

Neural Regeneration Research

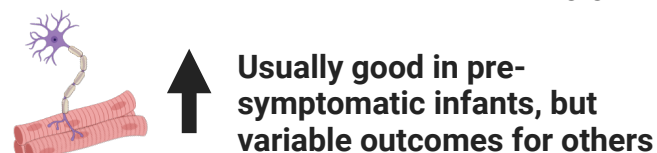
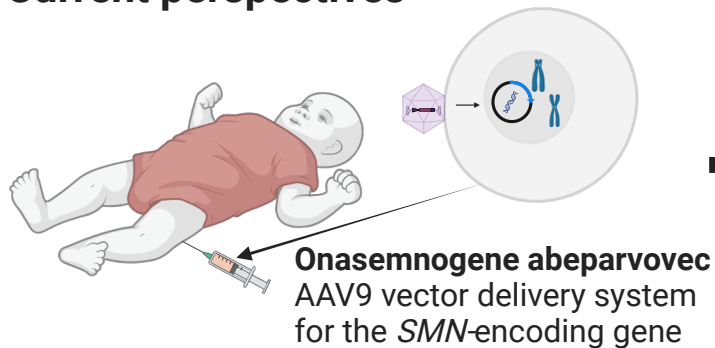
Gene therapy for Spinal Muscular Atrophy: the possibility of optimising SMN1 delivery to correct all neurological and systemic perturbations

--Manuscript Draft--

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Corresponding Author:	Heidi Fuller Keele University Faculty of Medicine & Health Sciences Shropshire, Shropshire UNITED KINGDOM
Corresponding Author Secondary Information:	R
Corresponding Author's Institution:	Keele University Faculty of Medicine & Health Sciences
Corresponding Author's Secondary Institution:	
First Author:	Sharon J Brown, PhD
First Author Secondary Information:	
Order of Authors:	Sharon J Brown, PhD Rafael J Yáñez-Muñoz, PhD Heidi Fuller
Order of Authors Secondary Information:	R

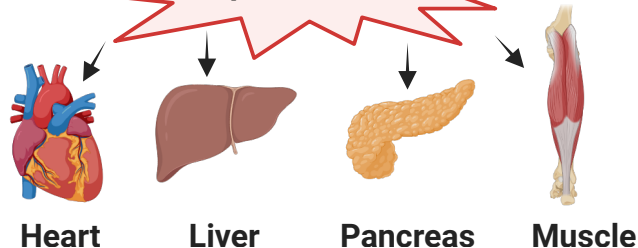
AAV9 therapy for SMA - Current & future perspectives

Current perspectives



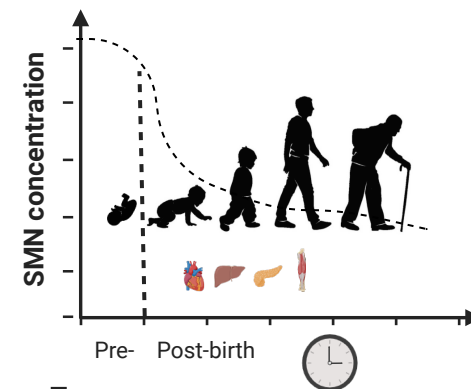
Transduction varies between cells, tissues & organs
Overproduction of *SMN* can cause toxicity

Impact variable

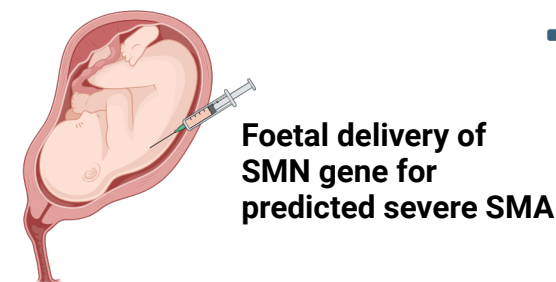


Research focus

- Determine physiological levels of *SMN* during aging
- Determine physiological levels of *SMN* in peripheral tissues
- Optimise vector design, timing & dosage for AAV9 delivery of *SMN* gene



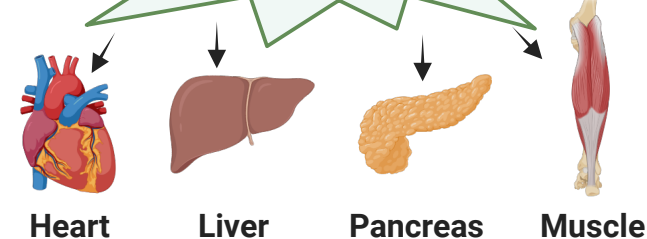
Future perspectives



SMN

Replicate physiological levels of *SMN* in cells, tissues & organs

Optimise impact in peripheral tissues



Covering Letter

To,

The Editor

Sub: Submission of Manuscript for publication

Dear Sir,

We intend to publish an article entitled “**Gene therapy for Spinal Muscular Atrophy: perspectives on the possibility of optimising SMNI delivery to correct neurological and systemic perturbations**” in your esteemed journal as a Perspective Article.

On behalf of all the contributors I will act and guarantor and will correspond with the journal from this point onward.

Prior publication: **AAV9-mediated SMN gene therapy rescues cardiac desmin but not lamin A/C and elastin dysregulation in *Smn*^{2B/-} spinal muscular atrophy mice**

Support: Faculty Research Fund (Faculty of Medicine & Health Science, Keele University) Career Development Award to support Dr Sharon Brown – (April 2022)

Conflicts of interest: Nil

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We would like to suggest following referees for the article.

