1	The effectiveness of rural community health workers in improving health outcomes
2	during the COVID-19 pandemic: a systematic review.
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4	The effectiveness of rural community health workers during the COVID-19 pandemic
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28	

29 Abstract

30

Background: Rural community health workers (CHWs) play a critical role in improving health outcomes during non-pandemic times, but evidence on their effectiveness during the COVID-19 pandemic is limited. There is a need to focus on rural CHWs and rural health systems as they have limited material and human resources rendering them more vulnerable than urban health systems to severe disruptions during pandemics.

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Objectives: This systematic review aims to describe and appraise the current evidence on
the effectiveness of rural CHWs in improving access to health services and health outcomes
during the COVID-19 pandemic in low-and middle-income countries (LMICs).

40

41 Methods: We searched electronic databases for articles published from 2020 to 2023 42 describing rural CHW interventions during the COVID-19 pandemic in LMICs. We extracted 43 data on study characteristics, interventions, outcome measures, and main results. We 44 conducted a narrative synthesis of key results.

45

46 **Results:** Fifteen studies from 10 countries met our inclusion criteria. Most studies were from 47 Asia (10 of 15 studies). Study designs varied and included descriptive and analytical studies. 48 The evidence suggested that rural CHW interventions led to increased household access to 49 health services, and may be effective in improving COVID-19 and non-COVID-19 health 50 outcomes. Overall, however, the quality of evidence was poor due to methodological 51 limitations; 14 of 15 studies had a high risk of bias.

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53 Conclusion: Rural CHWs may have improved access to health services and health outcomes 54 during the COVID-19 pandemic in LMICs but more rigorous studies are needed during future 55 pandemics to evaluate their effectiveness in improving health outcomes in different settings 56 and to assess appropriate support required to ensure their impact at scale.

#### 57 Introduction

Globally, rural populations remain vulnerable to pandemics particularly in LMICs. As of 58 59 November 2023, the current COVID-19 pandemic has led to 771 million infections and up to 18 million deaths have been attributed directly or indirectly to COVID-19 [1-2]. There are 60 61 continued disparities in access to COVID-19 vaccines, COVID-19 therapeutics, and critical 62 care capacity making the pandemic challenging to address, particularly in LMICs with 63 significant rural populations [3-5]. Given the ongoing threat of current and future pandemics, 64 evaluating key resources within rural health systems that can be deployed effectively to 65 strengthen pandemic preparedness and response is vital.

66

67 Community Health Workers (CHWs) have been shown to be critical in global efforts to achieve 68 Sustainable Development Goals (SDGs) and Universal Health Coverage (UHC) by 2030 [6]. 69 CHWs were considered the cornerstone of primary health care in the 1978 Alma-Ata 70 Declaration [1]. There is evidence to support CHW effectiveness in improving health outcomes 71 during non-pandemic times, particularly in LMICs. A World Health Organization (WHO) 72 systematic review of existing reviews showed that CHW interventions in LMICs were linked to 73 improved physical activity, reduced repeated adolescent births, and reduced maternal, 74 perinatal, and neonatal mortality rates [7]. Furthermore, a recent systematic review of CHW 75 interventions demonstrated CHW effectiveness in improving population-based HIV related 76 health outcomes in LMICs [8].

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There is some evidence that CHWs have also played an important role during the COVID-19 pandemic, especially in LMICs. A recent qualitative study found that CHWs made significant contributions in COVID-19 surveillance, community education, and support of those affected by COVID-19 in India, Bangladesh, Pakistan, Sierra Leone, Kenya, and Ethiopia [9]. These findings align with those of Bhaumik et al who found that CHWs played a critical role during pandemics by participating in community engagement and contact tracing activities [10]. In

addition, these findings are consistent with the WHO Strategic Preparedness and Response
Plan which emphasizes the need to listen to communities to reduce demand side barriers to
health service utilization and access during the COVID-19 pandemic [11].

