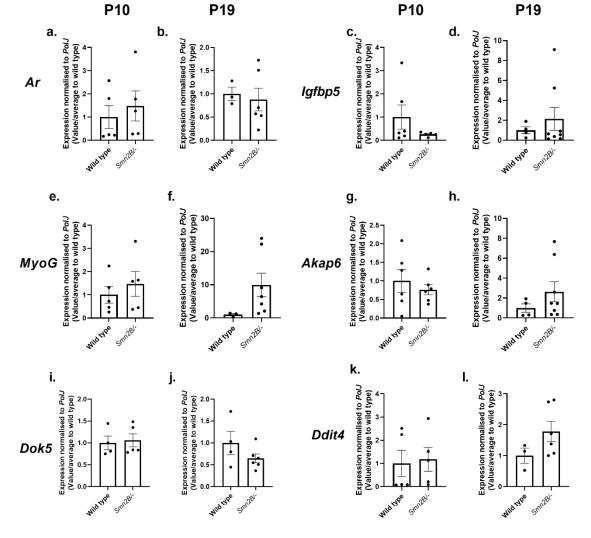


Figure 5.6. None of the oxandrolone target genes are significantly dysregulated in the skeletal muscle of both pre-symptomatic and symptomatic $Smn^{2B/-}$ SMA mice.

The mRNA expression by qPCR of predicted metformin targets Ar, Igfbp5, MyoG, Akap6, Dok5 and Ddit4 in

The mRNA expression by qPCR of predicted metformin targets *Ar, Igfbp5, MyoG, Akap6, Dok5* and *Ddit4* in pre-symptomatic stages (left) at post-natal day (P)10 (**a, c, e, g, i** and **k**) and at symptomatic stages (right) at P19 (**b, d, f, h, j,** and **I**) in skeletal muscle (triceps) of wild type (N= 4-6) and *Smn*^{2B/-} SMA (N=6-8) mice. Error bars represents +/- SEM with individual value points as black dot; unpaired t-test, *p <0.05.

5.3.3. Oxandrolone target genes *DOK5* and *DDIT4* are significantly upregulated in type 3 SMA deltoid myoblasts.

Similar to chapter 4, we next investigated the predicted oxandrolone target genes in primary control and type 3 SMA deltoid myoblasts 13 (in collaboration with Dr Stephanie Duguez; Chapter 2, Table 2.2) to determine if they are dysregulated in human muscle cell lines. Although we observed no significant difference in AR (1.5 FC, p =0.3007) and MYOG (2.0 FC, p =0.3925) expression between control and type 3 SMA myoblasts (Figures 5.7.a-b), both DOK5 (20.97 FC, p =0.0221) and DDIT4 (2.4 FC, p =0.0424) were significantly upregulated in the latter (Figures 5.7.c-d). Although little is known about the function of DOK5 in muscle, one possibility for its upregulation relates to its proposed competitive and inhibitory activity on IRS-1 and IRS-2 976 , and could contribute to the previously described glucose homeostatic defects in SMA $^{301-303,305,306}$. Interestingly, the significant upregulation of DDIT4 in $Smn^{-/-};SMN2$ mice and type 3 SMA myoblasts not only supports its established pro-atrophy role in muscle-wasting conditions 748,749 , but also suggests that it could play an important role in severe and mild muscle pathologies in SMA.

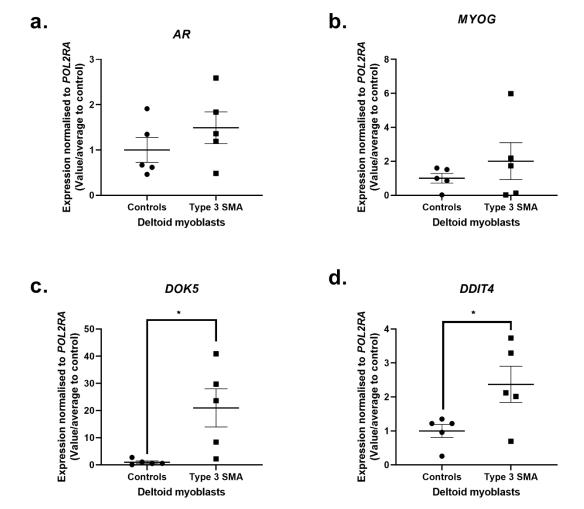
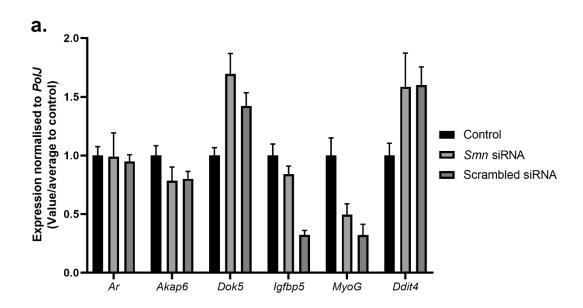


Figure 5.7. DOK5 and DDIT4 are significantly upregulated in type 3 SMA deltoid myoblasts. The mRNA expression by qPCR of predicted oxandrolone target genes a. AR, b. MYOG,c. DOK5 and d. DDIT4 in primary myoblasts from deltoid muscle biopsies from healthy controls and type 3 SMA patients (N=5). Individual samples are plotted as black dots, centre line represents mean and error bars represent +/-SEM; unpaired t-test, *p <0.05.

5.3.4. The expression of the majority of oxandrolone targets is SMN-independent in C2C12 myoblasts and myotubes.

We next determined whether any of the predicted oxandrolone targets are associated with SMN expression. Similar to our metformin investigation (see chapter 4, section 4.3.4), we used Smn-depleted C2C12 myoblasts and myotubes generated by siRNA transfection. In the C2C12 myoblasts, none of the oxandrolone targets were significantly affected by Smn depletion alone (Figure 5.8.a), suggesting that Smn does not influence the expression of these genes in proliferating cells. However, in Smn-depleted C2C12 myotubes, Dok5 is significantly upregulated (3.5 FC, p <0.0001) in the Smn-siRNA group alone (Figure 5.8.b), suggesting that this oxandrolone target could be SMN-dependent. Despite Dok5 being upregulated in Smn-depleted C2C12 myotubes, the main oxandrolone target Ar (-0.1 FC, p =0.6686) and the other downstream genes are not impacted by Smn depletion (Figure 5.8.b), indicating that their expression is most likely SMN-independent in this myoblast-like cell line.

C2C12 Myoblasts



D8 C2C12 Myotubes

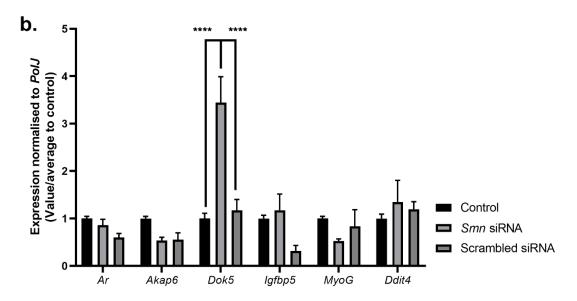


Figure 5.8. *Dok*5 is significantly upregulated by *Smn* depletion in C2C12 myotubes. The mRNA expression by qPCR of oxandrolone target genes *Ar. Akap6, Dok5, Igfbp5, Myo*

The mRNA expression by qPCR of exandrolone target genes *Ar*, *Akap6*, *Dok5*, *Igfbp5*, *MyoG* and *Ddit4* across control, *Smn* siRNA and scrambled siRNA groups in **a**. C2C12 myoblasts and **b**. D8 myotubes. Data represents N=3-4 samples per group across two independent experiments; error bars represent +/- SEM; Two-way ANOVA with post-hoc uncorrected Fishers LSD test, ****p <0.0001.