Rashmi Kothari: Ottawa Hospital Research Institute, General Campus, University of Ottawa, Ottawa, ON, Canada

Email: rkothary@ohri.ca

Eduardo Tizzano: Department of Clinical and Molecular Genetics, Vall d’Hebron Hospital, Barcelona, Spain

Email: etizzano@vhebron.net

Richard Finkel Center for Experimental Neurotherapeutics, St. Jude Children’s Research Hospital, Memphis, TN, USA

Email: richard.finkel@stjude.org

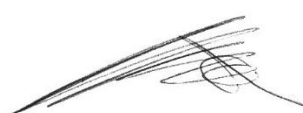
James Sleight: Sobell Department of Motor Neuroscience and Movement Disorders, Institute of Neurology, University College London, London, WC1N 3BG

Email: j.sleight@ucl.ac.uk

Thanking you,

Yours’ sincerely,

Professor Heidi Fuller

Signature 

Corresponding contributor: Professor Heidi Fuller

email: h.r.fuller@keele.ac.uk

Postal Address: Wolfson Centre for Inherited Neuromuscular Disease, TORCH Building, RJA
Orthopaedic Hospital, Oswestry SY10 7AG, UK

Telephone: +44(0)1782734546

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Contributors' form (to be modified as applicable and one signed copy attached with the manuscript)

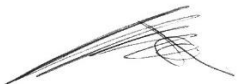


Manuscript Title: Gene therapy for Spinal Muscular Atrophy: perspectives on the possibility of optimising SMNI delivery to correct neurological and systemic perturbations

We certify that we have participated sufficiently in the intellectual content, conception and design of this work or the analysis and interpretation of the data (when applicable), as well as the writing of the manuscript, to take public responsibility for it and have agreed to have our name listed as a contributor. We believe the manuscript represents valid work. Neither this manuscript nor one with substantially similar content under our authorship has been published or is being considered for publication elsewhere, except as described in the covering letter. We certify that all the data collected during the study is presented in this manuscript and no data from the study has been or will be published separately. We attest that, if requested by the editors, we will provide the data/information or will cooperate fully in obtaining and providing the data/information on which the manuscript is based, for examination by the editors or their assignees. Financial interests, direct or indirect, that exist or may be perceived to exist for individual contributors in connection with the content of this paper have been disclosed in the cover letter. Sources of outside support of the project are named in the cover letter.

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Name	Signature	Date signed
1 Professor Heidi Fuller -----		30 April 2024
2 Professor Rafael J. Yáñez-Muñoz-----		29th April 2024
3 Dr Sharon Brown-----		30 th April 2024

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Title of the article: Gene therapy for Spinal Muscular Atrophy: perspectives on the possibility of optimising *SMN1* delivery to correct all neurological and systemic perturbations

Contributors

1. Sharon J Brown, PhD

2. Rafael J Yáñez-Muñoz, PhD

3. Heidi R Fuller, PhD

Department(s) and institution(s)

^{1&3}School of Pharmacy and Bioengineering, Keele University, Keele ST5 5BG, UK & Wolfson Centre for Inherited Neuromuscular Disease, TORCH Building, RJA Orthopaedic Hospital, Oswestry SY10 7AG, UK

²AGCTlab.org, Centre of Gene and Cell Therapy, Department of Biological Sciences, School of Life Sciences and the Environment, Royal Holloway University of London, Egham Hill, Egham, Surrey TW20 0EX, UK

Corresponding Author:

Professor Heidi Fuller

Address: Wolfson Centre for Inherited Neuromuscular Disease, TORCH Building, RJA Orthopaedic Hospital, Oswestry SY10 7AG, UK

Telephone: +44(0)1782734546

Email: h.r.fuller@keele.ac.uk

ORCID iD for submission system: 0000-0002-2858-869X

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Conflicting Interest (If present, give more details):

RJYM has filed a patent application for a novel sequence optimized *SMN1* cDNA.

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Title of the article:

Gene therapy for Spinal Muscular Atrophy: perspectives on the possibility of optimising *SMN1* delivery to correct all neurological and systemic perturbations

Main Text:

Spinal muscular atrophy (SMA) is a genetic condition that results in selective lower motor neuron loss with concomitant muscle weakness and atrophy. The genetic cause of SMA was understood in 1995 when loss or impairment of the *Survival Motor Neuron 1 (SMN1)* gene was identified as the main contributing factor (Lefebvre et al., 1995). This, in combination with the discovery that humans have a 'back-up' gene, *SMN2*, which can produce low levels (~10%) of the full-length functional SMN protein, has led to the generation of SMA-specific gene therapies. SMA was traditionally classified according to age of symptom onset and developmental milestones achieved, with life expectancy and severity varying between individuals. Now, *SMN2* copy number is used as a proxy for prediction of disease severity, with higher *SMN2* copy number typically being associated with reduced severity of SMA, although this relationship is not absolute: some individuals with low *SMN2* copy number have less severe SMA phenotypes and *vice versa*. Additionally, the aetiology of SMA is further complicated by other factors, such as non-typical nucleotide variants and *SMN2*-independent modifiers of disease severity.

Genetic therapies for SMA have generated a paradigm shift in expected outcomes, with substantial changes in survival and progression of the condition. This has led to a considerable rethink of the research needs for individuals with SMA, as the natural history of the disease has changed with the therapies, and the new phenotypes are only beginning to be understood. These therapies either target the *SMN2* gene to increase functional SMN protein levels (Nusinersen/Spinraza® and Risdiplam/Evrysdi®) or directly increase *SMN* gene levels using adeno-associated virus serotype 9 (AAV9) administration of the *SMN* gene (onasemnogene abeparvovec/Zolgensma®). In particular, the one-off intravenous viral administration of the *SMN* gene has dramatically altered the natural course of SMA, especially in individuals treated pre-symptomatically (Strauss et al., 2022). AAV9 gene therapy delivers full-length human *SMN* cDNA to peripheral organs and to the central nervous system (CNS) by crossing the blood-brain barrier and results in an increased production of functional SMN protein (Thomsen et al., 2021). Onasemnogene abeparvovec is a non-replicating self-complementary AAV9 vector controlled by the hybrid CMV enhancer/chicken beta-actin promoter that enables the transgenic *SMN* gene to reside within the nucleus as an extrachromosomal episome.