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88 Although these studies establish the important role CHWs played during the COVID-19 89 pandemic, they do not have a specific focus on rural CHWs and rural health systems in LMICs. 90 There is a need to pay special attention to rural CHWs and rural health systems because they 91 face more challenges compared to their counterparts in urban settings. Rural health systems 92 frequently experience inadequate infrastructure, equipment, and consumables, and they have 93 a more limited health workforce than in urban settings [12-18]. Globally, 75% of physicians 94 and 65% of nurses work in urban areas [19]. In the US for instance, there are 30.8 physicians 95 per 10'000 people in urban areas in contrast to 10.9 physicians per 10,000 people in rural 96 areas [19]. And in terms of financing, rural health systems are facing financial crises resulting 97 in hospital closures including in HICs [20]. As a result, compared to urban health systems, 98 rural health systems have a reduced capacity to absorb shocks during pandemics and are 99 more vulnerable to health system disruptions during pandemics including the COVID-19 100 pandemic. Furthermore, recent evidence suggests that during the COVID-19 pandemic, rural 101 health systems were less prepared compared to urban health systems and COVID-19 102 responses were not adequately tailored to rural areas [21]. The findings argue for more 103 evidence to be generated to guide rural pandemic preparedness and response efforts to 104 mitigate the lack of preparedness during future pandemics. Moreover, there is growing and 105 compelling evidence that the COVID-19 pandemic led to reduced access to health services 106 making urgent the need to identify health interventions in rural health systems that can mitigate 107 the negative impact of reduced access to health services during a pandemic. A systematic 108 review of 81 studies from 20 countries found that the utilization of diagnostic services, routine 109 vaccinations, and surgical services decreased by a third during the COVID-19 pandemic [22]. 110 Furthermore, more recent evidence shows significant reductions in the use of maternal and 111 child health (MCH) services during the COVID-19 pandemic [23-26].

The objective of this systematic review is to describe and appraise the evidence of the effectiveness of rural CHWs in improving access to rural health services and subsequent rural health outcomes in LMICs during the COVID-19 pandemic with an intention to apply findings to future pandemics and outbreaks.

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118 Methods

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## 120 Search strategy

121 We conducted our searches in April and November 2023. We searched electronic databases 122 including Pubmed/MEDLINE, EMBASE, Web of Science, WHO Global Health Library, and 123 gray literature [Google Scholar, Clinical/Trials.gov, and the WHO International Clinical Trials Registry]. Searches identified articles that describe rural CHW interventions during the 124 COVID-19 pandemic published from 2020 to November 2023. Our search terms used a 125 126 combination of key terms: rural, and/or community health worker/primary healthcare 127 worker/volunteer health worker/village health worker, and/or risk communication, and/or 128 community empowerment, and/or pandemic, and/or COVID-19. Please see Table 1 for 129 definitions of the different terms used in the paper.

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# 137 Table 1: Definitions of terms

Term	Definition
Community Health Workers	Refer to health workers working in
	communities. Depending on the country and
	the health system, they may be referred to
	as village health workers, volunteer health
	workers, lay health workers, and accredited
	social health activists [ASHAs] [27]
Rural areas	Refer to regions with population densities of
	fewer than 150 per square kilometer
	according to the OECD definition [28]
Health outcomes	A change in the health of an individual,
	group of people or population which is
	attributable to an intervention or series of
	interventions [29]
Low-and middle-income countries	Low income economies: Gross national
	income (GNI) per capita: \$1,135 or less
	Lower middle income economies: GNI per
	capita: \$1,136 to \$4,465
	Upper-Middle-Income: GNI per capita:
	\$4,466 to \$13,845 [30]

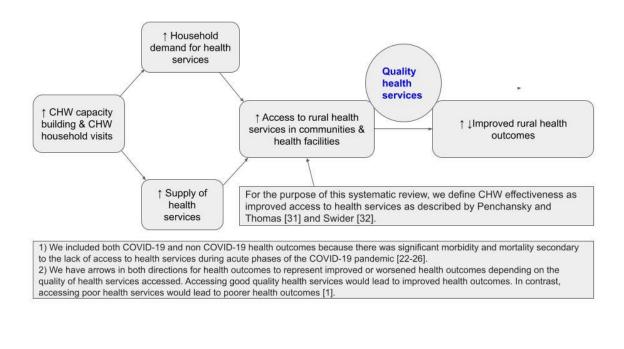
# 139 **Conceptual Framework for CHW effectiveness:**

