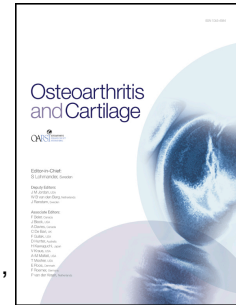


Accepted Manuscript

The relationship between foot and ankle symptoms and risk of developing knee osteoarthritis: data from the osteoarthritis initiative

Kade L. Paterson, PhD, Jessica Kasza, PhD, David J. Hunter, PhD, Rana S. Hinman, PhD, Hylton B. Menz, PhD, George Peat, PhD, Kim L. Bennell, PhD



PII: S1063-4584(16)30441-1

DOI: [10.1016/j.joca.2016.12.003](https://doi.org/10.1016/j.joca.2016.12.003)

Reference: YJOCA 3906

To appear in: *Osteoarthritis and Cartilage*

Received Date: 10 June 2016

Revised Date: 20 October 2016

Accepted Date: 1 December 2016

Please cite this article as: Paterson KL, Kasza J, Hunter DJ, Hinman RS, Menz HB, Peat G, Bennell KL, The relationship between foot and ankle symptoms and risk of developing knee osteoarthritis: data from the osteoarthritis initiative, *Osteoarthritis and Cartilage* (2017), doi: 10.1016/j.joca.2016.12.003.

This is a PDF file of an unedited manuscript that has been accepted for publication. As a service to our customers we are providing this early version of the manuscript. The manuscript will undergo copyediting, typesetting, and review of the resulting proof before it is published in its final form. Please note that during the production process errors may be discovered which could affect the content, and all legal disclaimers that apply to the journal pertain.

1 **TITLE PAGE**

2

3 **The relationship between foot and ankle symptoms and risk of developing knee**
4 **osteoarthritis: data from the osteoarthritis initiative**

5

6 **AUTHORS:** Kade L Paterson PhD (kade.paterson@unimelb.edu.au)¹, Jessica Kasza PhD
7 (jessica.kasza@monash.edu)², David J Hunter PhD (david.hunter@sydney.edu.au)³, Rana S
8 Hinman PhD (ranash@unimelb.edu.au)¹, Hylton B Menz PhD (H.Menz@latrobe.edu.au)⁴,
9 George Peat PhD (g.m.peat@keele.ac.uk)⁵, & Kim L Bennell PhD (k.bennell@unimelb.edu.au)¹

10

11 **AFFILIATIONS:** ¹ Centre for Health, Exercise and Sports Medicine, The University of
12 Melbourne, Melbourne, Australia, ² Department of Epidemiology and Preventive Medicine,
13 Monash University, Melbourne, Australia, ³ Institute of Bone and Joint Research, Kolling
14 Institute, University of Sydney, and Rheumatology Department, Royal North Shore Hospital
15 Australia, Sydney, Australia, ⁴ School of Allied Health, La Trobe University, Melbourne,
16 Australia, ⁵ Arthritis Research UK Primary Care Centre, Keele University, Keele, United
17 Kingdom.

18

19 **CORRESPONDING AUTHOR:** Kade Paterson, Centre for Health Exercise and Sports
20 Medicine, Department of Physiotherapy, School of Health Sciences, University of Melbourne,
21 Parkville, Victoria, Australia 3010. ph: +61 3 8344 0425, fax: +61 3 8344 4188,
22 kade.paterson@unimelb.edu.au

23

24 **Running headline** Foot symptoms and knee OA
25

ACCEPTED MANUSCRIPT

26 **ABSTRACT**

27

28 **Objective** To investigate whether foot and/or ankle symptoms increase the risk of developing (i)
29 knee symptoms and (ii) symptomatic radiographic knee osteoarthritis (OA).

30 **Design** 1020 Osteoarthritis Initiative participants who were at-risk of knee OA, but were without
31 knee symptoms or radiographic knee OA, were investigated. Participants indicated the presence
32 and laterality of foot/ankle symptoms at baseline. The main outcome was development of knee
33 symptoms (pain, aching or stiffness in and around the knee on most days of the month for at least
34 one month in the past year). A secondary outcome was development of symptomatic
35 radiographic knee OA (symptoms plus Kellgren and Lawrence [KL] grade ≥ 2), over the
36 subsequent four years. Associations between foot/ankle symptoms and study outcomes were
37 assessed by logistic regression models.

38 **Results** Foot/ankle symptoms in either or both feet significantly increased the odds of
39 developing knee symptoms (adjusted odds ratio (OR) 1.55, 95% confidence interval (CI) 1.10 to
40 2.19), and developing symptomatic radiographic knee OA (adjusted OR 3.28, 95% CI 1.69 to
41 6.37). Based on laterality, contralateral foot/ankle symptoms were associated with developing
42 both knee symptoms (adjusted OR 1.68, 95% CI 1.05 to 2.68) and symptomatic radiographic
43 knee OA (adjusted OR 3.08, 95% CI 1.06 to 8.98), whilst bilateral foot/ankle symptoms were
44 associated with developing symptomatic radiographic knee OA (adjusted OR 4.02, 95% CI 1.76
45 to 9.17).

46 **Conclusion** In individuals at-risk of knee OA, the presence of contralateral foot/ankle symptoms
47 in particular increases risk of developing both knee symptoms and symptomatic radiographic
48 knee OA.

49

50 Key words: Osteoarthritis, Knee Osteoarthritis, Arthritis, Epidemiology

51

ACCEPTED MANUSCRIPT

52 INTRODUCTION

53

54 Knee osteoarthritis (OA) is a leading cause of joint pain¹ and disability² in middle- and older-
55 aged individuals, and is one of the most commonly managed conditions in primary care³. Recent
56 incidence rates suggest around 6% of people aged over 45 years develop knee symptoms each
57 year, whilst 2% develop symptomatic radiographic knee OA⁴. Knee OA symptoms and
58 radiographic change that worsen over time can lead to costly surgical intervention. Thus
59 understanding risk factors associated with the onset of knee symptoms alone or in combination
60 with structural change is a major research focus.

61

62 Symptoms in the foot and/or ankle is a potential risk factor for knee pain and OA that has
63 received limited attention to date. Like knee OA, foot/ankle symptoms are very common in
64 middle- and older-aged adults. They affect approximately 24% of people aged over 45 years⁵,
65 and account for a substantial number of primary care consultations in this population⁶. Foot pain
66 is highly disabling, reduces quality of life⁷, adversely affects walking and other daily functional
67 abilities⁷ and increases the risk of falls⁸. To date, the majority of studies investigating symptoms
68 at the foot/ankle and knee have examined these problems in isolation. However, isolated joint
69 pain is rare⁹, and concurrent symptoms at the foot/ankle and knee is the most common multi-
70 joint presentation¹⁰, occurring far greater than expected by chance alone. In a recent cross-
71 sectional study using data from the Osteoarthritis Initiative (OAI), we found that people with
72 both symptomatic radiographic knee OA and foot/ankle symptoms reported significantly worse
73 general and knee OA specific health outcomes, and poorer physical function, than those with

74 knee OA but without foot/ankle symptoms¹¹. Despite the strong association between problems at
75 these two sites, their temporal sequence has not yet been evaluated.