5.3.5. Low oxandrolone concentration modulates a subset of the predicted target genes in C2C12 myoblasts only.

We next evaluated whether oxandrolone treatment can affect the expression of our predicted target genes. To the best of our knowledge, oxandrolone has not previously been investigated in C2C12 cells. However, 10 μ M of the structurally related DHT stimulated both proliferation and differentiation in the C2C12 myoblast-like cell line 837,977 . Thus, we evaluated 10 μ M of oxandrolone alongside lower (1 μ M) and higher (100 μ M) doses in both C2C12 myoblasts and myotubes for a period of 24 hours.

Interestingly, both the low (2.1 FC, p =0.0019) and medium (1.8 FC, p =0.337) oxandrolone doses significantly increased the expression levels of the main target Ar in C2C12 myoblasts, validating its reported Ar agonist activity ^{837,838} (Figure 5.9.a). In addition, the lower oxandrolone dose also upregulated lgfbp5 (2.5 FC, p <0.0001), Akap6 (1.9 FC, p =0.0141), Myogenin (2.2 FC, p =0.0009) and Ddit4 (2.2 FC, p =0.0014), whilst the medium dose only upregulated lgfbp5 (1.77 FC, p =0.0255) and Ddit4 (2.2 FC, p =0.0013) (Figure 5.9.a).

Conversely, none of the oxandrolone doses significantly upregulated *Ar* expression in the D8 C2C12 myotubes (Figure 5.9.b), which may be due to the fact that C2C12 cells express only 0.1% of endogenous Ar mRNA and protein compared to adult mouse gastrocnemius muscle ⁹⁷⁸. Furthermore, analysis of downstream targets affected by oxandrolone in C2C12 myoblasts such as *Igfbp5* and *Myogenin* revealed no significant difference either (Figure 5.9.c), suggesting an absence of oxandrolone-Ar signalling in differentiated C2C12 myotubes.

Based on the known low oxandrolone dose-Ar activity in C2C12 myoblasts (Figure 5.9.a), we therefore decided to compare low oxandrolone treatment across earlier differentiation stages of D3 and D5. Although we observed a significant downregulation of the main Ar target in D3 C2C12 myotubes (-0.3 FC, p =0.0203) (Figure 5.9.d), there was a slight significant Ar upregulation in D5 C2C12 myotubes (1.4 FC, p =0.0480) (Figure 5.9.e). However, our investigations of the downstream target genes in D5 C2C12 myotubes revealed no significant modulation by oxandrolone (Figure 5.9.f), again validating the absence of oxandrolone-Ar signalling in differentiated C2C12 myotubes. Although it is unclear why oxandrolone-Ar signalling only occurred in C2C12 myoblasts, one possibility could be that it facilitates the transition of proliferation to differentiation, as evidenced by previous AR activity research 979,980 and the upregulation of muscle differentiation genes lgfbp5 839 , Akap6 840 and Myogenin 173,840,980 in our C2C12 myoblasts (Figure 5.9.a).

Another finding we need to take into consideration is the upregulation of *Ddit4* in only C2C12 myoblasts by lower and medium oxandrolone doses (Figure 5.9.a & .f). Although there would be concern of exacerbating significantly upregulated *Ddit4* in severe *Smn*-/-;*SMN*2 mice (Figure 5.5.f), previous studies of androgen treatment downregulating *Ddit4* activity to ameliorate atrophy in dexamethasone-treated L6.AR myotubes and rat gastrocnemius muscle ⁷⁴⁹, suggest this upregulation may be linked to the myoblast stage.

Overall, our investigations revealed that oxandrolone has a stronger influence on the expression of our predicted target genes in proliferating C2C12 myoblasts compared to differentiated C2C12 myotubes.

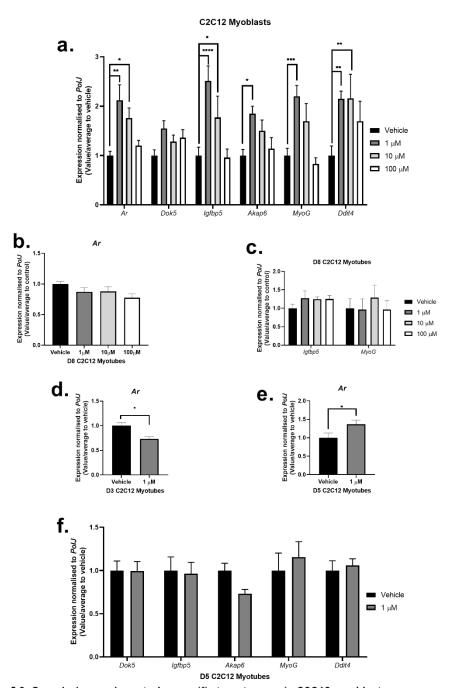
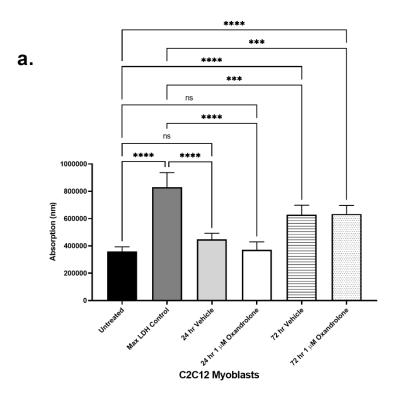


Figure 5.9. Oxandrolone only perturbs specific target genes in C2C12 myoblasts. The mRNA expression by qPCR to investigate vehicle (absolute ethanol), low 1 μM, medium 10 μM and high 100 μM oxandrolone treatment. a. Effects of increasing oxandrolone doses on targets Ar, Dok5, Igfbp5, Akap6, MyoG and Ddit4 in C2C12 myoblasts by Two-way ANOVA with post-hoc uncorrected Fishers LSD test. b. Ar expression in C2C12 D8 myotubes by One-way ANOVA with post-hoc Sidak's test. c. Igfbp5 and MyoG expression in C2C12 D8 myotubes by Two-way ANOVA with post-hoc uncorrected Fishers LSD test. Unpaired T-test of Ar expression across d. D3 and e. D5 C2C12 myotubes treated with low 1 μM oxandrolone for 24 hours. f. Effects of low 1 μM oxandrolone on downstream targets Dok5, Igfbp5, Akap6, MyoG and Ddit4 in D5 C2C12 myotubes. Data represents N=4 samples per group across two independent experiments; error bars represent +/- SEM;, *p <0.05, **p <0.01, ****p <0.001, *****p <0.0001.

5.3.6. Low oxandrolone concentrations are non-toxic in C2C12 myoblasts and myotubes.

Although previous research investigating testosterone, DHT and other anabolic steroids in C2C12 cells have reported little toxicity ^{978,979,981}, the distinct chemical modification of oxandrolone and its first use in C2C12 cells led us to investigate its potential adverse effects ^{837,838}. In our investigation, we used LDH assay to evaluate for potential toxicity of 1 µM oxandrolone treatment in C2C12 myoblasts and myotubes ⁹⁸². Compared to the traditional 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyl-2H-tetrazolium bromide (MTT) assay method ⁹⁸³, the LDH assay is advantageous in detecting the extent of cell damage and death by proportionally measuring released LDH levels ⁹⁸².

For C2C12 myoblasts, the LDH assay revealed that both 24- and 72-hours 1 µM oxandrolone treatment had significantly lower absorption rates compared to the cytotoxic control (Figure 5.10.a). Although, 24 hours of ethanol vehicle and 1 µM oxandrolone treatment had no significant difference to the untreated control, both of the 72 hour duration groups had significantly higher absorbances (Figure 5.10.a), indicating that 72 hours of ethanol vehicle treatment has toxicity in proliferating cells. On the other hand, the absorbances for 24- and -72 hours 1 µM oxandrolone treatment in D5 C2C12 myotubes were not significantly different from the cytotoxic control (Figure 5.10.b). Although both of the drug durations were not significantly different from the untreated control, the absorption rate for the 72 hour ethanol vehicle was significantly higher (Figure 5.10.b), again suggesting the possibility that the toxicity in the differentiated myotubes could be due to this vehicle. Overall, our LDH assay revealed that lower doses of oxandrolone did not induce any major toxicity in our C2C12 cells.