140 For the purpose of this systematic review, we define CHW effectiveness as improved access

to health services as described by Penchansky and Thomas [31] and Swider [32], and

142 improved downstream COVID-19 and non COVID-19 health outcomes linked to CHWs

- 143 visiting households to increase the demand for and the supply of health services during the
- 144 COVID-19 pandemic in rural LMICs (Figure 1). We included both COVID-19 and non
- 145 COVID-19 health outcomes because there was significant morbidity and mortality secondary
- to the lack of access to health services during acute phases of the COVID-19 pandemic [22-
- 147 26].
- 148
- 149 Figure 1: Conceptual Framework [1, [22-26], [31-32]]:



- 153
- 154 Eligibility criteria
- 155 We used the following inclusion and exclusion criteria:
- 156
- Inclusion criteria. We included experimental, non-experimental, quantitative and
   qualitative research that examined the effectiveness of CHWs during the COVID-19
   pandemic in rural areas in LMICs.
- 160
- *Exclusion criteria.* We excluded opinion articles and commentaries that presented
   expert opinions but no original data, studies set in urban areas, and literature

reviews/systematic reviews that addressed CHW interventions but did not specifically
address rural CHWs during the COVID-19 pandemic. We used their reference lists,
however, to find potential articles relevant to our systematic review. We excluded
studies conducted in HICs.

167

Two reviewers [NK and MM] screened all articles independently by title and abstract and subsequently the full texts to determine whether articles under consideration met inclusion criteria. Any selection discrepancies were discussed by NK and MM to reach consensus.

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We followed PRISMA reporting guidelines and presented results of the study selection
process using the PRISMA 2009 Flow Diagram. We registered our review in the International
Prospective Register of Systematic Reviews [PROSPERO registration number:
CRD42022336485].

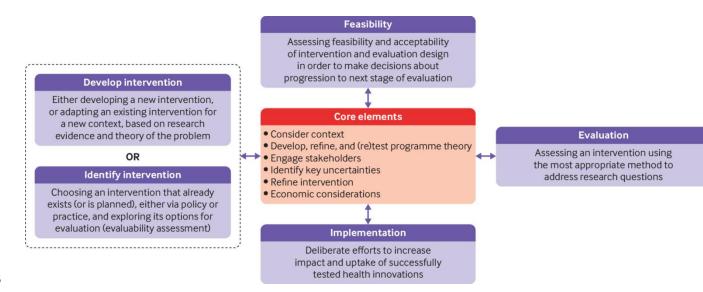
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## 177 Data extraction

178 Once we established the list of included articles, NK independently exported study records to an Excel sheet, removed duplicate studies, and extracted data on study locations, publication 179 180 years, study designs, interventions, outcome measures, main results, and intervention phases 181 according to dimensions of the Medical Research Council [MRC] complex interventions 182 framework (Table 2). The MRC complex interventions framework was created to harmonize 183 the evaluation of complex health interventions [33]. We used the most recent version of the 184 MRC complex intervention framework to determine phases of CHW interventions in included articles. Following data extraction by NK, each data point was checked by MM. 185

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187 Figure 2: MRC complex interventions framework



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## 190 Quality assessment

To assess the quality of the evidence in the included studies, we used the Cochrane Systematic Review Quality Assessment tool to assess the risk of bias [34]. We scored each of the 7 criteria against a three-point rating scale corresponding to a high, low, or unclear risk of bias. NK evaluated the risk of bias.

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## 196 Synthesis of evidence

We conducted a thematic analysis and organized results according to the characteristics of included studies, CHW interventions and outcome measures during the COVID-19 pandemic, reported effectiveness of CHW interventions, and where available we reported stakeholder perspectives. In addition, we summarized the quality of the evidence and MRC phases of CHW interventions of included studies. We present our results in narrative and table forms.