76
77 Investigating foot/ankle symptoms as a candidate risk factor for knee OA is attractive as it is
78 simple to assess, and there is some evidence of potential modifiability using simple low-cost
79 interventions such as off-the-shelf footwear¹². Furthermore, there are a number of plausible
80 biological mechanisms linking foot/ankle symptoms to knee OA development. For example,
81 there may be shared biomechanical risk factors for the two problems, such as a pronated foot
82 type¹³ or inappropriate footwear¹⁴. Alternatively, people with foot/ankle symptoms may walk
83 differently to offload their painful foot¹⁵⁻¹⁷, altering knee function and increasing the risk of knee
84 OA development. Finally, symptoms at these two sites may represent a widespread pain
85 phenotype or an oligo- or polyarticular form of OA¹⁸.

86
87 The primary aim of this study was to use longitudinal data from the OAI to examine whether
88 foot/ankle symptoms predict the development of knee symptoms over four years in people
89 without knee symptoms or radiographic knee OA, but at-risk of knee OA, at baseline. A
90 secondary aim was to examine whether foot/ankle symptoms also predict the development of
91 symptomatic radiographic knee OA over four years. It was hypothesized that foot/ankle
92 symptoms would increase the odds of developing knee symptoms and symptomatic radiographic
93 knee OA in people at risk of knee OA.

94

95 **METHODS**

96

97 **Study population**

98

99 The OAI is an ongoing prospective multicentre cohort study designed to evaluate and identify
100 biomarkers for the onset and/or progression of knee OA in people aged between 45-79 years.
101 The study enrolled 4796 men and women from four sites in the United States, including
102 Baltimore, Maryland; Columbus, Ohio; Pittsburgh, Pennsylvania; and Pawtucket, Rhode Island.
103 All protocols and procedures were approved by the institutional review board at each site¹⁹ and
104 all participants provided informed consent. Details regarding general exclusion criteria and the
105 wider study protocols are available online for public access (<http://www.oai.ucsf.edu/>). In the
106 current study, we analyzed OAI participants who were at risk of knee OA, defined as the
107 presence of two or more established characteristics including: overweight, identified using age-
108 and sex-specific criteria; a history of knee injury causing walking difficulties; any knee surgery;
109 an immediate family history of a total knee replacement for OA; Heberden's nodes; repetitive
110 knee bending during occupational or recreation activities; or aged between 70-79 years. From
111 this subcohort, we only included people who did not have frequent knee symptoms (defined as
112 pain, aching or stiffness in and around the knee on most days of the month for at least one month
113 in the past year) or radiographic evidence of knee OA (Kellgren and Lawrence [KL] grade ≥ 2) in
114 either knee at baseline. We excluded people (rather than knees) with these outcomes because the
115 presence of symptomatic knee OA in one knee greatly increases the risk of developing
116 contralateral knee OA which may confound results²⁰⁻²². Demographic, clinical and radiographic
117 characteristics of both knees for all participants were evaluated at baseline and at 12, 24, 36 and
118 48-month follow-up visits.

119

120 Demographic characteristics and covariates

121

122 Demographic data collected included age, sex and race (White, Black/African American or
123 Asian/other non-white). Covariates included body mass index (BMI), , comorbidities and
124 depression. As well as recording BMI values, we also classified participants as obese (>30
125 kg/m²), overweight (≥ 25 and ≤ 30 kg/m²) or normal weight (<25 kg/m²). Comorbidities were
126 assessed using the questionnaire version of the Charlson comorbidity index (CCI)²³, and we
127 dichotomized the cohort into those with ‘no comorbidities’ and those with ‘one or more
128 comorbidities’. Depression was measured using the Centre for Epidemiological Studies
129 Depression Scale (CES-D). Scores were summed and a score of ≥ 16 was used to indicate
130 significant depressive symptoms²⁴.

131

132

133 Risk factor

134

135 The primary risk factor was self-reported foot/ankle symptoms at baseline, defined as pain,
136 aching or stiffness in the foot and/or ankle on more than half of the days during the past 30 days,
137 consistent with definitions used in previous studies^{5, 10}. In addition to classifying participants
138 based on the presence or absence of symptoms in either foot/ankle, we further stratified
139 foot/ankle symptoms as ipsilateral, contralateral or bilateral relative to each knee.

140

141 Incidence outcomes

142

143 ***Knee symptoms***

144

145 Participants were asked about the presence of knee symptoms at baseline, and at the 12, 24, 36
146 and 48 month follow-up visits for each knee. Incident knee symptoms was defined as
147 development of pain, aching or stiffness in and around the knee on most days of the month for at
148 least one month in the previous year, reported at any of the follow up visits, consistent with the
149 OAI definition and based on American College of Rheumatology criteria for clinical knee OA²⁵.

150

151 ***Symptomatic radiographic knee osteoarthritis***

152

153 Weightbearing fixed-flexion posteroanterior radiographs of both knees were taken at baseline
154 and at the 12, 24, 36 and 48 month follow-up visits. Radiographs were evaluated using the KL
155 grading system (grades range 0-4) by two central OAI senior musculoskeletal experts blinded to
156 all other participant data and to each other's readings. Incident symptomatic radiographic knee
157 OA was defined as knee symptoms (as per definition above) and the presence of KL grade ≥ 2
158 based on the central OAI reading, at any of the follow up visits.

159

160 **Statistical analysis**

161

162 Baseline characteristics of participants with and without foot/ankle symptoms were summarised
163 as number (%) for categorical variables and as mean (SD) or median (interquartile range) for
164 continuous variables, as appropriate. Groups were compared using χ -squared tests, analysis of
165 variance, Wilcoxon rank-sum or Kruskal-Wallis rank tests respectively.

166

167 To investigate the primary aim (development of knee symptoms), we analysed the association
168 between any foot/ankle symptoms (i.e. symptoms in either or both feet/ankle) at baseline and the
169 development of knee symptoms at any point within the four year follow-up period. For both
170 aims, analyses were knee-specific (i.e. conducted at the knee level rather than at the participant
171 level). Since most participants contributed two knees (8 participants with missing data
172 contributed one knee only for the primary aim, and 3 participants with missing data contributed
173 one knee only for the secondary aim), logistic regression models were fitted using generalized
174 estimating equations to account for the correlation between left and right knees within
175 participants. Two models were fitted, adjusting for sets of baseline covariates determined *a*
176 *priori*. In the first model, only baseline foot/ankle symptoms were included to obtain unadjusted
177 associations between baseline foot/ankle symptoms and the development of outcomes. The
178 second model also included age, sex, race, BMI, Charlson Comorbidity index (dichotomised)
179 and depression to adjust for variables known to be associated with both foot pain²⁶ and knee OA
180²⁷.