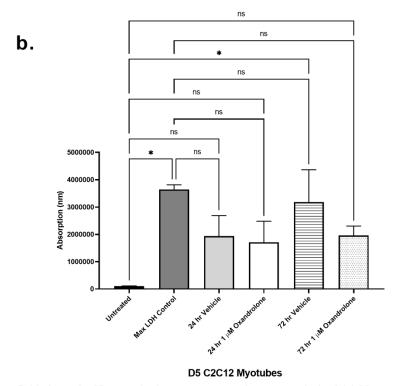


Figure 5.10. Low 1 μM oxandrolone treatment is non-toxic in C2C12 myoblasts and myotubes.

Absorption readings (nm) from released lactate dehydrogenase (LDH) in cell culture supernatant from untreated , max LDH control (1% Triton-X), 24-hour ethanol vehicle, 24 hour 1 μ M oxandrolone, 72 hour vehicle, and 72 hour 1 μ M oxandrolone treatment in **a.** C2C12 myoblasts and **b.** D5 C2C12 myoblasts. Data represents N=6 samples; error bars represent +/- SEM; One-way ANOVA with post-hoc Dunnett's multiple comparisons test, ns = not significant, *p <0.01, ***p <0.001, ***p <0.0001.

5.3.7. Low oxandrolone concentrations do not affect proliferation of C2C12 myoblasts.

Previously, in section 5.3.5, we observed that oxandrolone-Ar activity only affected the target genes in proliferating C2C12 myoblasts (Figure 5.9.a). Furthermore, the target genes such as *Igfbp5* ⁸³⁹, *Akap6* ⁸⁴⁰ and *Myogenin* ^{173,840,980} have previously been associated with promoting muscle differentiation. Thus, we wanted to investigate if oxandrolone affected proliferation of C2C12 myoblasts. For this, we labelled the C2C12 myoblasts with BrDU, a synthetic analog that incorporates into replicating DNA allowing us to proportionally measure proliferation via primary anti-BrDU and secondary colourimetric antibody staining ^{984,985}. As above, we evaluated the effects of 24- and 72-hours of ethanol vehicle and 1 µM oxandrolone treatment. Interestingly, there was no significant difference between untreated and oxandrolone-treated C2C12 myoblasts across 24- and 72-hour time periods (Figure 5.11), suggesting that oxandrolone does not impact proliferation of C2C12 myoblasts.

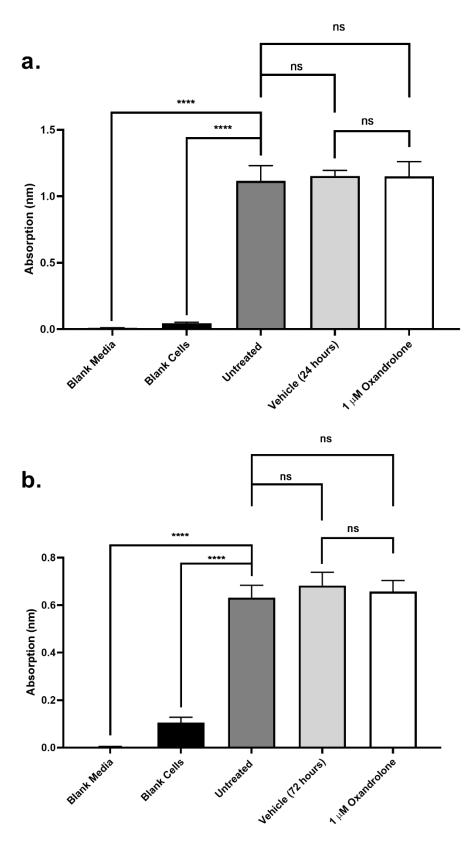


Figure 5.11.Low 1 μ M oxandrolone treatment does not affect C2C12 myoblast proliferation. Absorption readings (nm)of blank media, blank C2C12 myoblasts and anti-BrDU antibody immunostained untreated, vehicle- (absolute ethanol) and 1 μ M oxandrolone-treated C2C12 myoblasts for **a.** 24 hours and **b.** 72 hours. Data represents N=6 samples; error bars represent +/- SEM; One-way ANOVA with post-hoc Dunnett's multiple comparisons test, ns = not significant, ****p <0.0001.

5.3.8. Low oxandrolone doses attenuate canonical atrophy in serumdeprived C2C12 myotubes independent of predicted target genes.

We next wanted to evaluate the ability of oxandrolone to attenuate canonical muscle atrophy by using the same serum-deprivation method that we previously described and performed in metformin-treated C2C12 myotubes (see chapter 4, section 4.3.6). However, in our metformin investigation, we used D8 C2C12 myotubes, whilst our prior results for oxandrolone showed that D5 C2C12 myotubes are required to elicit an androgen response (Figure 5.9.e). Thus, we initially wanted to determine if *in vitro* serum-deprivation muscle atrophy can be similarly replicated in D5 C2C12 myotubes. We confirmed that both atrogenes *atrogin-1* (2.0 FC, p =0.0197) and *MuRF1* (2.6 FC, p =0.0005) were significantly upregulated after 24 hours of serum deprivation, validating a muscle atrophy phenotype in D5 C2C12 myotubes (Figures 5.12.a-c). Furthermore, specific oxandrolone target genes were significantly downregulated in atrophied D5 C2C12 myotubes such as *MyoG* (-0.6 FC, p =0.0003), *Akap6* (-0.5 FC, p =0.0014), *Igfbp5* (-0.7 FC, p <0.0001) and *Ddit4* (-0.6 FC, p =0.0004) (Figure 5.12.d).

Next, we wanted to evaluate whether oxandrolone treatment can attenuate the serum-starvation induced muscle atrophy and furthermore, if it can restore the expression of the dysregulated target genes (Figure 5.12.d). Interestingly, a 24-hour treatment of 1 μ M oxandrolone delayed progressive atrophy in serum-deprived C2C12 myotubes, as evidenced by the significant downregulation of atrogin-1 (-0.3 FC, p =0.0354) (Figures 5.12.e-f). However, the levels of *Myogenin* (-0.3 FC, p =0.0112) and *Igfbp5* (-0. 3FC, p =0.0151) were in fact further downregulated by oxandrolone treatment (Figure 5.12.g), suggesting that

the ameliorative effects were governed via Ar-independent effectors. Overall, a low dose of oxandrolone attenuated canonical atrophy in C2C12 myotubes, supporting its therapeutic potential in SMA mice.

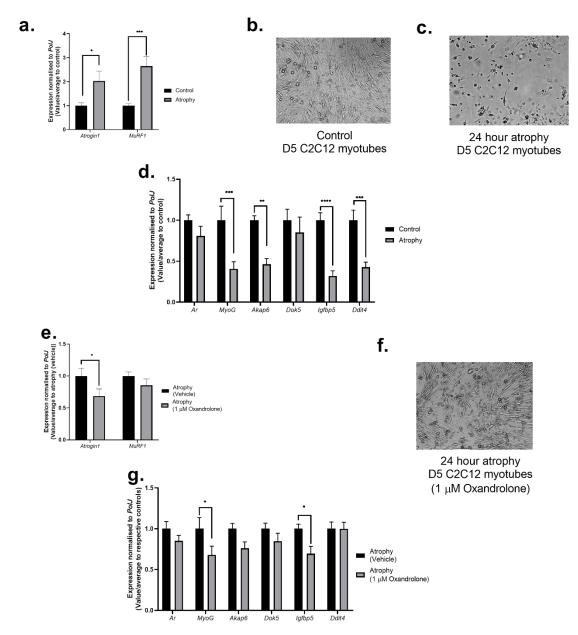


Figure 5.12. Low 1 μ M oxandrolone treatment attenuates canonical atrophy in serum-starved D5 C2C12 myotubes. a. Atrogene (Atrogin-1 and MuRF1) mRNA expression between control and 24-hour serum-deprived D5 C2C12 myotubes by qPCR. 10x magnification of b. control and c. 24-hour serum-deprived atrophy in D5 C2C12 myotubes. d. mRNA expression of predicted target genes between control and 24-hour serum-deprived D5 C2C12 myotubes by qPCR. e. Atrogene (Atrogin-1 and MuRF1) mRNA expression between vehicle control and 1 μ M oxandrolone treated 24-hour serum-deprived D5 C2C12 myotubes by qPCR. f. 10 x magnification of1 μ M oxandrolone treated 24-hour serum-deprived D5 C2C12 myotubes of predicted target genes between vehicle control and 1 μ M oxandrolone treated 24-hour serum-deprived D5 C2C12 myotubes by qPCR. Data represents N=4 samples per group across two independent experiments; error bars represent +/- SEM; Two-way ANOVA with post-hoc uncorrected Fishers LSD test, *p <0.01, ***p <0.001.