There is currently limited information regarding how well AAV9 treatment can restore CNS and systemic perturbations in SMA. Some individuals with SMA show very little or no response to treatment with gene therapy, whilst for just under half of those continuing to thrive over the course of treatment, a degree of symptomatic burden remains (STR1VE-US: NCT03306277 & STR1VE-EU: NCT03461289). Following the treatment of two symptomatic infants with SMA Type I, who died from respiratory complications unrelated to onasemnogene abeparvovec, increased SMN production was detected throughout the CNS and peripheral organs in samples from necropsies, with motor neurons having a comparable size and shape to non-SMA motor neurons (Thomsen et al., 2021). Issues such as cognitive and communicative development and early scoliosis and kyphosis have been investigated in AAV9 treated individuals with SMA Type I, with some concerns being raised (Soini et al., 2023). Multiple examples of partial rescue have also been reported in preclinical models. At the cellular level, our work investigating the proteomic alterations in heart tissues harvested from two mouse models of differing SMA severity revealed many differentially expressed

1 proteins pertinent to cardiovascular development and function, in particular the intermediate
2 filament proteins, lamin A/C and desmin, and elastin (Brown et al., 2023). Treatment of
3 *Smn*^{2B/-} mice with either a Zolgensma-like AAV9-*SMN1* vector or an AAV9 encoding a novel
4 sequence-optimized *hSMN1* (Nafchi et al., 2023) increased SMN levels, albeit to differing
5 degrees (sub- and suprphysiological compared to WT levels, respectively). These
6 treatments increased desmin to levels found in WT animals, but neither intervention restored
7 lamin A/C or elastin abundance towards WT levels. Similarly, other studies have noted that
8 AAV9 treatment does not address all phenotypic effects of low SMN. In SMA mice, neither
9 distal ear nor tail necrosis nor spleen size were rescued following AAV9 treatment,
10 suggesting some degree of cardiovascular impairment (Deguise et al., 2020). In human SMA
11 astrocytes, glutamate transporter activity remained abnormal, which subsequently impacted
12 motor neuron activity and synaptic health (Welby & Ebert, 2023). A caveat of the treatment is
13 that over time, non-dividing cells appear to maintain their SMN levels, but in
14 cells/tissues/organs undergoing turnover, *SMN* expression declines, possibly returning to the
15 original levels (Chaytow et al., 2021).

16
17 SMN protein is ubiquitously expressed throughout the body and SMA is considered a
18 multisystem condition, but there is limited knowledge regarding both the function of SMN
19 beyond the CNS (Thomsen et al., 2021) and the level of SMN production required in
20 peripheral organs and tissues (Xie et al., 2024). SMN is essential for many
21 neurodevelopmental processes, but decreased SMN levels also impact the liver, muscle,
22 heart, and pancreas in SMA mice models (Reilly et al., 2023), although for humans, the
23 pathogenic implications are unclear (Thomsen et al., 2021) (Fig. 1). Since gene therapy can
24 extend life expectancy for individuals with SMA, organs including the liver, pancreas and
25 heart may need to be clinically monitored. In addition, SMN levels are much higher in tissues
26 during gestation compared to postnatally suggesting a need during human prenatal
27 development (Reilly et al., 2023). It follows that postnatal AAV9 administration may be too
28 late to rescue all downstream effects of low SMN in severe SMA. This may include structural
29 problems in the neuromuscular junction (Reilly et al., 2023) and increased glial activation due
30 to loss of motor neurons and replacement with astrocytes (Thomsen et al., 2021), and may
31 also explain the continued dysregulation of lamin A/C and elastin that we observed in *Smn*^{2B/-}
32 mice following AAV9 treatment (Brown et al., 2023).

33
34 Current postnatal clinical delivery of AAV9-*SMN* has dose-dependent safety concerns of liver
35 damage and is done with prophylactic prednisolone to minimise serum aminotransferase
36 elevation. Also, pre-clinical studies have highlighted cases of neurodegeneration of the
37 dorsal root ganglia (DRG) in nonhuman primates following high AAV doses, and in SMA
38 mice overexpression of *hSMN* also led to loss of proprioceptive neurons in DRGs and motor
39 neurons (as discussed in Xie et al., 2024). Other possible complications include transient
40 thrombocytopenia, elevated troponin levels and fatal thrombotic microangiopathy. Similar
41 dose-dependent effects have been reported in clinical and preclinical work using AAV
42 vectors of several serotypes targeting other diseases (Servais et al., 2023). Therefore, key to
43 addressing general complications with AAV treatment is the optimisation of the vector dose,
44 regardless of cargo.

45
46 In the case of SMA, AAV9 delivery can lead to overproduction of SMN in some tissues
47 compared to endogenous levels, and this may cause toxic effects (Zwartkruis & Groen,
48 2024). Work focussing on optimisation of *SMN1* delivery to replicate endogenous tissue
49 levels is ongoing. A recent open-label, multicentre, single-arm, non-randomized, single-dose
50 escalation clinical trial in China with EXG001-307, an AAV9-*SMN* therapy injected
51 intravascularly at similar doses as Zolgensma but favouring neuronal transgene expression,
52 has reported initial positive results (Wu et al., 2023). A more efficient, sequence optimized
53 human *SMN1* cDNA has been recently described by Nafchi et al. (2023) and used with
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1 integration-deficient lentiviral vectors (IDLVs) and AAV9 vectors in cell culture and in mice,
2 demonstrating significantly higher expression levels than wild-type *SMN1* cDNA. By
3 combining another codon-optimized version of the human *SMN1* cDNA with a derivative of
4 the endogenous *SMN1* gene promoter, Xie et al. (2024) have shown better safety and
5 improved efficacy, a broader therapeutic window, longer life span, increased cardiac,
6 respiratory and motor function and minimal peripheral disease manifestations in a mouse
7 model of SMA, compared to a Zolgensma-like vector. The challenge is how to evaluate these
8 possible alternative therapies in clinical trials, considering that there are three effective
9 marketed treatments for SMA, and therefore any use of experimental treatments could raise
10 ethical concerns.