181
182 In addition to considering whether any foot/ankle symptoms were associated with the outcome
183 (i.e. at the participant level), we also investigated the association with ipsilateral, contralateral or
184 bilateral foot/ankle symptoms (i.e. at the limb level) to see if the association differed by
185 laterality. Logistic regression models were again fitted using generalized estimating equations to
186 adjust for clustering of knees within participants. Covariates were adjusted for in the same way
187 as in the primary analysis. Similar analyses were conducted to address the secondary aim (the
188 development of symptomatic radiographic knee OA), and the set of baseline variables was
189 adjusted as per for the primary aim.

190
191 To assess the potential influence of confounders (both measured and unmeasured by the OAI)
192 that were not accounted for in our analyses, we performed sensitivity analyses. More
193 specifically, a causal inference-based approach adapted from Kasza et al²⁸ was used. This
194 approach varies a sensitivity parameter that quantifies the differences between participants with
195 and without foot/ankle symptoms, had those without foot/ankle symptoms instead had
196 symptoms. The sensitivity parameter compares the outcomes between two groups with the same
197 exposure (where the exposure is hypothetical in those without foot/ankle symptoms), but with
198 the possibility of differences that were unaccounted for in our analyses leading to differences in
199 the development of knee symptoms and/or symptomatic radiographic knee OA. Values of the
200 sensitivity parameter greater than 1 suggest that unaccounted confounders in those participants
201 who actually had foot/ankle symptoms, such as widespread pain or generalised OA, contributed
202 to the greater likelihood of those participants developing the outcome. Values of the sensitivity
203 parameter equal to 1 suggest that there is no impact of unaccounted-for confounding on the
204 results. Statistical significance was ascribed at p-value ≤ 0.05 . Stata v12 (Stata Corporation,
205 College Station, TX, USA) was used for all analyses.

206

207 **RESULTS**

208

209 **Sample characteristics**

210

211 This study used OAI participants who did not have symptomatic radiographic knee OA
212 (n=3306). Patients with knee symptoms (as defined previously) or radiographic knee OA (KL \geq 2)

213 in one or both knees at baseline were excluded (n=2286) (Figure 1). Demographic data are
214 presented in Table 1. Of the 1020 participants at baseline, 13% (n=133) reported symptoms in at
215 least one foot/ankle. Those with foot/ankle symptoms were more likely to be female (p=0.014),
216 younger (p=0.029), Black/African American (p<0.001) and have a higher BMI (p=0.003) at
217 baseline. There were no differences in baseline measures of worst KL grade, comorbidities,
218 depressive symptoms, shoulder pain, Heberden's nodes, or previous knee injury or surgery
219 between those with and without foot/ankle symptoms.

220

221 Insert Figure 1 near here

222

223 Insert Table 1 near here

224

225 **Development of knee symptoms**

226

227 Table 2 shows the odds of developing knee symptoms according to the presence and laterality of
228 foot/ankle symptoms. After excluding knees with missing data, there were 1990 knees from 999
229 participants available for analysis. Baseline symptoms in any foot/ankle was associated with a
230 significantly increased risk of developing knee symptoms in the subsequent four years (adjusted
231 OR 1.55, 95% CI 1.10 to 2.19). Additional analyses of foot/ankle and knee symptom laterality
232 showed that contralateral foot/ankle symptoms also increased the odds for developing knee
233 symptoms (adjusted OR 1.68, 95% CI 1.05 to 2.68).

234

235 Insert Table 2 near here

236

237 **Development of symptomatic radiographic knee OA**

238

239 Table 3 shows the odds of developing symptomatic radiographic knee OA according to the
240 presence and laterality of foot/ankle symptoms. After excluding knees with missing data, there
241 were 1983 knees from 993 people available for analysis. Baseline symptoms in any foot/ankle
242 was associated with a significantly increased risk of developing symptomatic radiographic knee
243 OA at any time in the follow up period (adjusted OR 3.28, 95% CI 1.69 to 6.37). Subgroup
244 analyses based on foot/ankle symptom laterality suggested bilateral foot/ankle symptoms had the
245 highest odds for developing symptomatic radiographic knee OA (adjusted OR 4.02, 95% CI 1.76
246 to 9.17), and that foot/ankle symptoms that were contralateral to the affected knee also increased
247 the risk of this outcome (adjusted OR 3.08, 95% CI 1.60 to 8.98).

248

249 Insert Table 3 near here

250

251 **Sensitivity analyses**

252

253 The results of our sensitivity analyses suggest that it is highly unlikely that any confounder not
254 included in our analyses would have explained the observed association between foot/ankle
255 symptoms and the development of knee symptoms and symptomatic radiographic knee OA.
256 Specifically, the sensitivity analysis for developing knee symptoms (Figure 2) indicates that
257 when the sensitivity parameter is about 1.3, the odds ratio reduces to 1. Hence, for the
258 association to be entirely explained by unaccounted-for confounding, those with foot/ankle

259 symptoms would need to be 30% more likely to develop the outcome than those without
260 symptoms would be had they also had foot/ankle symptoms. The sensitivity parameter required
261 to explain the association between foot/ankle symptoms and symptomatic radiographic knee OA
262 is even greater (Figure 3): those with foot/ankle symptoms need to be more than twice as likely
263 to develop the outcome than those without symptoms would be had they also had foot/ankle
264 symptoms. Figures 2 and 3 indicate as the value of the sensitivity parameter gets greater
265 (corresponding to the greater tendency to develop the outcome among those with foot/ankle
266 symptoms), the sensitivity parameter-adjusted OR is further reduced.

267

268 Insert Figures 2 and 3 near here

269

270 DISCUSSION

271

272 This is the first study to investigate whether foot/ankle symptoms are a risk factor for the
273 development of knee symptoms and symptomatic radiographic knee OA in people at-risk of the
274 disease. Foot/ankle symptoms in either or both sides were found to increase the risk of
275 developing knee symptoms over the subsequent four years, with contralateral foot/ankle
276 symptoms the only side to show an association with knee symptom development in the laterality
277 analysis. Foot/ankle symptoms in either or both sides were also found to increase the risk of
278 developing symptomatic radiographic knee OA, with bilateral and contralateral foot/ankle
279 symptoms both associated, however there were few cases who developed this outcome and
280 confidence intervals were wide. These findings add to previous cross-sectional studies
281 demonstrating strong associations between symptoms at the foot/ankle and knee^{10,11}, and they

282 provide the first longitudinal evidence that foot/ankle symptoms are a risk factor for the
283 development of knee symptoms, and symptomatic radiographic knee OA.
284