5.3.9. Oxandrolone treatment improves survival in Smn^{2B/-} SMA mice.

Similar to Chapter 4, our purpose of investigating oxandrolone was to evaluate its effects on muscle pathologies in SMA. Thus, we conducted preliminary treatment regimens of 1 – 8 mg/kg/day starting at P5 and P8, based on oxandrolone's prior use in mouse models of SCI ⁹⁶⁵ and burn injury ⁹⁸⁶. In these pilot studies, we also stopped oxandrolone treatment at P21, as previous research showed that shorter oxandrolone treatments are more effective than long-term ⁹⁶⁵. In the end, our daily assessment of survival, weight and motor function from P0 up to humane endpoint (*Data now shown*) suggested that daily gavage of oxandrolone at 4 mg/kg/day and starting at P8 was the optimum regimen.

Indeed, we observed that this dose significantly improved the median survival of $Smn^{2B/-}$ SMA mice by 3 days (Figure 5.13.a). Next, we assessed body weight and noticed the weight of the 4 mg/kg/day oxandrolone oxandrolone-treated $Smn^{2B/-}$ SMA mice were significantly lower compared to their untreated counterparts (Figure 5.13.b). However, the significantly lower body weight in 4 mg/kg/day oxandrolone-treated $Smn^{2B/-}$ SMA mice began 4 days prior to the initial treatment start date of P8 (-0.4 kg average) (Figure 5.13.b), suggesting that these animals were naturally smaller.

In terms of motor function, we observed no significant difference in the righting reflex time between untreated, vehicle- and 4 mg/kg/day oxandrolone-treated *Smn*^{2B/-} SMA mice (Figure 5.13.c), suggesting that oxandrolone does not improve motor function.

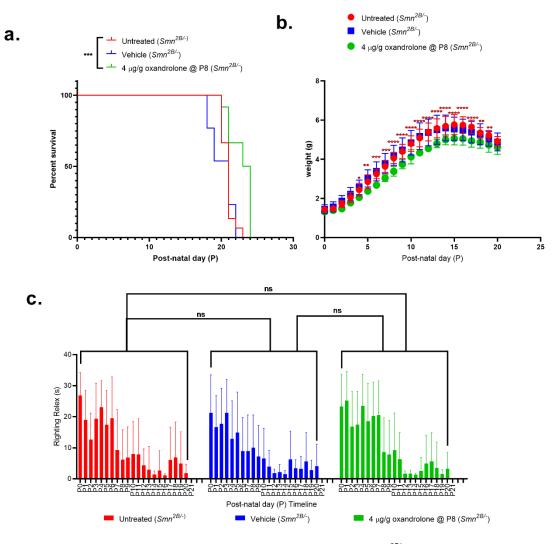


Figure 5.13. 4 mg/kg/day oral oxandrolone treatment improved survival in $\mbox{Sm} n^{2B/} \mbox{SMA}$ mice. All treated animals received a daily dose of oxandrolone (200 mg/kg/day, suspended in 0.5% carboxymethylcellulose) by gavage starting at P8 or vehicle by gavage starting at P5.

a. Kaplan-Meier survival curves for n= 15 untreated (red, median survival: 21 days), n= 13 vehicle-treated (blue, median

survival: 20 days) and n= 12 4 mg/kg/day oxandrolone-treated (green, median survival: 21 days) Smn^{2B/-} SMA mice. Long-rank (Mantel-Cox) test ***p <0.001.

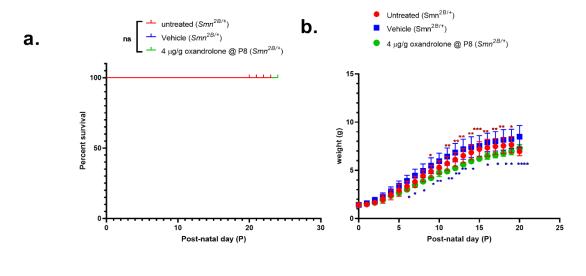
b. Daily weights of untreated (red, n= 15), vehicle-treated (blue, n= 13) and 4 mg/kg/day oxandrolone-treated (green n= 22) Smn^{2B/-} SMA mice. Data represents mean and +- SD error bars, Two-way ANOVA with post-hoc Sidak's multiple comparisons test between untreated and 4 mg/kg/day oxandrolone (red star) and untreated vs vehicle-treated (blue star), *p <0.05, **p <0.01, ***p <0.001, ****p <0.0001.

c. Daily righting reflex test up to a 30 second max time point of untreated (red, n= 15), vehicle-treated (blue, n= 13) and 4 mg/kg/day oxandrolone-treated (green n= 12) Smn^{2B/-} SMA mice. Data represents mean and +- SD error bars, One-way

ANOVA with post-hoc Tukey's multiple comparisons test, ns = not significant.

On the other hand, although 4 mg/kg/day oxandrolone had no effect on survival or motor function, we did observe a significant downregulation of body weight in $Smn^{2B/+}$ healthy mice starting from P9 (-0.7 kg average) (Figure 5.14). Thus, both the $Smn^{2B/-}$ SMA and $Smn^{2B/+}$ healthy data suggests that oxandrolone may have an impact on body weight.

Nevertheless, our results demonstrated that 4 mg/kg/day oxandrolone treatment mildly improved survival in intermediate *Smn*^{2B/-} SMA mice. However, it remains unclear on whether muscle pathologies were affected.



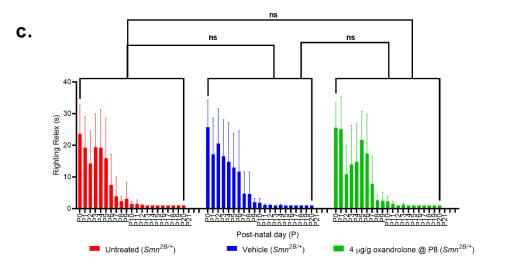


Figure 5.14. 4 mg/kg/day oral oxandrolone treatment significantly lowered weight in Smn^{2B/+} healthy mice. All treated animals received a daily dose of oxandrolone (200 mg/kg/day, suspended in 0.5% carboxymethylcellulose) by gavage starting at P8 or vehicle by gavage starting at P5.

- a. Kaplan-Meier survival curves for n= 21 untreated (red, median survival: 21 days), n= 16 vehicle-treated (blue, median survival: 20 days) and n= 10 4 mg/kg/day oxandrolone-treated (green, median survival: 21 days) $Smn^{2B/+}$ healthy mice Long-rank (Mantel-Cox) test ns = not significant.
- **b.** Daily weights of untreated (red, n= 21), vehicle-treated (blue, n= 16) and 4 mg/kg/day oxandrolone-treated (green n= 10) $Smn^{2B/+}$ healthy mice. Data represents mean and +- SD error bars, Two-way ANOVA with post-hoc Sidak's multiple comparisons test between untreated and 4 mg/kg/day oxandrolone (red star) and untreated vs vehicle-treated (blue star), *p <0.05, **p <0.01, ***p <0.001, ****p <0.0001.
- c. Daily righting reflex test up to a 30 second max time point of untreated (red, n= 21), vehicle-treated (blue, n= 16) and 4 mg/kg/day oxandrolone-treated (green n= 10)Smn^{2B/+} healthy mice. Data represents mean and +- SD error bars, One-way ANOVA with post-hoc Tukey's multiple comparisons test, ns = not significant.