11 One future possibility for consideration would be the administration of AAV9 therapy into the
12 developing foetus. Since the foetus is smaller than a neonate, the treatment dose required
13 would be reduced, it may result in better biodistribution within the developing tissues, and
14 immune tolerance for the treatment might be present. Neonatal screening for SMA is not
15 universally available, however, and screening for SMA in unborn children would present
16 additional challenges and may carry a risk of pregnancy loss. *In utero* delivery using IDLVs,
17 with much reduced insertional mutagenesis risk compared to standard integrating vectors,
18 has shown them to be efficient for spinal cord transduction in mice (Peluffo et al., 2012). An
19 attempt at *in utero* treatment of SMA with AAV9 in mice, with vector delivery by
20 intracerebroventricular injection yielded partial phenotypic rescue, but there was significantly
21 lower survival to term of injected SMA fetuses than of injected wild-type or heterozygous
22 mice (discussed in Waddington et al., 2024). Additionally, ultrasound-guided, foetal injections
23 of AAV9 in pigs led to premature delivery, unlike saline injections, regardless of route of
24 administration (intracerebroventricular, umbilical hepatic vein, intraperitoneal (reviewed by
25 Waddington et al., 2024)). A number of hurdles will therefore need to be overcome before
26 this type of prenatal treatment is ready for clinical testing, including ethical and technical
27 issues.

28 In conclusion, it is becoming apparent that, at least in their current form, AAV9 gene therapy
29 and *SMN2*-modifying treatments may not fully address all effects of low SMN levels and so
30 there remains a need to develop optimised gene therapy methods and possibly treatments to
31 complement gene therapies. As research efforts continue towards these goals, many
32 fundamental questions will need to be addressed including establishing: the optimal time to
33 provide gene therapy; whether this should start during gestation, at least in the most severe
34 cases; how much SMN is required to benefit all cells/tissues/organs that are affected in SMA;
35 whether differential expression of *SMN* in cells/tissues/organs can be obtained from one
36 treatment or whether combining systemic and locally delivered transgene will help ensure
37 that *SMN* expression is optimal in each relevant location; whether other treatments are
38 needed in tandem or following gene therapy; and whether severity-specific and / or age-
39 specific therapies are required to tackle different downstream consequences of SMA
40 reduction throughout the disease. Core to fully addressing these questions is also a need for
41 a comprehensive understanding of the natural history of SMA and how it evolves with the
42 existing treatments at the molecular, cellular, and whole system level.

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10

11 LEGEND

12 Figure 1.

13 AAV9 therapy for SMA - Current & future perspectives (created with BioRender.com).
14 Schematic illustrating the variability of outcome for SMA patients and impact on peripheral
15 tissues following current AAV9 therapy (Current perspectives); unanswered questions
16 regarding SMN levels during aging and in peripheral tissues, and the need for optimisation of
17 AAV9 therapy (Research focus); 'Future perspectives' for SMA research to address timing
18 and dosage of AAV9 delivery to optimise impact for SMA patients. AAV9: adeno-associated
19 virus vector serotype 9; CNS: central nervous system; SMA: Spinal Muscular Atrophy; SMN:
20 survival motor neuron; ↑ indicates an increase.
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Covering Letter

To,
The Editor

Sub: Submission of Manuscript for publication

Dear Sir,

We intend to publish an article entitled “**Gene therapy for Spinal Muscular Atrophy: perspectives on the possibility of optimising SMNI delivery to correct neurological and systemic perturbations**” in your esteemed journal as a Perspective Article.

On behalf of all the contributors I will act and guarantor and will correspond with the journal from this point onward.

Prior publication: **AAV9-mediated SMN gene therapy rescues cardiac desmin but not lamin A/C and elastin dysregulation in *Smn*^{2B/-} spinal muscular atrophy mice**

Support: Faculty Research Fund (Faculty of Medicine & Health Science, Keele University) Career Development Award to support Dr Sharon Brown – (April 2022)

Conflicts of interest: Nil

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We would like to suggest following referees for the article.

Rashmi Kothari: Ottawa Hospital Research Institute, General Campus, University of Ottawa, Ottawa, ON, Canada

Email: rkothary@ohri.ca

Eduardo Tizzano: Department of Clinical and Molecular Genetics, Vall d’Hebron Hospital, Barcelona, Spain

Email: etizzano@vhebron.net

Richard Finkel Center for Experimental Neurotherapeutics, St. Jude Children’s Research Hospital, Memphis, TN, USA

Email: richard.finkel@stjude.org

James Sleigh: Sobell Department of Motor Neuroscience and Movement Disorders, Institute of Neurology, University College London, London, WC1N 3BG