285 Few studies have investigated risk factors for the onset of knee symptoms. A large prospective
286 cohort study previously identified previous knee injury as the strongest predictor of onset of
287 future knee pain with similar odds ratios to ours (1.59 compared to 1.60)²⁹. Furthermore,
288 although a number of other risk factors for the development of symptomatic radiographic knee
289 OA have been previously reported, such as age and ethnicity, few are modifiable. Currently, the
290 strongest known modifiable risk factors for developing knee OA are obesity and previous knee
291 injury³⁰. Our odds of around 3.3 for developing symptomatic radiographic knee OA are also
292 comparable to these other potentially modifiable factors (pooled OR 2.6 for BMI and 3.9 for
293 knee injury)³⁰. However, some caution should be used when interpreting the outcomes of our
294 symptomatic radiographic model. Firstly, despite our large cohort with several years' follow-up
295 and our use of knee-level data, few cases developed symptomatic radiographic knee OA. This
296 reduces the precision of the odds ratio for this model, as seen by the wide confidence intervals.
297 With so few cases, and adjustment for six covariates, there is also some risk of over-fitting our
298 regression models. However, our number of events per variable in the model (including
299 covariates) was within recommendations³¹. Finally, our four year follow up may be too short to
300 appropriately evaluate symptomatic radiographic outcomes. However, the OAI only has biennial
301 radiographic data available after four years, and we felt that it would overly complicate our
302 outcome definition to have annual outcomes up to four years and biennial data thereafter.
303 Notwithstanding these points, the results for all of our models were broadly consistent which
304 suggests that it is likely that there is some association between foot/ankle symptoms and

305 symptomatic radiographic knee OA. The findings are also reasonably robust given our sensitivity
306 analyses showed that it is unlikely that our conclusions would be changed had we adjusted for
307 other confounders not included in our analyses.

308
309 There are several plausible mechanisms by which foot pain could be linked to the subsequent
310 onset of knee symptoms in people at-risk of knee OA. First, people with foot/ankle symptoms
311 alter their walking pattern⁷ and these biomechanical changes may increase the risk of developing
312 knee OA. To date, the effects of foot/ankle symptoms on biomechanics relevant to knee OA have
313 not been explored, however our findings of an association between contralateral but not
314 ipsilateral foot symptoms suggest people with foot/ankle symptoms may shift weight away from
315 the painful foot and increase load on the contralateral knee. Second, it has been suggested that a
316 more pronated or “flatter” foot, which is associated with many painful foot conditions³²⁻³⁴, may
317 increase rotational stress on the tibiofemoral joint¹³, due in part to the tight coupling between
318 movement at the rearfoot and tibia³⁵. Over time, this abnormal stress may damage the load-
319 bearing tissues in the knee joint leading to pain and structural damage¹³. However, whilst some
320 cross-sectional studies show increased foot pronation in people with knee OA³⁶, and that a more
321 pronated foot is associated with an increased prevalence of knee pain and medial tibiofemoral
322 cartilage damage¹³, other research suggests increased pronation may instead be a compensatory
323 mechanism designed to reduce knee load and pain³⁷. Third, footwear may be a shared risk factor
324 for both foot/ankle symptoms and knee OA. For example, inappropriate footwear is a risk factor
325 for foot/ankle symptoms, and some types of footwear such as high heels may also alter knee
326 biomechanics in a detrimental manner^{14, 38}. Other researchers have suggested that pain in
327 multiple joints in people with knee OA may reflect a more generalized (e.g. oligo- or

328 polyarticular) OA presentation³⁹ or a widespread pain phenotype¹⁸, partly due to changes in
329 central pain processing^{40, 41}. These central changes may lead to a generalized hypersensitivity to
330 pain and therefore a greater likelihood of developing pain at multiple sites such as the knee and
331 foot. However this does not appear to be explanatory in our findings given our conclusions
332 remained unchanged after we performed sensitivity analyses to account for unaccounted-for
333 confounders.

334

335 There is evidence that foot/ankle symptoms may be modifiable given studies have shown
336 simple and relatively inexpensive conservative interventions are effective at treating common
337 causes of foot/ankle symptoms. For example, off-the-shelf footwear was reported to
338 improve general foot pain in older people¹² and foot pain due to gout⁴², whilst foot orthoses have
339 been shown to improve pain and function in people with plantar fasciitis⁴³, pes cavus⁴⁴ and
340 rheumatoid arthritis⁴⁵, amongst others. If the mechanism underpinning the association between
341 foot/ankle symptoms and the development of knee symptoms is due to shifting weight to the
342 contralateral limb to unload the painful foot/ankle, then simple analgesic interventions may also
343 be helpful in reducing the need for this avoidance strategy. Further studies are now required to
344 determine whether treating foot/ankle symptoms using conservative interventions also helps
345 to reduce the incidence of knee pain and symptomatic radiographic knee OA in people at risk of
346 the disease.

347

348 There are some limitations to our study. Firstly, although we found a relationship between
349 foot/ankle symptoms and the development of symptomatic and radiographic knee OA, it cannot
350 be determined whether this is an independent relationship to structural or radiographic knee OA

351 as these participants are a subset of those who developed knee symptoms. The relationship
352 between foot/ankle symptoms and the development of radiographic knee OA alone was not
353 explored given that radiographic OA without symptoms is not clinically relevant. Second,
354 participants were required to have reported knee symptoms at only one of the follow up visits
355 similar to previous research⁴⁶, thus it is possible our analyses included people whose knee
356 symptoms were not sustained over time. We feel that this was appropriate given OAI data have
357 shown knee pain profiles are stable over 6 years⁴⁷. However, future studies may wish to examine
358 whether foot/ankle symptoms are associated with more sustained knee pain. Third, we
359 dichotomised BMI and the Charlson comorbidity index which can leave residual confounding⁴⁸.
360 However, we found no strong evidence of this when we re-ran the analyses using fractional
361 polynomials to model the continuous scores for these covariates (see Table 1 in the
362 supplementary analyses). It is also possible that our results were biased due to the exclusion of
363 participants because of missing x-rays. However when we compared demographic characteristics
364 and covariates between those with missing and non-missing x-rays, our results showed those
365 with missing x-rays were more similar to OAI participants with $KL \geq 2$ at baseline than to those
366 with KL grade 0 and 1 (see Table 2 in the supplementary analyses). Since those with $KL \geq 2$ were
367 excluded from the study, it is possible that the participants with missing data would have been
368 excluded regardless. Thus the impact of missing data on our outcomes is likely to be minimal.
369 Finally, we tested a cohort who was already at an increased risk of developing knee OA and thus
370 our results should not be generalised to the wider population. Further research is needed to
371 determine whether foot/ankle symptoms also increase the risk of developing knee symptoms in a
372 population that does not possess other knee OA risk factors.