5.4. Discussion

Although we have not finalised our investigations, the pre-clinical evidence so far suggests potential for oxandrolone as a skeletal muscle therapy in SMA.

However, compared to prednisolone we observed minimal ameliorations ⁵³.

Indeed, despite the median survival increase of 3 days in 4 mg/kg/day oxandrolone-treated *Smn*^{2B/-} SMA mice, this did not triple their life expectancy as previously observed in prednisolone-treated *Smn*^{2B/-} SMA mice ⁵³, suggesting that oxandrolone is not as effective as prednisolone in improving survival in SMA.

One reason could be that prednisolone targets a greater number of pathways that may be important for SMA pathology. However, comparisons between prednisolone and oxandrolone-targeted pathways in SMA skeletal muscle by RNA-Seq would be needed to investigate this further.

In terms of neuromuscular phenotype, we observed no difference in motor function and a significantly lower bodyweight in both *Smn*^{2B/-}SMA and *Smn*^{2B+} healthy mice. However, a previous study has shown that deletion of pro-atrophy factors like *atrogin-1* in *SMN*Δ7 SMA mice can still increase myofiber size without improvements to survival and total body weight ¹⁵⁵. Thus, future experiments would therefore need to evaluate by histological and molecular analyses if oxandrolone improved neuromuscular pathologies like myofiber size and impacted the expression of the predicted target (e.g., *Ddit4* and *Dok5*) and muscle health (atrogenes, MRFs) genes in *Smn*^{2B/-}SMA mice.

Indeed, we found a significant upregulation of *Ddit4* in the skeletal muscle of both severe *Smn*^{-/-};*SMN*2 SMA mice (triceps) and type 3 SMA human myoblasts (deltoid), suggesting that Ddit4 could be influential in severe and mild SMA

muscle pathologies, regardless of species or muscle differences. Interestingly, the upregulation of *Ddit4* in both symptomatic severe and mild SMA skeletal muscle could be linked to the fact that alongside Klf15, it is also a downstream GC receptor (GR) target that negatively modulates myofiber size ^{368,987}. Furthermore, with prednisolone's GC-dependent amelioration of disease phenotypes in both severe *Smn*^{-/-};*SMN2* and milder *Smn*^{2B/-} SMA mice, one possibility could be that the GC-Ddit4 pathway acts as a Klf15-independent mechanism for muscle improvement ⁵³. In the context of oxandrolone, Ddit4 could also be an interesting target as previous studies have shown that AR directly prevents its upregulation, thus ameliorating muscle-wasting ⁷⁴⁹.

Another interesting target that we validated was *Dok5*, which was significantly upregulated in type 3 SMA deltoid myoblasts and *Smn*-depleted C2C12 myotubes, suggesting it is an SMN-dependent target. Although the physiological role of Dok5 and how SMN influences its expression remain poorly understood ⁹⁷⁶, previous studies have so far identified it as a downstream kinase for IRS-6 ⁸⁴¹. Furthermore, IRS-6 constitutively binds to IRS-1 as evidenced by bioluminescence resonance energy transfer (BRET) activity ⁹⁷⁶, suggesting it acts as a competitive inhibitor in insulin and IGF-1 signalling. In the context of SMA, studies from mice have shown that increase of IGF-1 levels is linked to improved phenotype ^{988,989}. Thus, one possibility could be that *Dok5* upregulation via depleted SMN levels promotes reduced IGF-1 activity in SMA through competitively inhibiting IRS1/2 signalling ^{350,841,976}. However, future studies on the relationship between SMN activity and Dok5 would be needed to corroborate this theory.

Even though we have not evaluated oxandrolone's effects on SMA skeletal muscle, our *in vitro* investigations revealed that doses of 1 μM oxandrolone ameliorated canonical atrophy in C2C12 myotubes. Although we used serumstarvation to induce atrophy in this model ^{748,758}, meta-analysis of transcriptomic studies have revealed that starvation and denervation both promote autophagy pathways ⁹²⁵. Furthermore, autophagy contributes to muscle atrophy in symptomatic *Smn*^{2B/-}SMA mice ⁵⁶, supporting the potential for oxandrolone to reduce muscle wasting in SMA. Nevertheless, despite ameliorating atrophy in C2C12 cells, our analyses revealed that this effect was independent of the expression of our predicted target genes. In fact, *Ddit4* was inversely downregulated in atrophied C2C12 myotubes. However, one possible explanation could be the glucose and FA metabolic defects associated with starvation ⁹⁹⁰, as these could have negatively modulated Ddit4 activity ⁹⁹¹, as observed in the muscle of *Ddit4*-KO mice ^{759,992}.

In terms of how oxandrolone ameliorated atrophy independently of our predicted targets in C2C12 myotubes, there are two possibilities that could be further investigated. One is that oxandrolone promoted differentiation, as AR and oxandrolone have previously been linked to muscle differentiation, which in turn has benefited muscle growth ^{834,979,993}. However, our only evidence so far in support of differentiation is the upregulation of our pro-differentiation target genes *Igfbp5* ⁸³⁹, *Akap6* ⁸⁴⁰ and *Myogenin* ^{173,840,980} in C2C12 myoblasts and oxandrolone having no significant impact on proliferation. We would therefore need to perform MHC immunostaining to further evaluate if differentiation was being promoted by oxandrolone ⁹⁹⁴. In addition to the impact on differentiation, another explanation could be the promotion of myocellular protein levels, as

previous oxandrolone and AR studies in muscle have also associated muscle growth with elevated myocellular protein levels ^{962,978}. Importantly, these future *in vitro* studies would be useful for determining the possible mechanisms behind the ways in which oxandrolone could benefit SMA skeletal muscle.

One limitation that we need to take into consideration regarding our *in vitro* experiments is that C2C12 cells were not an effective muscle model for Ar activity. Indeed, previous research has established that Ar expression levels in C2C12 myoblasts reflected only 0.1% of the mRNA and protein content of adult mouse skeletal muscle ⁹⁷⁸. Similarly, patterns of low endogenous Ar activity have also been reported in L6 rat myoblasts 995,996, suggesting that immortalised murine skeletal muscle cell lines are not effective tools for measuring androgen treatment. Although primary mouse myoblasts could be a useful alternative based on the higher Ar content in muscle biopsies ^{978,997}, these would have a limited growth potential and require optimum culture conditions compared to immortalised cell myoblasts ^{998,999}. Thus, one consideration for improving our *in* vitro oxandrolone experiments could be the use of human AR plasmid transfection in our C2C12 myoblasts, since previous studies overcame poor Ar signalling by designing androgen responsive C2C12 (or C2C12.AR) and L6 (or L6.AR) myoblasts ^{978,996}. However, a less labourious alternative for human AR plasmid transfection could be testing oxandrolone in a human immortalised muscle cell line like LHCN-M2 myoblasts ¹⁰⁰⁰

In the context of SMA therapies, there are still some factors that need to be taken into consideration when discussing the suitability of oxandrolone as a long-term skeletal muscle treatment. In our study we mainly focused on mixed gender cohorts. However, *Ar* KO models have shown that its absence does not impact

female muscle size to the same extent as in males 956 . Furthermore, female mice have higher expression of *oestrogen related receptor alpha* (EsrrA) compared to Ar and different transcriptomic responses to DHT treatment 1001 . With intramuscular Ar content a stronger influence on hypertrophy than systemic hormone levels 1002 and suggestions that SMA males are linked to more severe skeletal muscle pathologies 1003 , it remains unclear whether oxandrolone would have equal benefits in males and females. One way that we could address this is with sex-specific phenotypic, molecular and histological analyses of oxandrolone treatment in our $Smn^{2B/-}$ SMA mice. Another possibility would be the evaluation of pro-oestrogen drugs in female $Smn^{2B/-}$ SMA mice as prior studies have shown that oestrogen receptor- α ($Er\alpha$) depletion negatively affects muscle contraction and health in female mice 1004,1005 . In addition, our bioinformatics and DGldb v3.0 data showed that Esr1 was significantly upregulated by prednisolone, which led to the identification of 31 potential oestrogen-agonist orally bioavailable drugs (see Chapter 3, Table 3.11).