Email: j.sleigh@ucl.ac.uk

Thanking you,

Yours’ sincerely,

Professor Heidi Fuller

Signature



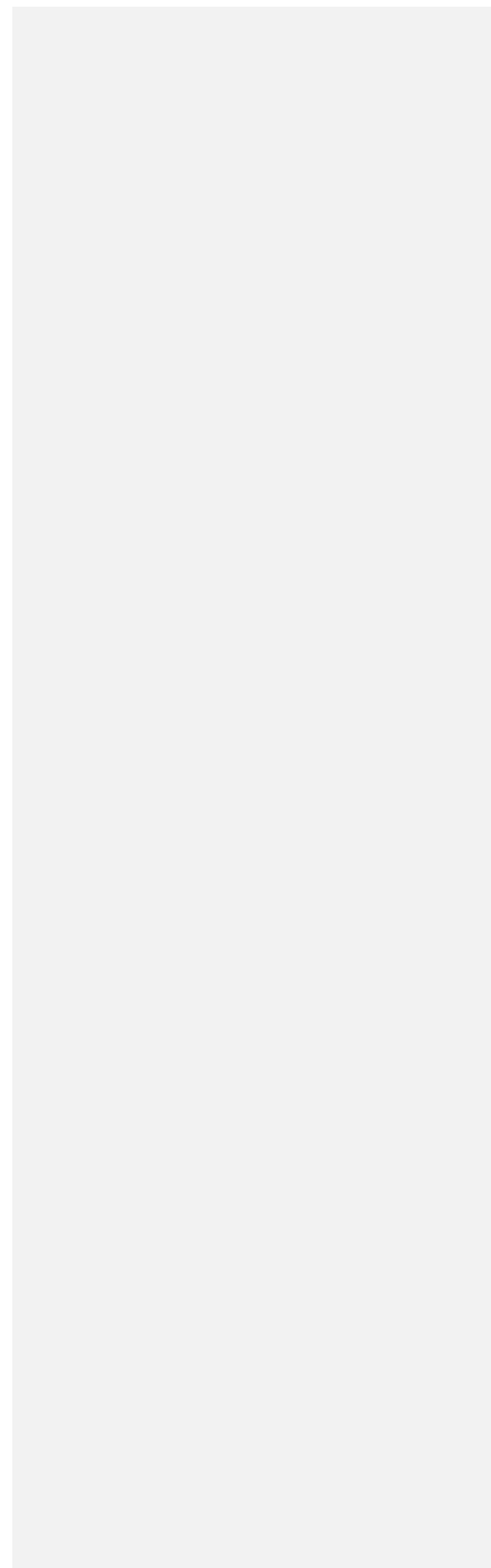
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Corresponding contributor: Professor Heidi Fuller

email: h.r.fuller@keele.ac.uk

Postal Address: Wolfson Centre for Inherited Neuromuscular Disease, TORCH Building, RJAH
Orthopaedic Hospital, Oswestry SY10 7AG, UK

Telephone: +44(0)1782734546



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Contributors' form (to be modified as applicable and one signed copy attached with the manuscript)


Manuscript Title: Gene therapy for Spinal Muscular Atrophy: perspectives on the possibility of optimising SMNI delivery to correct neurological and systemic perturbations

We certify that we have participated sufficiently in the intellectual content, conception and design of this work or the analysis and interpretation of the data (when applicable), as well as the writing of the manuscript, to take public responsibility for it and have agreed to have our name listed as a contributor. We believe the manuscript represents valid work. Neither this manuscript nor one with substantially similar content under our authorship has been published or is being considered for publication elsewhere, except as described in the covering letter. We certify that all the data collected during the study is presented in this manuscript and no data from the study has been or will be published separately. We attest that, if requested by the editors, we will provide the data/information or will cooperate fully in obtaining and providing the data/information on which the manuscript is based, for examination by the editors or their assignees. Financial interests, direct or indirect, that exist or may be perceived to exist for individual contributors in connection with the content of this paper have been disclosed in the cover letter. Sources of outside support of the project are named in the cover letter.

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Name	Signature	Date signed
1 Professor Heidi Fuller -----		30 April 2024
2 Professor Rafael J. Yáñez-Muñoz-----		29th April 2024
3 Dr Sharon Brown-----		30 th April 2024

Type of article: Perspective Article

Title of the article: Gene therapy for Spinal Muscular Atrophy: perspectives on the possibility of optimising *SMN1* delivery to correct all neurological and systemic perturbations

Contributors

1. Sharon J Brown, PhD
2. Rafael J Yáñez-Muñoz, PhD
3. Heidi R Fuller, PhD

Department(s) and institution(s)

^{1&3}School of Pharmacy and Bioengineering, Keele University, Keele ST5 5BG, UK & Wolfson Centre for Inherited Neuromuscular Disease, TORCH Building, RJA Orthopaedic Hospital, Oswestry SY10 7AG, UK

²AGCTlab.org, Centre of Gene and Cell Therapy, Department of Biological Sciences, School of Life Sciences and the Environment, Royal Holloway University of London, Egham Hill, Egham, Surrey TW20 0EX, UK

Corresponding Author:

Professor Heidi Fuller

Address: Wolfson Centre for Inherited Neuromuscular Disease, TORCH Building, RJA Orthopaedic Hospital, Oswestry SY10 7AG, UK