373

374 In conclusion, our study showed that people with foot/ankle symptoms were at an increased risk
375 of developing knee OA symptoms and symptomatic radiographic knee OA compared to those
376 without foot/ankle symptoms. These findings have important clinical and research implications.
377 Although it is unclear whether foot/ankle symptoms directly causes knee symptoms and
378 radiographic changes, or whether its presence is an indirect clinical marker for another variable,
379 our results have identified a potentially modifiable risk factor for knee OA in people at-risk of
380 the disease. Future studies should now determine whether addressing foot/ankle symptoms using
381 conservative interventions reduces the incidence of knee pain and symptomatic radiographic
382 knee OA.

384 **Acknowledgements**

385
386 The authors would like to express their thanks to all participants, staff and funders from the
387 Osteoarthritis Initiative for making the data publically available.

389 **AUTHOR CONTRIBUTIONS**

390
391 All authors were involved in conception and design of the study, or in acquisition analysis and
392 interpretation of data, and in revising it critically for important intellectual content. All authors
393 approved the final version to be published. Dr. Paterson takes responsibility for the integrity of
394 of the work as a whole, from inception to finished article.

395 **Conception and design.** Paterson, Kasza, Hinman, Hunter, Bennell.

396 **Analysis and interpretation of data.** Paterson, Kasza, Hunter, Hinman, Menz, Peat, Bennell.

397 **Drafting of the article.** Paterson, Bennell.

398 **Critical revision of the article for important intellectual content.** Paterson, Kasza, Hunter,
399 Hinman, Menz, Peat, Bennell.

400 **Final approval of the article.** Paterson, Kasza, Hunter, Hinman, Menz, Peat, Bennell.

401

402 **Role of the Funding Source:** The OAI is a public-private partnership comprised of five
403 contracts (N01-AR-2-2258; N01-AR-2-2259; N01-AR-2-2260; N01-AR-2-2261; N01-AR-2-
404 2262) funded by the National Institutes of Health, a branch of the Department of Health and
405 Human Services, and conducted by the OAI Study Investigators. Private funding partners include
406 Merck Research Laboratories; Novartis Pharmaceuticals Corporation, GlaxoSmithKline; and
407 Pfizer, Inc. Private sector funding for the OAI is managed by the Foundation for the National
408 Institutes of Health. This manuscript was prepared using an OAI public use data set and does not
409 necessarily reflect the opinions or views of the OAI investigators, the NIH, or the private funding
410 partner. KB, DH, and HBM are partly funded by the National Health and Medical Research
411 Council (NHMRC), and RH is supported by an Australian Research Council Future Fellowship.
412 KP is supported by a NHMRC Program Grant. HBM is currently a National Health and Medical
413 Research Council Senior Research Fellow.

414

415 **CONFLICT OF INTEREST**

416 No authors report competing interests.

417 RSH and KLB, and the University of Melbourne, received royalties from sales of Gel Melbourne
418 OA shoes from 2012-2014. The manufacturer of the shoes played no role in the study design nor
419 had any input into the analysis and interpretation of data from this study.

420 **REFERENCES**

- 421 1. Peat G, McCarney R, Croft P. Knee pain and osteoarthritis in older adults: a review of
422 community burden and current use of primary health care. *Annals of the Rheumatic*
423 *Diseases* 2001; 60: 91-97.
- 424 2. Guccione AA, Felson DT, Anderson JJ, Anthony JM, Zhang Y, Wilson PW, et al. The
425 effects of specific medical conditions on the functional limitations of elders in the
426 Framingham Study. *American Journal of Public Health* 1994; 84: 351-358.
- 427 3. Britt H, Miller GC, Henderson J, Bayram C, Valenti L, Harrison C, et al. A decade of
428 Australian general practice activity 2004–05 to 2013–14, Sydney University Press 2014.
- 429 4. Murphy LB, Moss S, Do BT, Helmick CG, Schwartz TA, Barbour KE, et al. Annual
430 incidence of knee symptoms and four knee osteoarthritis outcomes in the Johnston
431 County Osteoarthritis Project. *Arthritis Care & Research* 2016; 68: 55-65.
- 432 5. Thomas MJ, Roddy E, Zhang W, Menz HB, Hannan MT, Peat GM. The population
433 prevalence of foot and ankle pain in middle and old age: A systematic review. *Pain* 2011;
434 152: 2870-2880.
- 435 6. Jordan K, Kadam U, Hayward R, Porcheret M, Young C, Croft P. Annual consultation
436 prevalence of regional musculoskeletal problems in primary care: an observational study.
437 *BMC Musculoskeletal Disorders* 2010; 11: 144.
- 438 7. Mickle KJ, Munro BJ, Lord SR, Menz HB, Steele JR. Cross-sectional analysis of foot
439 function, functional ability, and health-related quality of life in older people with
440 disabling foot pain. *Arthritis Care & Research* 2011; 63: 1592-1598.

- 441 8. Menz HB, Morris ME, Lord SR. Foot and ankle risk factors for falls in older people: A
442 prospective study. *Journals of Gerontology Series A: Biological Sciences and Medical*
443 *Sciences* 2006; 61: 866-870.
- 444 9. Ledingham J, Regan M, Jones A, Doherty M. Radiographic patterns and associations of
445 osteoarthritis of the knee in patients referred to hospital. *Annals of the Rheumatic*
446 *Diseases* 1993; 52: 520-526.
- 447 10. Keenan A-M, Tennant A, Fear J, Emery P, Conaghan PG. Impact of multiple joint
448 problems on daily living tasks in people in the community over age fifty-five. *Arthritis*
449 *Care & Research* 2006; 55: 757-764.
- 450 11. Paterson KL, Hinman RS, Hunter DJ, Wrigley TV, Bennell KL. Impact of concurrent
451 foot pain on health and functional status in people with knee osteoarthritis: data from the
452 osteoarthritis initiative. *Arthritis Care Res (Hoboken)* 2015; 67: 989-995.
- 453 12. Menz HB, Auhl M, Ristevski S, Frescos N, Munteanu SE. Effectiveness of off-the-shelf,
454 extra-depth footwear in reducing foot pain in older people: a randomized controlled trial.
455 *The Journals of Gerontology Series A: Biological Sciences and Medical Sciences* 2015;
456 70: 511-517.
- 457 13. Gross KD, Felson DT, Niu J, Hunter DJ, Guermazi A, Roemer FW, et al. Association of
458 flat feet with knee pain and cartilage damage in older adults. *Arthritis Care & Research*
459 2011; 63: 937-944.
- 460 14. Titchenal MR, Asay JL, Favre J, Andriacchi TP, Chu CR. Effects of high heel wear and
461 increased weight on the knee during walking. *Journal of Orthopaedic Research* 2015; 33:
462 405-411.