Another unknown regarding oxandrolone treatment in SMA relates to the long-term adverse effects. Although studies in paediatric patients have not reported any myopathic adverse risks from chronic treatment after 6 months ¹⁰⁰⁶, an independent study did see a rise in liver enzyme activity that was restored after discontinuation of oxandrolone use ^{1007,1008}. With liver pathologies being a feature in SMA ^{343,348,349}, it is possible that oxandrolone may be limited to short treatment durations like prednisolone ^{53,628}. To overcome this issue, future studies could investigate non-steroidal (ns)-SARMs ¹⁰⁰⁹. The advantage that ns-SARMs would have over oxandrolone is that certain compounds would be specifically designed to target skeletal muscle-expressed Ar and in theory, limit adverse systemic

effects ¹⁰⁰⁹. Despite the fact that none of the ns-SARMs are approved, pre-clinical studies of these compounds in muscle-wasting and disease models such as DMD ^{829,1010}, chronic GC usage ¹⁰¹¹ and hypogonadism ¹⁰¹¹ have reported anabolic muscle growth with minimal Ar activity in other organs. Furthermore, ns-SARMs were also identified in our DGldb v3.0 drugs list (see Chapter 3, Table 3.11), making them interesting candidates for future SMA drug repositioning studies.

In summary, the pre-clinical data for oxandrolone has so far shown it could slightly improve survival and possibly muscle pathologies in SMA. However, further investigations are still needed to address whether it can significantly improve health in SMA mice and if it is a suitable long-term substitute for prednisolone treatment.

Chapter 6.

Discussion

6.1. Project outcomes

The necessity for combinatorial and synergistic treatments to improve the quality of life of all SMA patients has been delayed due to the absence of clinically approved SMN-independent therapies (as of 2021) that target hallmark pathologies in the CNS and skeletal muscle. In particular, skeletal muscle is an important treatment target as SMA pre-clinical models and patients display pathophysiological progressive muscle weakness and atrophy ^{56,359,360}, delayed myogenesis ^{54,55,157,158} and dysfunctional metabolism ^{161,301,303,342}. Although two novel therapies designed for SMA skeletal muscle are being clinically investigated at this time ^{544,545,572}, another avenue for consideration is drug repositioning since this approach undergoes a shorter discovery pipeline that produces cost-effective treatments if successful ^{586,587,590}.

One recent example of drug repositioning in SMA is with the synthetic GC prednisolone, which improved muscle health and survival in both severe *Smn*-/-; *SMN2* and milder *Smn*^{2B/-} SMA mice ⁵³. However, to overcome the adverse myopathic effects of chronic GC use ⁶²⁸, this thesis has focused on identifying existing clinically approved drugs that could emulate the beneficial effects of prednisolone in SMA skeletal muscle.

In chapter 3, we analysed RNA-Seq data obtained from triceps of prednisolone-treated and untreated symptomatic *Smn*-/-;*SMN2* SMA and *Smn*+/-;*SMN2* healthy mice. Interestingly, the transcriptomics revealed that prednisolone treatment normalised a specific subset of genes in symptomatic SMA skeletal muscle to those similarly observed in untreated healthy cohorts. Notably, these genes were linked to myofiber size regulation as well as metabolic and functional muscle

pathways such as FoxO signalling ⁵⁶, AMPK signalling ^{389,781,782}, mitophagy ^{388,784}, autophagy ^{56,389} and circadian rhythm ³⁵⁶, which have previously been associated with SMA. Importantly, our findings confirmed the ameliorative benefits of prednisolone and uncovered different pathways to those previously published of its activity in SMA skeletal muscle ⁵³. In addition, these predicted pathways were vital for our thesis as iPathwayGuide ^{651–655} and the DGIdb v3.0 database ⁶⁵⁹ used them to identify 223 clinically approved compounds that were not primarily developed for cancer and have been used in disorders that share pathological overlaps with SMA. Furthermore, the identification of colforsin ⁸⁰⁹ and celecoxib ⁶¹⁵ (ClinicalTrials ID: NCT02876094), which have previously been investigated in SMA, provided support for the validity of our *in silico* strategy. For this thesis, drug candidates to be further experimentally evaluated were selected based on the criteria of novelty in SMA and oral bioavailability, due to the lack of non-invasive treatments approved at the time.

In chapters 4 and 5 we assessed the efficacy of our selected candidates using C2C12 myoblasts and *Smn*^{2B/-}SMA mice. The two drugs selected were metformin, an AMPK agonist biguanide used primarily as a hypoglycaemic agent in T2DM ^{300,858,923,931} and oxandrolone, an anabolic steroid therapeutically used for muscle wasting and growth conditions ⁸³⁷. Counterintuitively, metformin treatment did not improve disease phenotypes or survival and it had an inverse impact on its predicted gene target *Prkag3*. Furthermore, higher metformin doses actually reduced survival, which molecular analyses potentially attributed to the dysregulation of genes linked to mitochondrial activity in the spinal cord ^{145,146,862} and gluconeogenesis in the liver ^{348,349,923}. Consequently, these results led us to consider the possibility of adverse systemic side effects that could negatively

impact disease progression in *Smn*^{2B/-} SMA mice and affect the suitability of metformin as a skeletal muscle therapy ^{926,927}.

On the other hand, the data collected so far for oxandrolone presented a more promising outcome as *in vitro* treatment (1 µM for 24 hours) attenuated canonical atrophy in serum-starved C2C12 myotubes. Furthermore, phenotypic analyses revealed a significantly increased survival of 3 days in 4 mg/kg/day oxandrolone-treated *Smn*^{2B/-}SMA mice. However, it still remains unclear if oxandrolone's ameliorative effects were attributed to its activity on the predicted gene targets.

Retrospectively, although our bioinformatics data have provided new evidence for how prednisolone impacts skeletal muscle in SMA, our computational predictions for metformin and oxandrolone to emulate these effects have not come to fruition in our experimental investigations. Upon reflection, the data in our thesis has showcased the importance of experimental validation for bioinformatic predictions and exposed the limitations of transcriptomics-based *in silico* drug repositioning that need to be taken into consideration for future SMA drug discovery studies.

6.2. Candidates for future SMA drug repositioning studies.

In the latter part of this thesis, we focused on the experimental validation of metformin and oxandrolone in SMA cellular and animal models. Although these drugs did not emulate prednisolone's ameliorative abilities in SMA muscle, they were not the only drug candidates identified by our bioinformatics and drug database analyses. Indeed, a total of 223 commercially approved compounds were identified. Even though most of these drugs did not pass the strict selection criteria, the pharmacological activity in specific compounds still make them interesting candidates for future SMA drug repositioning research.