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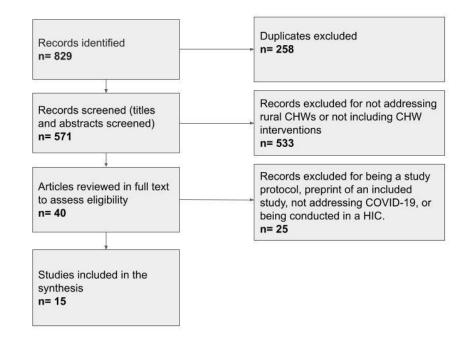
## 203 Results

We identified 829 articles through electronic database searches; 571 articles remained following the removal of duplicates. NK and MM screened titles and abstracts of the 571 articles and excluded 533 articles as the focus was not on rural CHWs and/ or did not include CHW interventions. We assessed the full texts of the remaining 40 articles for eligibility, and 25 articles were excluded for not addressing COVID-19 and or being conducted in a HIC. In

- addition, two articles were study protocols; and a second article was a preprint of an included
- study. Fifteen articles met our inclusion criteria and were included in our analyses. Figure 3 of
- the PRISMA flow chart outlines the screening and study selection process.
- 212

## 213 Figure 3: The PRISMA flow chart

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217 <u>Please see Table 2</u> for a summary of data extracted from the 15 included articles. We 218 extracted data on study location, publication year, study design, objective, intervention, 219 outcome measure/s, main results, phases according to the MRC complex interventions 220 framework, and the quality of the evidence. In addition, we report on the risk of bias, and 221 whether the study design had a comparative component.

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## 225 Characteristics of included studies

The 15 rural studies included in our systematic review were published from 2020 to 2023 and were from ten countries: South Africa (1), Uganda (1), Ethiopia (1), Guatemala (1), Peru (1), Thailand (1), India (6), Pakistan (1), Nepal (1), and Bangladesh (1) [35-49]. Most studies were from Asia [10 of the 15 studies]; three studies were from sub-Saharan Africa; two were from the Americas [35, 48-49].

There was a cost-effectiveness study [35] and interventional studies [36, 38, 39, 40, 41, 42, 44, 45, 47]. In addition, there were mixed-methods studies [37, 46, 48] and qualitative assessments of rural CHW interventions in India and Ethiopia [47, 49].

234

#### 235 CHW interventions and outcome measures during the COVID-19 pandemic

236 CHW interventions were heterogeneous across the 15 studies. Interventions included a low 237 literacy checklist to maintain access to prenatal care during the COVID-19 pandemic in 238 Guatemala and CHW training in COVID-19 in Thailand, India, Nepal [36, 38, 42, 44, 47]. There 239 were CHW interventions that leveraged previously established CHW programs to respond to 240 the COVID-19 pandemic in hard-to-reach communities in Peru and India [37,43]. Other CHW 241 interventions sought to expand COVID-19 testing in India and strengthen linkages to abortion 242 and mental health services during the COVID-19 pandemic in Pakistan and India [39, 40, 42]. 243 In addition, rural CHWs strengthened COVID-19 prevention by influencing health behavior in 244 rural Bangladesh [46]. CHWs were also deployed to identify and refer possible cases of 245 COVID-19 in rural Thailand, and in rural Uganda a call center was established to support rural 246 CHWs in community-based COVID-19 interventions [38, 48].

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In line with differences in rural CHW interventions, outcome measures were heterogeneous
across the 15 studies. The outcome measures included: those related to CHW training,

250 COVID-19 health outcome measures, non-COVID-19 health outcome measures, economic

evaluation outcome measures [specifically the incremental cost effectiveness ratio [ICER]],

and stakeholder perspectives.

253

CHW training outcome measures included the number of participants trained and CHW
 satisfaction. There was a wide range in the number of participants trained: eight traditional

256 birth attendants [TBAs] were trained in Guatemala [36]. The highest number of CHW 257 participants was in India: 15,000 CHWs completed their training in Bihar and 80% of those 258 surveyed were satisfied with the training [43]. In addition, CHW COVID-19 knowledge was 259 measured in Nepal, and the mean CHW knowledge score of 300 CHWs trained increased 260 significantly from 4.1 to 6.3 [p<0.001]; the maximum possible score was 10 [45]. In 261 Bangladesh, more than 70% of community support team [CST] members including CHWs 262 had increased knowledge of mask wearing, keeping social distance, and washing hands 263 [46].