Telephone: +44(0)1782734546

Email: h.r.fuller@keele.ac.uk

ORCID iD for submission system: 0000-0002-2858-869X

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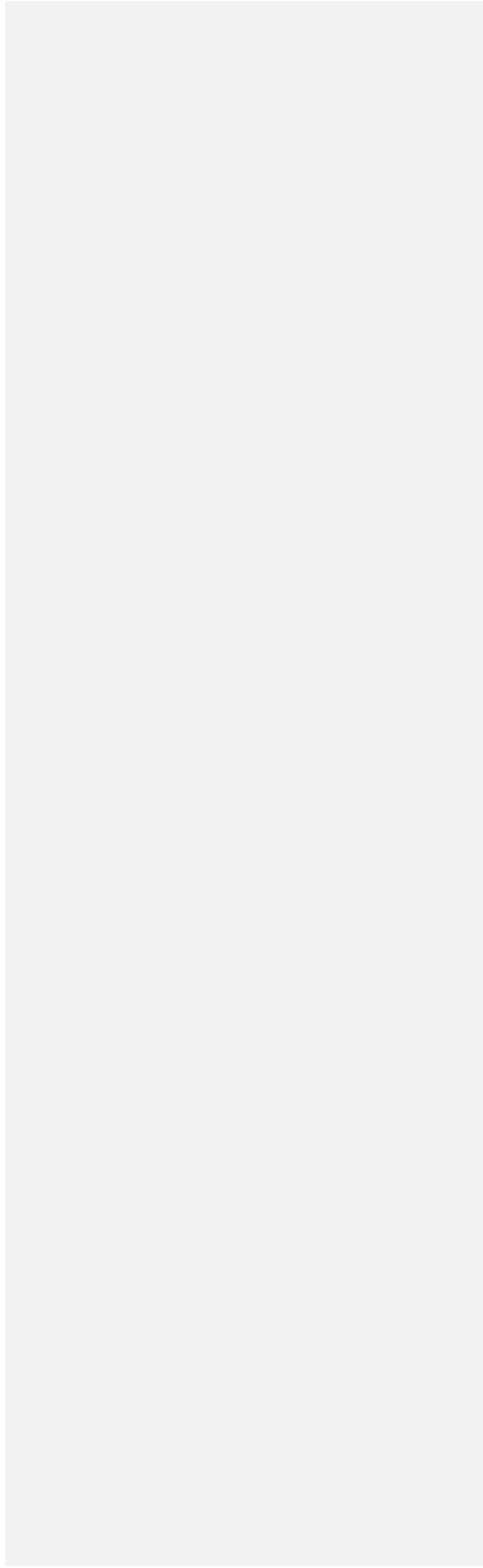
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Presentation at a meeting: none

Conflicting Interest (If present, give more details):

RJYM has filed a patent application for a novel sequence optimized *SMN1* cDNA.



Text

Title of the article:

Gene therapy for Spinal Muscular Atrophy: perspectives on the possibility of optimising *SMN1* delivery to correct all neurological and systemic perturbations

Main Text:

Spinal muscular atrophy (SMA) is a genetic condition that results in selective lower motor neuron loss with concomitant muscle weakness and atrophy. The genetic cause of SMA was understood in 1995 when loss or impairment of the *Survival Motor Neuron 1 (SMN1)* gene was identified as the main contributing factor (Lefebvre et al., 1995). This, in combination with the discovery that humans have a 'back-up' gene, *SMN2*, which can produce low levels (~10%) of the full-length functional SMN protein, has led to the generation of SMA-specific gene therapies. SMA was traditionally classified according to age of symptom onset and developmental milestones achieved, with life expectancy and severity varying between individuals. Now, *SMN2* copy number is used as a proxy for prediction of disease severity, with a higher *SMN2* copy number typically being associated with a reduced severity of SMA, even although this relationship is not absolute: with some individuals with low *SMN2* copy number having less severe SMA phenotypes and vice versa. Thus, Additionally, the aetiology of SMA is further complicated by other factors, such as non-typical nucleotide variants and *SMN2*-independent modifiers of disease severity. -

Genetic therapies for SMA have generated a paradigm shift in expected outcomes, with substantial changes in survival and progression of the condition. This has caused led to a considerable shift-rethink in-of the research needs for individuals with SMA, as the natural history of the disease has changed with the therapies, and the new phenotypes are only beginning to be understood. These therapies either target the *SMN2* gene to increase functional SMN protein levels (Nusinersen/Spinraza® and Risdiplam/Evrysdi®) or directly increase *SMN* gene levels using adeno-associated virus serotype 9 (AAV9) administration of the *SMN* gene (onasemnogene abeparvovec/Zolgensma®). In particular, the one-off intravenous viral administration of the *SMN* gene has dramatically altered the natural course of SMA, especially in individuals treated pre-symptomatically (Strauss et al., 2022 Paul et al., 2020). AAV9 gene therapy delivers full-length human *SMN* cDNA to peripheral organs and to the central nervous system (CNS) by crossing the blood-brain barrier and results in an increased production of functional SMN protein (Thomsen et al., 2021). Onasemnogene abeparvovec is a non-replicating self-complementary AAV9 vector controlled by the hybrid CMV enhancer/chicken beta-actin promoter that enables the transgenic *SMN* gene to reside within the nucleus as an extrachromosomal episome. Following the treatment of two symptomatic infants with SMA Type I, who died from respiratory complications unrelated to onasemnogene abeparvovec, increased SMN production was detected throughout the CNS and peripheral organs in samples from necropsies, with motor neurons having a comparable size and shape to non-SMA motor neurons (Thomsen et al., 2021).

There is currently limited information regarding how well AAV9 treatment can restore CNS and systemic perturbations in SMA. Some individuals with SMA show very little or no response to treatment with gene therapy, whilst for just under half of those continuing to thrive over the course of treatment, a degree of symptomatic burden remains (STR1VE-US: NCT03306277 & STR1VE-EU: NCT03461289). Following the treatment of two symptomatic infants with SMA Type I, who died from respiratory complications unrelated to onasemnogene abeparvovec, increased SMN production was detected throughout the CNS and peripheral organs in samples from necropsies, with motor neurons having a comparable size and shape to non-SMA motor neurons (Thomsen et al., 2021). Issues such as cognitive and communicative development (Ngawa et al., 2023) and early scoliosis and kyphosis have