6.2.1. Insulin

Intriguingly, the metabolic pathways in SMA skeletal muscle targeted by prednisolone led to the prediction of various DM-related drugs. One reoccurring candidate was insulin. Although pre-clinical studies have not associated insulin resistance with aberrant glucose metabolism in *Smn*^{2B/-} SMA mice ^{301,310}, an observational endocrine study across 63 mixed gender type 1-3 SMA patients linked insulin resistance with muscle atrophy severity ^{305,1012}. The association between muscle atrophy and insulin resistance is also reported in DM research with protein anabolism resistance being an early marker in the higher risk offspring of T2DM patients ¹⁰¹³. Furthermore, a meta-analysis of insulin treatment across 69 independent investigations revealed a significantly increased net balance of skeletal muscle protein levels in insulin-treated patients ¹⁰¹⁴. Although ongoing, in-depth studies have reported that insulin increases net skeletal muscle protein levels via minor involvement in MPS and major involvement in muscle

protein breakdown (MPB) ^{314,1014–1018}. Indeed, insulin has been found to reduce amino acid efflux and reverse atrogin-1 activity, suggesting that it acts on proteasomal degradation ^{314,1015–1017}. Intriguingly, this mechanism could make insulin therapy advantageous for SMA skeletal muscle since proteasomal degradation is a key pathway involved in its progressive atrophy ⁵⁶. However, similar to what we observed with metformin, hypoglycaemia could also be a risk factor with insulin treatment ¹⁰¹⁹. Nevertheless, the dual role insulin could serve in management of glucose metabolism and protein anabolism could be beneficial for skeletal muscle health in SMA.

6.2.2. Thiazolidinediones

Another class of clinically approved DM drugs predicted in our list were the thiazolidinedione compounds pioglitazone and rosiglitazone, which are PPAR-γ) agonists ¹⁰²⁰. The PPAR isoforms (PPARα, PPARβ/δ and PPARγ) play crucial regulatory roles in skeletal muscle such as metabolism, development, regeneration and inflammation ¹⁰²¹. Importantly for SMA, they also play a role in the inhibition of muscle-wasting and myosteatosis (fat infiltration) ¹⁰²¹. Indeed, inhibition and activation studies of the thiazolidinediones' main target PPARγ highlight its anti-atrophy benefits ¹⁰²². Furthermore, the activity of its co-activator PGC1-α is downregulated in SMA skeletal muscle, suggesting a potential use for these drugs as second-generation therapies in SMA ^{388,389}. In addition, pioglitazone and rosiglitazone treatment in related diseases characterised by muscle wasting such as cachexia ^{1023,1024}, ALS ¹⁰²⁵ and spinal and bulbar muscular atrophy (SBMA) ¹⁰²⁶, have reported ameliorative benefits.

Nevertheless, pioglitazone and rosiglitazone come with possible adverse risks of potential liver failure ¹⁰²⁷, as exampled by a summary of 21 reported cases from patients receiving these drugs in the US across 1997 – 2006 ¹⁰²⁸. In the context of SMA, such risks could be detrimental via exacerbation of the existing liver pathologies ^{343,348,464}, thus any future pre-clinical repositioning studies of these drugs in SMA need to evaluate their effects on the liver alongside neuromuscular tissue.

6.2.3. Interleukin-6 receptor inhibitors

The primary use of prednisolone in certain diseases is for its function as an anti-inflammatory agent ^{625,626}. As such, within our predicted analyses we observed pathways associated with inflammation being targeted by prednisolone in SMA skeletal muscle. Consequently, these led to the *in silico* prediction of various anti-inflammatory drugs being potential candidates to emulate prednisolone's activity in SMA. Although celecoxib has already been investigated in SMA ^{614,615}, another category of anti-inflammatory drugs identified were IL6R monoclonal antibody inhibitors. Clinically approved examples of these include tocilizumab and sarilumab, which are used primarily for the treatment of rheumatoid arthritis ^{769,1029–1031}. IL6 itself is a cytokine and myokine involved in inflammation, metabolism, myogenesis and growth in skeletal muscle ^{769,1032}. Paradoxically, studies have also linked IL6 to both hypertrophic and atrophic effects in skeletal muscle ^{1032–1034}. Nevertheless, pre-clinical disuse-induced muscle atrophy and dystrophin KO DMD rodent models have demonstrated that Il6r inhibition via monoclonal antibody treatment improved muscle health and growth ^{745,747}.

Despite these aforementioned studies not being focused on denervation-induced muscle atrophy, independent investigations using muscle biopsies from symptomatic *SMN*Δ7 SMA mice revealed an association between increased II6 levels and denervation ¹⁰³⁵. Furthermore, our transcriptomics screen identified a significant downregulation of *Il6ra* expression in the prednisolone-treated *Smn*^{-/-};*SMN2* SMA mice compared to their untreated counterparts, suggesting that II6 activity could play a pro-atrophic role in this disease. However, compared to our previously investigated candidates, IL6R monoclonal antibody inhibitors are more invasively administered, with requirements for subcutaneous and intravenous injections ¹⁰³⁰. Regardless, clinically approved examples like tocilizumab could be interesting candidates for skeletal muscle therapy in SMA.

6.3. Future considerations

6.3.1. A multi-omics strategy is a suitable option for *in silico* drug repositioning in SMA

In our study, *in silico* drug repositioning was an appropriate choice for identifying clinically approved drugs predicted to emulate prednisolone's effects in SMA skeletal muscle. We analysed the transcriptomic patterns across skeletal muscle from prednisolone-treated and untreated *Smn*-/-;*SMN2* SMA and *Smn*+/-;*SMN2* healthy mice as it allowed for an unbiased identification of dysregulated genes and pathways ^{680,681}. However, as previously explained in chapter 3, reliance on this method alone comes with limitations. The main limitation being that transcript expression levels do not proportionally represent protein activity in terms of abundance and PTM ^{843–850}. Thus, this can be a caveat for drug repositioning studies in particular as most of the candidates' pharmacodynamics involve drug-protein target interactions.

In our study, this is exemplified by metformin. We originally selected metformin based on the iPathwayGuide prediction that this drug can also activate the AMPK protein in certain prednisolone-targeted pathways. However, the predicted AMPK protein activation in these pathways was actually derived from the significant upregulation of the *Prkag3* gene in our transcriptomic data. Although prior studies have shown that the AMPK- γ-3 isoform encoded by *Prkag3* is important for AMPK activation ^{832,889,890,924}, the protein's activity is usually measured by phosphorylation of the Thr-172 residue in the catalytic AMPK-α isoform ^{300,832,1036}. Upon reflection, it seems that integration of proteomics would have been advantageous to validate if AMPK activity was normalised to healthy levels after

prednisolone treatment in SMA skeletal muscle. This could have then allowed for a better evaluation of the suitability of metformin for further investigations in SMA cellular and animal models.

Indeed, we recently published a study that supports the benefits of multi-omics (transcriptomics and proteomics) for *in silico* drug repositioning in SMA ⁷¹⁶. In that study, harmine was selected for experimental validation as it appeared across both transcriptomic and proteomic drug perturbation signature lists ⁷¹⁶. Importantly, harmine treatment in C2C12 myoblasts and both severe *Smn*-/-; *SMN2* and milder *Smn*^{2B/-} SMA mice matched its predicted molecular activities and significantly improved muscle phenotypes ⁷¹⁶.

Although the addition of proteomics to our bioinformatics platform would have allowed for a more detailed pathway analysis and more accurate representation of the pharmacodynamics patterns of the predicted drugs ¹⁰³⁷, it would still not be enough to bridge the gap between drug interaction and phenotype ¹⁰³⁸. Indeed, a proteomics analysis of the cerebral spinal fluid of Spinraza[®]-treated type 2 and 3 SMA patients reported that no correlation was found between protein profiles and functional score improvements ¹⁰³⁹. However, one more recent omics platform that could help overcome this limitation is metabolomics, which is a high-throughput study of total metabolites within a biological sample ^{1040,1041}. Unlike other omics technologies, metabolomics is advantageous in that metabolites can closely link a pathway's activity to a specific phenotype ^{1038,1040–1043}. In our case, this would be drug treatment and disease status, which could be particularly useful in SMA as the canonical phenotype of muscle atrophy can be characterised by the rise in protein breakdown ^{56,1044,1045}. Furthermore, studies in SMA muscle have used ROS and metabolite intermediates to support the

evidence of dysfunction in the mitochondria ^{388,395}, as well as glucose ¹⁶¹ and FA ^{339,342} metabolic reactions.