- 463 15. Levins AD, Skinner HB, Caiozzo VJ. Adaptive gait responses to plantar heel pain.
464 Journal of rehabilitation research and development 1998; 35: 289-293.
- 465 16. Sullivan J, Burns J, Adams R, Pappas E, Crosbie J. Plantar heel pain and foot loading
466 during normal walking. Gait & Posture 2015; 41: 688-693.
- 467 17. Riskowski JL, Hagedorn TJ, Dufour AB, Hannan MT. Associations of region-specific
468 foot pain and foot biomechanics: the Framingham Foot Study. The Journals of
469 Gerontology Series A: Biological Sciences and Medical Sciences 2015.
- 470 18. Suri P, Morgenroth DC, Kwok CK, Bean JF, Kalichman L, Hunter DJ. Low back pain
471 and other musculoskeletal pain comorbidities in individuals with symptomatic
472 osteoarthritis of the knee: Data from the osteoarthritis initiative. Arthritis Care &
473 Research 2010; 62: 1715-1723.
- 474 19. Osteoarthritis Initiative. 2013.
- 475 20. Spector TD, Hart DJ, Doyle DV. Incidence and progression of osteoarthritis in women
476 with unilateral knee disease in the general population: the effect of obesity. Annals of the
477 Rheumatic Diseases 1994; 53: 565-568.
- 478 21. Felson DT, Zhang Y, Hannan MT, Naimark A, Weissman BN, Aliabadi P, et al. The
479 incidence and natural history of knee osteoarthritis in the elderly, the framingham
480 osteoarthritis study. Arthritis & Rheumatism 1995; 38: 1500-1505.
- 481 22. Mont MA, Mitzner DL, Jones LC, Hungerford DS. History of the contralateral knee after
482 primary knee arthroplasty for osteoarthritis. Clinical Orthopaedics and Related Research
483 1995; 321: 145-150.
- 484 23. Katz JN, Chang LC, Sangha O, Fossel AH, Bates DW. Can comorbidity be measured by
485 questionnaire rather than medical record review? Medical care 1996; 34: 73-84.

- 486 24. Radloff LS. The CES-D Scale: A self-report depression scale for research in the general
487 population. *Applied Psychological Measurement* 1977; 1: 385-401.
- 488 25. Altman R, Asch E, Bloch D, Bole G, Borenstein D, Brandt K, et al. Development of
489 criteria for the classification and reporting of osteoarthritis: Classification of osteoarthritis
490 of the knee. *Arthritis & Rheumatism* 1986; 29: 1039-1049.
- 491 26. Hill C, Gill T, Menz H, Taylor A. Prevalence and correlates of foot pain in a population-
492 based study: the North West Adelaide health study. *Journal of Foot and Ankle Research*
493 2008; 1: 2.
- 494 27. Bastick A, Runhaar J, Belo J, Bierma-Zeinstra S. Prognostic factors for progression of
495 clinical osteoarthritis of the knee: a systematic review of observational studies. *Arthritis*
496 *Research & Therapy* 2015; 17: 152.
- 497 28. Kasza J, Polkinghorne KR, Marshall MR, McDonald SP, Wolfe R. Clustering and
498 residual confounding in the application of marginal structural models: dialysis modality,
499 vascular access, and mortality. *American Journal of Epidemiology* 2015; 182: 535-543.
- 500 29. Jinks C, Jordan KP, Blagojevic M, Croft P. Predictors of onset and progression of knee
501 pain in adults living in the community. A prospective study. *Rheumatology* 2008; 47:
502 368-374.
- 503 30. Blagojevic M, Jinks C, Jeffery A, Jordan KP. Risk factors for onset of osteoarthritis of
504 the knee in older adults: a systematic review and meta-analysis. *Osteoarthritis and*
505 *Cartilage* 2010; 18: 24-33.
- 506 31. Vittinghoff E, McCulloch CE. Relaxing the rule of ten events per variable in logistic and
507 cox regression. *American Journal of Epidemiology* 2007; 165: 710-718.

- 508 32. Irving D, Cook J, Young M, Menz H. Obesity and pronated foot type may increase the
509 risk of chronic plantar heel pain: a matched case-control study. *BMC Musculoskeletal*
510 *Disorders* 2007; 8: 41.
- 511 33. Golightly YM, Hannan MT, Dufour AB, Hillstrom HJ, Jordan JM. Foot disorders
512 associated with overpronated and oversupinated foot function: The Johnston County
513 Osteoarthritis Project. *Foot & ankle international* 2014; 35: 1159-1165.
- 514 34. Menz HB, Dufour AB, Riskowski JL, Hillstrom HJ, Hannan MT. Association of planus
515 foot posture and pronated foot function with foot pain: the Framingham Foot Study.
516 *Arthritis Care & Research* 2013; 65: 1991-1999.
- 517 35. Souza TR, Pinto RZ, Trede RG, Kirkwood RN, Fonseca ST. Temporal couplings
518 between rearfoot–shank complex and hip joint during walking. *Clinical Biomechanics*
519 2010; 25: 745-748.
- 520 36. Levinger P, Menz HB, Morrow AD, Feller JA, Bartlett JR, Bergman NR. Foot
521 kinematics in people with medial compartment knee osteoarthritis. *Rheumatology* 2012;
522 51: 2191-2198.
- 523 37. Levinger P, Menz H, Morrow A, Bartlett J, Feller J, Bergman N. Relationship between
524 foot function and medial knee joint loading in people with medial compartment knee
525 osteoarthritis. *Journal of Foot and Ankle Research* 2013; 6: 33.
- 526 38. Radzimski AO, Mündermann A, Sole G. Effect of footwear on the external knee
527 adduction moment — A systematic review. *The Knee* 2012; 19: 163-175.
- 528 39. Croft P, Jordan K, Jinks C. “Pain elsewhere” and the impact of knee pain in older people.
529 *Arthritis & Rheumatism* 2005; 52: 2350-2354.