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7 been investigated in AAV9 treated individuals with SMA Type I, with some concerns being
8 raised (Soini et al., 2023). Multiple examples of partial rescue have also been reported in
9 preclinical models. At the cellular level, our work investigating the proteomic alterations in
10 heart tissues harvested from two mouse models of differing SMA severity revealed many
11 differentially expressed proteins pertinent to cardiovascular development and function, in
12 particular the intermediate filament proteins, lamin A/C and desmin, and elastin (Brown et al.,
13 2023). Treatment of *Smn*^{2B/-} mice with either a Zolgensma-like AAV9-*SMN1* vector or an
14 AAV9 encoding a novel sequence-optimized *hSMN1* (Nafchi et al., 2023) increased SMN
15 levels, albeit to differing degrees (sub- and supraphysiological compared to WT levels,
16 respectively). These treatments increased desmin to levels found in WT animals, but neither
17 intervention restored lamin A/C or elastin abundance towards WT levels. Similarly, other
18 studies have noted that AAV9 treatment does not address all phenotypic effects of low SMN.
19 In SMA mice, neither distal ear nor tail necrosis nor spleen size were rescued following AAV9
20 treatment, suggesting some degree of cardiovascular impairment (Deguise et al., 2020).
21 ~~Other examples include the morphology of directly induced neurons produced from SMA~~
22 ~~patient fibroblasts being only partially rescued by AAV treatment (Sierra-Delgado et al.,~~
23 ~~2023), and in human SMA astrocytes, astrocytic~~ glutamate transporter activity ~~remaining~~
24 ~~remained~~ abnormal, which subsequently impacted motor neuron activity and synaptic health
25 (Welby & Ebert, 2023). ~~One particular~~ caveat of the treatment is that over time, non-dividing
26 cells appear to maintain their SMN levels, but in cells/tissues/organs undergoing turnover,
27 *SMN* expression declines, possibly returning to the original levels (Chaytow et al., 2021).

26 SMN protein is ubiquitously expressed throughout the body and SMA is considered a
27 multisystem condition, but there is limited knowledge regarding both the function of SMN
28 beyond the CNS (Thomsen et al., 2021) and the level of SMN production required in
29 peripheral organs and tissues (Xie et al., 2024). SMN is essential for many
30 neurodevelopmental processes, but decreased SMN levels also impact the liver, muscle,
31 heart, and pancreas in SMA mice models (Reilly et al., 2023), although for humans, the
32 pathogenic implications are unclear (Thomsen et al., 2021) (Fig. 1). Since gene therapy can
33 extend life expectancy for individuals with SMA, organs ~~like including~~ the liver, pancreas and
34 heart may need to be clinically monitored. In addition, SMN levels are much higher in tissues
35 during gestation compared to postnatally ~~suggesting a need during human prenatal~~
36 ~~development (Burlet et al., 1998; Reilly et al., 2023), and a lack of SMN during embryonic~~
37 ~~development resultings in phenotypic and molecular perturbations, further~~
38 ~~supporting indicating a crucial role for SMN during pre-symptomatic embryonic development~~
39 ~~(Motyl et al., 2020). This suggesting it follows~~ that postnatal AAV9 administration may be too
40 late to rescue all downstream effects of low SMN in severe SMA. ~~This may include, for~~
41 ~~example, such as~~ structural problems in the neuromuscular junction (Reilly et al., 2023) ~~or~~
42 ~~and~~ increased glial activation due to loss of motor neurons and replacement with astrocytes
43 (Thomson et al., 2021), and may also explain the continued dysregulation of lamin A/C and
44 elastin that we observed in *Smn*^{2B/-} mice following AAV9 treatment (Brown et al., 2023).

44 Current postnatal clinical delivery of AAV9-*SMN* has dose-dependent safety concerns of liver
45 damage and is done with prophylactic prednisolone to minimise serum aminotransferase
46 elevation. Also, pre-clinical studies have highlighted cases of neurodegeneration of the
47 dorsal root ganglia (DRG) in nonhuman primates following high AAV doses ~~(Tukev et al.,~~
48 ~~2022)~~, and in SMA mice overexpression of *hSMN* also led to loss of proprioceptive neurons
49 in DRGs and motor neurons ~~(as discussed in Xie et al., 2024; Van Alstyne et al., 2024)~~. Other
50 possible complications include transient thrombocytopenia, elevated troponin levels and fatal
51 thrombotic microangiopathy. Similar dose-dependent effects have been reported in clinical
52 and preclinical work using AAV vectors of several serotypes targeting other diseases
53 (Servais et al., 2023). Therefore, key to addressing general complications with AAV
54 treatment is the optimisation of the vector dose, regardless of cargo.

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7 In the case of SMA, AAV9 delivery can lead to overproduction of SMN in some tissues
8 compared to endogenous levels, and this may cause toxic effects (Zwartkruis & Groen,
9 2024). Work focussing on optimisation of *SMN1* delivery to replicate endogenous tissue
10 levels is ongoing. [A recent open-label, multicentre, single-arm, non-randomized, single-dose](#)
11 [escalation clinical trial in China with EXG001-307, an AAV9-SMN therapy injected](#)
12 [intravascularly at similar doses as Zolgensma but favouring neuronal transgene expression,](#)
13 [has reported initial positive results \(Wu et al., 2023\).](#) A more efficient, sequence optimized
14 human *SMN1* cDNA has been recently described by Nafchi et al. (2023) and used with
15 [integration-deficient lentiviral vectors \(IDLVs\)](#) and AAV9 vectors in cell culture and in mice,
16 demonstrating significantly higher expression levels than wild-type *SMN1* cDNA. By
17 combining another codon-optimized version of the human *SMN1* cDNA with a derivative of
18 the endogenous *SMN1* gene promoter, Xie et al. (2024) have shown better safety and
19 improved efficacy, a broader therapeutic window, longer life span, increased cardiac,
20 respiratory and motor function and minimal peripheral disease manifestations in a mouse
21 model of SMA, compared to a Zolgensma-like vector. The challenge is how to evaluate these
22 possible alternative therapies in clinical trials, considering that there are three effective
23 marketed treatments for SMA, and therefore any use of experimental treatments ~~would~~ could
24 raise ethical concerns.