264

265 Four studies reported on COVID-19 specific outcomes including the incidence of COVID-19, 266 COVID-19 community seroprevalence, and COVID-19 vaccine uptake. Reinders et al 267 reported clusters of COVID-19 cases among indigenous populations in the Peruvian 268 Amazon but specific numbers of cases were not available at the time of publication [37]. 269 Kaweenuttayanon et al reported a significant drop in the daily number of COVID-19 cases to 270 less than ten cases per day nationally following the CHW intervention in rural Thailand [38]. 271 Isaac et al in a community-based testing intervention documented the rise in COVID-19 272 seroprevalence by a factor of 10, as the pandemic progressed with rising community 273 transmission [39]; a major limitation of this study was the absence of a comparison group 274 without intervention that limited an assessment of the effectiveness of the CHW COVID-19 275 testing program.

276

Three studies reported non COVID-19 health outcome measures. Shaikh et al reported on abortion outcomes during the COVID-19 pandemic in Pakistan [40]. Sivakumar et al reported on disability from mental illness, mental illness severity and self-induced stigma in rural India during the COVID-19 pandemic [42].

281

Lastly, two studies had economic measures: Reddy et al in a modeling study found that the ICER for an intervention including CHWs was \$340 per year life saved; another study by

Joshi et al reported that the cost of developing a digital CHW program was US\$ 208,814 [35,41].

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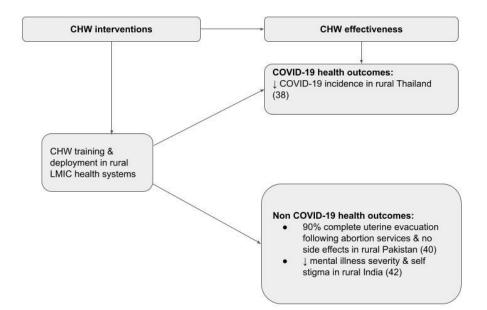
#### 287 The effectiveness of rural CHWs during the COVID-19 pandemic

288 Three studies provided evidence on the effectiveness of rural CHWs during the COVID-19 289 pandemic by demonstrating increased access to COVID-19 and non COVID-19 health 290 services and improving individual and population health outcomes (Figure 4). Rural CHWs 291 were effective in conducting household visits and referrals in Thailand: CHWs visited more 292 than 14 million households from March to April 2020; they identified and monitored 809,911 293 returnees to rural Thailand and referred 3346 symptomatic patients to hospitals [38]. This 294 CHW intervention was linked to a reduction in the incidence of COVID-19 cases in Thailand, 295 from a peak of 188 cases per day to less than 10 cases per day during the early phases of 296 the COVID-19 pandemic in March and April 2020 [38]. In Pakistan, 176 women were 297 referred by CHWs for telehealth consultations to get abortion services [40]. As a result of this 298 intervention, 90% of women reported complete uterine evacuation, and none reported side 299 effects from accessing abortion services [40]. In India, mental health outcomes improved 300 after continued linkage to mental health services through rural CHWs during the COVID-19 301 pandemic. As a result of this rural CHW intervention, there were statistically significant 302 improvements in disability from mental illness, mental illness severity, and self-stigma due to 303 mental illness compared to baseline measures: the mean WHO Disability Assessment 304 Schedule 2.0 score was reduced from 16/100 at baseline to 12/100 at the second follow up 305 visit [p=0.001] [42]. Because of the heterogeneity in outcome measures across studies, a 306 pooled analysis of effect measures was not possible.

307

Figure 4: The effectiveness of rural CHWs in LMICs during the COVID-19 pandemic as
 shown by increased access to health services and improved COVID-19 and non
 COVID-19 health outcomes.