- 530 40. Kulkarni B, Bentley DE, Elliott R, Julyan PJ, Boger E, Watson A, et al. Arthritic pain is
531 processed in brain areas concerned with emotions and fear. *Arthritis & Rheumatism*
532 2007; 56: 1345-1354.
- 533 41. Kosek E, Ordeberg G. Lack of pressure pain modulation by heterotopic noxious
534 conditioning stimulation in patients with painful osteoarthritis before, but not following,
535 surgical pain relief. *Pain* 2000; 88: 69-78.
- 536 42. Rome K, Stewart S, Vandal A, Gow P, McNair P, Dalbeth N. The effects of
537 commercially available footwear on foot pain and disability in people with gout: a pilot
538 study. *BMC Musculoskeletal Disorders* 2013; 14: 278.
- 539 43. Lee SY, McKeon P, Hertel J. Does the use of orthoses improve self-reported pain and
540 function measures in patients with plantar fasciitis? A meta-analysis. *Physical Therapy in*
541 *Sport* 2009; 10: 12-18.
- 542 44. Burns J, Crosbie J, Ouvrier R, Hunt A. Effective orthotic therapy for the painful cavus
543 foot: a randomized controlled trial. *Journal of the American Podiatric Medical*
544 *Association* 2006; 96: 205-211.
- 545 45. Woodburn J, Barker S, Helliwell PS. A randomized controlled trial of foot orthoses in
546 rheumatoid arthritis. *The Journal of Rheumatology* 2002; 29: 1377-1383.
- 547 46. Segal NA, Torner JC, Felson DT, Niu J, Sharma L, Lewis CE, et al. Knee extensor
548 strength does not protect against incident knee symptoms at 30 months in the Multicenter
549 Knee Osteoarthritis (MOST) cohort. *PM&R* 2009; 1: 459-465.
- 550 47. Collins JE, Katz JN, Dervan EE, Losina E. Trajectories and risk profiles of pain in
551 persons with radiographic, symptomatic knee osteoarthritis: data from the osteoarthritis
552 initiative. *Osteoarthritis and Cartilage* 2014; 22: 622-630.

- 553 48. Brenner H, Blettner M. Controlling for continuous confounders in epidemiologic
554 research. *Epidemiology* 1997; 8: 429-434.

555

556

ACCEPTED MANUSCRIPT

557 **FIGURE LEGENDS**

558

559 Figure 1. Participants from the Osteoarthritis Initiative included in analysis.

560 Figure 2. Results of the sensitivity analysis for developing knee symptoms.

561 Figure 3. Results of the sensitivity analysis for developing symptomatic radiographic knee OA.

562

ACCEPTED MANUSCRIPT

563 **TABLES**

564 Table 1. Baseline characteristics of Osteoarthritis Initiative participants without knee pain classified based on the presence and side of
 565 foot/ankle symptoms. One participant had missing foot/ankle symptom status at baseline. Values are N (%) unless otherwise indicated.

Characteristic	Missing (n)	No foot/ankle symptoms (n=887)	Any foot/ankle symptoms (n=133)	P value [†]
Mean (SD) age (years)	0	60.9 (9.1)	59.0 (9.3)	0.029
Sex	0			0.014
Male		387 (43.6)	43 (32.3)	
Female		500 (56.4)	90 (67.7)	
Race:	0			<0.001
Asian and other non-white		14 (1.6)	10 (7.5)	
White/Caucasian		793 (89.4)	107 (80.5)	
Black/African American		80 (9.0)	16 (12.0)	
Median (IQR) BMI kg/m ²	1	26.4 (23.7, 30.0)	27.7 (24.8, 32.0)	0.003
BMI categories:	1			0.018
Normal (BMI <25 kg/m ²)		317 (35.7)	34 (25.6)	

Overweight (BMI 25-30 kg/m ²)		347 (39.1)	52 (39.1)	
Obese (BMI >30 kg/m ²)		223 (25.1)	47 (35.3)	
Worst KL grade*	0			0.937
0		568 (64.0)	84 (63.2)	
1		319 (36.0)	49 (36.8)	
2		0 (0)	0 (0)	
3		0 (0)	0 (0)	
4		0 (0)	0 (0)	
Comorbidities:	0			0.134
0		692 (78.0)	96 (72.2)	
≥1		195 (22.0)	37 (27.8)	
Depression	8			0.351
No		827 (93.7)	118 (91.5)	
Yes		56 (6.3)	11 (8.5)	

567 SD, standard deviation; IQR, interquartile range; BMI, body mass index; KL, Kellgren Lawrence.

568 * Baseline values

569 † P-values from chi-squared test for binary and categorical variables, Wilcoxon rank-sum or Kruskal-Wallis rank tests for variables
570 presented as median (IQR), and analysis of variance tests for variables presented as mean (SD).

571

ACCEPTED MANUSCRIPT

572 Table 2. Logistic regression analyses for the risk of developing knee symptoms during the four year follow up period. GEEs fit to
 573 account for the clustering of knees within participants. 50 knees from 29 participants were excluded due to missing data.
 574

Laterality of foot/ankle symptoms	Total number of knees (participants)	No knee symptoms N (%)	Knee symptoms N (%)	Risk for knee symptoms			
				Unadjusted OR (95% CI)	P value	Adjusted [†] OR (95% CI)	P value
No symptoms (ref)	1742 (874)	1135 (89.7)	607 (83.8)	1		1	
Any side	248 (125)	131 (10.3)	117 (16.2)	1.63 (1.16 to 2.27)	0.004	1.55 (1.10 to 2.19)	0.012
Ipsilateral	70 (70)	40 (3.2)	30 (4.1)	1.34 (0.83 to 2.17)	0.238	1.30 (0.80 to 2.12)	0.294
Contralateral	72 (72)	37 (2.9)	35 (4.8)	1.77 (1.11 to 2.84)	0.017	1.68 (1.05 to 2.68)	0.030
Bilateral	106 (53)	54 (4.3)	52 (7.2)	1.74 (1.06 to 2.86)	0.029	1.65 (0.98 to 2.78)	0.060

575
 576 OR, odds ratios; CI, confidence intervals.
 577 [†] Adjusted for age, sex, race, BMI, Charlson Comorbidity index (dichotomised) and depression.

578

579 Table 3. Logistic regression analyses for the risk of developing symptomatic and radiographic knee OA, during the four year follow
 580 up period. GEEs fit to account for the clustering of knees within participants. 57 knees from 30 participants were excluded due to
 581 missing data.
 582