Although proteomics and metabolomics both have their advantages in biological research as standalone approaches, they do not present a fully detailed signalling pathway 651,1046–1048. Optimally, we would want to integrate both proteomics and metabolomics to our established transcriptomics data. In theory, it would fit the hierarchal expression and activity of transcript > protein > metabolite and could better ensure the reliable and accurate prediction of prednisolone-targeted pathways that improved the SMA skeletal muscle phenotype 1046-1048. Although metabolomic data cannot be uploaded into iPathwayGuide in the same instance that transcriptomics and proteomics data can 654, there are still many multi-platform tools that can analyse it. These include Metaboanalyst ¹⁰⁴⁹, Cytoscape ¹⁰⁵⁰ and MetExplore ¹⁰⁵¹. Although they are limited by their reliance on set enrichment patterns for specific pathways 1049–1052. However, a recently developed tool called Metaboverse is useful in annotating interlinked transcriptomics, proteomics and metabolomics data onto pathway networks ¹⁰⁵². Thus, Metaboverse could help complement our iPathwayGuide data by allowing us to visualise the downstream and neighbouring effects of our predicted drug targets across canonical pathways important in SMA pathology to develop a greater detailed picture of pathological effectors and potential therapeutic targets ^{654,1052}.

Despite these potential advantages, a multi-omics approach that combined transcriptomics, proteomics and metabolomics has, to the best of our knowledge, so far not been used for *in silico* drug repositioning in SMA research. However, this method has been used in SMA biomarker research including the BforSMA

study that identified 200 biomarkers as interesting candidates for measuring disease progression ¹⁰⁵³. Furthermore, multi-omics studies that comprised all of these three platforms have been useful for the prediction of repositioned drug candidates in other diseases such as AD ¹⁰⁵⁴, diabetes ¹⁰⁵⁵, cancer ¹⁰⁵⁶ and most recently COVID-19 ¹⁰⁵⁷. Indeed, some of these candidates have even moved onto the clinical trial phase, as most recently exampled with a phase 2 clinical trial currently in recruitment of using dasatinib, a tyrosine kinase inhibitor originally designed for managing leukaemia to treat moderate and severe COVID-19 ^{1057,1058} (ClinicalTrials.gov ID: NCT04830735).

Thus, the strategy of isolated omics experiments to address biological problems is an inefficient strategy to reliably identify drugs that could emulate the beneficial effects of prednisolone in SMA skeletal muscle. Instead, it would have been useful to use a combined integration of transcriptomics, proteomics and metabolomics data from the samples as a prerequisite for drug candidate selection before later investigations in cellular and animal models.

6.3.2. Adverse systemic effects need to be considered when investigating skeletal muscle therapies in SMA

Although we solely focused on the skeletal muscle as a therapeutic target for SMA in this project, there are two important points that should be considered for future muscle-specific therapy research. The first is that as previously explained in Chapter 1, SMA is a systemic disease that consists of pathologies in both neuromuscular and peripheral organs ^{77,150,151}. Although the latter is not the predominant pathology in most untreated patients, the enhanced life expectancy

and quality of life provided by the SMN-dependent treatment ^{451,452,470,472,490,493} (especially in the severe types), could see novel pathologies emerge in these organs. Furthermore, if pathologies were exacerbated or ameliorated tissue(s) rendered dysfunctional by second-generation therapies, this would negatively impact the maximal benefits imparted by the primary SMN-dependent treatment.

The second point is that skeletal muscle is a highly plastic tissue whose size, contractile strength and metabolism ^{277–282} is also governed by cross-talk with interacting cells and organs such as neurons ⁹²⁶, liver ⁹²⁷ and pancreas ⁹²⁸ to name a few. Thus, any adverse effects on these neighbouring tissues could have an indirect negative impact on skeletal muscle itself. One particular example are drugs that have the ability to cross the BBB. Recently, it was shown that conserved pathways such as autophagy are regulated in a tissue-dependent manner in SMA neuronal and muscle tissue ⁹³⁷. For example, if an mTOR agonist with the ability to cross the BBB was chosen as a treatment option in SMA, it could promote hypertrophy of SMA skeletal muscle ^{851,852}. However, the exacerbation of the already active p-Ser2448 mTOR in SMA motor neurons could lead to adverse side effects such as hypersensitivity in patients ^{937,1059}.

Such adverse systemic risks were indeed observed in our study. In chapter 4, we found that 400 mg/kg/day metformin treatment in *Smn*^{2B/-}SMA mice significantly reduced their life expectancy, exacerbated hypoglycaemia and dysregulated mitochondrial targets in the spinal cord. Oxandrolone, our second drug candidate, could also lead to long-term adverse effects such as prostate cancer development via its *Ar* agonist ability ^{837,1060}. Other independent investigations of second-generation therapies for SMA have also noted adverse risks. These include the emergence of tremors in harmine-treated *Smn*^{2B/-} SMA mice ⁷¹⁶ and

increased risks of cancer in *SMN*Δ7 SMA mice when the PTEN tumour suppressor gene was depleted via a scAAV6-shRNA ¹⁰⁶¹.

One approach that could be used to overcome this issue is the use of metaanalysis across the transcriptomics data obtained from skeletal muscle and key interacting tissue types. Retrospectively, in our study, we could have also performed transcriptomics in the liver and spinal cord of prednisolone-treated and untreated *Smn*^{-/-};*SMN2* SMA and *Smn*^{+/-};*SMN2* healthy pups. Importantly, iPathwayGuide possessed a meta-analysis feature that would have allowed us to cross-examine these separate tissues for prednisolone-impacted pathways ^{654,1062}. In theory, the meta-analysis would have allowed us to select drugs that appeared beneficial across all tissue types and targeted similar genes and pathways as prednisolone 654,1062. Thus, we would have had a predicted drug and pathway dataset across skeletal muscle and the tissues with which it interacts. Literature searches and preliminary experimentation would have then allowed us to predict drugs with minimal adverse side effects in non-muscle tissue in SMA mice. Interestingly, this approach has recently been useful to predict methylprednisolone as a treatment option for COVID-19 infected patients, which showcases its potential for *in silico* drug repositioning research ¹⁰⁶².

In summary, *in silico* drug repositioning for skeletal muscle therapies in SMA should also implement the omics data from interacting tissues that are crucial for its regulation via reciprocal crosstalk. Not only would this predict drugs with minimised adverse effects, but it could also potentially maximise the benefits of treatment in SMA skeletal muscle both directly and indirectly if successful.

6.4. Conclusion

The optimum treatment strategy for SMA most likely requires a combination of first-generation SMN-dependent and second-generation SMN-independent therapies. Skeletal muscle is an important target for second-generation therapies since SMN restoration is currently not a cure and pre-clinical studies have shown that systemic administration of SMN restoration treatment is more effective compared to CNS delivery alone ³⁵⁰. Although two novel muscle-specific therapies are currently in clinical trial, the limitations of prolonged developmental timeline, expensive costs, safety risks and unknown longitudinal effects, makes drug repositioning a useful complementary approach or alternative ^{584–586}. We have previously shown that SMA mice treated with the GC prednisolone demonstrated improved muscle health and survival ⁵³. However, the adverse effects of chronic GC treatment limit prednisolone's long-term use in SMA 628. In this thesis, we thus used transcriptomics-based in silico drug repositioning followed by experimental validation to evaluate if any clinically approved, noninvasive drugs could emulate prednisolone's benefits in SMA skeletal muscle. Interestingly, our bioinformatics data presented a wide array of prednisolonetargeted pathways linked to skeletal muscle functions and hundreds of interesting drugs for future repositioning studies. However, experimentally, metformin and oxandrolone's activity in SMA cellular and animal models were not able to emulate prednisolone's to the same effect. Nevertheless, our work highlighted the importance of experimental validation for bioinformatics and called attention to the importance of multi-omics and systemic effects for possible future in silico studies. Overall, our thesis shows that with refinement an in silico drug

repositioning strategy is a useful alternative to discover novel less expensive second-generation therapies for SMA skeletal muscle.

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