311





## 315 Stakeholder perspectives

316 Five studies reported stakeholder perspectives. Stakeholders included CHWs, programme 317 implementers, and program evaluators. Stakeholder perspectives were diverse and 318 highlighted concerns about COVID-19 vaccine misinformation, lack of PPE and testing kits, 319 increased rural CHW workload and vulnerability to COVID-19 infection, and the suspension of 320 antenatal and postnatal visits during the COVID-19 pandemic [37, 47]. In Bangladesh, poor 321 CHW training was seen as a hindrance to CHW effectiveness during the COVID-19 pandemic 322 by CHWs [46]. In Uganda, in rural communities that had experienced Ebola outbreaks, CHWs 323 felt there were no signs that people in their communities were suffering from severe health 324 problems due to COVID-19 [48]. They felt COVID-19 symptoms were less severe and in sharp 325 contrast to the severe symptoms seen in Ebola patients [48]. CHWs in rural Uganda also found 326 that their community members were afraid to report symptoms, and they were afraid of being 327 tested because they feared being guarantined and stigmatized [48]. With the telehealth 328 intervention in rural Uganda, CHWs felt less isolated; contact with the call center allowed them 329 to provide better care, and it improved the supply of medicine and other essential health 330 products [48]. In Ethiopia, a qualitative study on a CHW intervention designed to deliver 331 maternal, newborn, and child health in rural Ethiopia demonstrated significant fragmentation

of different components of the intervention including financing, supplies, CHW empowermentand coordination, and stakeholder engagement [49].

334

# 335 Quality of the evidence

336 Overall, the quality of the evidence was poor: 14 out of the 15 studies had a high risk of bias. 337 Sources of bias included reporting bias, recall bias, selection bias, and observation bias. 338 There were no randomized controlled trials [RCTs]. Due to the high risk of bias, the chances 339 of overestimating or underestimating the effectiveness of rural CHWs in improving health 340 outcomes during the COVID-19 pandemic were high. Furthermore, the causal link between 341 rural CHW interventions and rural CHW effectiveness in improving COVID-19 and non-342 COVID-19 related health outcomes was weakened by the lack of comparative components 343 in study designs. Only 4 out of 15 studies had comparative components in their research 344 designs: the first study, a cost effectiveness analysis, compared different combinations of 345 five COVID-19 public health interventions including health-care testing alone, diagnostic 346 testing at health care centers; contact tracing in households with cases; isolation centers for 347 cases not requiring hospital admission; mass symptom screening with testing of 348 symptomatic individuals by CHWs; and quarantine centers for household contacts who test 349 negative [35]. The second study compared COVID-19 seropositivity rates across different 350 time points [39]. And the remaining two studies compared pre- and post-intervention mental 351 health outcome measures and CHW knowledge [42, 45].

352

353 Phases of CHW interventions according to the MRC complex intervention evaluation
 354 framework

We found that most studies addressing the effectiveness of rural CHWs in improving health outcomes during the COVID-19 pandemic were in feasibility and pilot phases of the MRC framework. Specifically, two studies were in the design and modeling phases [35, 41]. Seven studies were in feasibility and pilot phases [36, 38, 39, 40, 42, 43,45, 46-48]. Three studies

described well established CHW programs that were used to respond to the COVID-19pandemic [37, 44, 49].

361

# 362 Discussion

363 During pandemics and other shocks, rural CHWs face greater challenges because rural 364 health systems are under-resourced compared to urban health systems. Therefore, a 365 focused examination of their effectiveness during the COVID-19 pandemic is important. To 366 our knowledge, this is the first review to examine the effectiveness of rural CHWs during the 367 COVID-19 pandemic.

368

369 During the COVID-19 pandemic, rural CHW interventions were carried out in multiple regions, 370 particularly in LMICs where health systems were experiencing critical gaps in resources. From 371 the regional distribution of studies, we can infer that health systems with greater gaps in 372 human resources were more likely to implement rural CHW interventions during the COVID-373 19 pandemic. This was to maximize prevention and delay the influx of a high number of severe 374 COVID-19 cases that would rapidly overwhelm their health systems. The possibility that health 375 systems would be rapidly overwhelmed was a significant concern in LMICs, particularly in SSA 376 [50-52]. As a result, relative differences in approaches emerged early during the COVID-19 377 response depending on resources that were available. In HICs, there was a heavier focus on 378 hospital care that was more readily available; and the management of severe COVID-19 cases 379 frequently involved mechanical ventilation [53]. In contrast, in LMICs, there was an emphasis 380 on community-based approaches. In rural Vietnam, Tran et al described the benefits of 381 deploying village health workers to strengthen community surveillance efforts by expanding 382 the population coverage in a setting with low COVID-19 testing capacity [54]. In Kenya, where 383 70% of the population is rural, home-based care of COVID-19 patients was rolled out in July 384 2020 [four months after the pandemic was declared]; and some rural counties, such as Siaya 385 county built the capacity of CHWs to maximize COVID-19 prevention and optimize its case 386 management at the community level [55, 56]. In future pandemic preparedness and response