24 One future possibility for consideration would be the administration of AAV9 therapy into the
25 developing foetus. Since the foetus is smaller than a neonate, the treatment dose required
26 would be reduced, it may result in better biodistribution within the developing tissues, and
27 immune tolerance for the treatment might be present. Neonatal screening for SMA is not
28 universally available, however, and screening for SMA in unborn children would present
29 additional challenges and may carry a risk of pregnancy loss. *In utero* delivery using
30 [integration-deficient lentiviral vectors \(IDLVs\)](#), with much reduced insertional mutagenesis
31 risk compared to standard integrating vectors, has shown them to be efficient for spinal cord
32 transduction in mice (Peluffo et al., 2012). An attempt at *in utero* treatment of SMA with
33 AAV9 in mice, with vector delivery by intracerebroventricular injection, ~~has~~ yielded partial
34 phenotypic rescue, ~~but~~ (Rashnoojad et al., 2019). ~~Of concern there was the significantly~~
35 ~~lower~~, survival ~~to term~~ of injected SMA foetuses ~~to term was significantly lower than that of~~
36 injected wild-type or heterozygous mice ([discussed in Waddington et al., 2024](#)). Additionally,
37 ultrasound-guided, foetal injections of AAV9 in pigs ~~have~~ led to premature delivery, unlike
38 saline injections, regardless of route of administration (intracerebroventricular, umbilical
39 hepatic vein, intraperitoneal; ([reviewed by Waddington et al., 2024](#)[Rich et al., 2022](#))). A
40 number of hurdles will therefore need to be overcome before this type of prenatal treatment
41 is ready for clinical testing, including ethical and technical issues.

41 [In conclusion](#), it is becoming apparent that, at least in their current form, AAV9 gene therapy
42 ~~or and~~ *SMN2*-modifying treatments may not fully address all effects of low SMN levels and
43 so there remains a need to develop optimised gene therapy ~~delivery~~ methods and possibly
44 treatments to complement gene therapies. As research efforts continue towards these goals,
45 many fundamental questions will need to be addressed including establishing: the optimal
46 time to provide gene therapy; whether this should start during gestation, at least in the most
47 severe cases; how much SMN is required to benefit all cells/tissues/organs that are affected
48 in SMA; whether differential expression of *SMN* in cells/tissues/organs ~~can~~ be obtained from
49 one treatment or whether combining systemic and locally delivered transgene will help
50 ensure that *SMN* expression is optimal in each ~~cell/tissue/organ relevant location~~; whether
51 other treatments are needed in tandem or following gene therapy; and whether severity-
52 specific and / or age-specific therapies ~~are~~ required to tackle different downstream
53 consequences of SMA reduction throughout the ~~natural history of disease~~. Core to fully
54 addressing these questions is also a need ~~also~~ for a comprehensive understanding of the
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6 natural history of SMA and how it evolves with the existing treatments at the molecular,
7 cellular, and whole system level.
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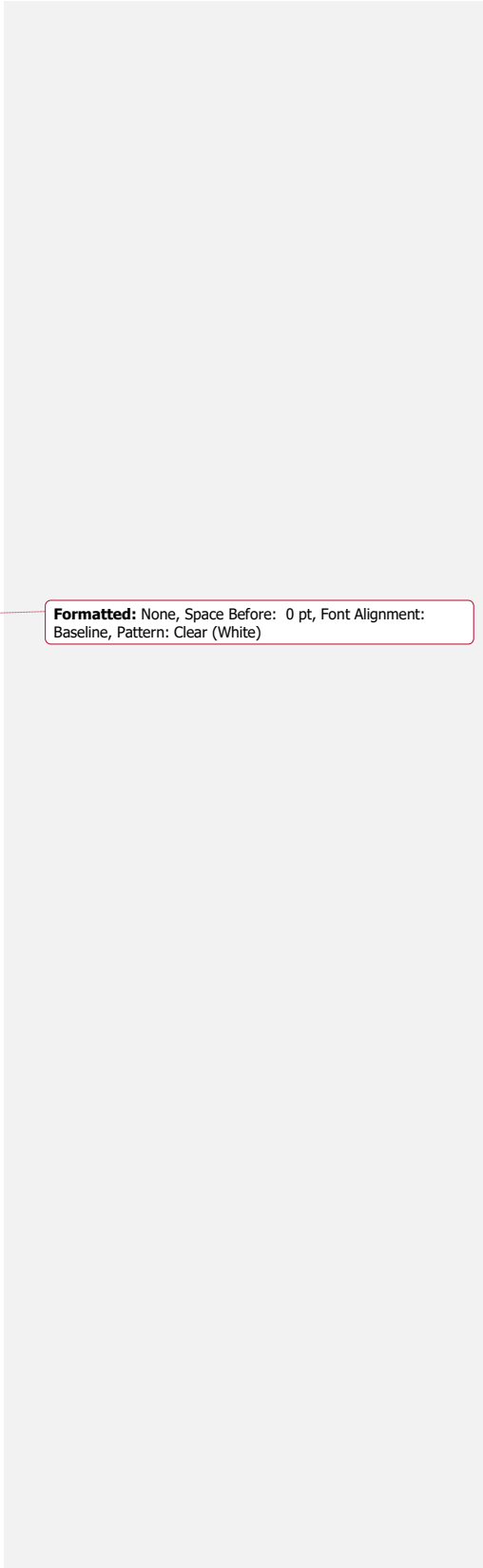
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LEGEND

Figure 1.

AAV9 therapy for SMA - Current & future perspectives (created with BioRender.com). Schematic illustrating the variability of outcome for SMA patients and impact on peripheral tissues following current AAV9 therapy (Current perspectives); unanswered questions regarding SMN levels during aging and in peripheral tissues, and the need for optimisation of AAV9 therapy (Research focus); 'Future perspectives' for SMA research to address timing and dosage of AAV9 delivery to optimise impact for SMA patients. AAV9: adeno-associated virus vector serotype 9; CNS: central nervous system; SMA: Spinal Muscular Atrophy; SMN: survival motor neuron; ↑ indicates an increase.



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