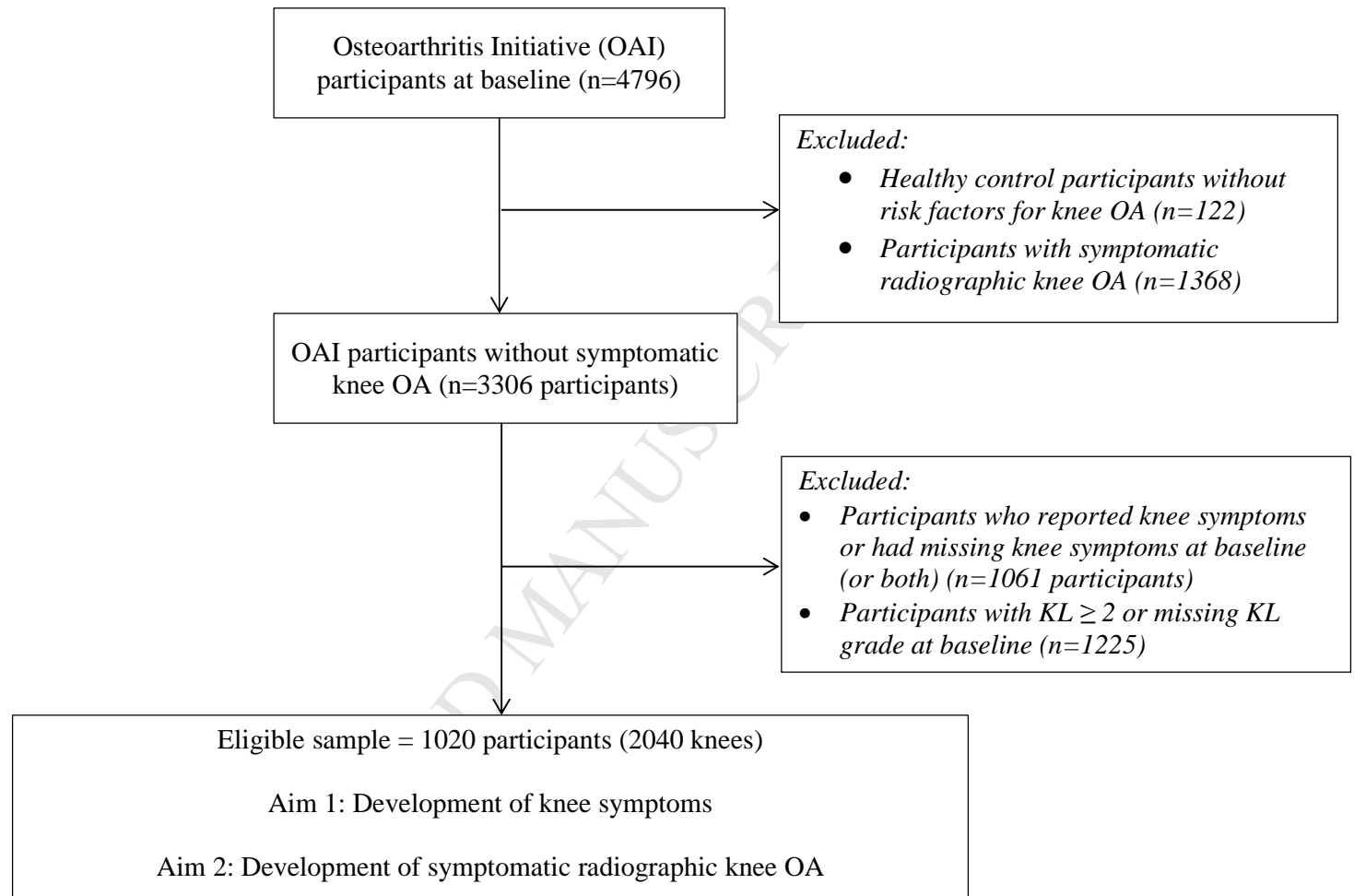
Laterality of foot/ankle symptoms	Total number of knees (participants)	No symptomatic knee ROA N (%)	Symptomatic Knee ROA N (%)	Risk for symptomatic knee ROA			
				Unadjusted OR (95% CI)	P value	Adjusted [†] OR (95% CI)	P value
No symptoms (ref)	1736 (869)	1707 (88.1)	29 (64.4)	1		1	
Any side	247 (124)	231 (11.9)	16 (35.6)	4.26 (2.23 to 8.12)	<0.001	3.28 (1.69 to 6.37)	0.0004
Ipsilateral	70 (70)	67 (3.5)	3 (6.7)	2.57 (0.76 to 8.74)	0.131	2.28 (0.70 to 7.37)	0.171
Contralateral	71 (71)	67 (3.5)	4 (8.9)	4.35 (1.61 to 11.74)	0.004	3.08 (1.06 to 8.98)	0.039
Bilateral	106 (53)	97 (5.0)	9 (20.0)	5.38 (2.50 to 11.55)	<0.001	4.02 (1.76 to 9.17)	0.001

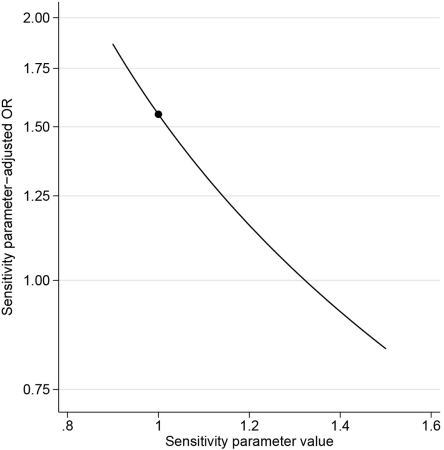
583

584 OA, osteoarthritis; ROA, radiographic osteoarthritis; OR, odds ratios; CI, confidence intervals.

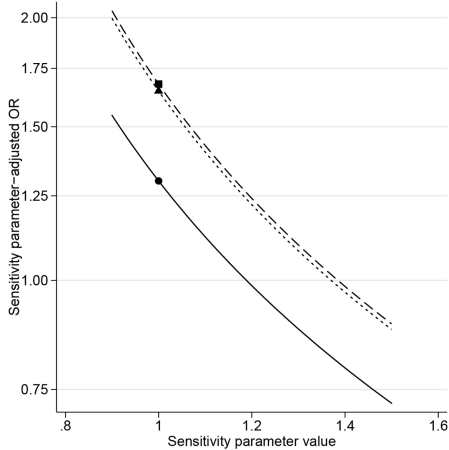
585 [†] Adjusted for age, sex, race, BMI, Charlson Comorbidity index (dichotomised) and depression.

586

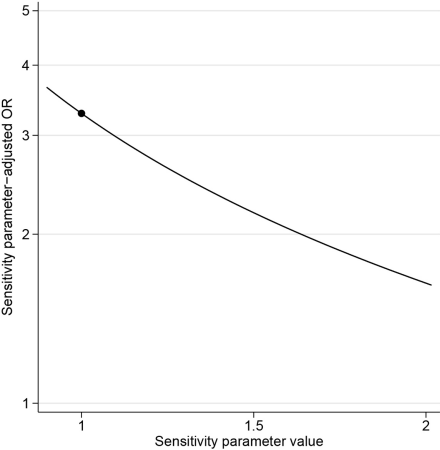




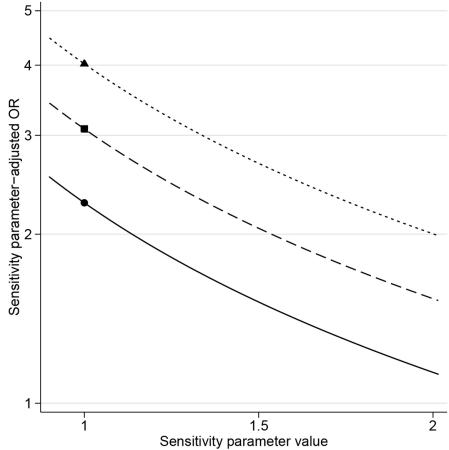
— Any foot pain



— Ipsilateral - - - Contralateral Bilateral



— Any foot pain



— Ipsilateral - - - Contralateral Bilateral