387 strategies, integrated approaches with interventions implemented at community and health388 facility levels could be synergistic and are worth considering.

389

390 We observed differences in interventions and health outcomes reflecting differences in CHW 391 roles across different settings during the COVID-19 pandemic. CHWs promoted COVID-19 392 prevention measures; they participated in the early detection and management of COVID-19 393 cases, and they sustained linkages to key essential health services during the COVID-19 394 pandemic with improved COVID-19 and non-COVID-19 health outcomes as previously 395 described [Figure 4]. Other studies have found improved disease specific health outcomes 396 following rural CHW interventions. For instance, in the case of dengue fever, an emerging 397 pandemic, a study from Vietnam showed a dengue control efficacy rate of 99.7% following a 398 rural CHW intervention [57]. Furthermore, in a Nicaraguan and Mexican randomized 399 controlled trial, there was a 29.5% reduction in dengue infections in CHW intervention 400 clusters [58].

401

402 During a pandemic, providing essential and comprehensive health services for a range of 403 conditions is also important to prevent increased mortality from unrelated causes. A 404 systematic review of 81 studies from 20 countries found that the utilization of diagnostic 405 services, routine vaccinations, and surgical services decreased by a third during the COVID-406 19 pandemic [22]. Furthermore, more recent evidence shows significant reductions in the 407 use of maternal and child health [MCH] services during the COVID-19 pandemic [22-26]. 408 Similar observations were made during the Ebola outbreak in Guinea, Sierra Leone, and 409 Liberia where there were sharp reductions in the use of MCH services [59]. However, with 410 CHW training and support, the use of MCH services rebounded [59]. These results align with 411 our findings of improved non COVID-19 related health outcomes following rural CHW 412 interventions [Figure 4]. By strengthening links to routine and comprehensive health services 413 during pandemics, rural CHWs can mitigate significant reductions in the use of essential and

414 comprehensive health services during pandemics. These findings support the inclusion of
415 rural CHWs in pandemic preparedness and response strategies.

416

417 Stakeholder perspectives are particularly useful because they provide information on key 418 gaps that should be addressed during future pandemic response efforts. Stakeholder 419 perspectives varied across studies; however key insights that emerged across regions were 420 that: CHWs remained committed to delivering COVID-19 and non-COVID-19 related health 421 services despite increasing workloads and fear of contracting COVID-19. This is consistent 422 with the findings of a study from Rwanda [60]. Another overarching theme was the need for 423 more rural CHW training. This finding aligns with a recent WHO systematic review that found 424 that training was critical to CHW effectiveness [7]. In countries where access to vaccines 425 was delayed - vaccine supply was also a significant concern [4]. In addition, we found 426 limited qualitative data on attitudes, perceptions and experiences of CHWs represents a gap 427 in the current evidence that should be addressed in future studies. Further understanding of 428 CHW attitudes, perceptions, and experiences would provide important insights for future 429 CHW interventions during pandemics.

430

The methodological limitations in research designs led to a high risk of bias from multiple sources. The early COVID-19 response was an emergency and rapid action was favored to save as many lives as possible. Because of these initial priorities, designing, piloting, implementing, reporting and evaluating interventions with well-designed impact assessments was challenging [61]. Moreover, during the initial phase of the COVID-19 pandemic, vaccines were not available, and the risk of contracting and potentially dying from COVID-19 was significant; this made clinical and research activities very challenging.

438

439 Our systematic review has several strengths. First, it focuses on rural CHWs who are more
440 likely to experience lack of resources and support [62]. Second, our review demonstrates
441 that it was feasible and effective to train rural CHWs during the COVID-19 pandemic. In

addition, we show that deploying trained and supported rural CHWs appeared to lead to
improved COVID-19 and non-COVID-19 health outcomes across regions, a finding which is
consistent with the potentially critical role rural CHWs can play during pandemics. In
addition, in contrast to other studies, our review examined phases of evaluation of CHW
interventions that showed that most studies were in feasibility and pilot phases; highlighting
a need for more consistent and sustained investments in building evidence around effective
community based interventions during pandemics.

449

There may however be evidence we did not capture in our search, for example because some reports are in the gray literature that were not captured by our search. Calculating a composite effect measure across different interventions was not possible because of the heterogeneity in study designs, interventions, and outcome measures. The majority of included studies had a high risk of bias and the lack of comparative components in study designs meant that conclusions were not definitive. Our findings are specific to the COVID-19 pandemic and may not fully apply to other pandemics.

457

For policy makers with significant rural populations and limited resources, engaging rural
CHWs is a potential solution for strengthening pandemic preparedness and response efforts
using a cadre of health workers already in place. Our review provides some evidence that
CHWs were able to effectively care for COVID-19 patients, and they also maintained
linkages to essential and comprehensive health services during the COVID-19 pandemic.

Different response strategies to the COVID-19 pandemic emerged as the pandemic
progressed; well-resourced health systems emphasized hospital care - and resource
constrained health systems tended to emphasize community-based approaches. Future
policy action in pandemic preparedness and response should consider an integrated
approach with interventions to strengthen both hospital care and community-based health
care to maximize the potential number of lives that can be saved.

470 Stakeholder perspectives, although limited, provided key insights on current gaps in CHW 471 interventions that need to be addressed including more CHW training and more CHW 472 support with PPE, and other essential supplies. Better designed studies, that limit sources of 473 bias and confounding factors, are needed to further explore the effectiveness of rural CHWs 474 in improving health outcomes during pandemics. Randomized controlled trials [RCT] [most 475 likely cluster RCTs] would be the gold standard but are difficult to undertake in emergency 476 situations. Guidance on the evaluation of complex interventions should shape future 477 research.

478

479 Furthermore, there is a need for cost-effectiveness data on rural CHW interventions during 480 pandemics to help policy makers make decisions on what interventions would be most 481 effective when resources are limited. Additionally, we found a lack of mortality data in studies 482 published to date. Mortality data would provide more compelling evidence on the 483 effectiveness of rural CHWs in improving health outcomes during pandemics but will be 484 increasingly difficult for COVID-19 as death rates have fallen. Lastly, more qualitative data 485 would be useful to gain a better understanding of stakeholder perspectives to guide future 486 action in pandemic preparedness and response.

487

## 488 Conclusions

The current evidence suggests that rural CHWs may be effective in improving access to health services and health outcomes during the COVID-19 pandemic in rural LMICs but the quality of studies included in this evidence synthesis is poor. Given the threat of future pandemics, and the need to strengthen rural health system responses, there is a need for better designed studies to generate high quality evidence on the effectiveness and costeffectiveness of rural CHWs in improving health outcomes during pandemics.

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500	
501	Author contributions:
502	NK, MR, MM, KO, and AH contributed to the conceptualization, methodology, writing,
503	reviewing and editing of the manuscript. In addition NK and MM reviewed articles for
504	inclusion in the systematic review.
505	
506	Disclosure statement
507	The findings and conclusions in this systematic review are those of the authors.
508	
509	Ethics and consent
510	Because this study retrieved and synthesized data from already published studies, ethics
511	approval was not required.
512	
513	Funding
514	No funding was received for this systematic review.
515	
516	Paper context:
517	Rural health systems face greater challenges in terms of having an adequate number of
518	health care workers, infrastructure, and equipment which limit their capacity to respond to
519	pandemics including the COVID-19 pandemic. Rural CHWs play an important role in
520	improving health outcomes. Our systematic review findings suggest that rural CHWs
521	improved health outcomes during the COVID-19 pandemic, and they are a potential critical
522	resource to be leveraged to strengthen rural pandemic preparedness and response efforts.
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